1	Optimization of second generation lactic acid from corn stover by
2	alkaline catalysis in subcritial water reaction medium
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8	Abstract
9	The optimization of hydrothermal lactic acid (LA) production from corn stover using
10	Ca(OH) ₂ as catalyst was investigated. Initial studies with a 9 g/L glucose solution, and
11	different Ca(OH) ₂ concentrations, in the range of 0.025 to 0.1 M, identified 0.075 M as
12	the optimal concentration. Ca(OH) ₂ acted both as catalyst and reactant, neutralizing LA,
13	and other organic acids produced, primarily acetic and formic acids. Excess of Ca(OH) ₂
14	was required to maintain the alkaline environment necessary for LA production,
15	achieving 3.5 g LA/L with a 40 % yield.
16	LA production from corn stover was studied at temperatures ranging from 90 to 260 °C
17	with 0.075 M Ca(OH) ₂ , and a biomass loading of 5 wt %. Higher temperatures increased
18	LA production reaching 5.9 g LA/L with 15.1 % of yield at 260 °C. The lower value of
19	the yield compared to that obtained from glucose, was attributed to the lower OH-/sugar

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20 monomer molar ratio, which was 3 for glucose, but only 0.65 for corn stover. To 21 counteract organic acid formation, Ca(OH)₂ concentrations up to 1.5 M were tested. The 22 OH-/sugar monomer molar ratio emerged as a key design parameter, with an optimum 23 value of 2.61, resulting in 12.4 g LA/L and 32 % yield. This ratio compensates Ca(OH)₂ 24 consumption in neutralization reactions and minimizes excess base, which primarily 25 remained in the solid residue due to the low solubility of Ca(OH)₂ in water. This approach 26 also achieves minimal mass intensity, with 5.8 kg of reactants/kg of LA, with unnecessary 27 reactant consumption.

28 Keywords

Alkaline catalysis, subcritical water, lactic acid, corn stover, molar ratio OH-/sugarmonomers

31 **1 Introduction**

32 Lactic acid (LA) is an increasing important chemical commodity, particularly for the production of biodegradable lactic acid polymers (polylactic acid, PLA).¹ It has also a 33 broad range of applications as flavor enhacer in the food industry, as moisturizing agent 34 in the cosmetic industry, and in the pharmaceutical industry.^{2,3} But LA is also an 35 36 important platform molecule to synthesize other chemicals.³ The global LA market size 37 was estimated at USD 3.37 billion in 2023 and is expected to grow at a compound annual 38 growth (CAGR) of 8.0% from 2024 2030 rate to 39 (https://www.grandviewresearch.com/industry-analysis/lactic-acid-and-poly-lactic-acid-40 market#). LA is currently produced either through chemical synthesis or fermentation processes, with more than 90 % of LA production via biological fermentation.⁴ Both 41 42 production methods have inherent challenges. Chemical systthesis involves the use of toxic and expensive feedstocks.^{5,6} On the other hand, fermentation requires long operation 43

time (2-8 days), leading to low productivity. Moreover, large-scale industrial fermentation typically uses first generation biomass as source of carbohydrates, owing to the absence of contaminants and ease of processing.⁷ However, this creates competition with food supplies as the available land, water and resources should be used for food production. Additionally, the cultivation of crops for industrial chemicals can lead to deforestation and loss of biodiversity, contributing to environmental degradation.⁷

50 Various agricultural harvesting residues have demonstrated significant potential as 51 carbon sources for producing a wide range of chemicals, offering an opportunity to use 52 these residues that would otherwise be discarded.⁷ These residues generally present 53 similar chemical composition with approximately 15-20 % lignin, 30-45 % cellulose and 20-25 % hemicellulose.⁸ In a recent study assessing the potential of agroforesty residues 54 55 for the bioeconomy within the European Union, wheat straw was identified as the most 56 promising lignocellulosic material, followed by corn stover. The value of residue-to-crop 57 ratio of corn stover can reach values as high as 1.3, with an average value of 1.13, as nearly 50 % of the external corn plant is stover.^{8,9} Given this residue-to-crop ratio and its 58 59 chemical composition, corn stover emerges as a promising raw material, offering an 60 alternative that avoids competition with food production and addresses sustainatiblity concerns.⁸ 61

The use of lignocelluloic biomass as raw material requires a different pre-treatment process compared to first-generation biomass to facilitate the fractionation into its components. Hydrothermal treatment has emerged as a sustainable and green alternative for producing a variety of second-generation chemicals from lignocellulosic materials.¹⁰ Under subcritical water conditions, water exhibits unique properties, becoming an effective catalyst and reaction medium. However, hydrothermal treatment of pure sugar monosaccharides, such as glucose and xylose, without any external catalyst, yields 69 negligible amounts of the targeted chemical of this work, LA, yielding furfural and 70 hydroxymethyl furfural (HMF) as the main degradation products from xylose and 71 glucose, respectively.¹¹ Previous studies have shown that the addition of lanthanides as 72 Lewis acid catalyst increased LA yields up to 39.5 and 42.9 % from xylose and glucose, 73 respectively.¹² When using corn stover as the raw material, slightly lower LA yields were observed (approximately 30.3 %).¹² Although these results were promising, the use of 74 75 rare earth metals as catalyst still presents limitations to large-scale applications due to the high energy and capital cost involved.¹³ To enable large-scale production, more 76 77 affordable and readily available catalyst must be explored.

78 In the literature, it has been described that the addition of alkali catalysts can improve LA yields from different biomass-derived sugars.¹⁴⁻¹⁸ However, only a limited number of 79 studies focus on LA production from real biomass. Sánchez et al.^{5,6} explored LA 80 81 production via alkaline hydrothermal treatment using two different biomass sources: corn 82 cobs and bread residues. For both raw materials, $Ca(OH)_2$ was identified as a suitable 83 catalyst to produce LA observing a different trend regarding the influence of Ca(OH)₂ 84 concentration on LA yield for each material. For corn cobs, the optimal catalyst 85 concentration was determined to be 0.7 M Ca(OH)₂ (approximately 2.1 g Ca(OH)₂ per g 86 of corn cob) at 300 °C and 30 min, with a LA yield of 44.76 % (corresponding to 7.38 g 87 LA/L). On the other hand, when using bread residues, a continuous increase in LA yield 88 was observed with Ca(OH)₂, reporting that 3.5 M of Ca(OH)₂ (6.5 g Ca(OH)₂ per g of 89 bread residue) led to a LA yield of 72.9 % (concentration of 29.2 g LA/L).⁵ The higher 90 LA yield from bread residues could be closely related to its chemical composition, with 91 approximately 75 % of starch content.

In this work, several steps are proposed to try to shed light for LA production from
lignocellulosic biomass, focusing on optimizing the concentration of Ca(OH)₂ to

94 minimize its dosage, but obtaining high LA yields and concentration in the reaction 95 medium. The goal is also to minimize the large quantities of acid needed to neutralize the 96 excess of alkaline solution normally used in different literature studies after treatment, 97 while evaluating the mass LA productivity at different Ca(OH)₂-to-biomass ratios as 98 sustainability parameter.

Initially, glucose was used as a model compound to evaluate the effects of temperature and $Ca(OH)_2$ concentration. Once optimal conditions were determined, corn stover was treated to produce LA, considering the effect of temperature, $Ca(OH)_2$ concentration and ratio catalyst:biomass on the efficiency of the process to reduce costs and shorten the production time of lactic acid compared to microbial fermentation. Special attention was given to pH values throught the treatment and to the organic acid profile generated during the process to better understand the optimal conditions.

106 2 Experimental section

107

2.1 Raw material and chemicals

108 Corn stover was kindly provided by a local farmer from Saldaña (Palencia, Spain), 109 consisting of leaves and stems. It was milled using a Retsch SM100 mill with a 2 mm 110 aperture size for subsequent use. Its moisture content was determined to be 6.2 ± 0.6 111 wt %. The composition of the corn stover and the solid residue after alkaline treatment 112 were analyzed following the National Renewable Energy Laboratory (NREL).¹⁹

113 2.2 Alkaline catalysis in subcritical water reaction medium

The treatment was carried out using a laboratory-assambled batch system with a 0.5 L capacity reactor ($p_{max} = 60$ bar). The reactor was covered with a ceramic heating jacket (230 V, 4000 W, \emptyset 95 mm, 160 mm height) to achieve and maintain the desired working temperature. The system was pressurized by using nitrogen and pressure was fixed at 55 bar. A Pt100 sensor placed inside the reactor and connected to a PID system enabledtemperature control and monitoring throughout the treatment.

120 In a typical run, 200 mL of the sugar monomer or corn stover solution with the required 121 amount of $Ca(OH)_2$ were charged into the reactor. A magnetic stirring bar was placed at 122 the bottom of the reactor to enhance homogeneity fixing the stirring rate at 700 rpm.

123 A needle valve (Autoclave Engineers) connected to a cooling system enabled the 124 withdrawal of samples (2-3 mL) to follow the reaction progress. Collected samples were 125 kept refrigerated until their analysis to follow the LA production kinetics. After a 126 specified reaction time, the vessel was cooled and depressurized when the temperature 127 was below 90 °C. Due to the low solubility of Ca(OH)₂ in water, any excess remains in 128 the solid residue generated after treatment of corn stover. To remove the Ca(OH)₂ from 129 the solid, approximately 6 mL of HNO₃ (20 wt %) were added per gram of solid residue. 130 The pH of the solution was determined to ensure an acidic environment and the formation 131 of the highly soluble salt Ca(NO₃)₂. The final solid residue was washed, dried, and 132 weighed, and subsequently analysed to determine its chemical composition. The 133 variability of the hydrothermal treatment was estimated to be less than 10 %.

First, LA production from glucose, the most abundant biomass-derived sugar from corn stover, was used as the raw material. An initial glucose solution of 9 g/L was prepared, and hydrothermal alkaline treatment was conducted at two different temperature levels, 90 °C and 190°C, with Ca(OH)₂ concentrations ranging from 0.025 M to 0.1 M. These values correspond to ratios from 0.206 to 0.82 g of Ca(OH)₂ per g of glucose (0.5 to 2 moles of Ca(OH)₂ per mol of glucose).

Afterwards, LA production from corn stover was studied at the optimal Ca(OH)₂
concentration determined for glucose, at different temperatures ranging from 90 °C to

142 260 °C. Further optimization, regarding Ca(OH)₂ concentration and the mass ratio of 143 catalyst to biomass, was also conducted. A total of 28 treatments were performed, 144 including 10 for pure biomass-derived sugars and 18 for corn stover under different 145 experimental conditions. All treatments conducted in this work have collected in Table 1 146 for better clarity.

147 2.3 Analytical methods

148 **2.3.1** Carbon content

The carbon content of the corn stover and the solid residues generated after different
hydrothermal alkaline treatments was determined by an organic elemental micro-analyzer
equipment (Thermo Scientific Model Flash 2000).

152 2.3.2 Quantification of lactic acid, sugars and other degradation products

153 Monomeric sugars, lactic acid and other sugar-derived compounds were determined by HPLC as described by Alonso-Riaño et al. ²⁰ according to the NREL protocols.²¹ The 154 155 HPLC system was equipped with a Biorad Aminex HPX-87H column, its corresponding 156 pre-column, and two detectors: a variable wavelength detector (VWD, fixed at 210 nm) 157 and a refractive index detector (RID). Both, the column and the refractive index detector 158 were maintained at 40 °C. Samples (10 µL) were injected after being filtered through a 159 0.2 µm syringe filter. The mobile phase consisted of 0.005 M sulfuric acid at a flow rate 160 of 0.6 mL/min. Total analysis time was 60 min. Calibration was performed using pure 161 standards.

162 Standards for L(+)-lactic acid solution (40 wt % in water), glucose (99 %), xylose (99 %),

163 furfural (99 %), glyceraldehyde (98 %) and glycoaldehyde (98 %) were purchased from

164 Sigma-Aldrich. Manose was purchased from Apollo Scientific (99.5 %). Standards for 5-

165 HMF (97%) were obtained from Alfa Aesar, formic acid (98%) from Fluka, acetic acid

166 (99.8 %) from VWR, glycolic acid (98 %) and propionic acid (99 %) from TCI, 1,3-

167 dihydroxyacetone (95 %) from Fluorochem and hydroxyacetone (95 %) from

168 Thermoscientific. Peak identification was done by comparing the retention times of the

169 sample peaks with those of pure standard compounds. Relative standard deviations for

170 RID and VWD detectors were lower than 2 % for each identified compound.

- 171 The D-/L-Lactic Acid (D-/L-Lactate) assay kit by Megazyme (Neogen) was used for the
- 172 specific concurrent measurement of L-lactic acid (L-lactate) and D-lactic acid (D-lactate).

173 2.4 Parameters in lactic acid production

174 The C-yield of LA was assessed by considering the initial C content in the feed solution

175 coming from the saccharide fraction and the C content in the lactic acid produced:

176 Lactic acid yield (%) =
$$\frac{\text{moles of C in lactic acid}_{(t)}}{\text{moles of C in the feed from saccharides}_{(0)}} * 100$$
 [1]

A similar yield percentage was also evaluated for other organic compounds producedduring the reaction, such as organic acids or aldoses.

179 **3** Results and discussion

180 **3.1** Corn stover characterization

181 The chemical composition of the corn stover is presented in Table 2, expressed as a 182 weight percentage on a dry basis (6.2 wt % of moisture content). The total carbohydrate 183 fraction accounted for 67.9 wt % (excluding the acetyl groups in the hemicellulose 184 fraction), with 39.2±0.9 wt% corresponding to glucan, 24.5±0.7 wt% to xylan, and 185 4.2±0.2 wt% to arabinan. In addition to carbohydrates, corn stover contains a significant 186 amount of acid insoluble lignin, at 15.3±0.4 wt%. Minor amounts of protein, lipids, and 187 ashes were also present. These values are consistent with the ranges previously reported 188 for lignocellulosic agricultural harvesting residues, as mentioned in the introduction 189 section.

190 **3.2** Lactic acid production from glucose

191 **3.2.1** Effect of Ca(OH)₂ concentration at two different temperature levels

192 Figure 1a presents the LA concentration and yield at 190 °C at four different Ca(OH)₂ 193 molar concentrations: 0.025 M, 0.05 M, 0.075 M and 0.1 M. A glucose concentration of 194 9 g/L (0.05 M) was chosen based on previous studies by other authors who investigated 195 the catalytic action of Ca(OH)₂ using glucose as raw material and summarized in Table 196 3. In these studies, the initial glucose concentration ranged from 0.0056 M to 0.5 M. An 197 intermediate value of 0.05 M was selected in this work to facilitate comparisons with 198 previous results presented in Table 3. Zero time was defined as the moment when the 199 reactor reached the target temperature, with an initial non-isothermal period lasting 200 approximately 25-30 min in each experiment. It can be observed that LA production from 201 glucose at 190 °C was fast, with the majority of LA being produced during the heating 202 period. The lowest LA concentration and yield were observed at 0.025 M Ca(OH)₂ (0.21 203 g of $Ca(OH)_2/g$ of glucose = 1 mol OH⁻/mol of glucose) yielding 1.54 g of LA/L 204 corresponding to a production yield of 17.1 %. Similar final LA concentrations and yields 205 were achieved for the rest of molar concentrations essayed (around 3.5 g of LA/L, 206 corresponding to a production yield close to 40 %); although a slower initial reaction rate 207 was observed at 0.05 M Ca(OH)₂.

Due to the fast glucose consumption at 190°C, the production of LA from glucose was explored at 90 °C using the same Ca(OH)₂ concentrations, from 0.025 M to 0.1 M. This temperature was chosen to work with water in its liquid state, but at a temperature below its saturation value at atmospheric pressure. The results are shown in Figures 1b. LA production from glucose at 90 °C was also fast, with similar kinetic trends to those

213 observed at 190 °C, although slightly lower LA concentrations and yields were obtained 214 at 90 °C. The use of moderate reaction conditions for lactic acid production from pure 215 sugar-derived biomass when using Ca(OH)₂ as catalyst is advantageous. The possibility 216 to operate at moderate temperatures and ambient pressure reduces limitations related to 217 the materials used in process equipment. This fact has been also highlighted in the study by Li et al.²² on the catalytic activity of Ba(OH)₂ for lactic acid formation from glucose. 218 219 These authors reported a LA yield as high as 95.4 % using an initial glucose concentration 220 of 0.025 M with a 0.25 M of Ba(OH)₂ at 25 °C after 48 h of reaction under a nitrogen 221 atmosphere at 1 bar of total pressure. Their findings also showed a decrease in LA yield 222 with increasing glucose concentration, with values dropping below 1 % at 1.0 M of 223 glucose due to fructose formation via isomerization.

Based on these results, it can be concluded that a molar ratio higher than 3 moles of OHper mol of glucose $(0.075 \text{ M of Ca}(\text{OH})_2 \text{ in 9 g/L of glucose or 5.6 g Ca}(\text{OH})_2 \text{ per g of}$ glucose) was needed to achieve the optimal LA yield and reaction rate.

227 The kinetic profiles of the other degradation compounds obtained at 0.075 M Ca(OH)₂ at 228 temperatures of 190 °C and 90 °C identified in this work have been plotted in Figure 2a 229 and 2b, respectively. Among the main compounds identified in this study, two different 230 groups can be distinguished: aldoses and organic acids. Some hexoses such as fructose 231 and mannose were identified, but their concentration decreased rapidly. Glyceraldehyde 232 (triose) was also identified, being this compound an intermediate in LA production 233 through the dehydration to form pyruvaldehyde, that can subsequently be converted into 234 LA via a 1,2-hydride shift, as detailed in the literature.¹⁶

Other organic acids were also detected (Figure 2a and 2b). In this regard, it is important
to highlight that Ca(OH)₂ acts both as a catalyst and as a reactant, with organic acids

237 neutralization resulting in a reduction of Ca(OH)₂ concentration in the medium, 238 potentially leading to a decrease in the pH medium. This behaviour was clearly observed 239 by determining the pH during treatment at 90 °C at the different molar concentrations of 240 Ca(OH)₂ along with the total molar concentration of carboxylic groups evaluated as the 241 sum of the carboxylic groups derived from the organic acids quantified in this work 242 during treatment. Results have been only plotted for 0.025 and 0.075 M Ca(OH)₂, 243 including also the initial molar concentration of OH⁻ ions for each Ca(OH)₂ concentration 244 tested (Figures 2c and 2d). At 0.025 M, the pH continuouly decreased until reaching a 245 neutral value (pH values of 7.5-7.2). At this concentration (Figure 2c), most of the initially 246 [OH]⁻ ions (0.05 M) were neutralized with the carboxylic groups of organic acids and the 247 pH was insufficiently basic to facilitate the conversion of pyruvaldehyde to LA via the 248 1,2-hydrdride shift reaction under alkaline conditions. In contrast, 0.075 M Ca(OH)₂ (3 249 moles OH⁻ per mole of glucose) seems to be enough to maintain a basic pH through the 250 entire treatment, despite the formation of organic acids and the consumption of the 251 catalyst in forming the corresponding calcium salts (Figure 2d).

These findings suggest that the molar ratio OH⁻ to glucose is a key parameter to optimize LA production via alkaline treatment. While an excess of base is necessary to compensate its consumption due to neutralization reactions with the organic acids formed, excessive base should be avoided.

Other literature results reporting LA production from glucose have been summarized in Table 3, where results were standardized to express the initial concentration as mol of OH⁻ per mol of glucose. For instance, Yan et al.¹⁶ found that increasing Ca(OH)₂ concentration from 0.08 to 0.32 M (0.16 to 0.64 M OH⁻) improved the LA yield to a maximum of 20%, under reaction conditions of 300 °C and 60 s (molar ratio OH⁻ to

glucose of 5.7). Li et al.²³ observed a maximum LA yield at molar ratio OH⁻ to glucose 261 262 ratio of 1.22 at 220 °C and 180 min, followed by a decrease with further Ca(OH)₂ addition. 263 They attributed the decline to the decomposition of LA at higher Ca(OH)₂ concentrations. However, in both, the present work and Yan et al.¹⁶ no evidence of LA decomposition 264 265 was observed at higher molar ratios of OH⁻ to glucose, being calcium lactate rather stable. The lower LA yields obtained in this work, compared to the values reported by Esposito 266 et al.¹⁴ and Li et al ²³ could be attributed to the lower reaction temperature employed in 267 268 this work, 190 °C, and higher glucose concentration in the medium. Li et al. ²³ studied the 269 effect of glucose concentration, observing that increasing the glucose concentration from 270 5.6 to 8.4 mmol/L (with a catalyst dosage of 25 wt% relative to glucose) led to a decrease 271 in LA yield from 87 % to 69.4 %. These authors suggested that higher glucose 272 concentrations promote the formation of intermediate species such as glyceraldehyde and 273 dihydroxyacetone, while excessive glucose molecules would overlap the catalyst surface 274 resulting in a decrease in LA formation. An increase of glucose concentration led to lower LA yields. 4,16,24 275

Based on the data from glucose conversion studies, an initial Ca(OH)₂ concentration of
0.075 M was selected for testing LA production from corn stover.

278 **3.3** Lactic acid production from corn stover

279 **3.3.1 Effect of temperature**

The production of LA from the polysaccharide fraction of corn stover was evaluated in a batch reactor using 0.075 M Ca(OH)₂ as catalyst and an initial biomass loading of 5 wt % in 200 mL of water. The treatment was initially performed at the two previously tested temperatures, 90 °C and 190 °C. To evaluate the LA yield from corn stover, all the carbohydrate fraction was considered as potential carbon source (equation 1). For supporting this assumption, prior test using xylose as the starting monomeric sugar was carried at 190 °C and 0.075 M Ca(OH)₂. This test yielded up to 41 % LA yield, a value similar to that obtained from glucose, with similar profile for the main degradation products (Figure 3).

289 At 90 °C, the concentration and yield of LA were very low, contrasting with the results 290 from pure glucose, concluding that the complex structure of lignocellulosic biomass 291 likely hindered the reaction (Figure 4). On the other hand, at 190 °C, water under 292 subcritical conditions provided the required reaction medium; however, the LA 293 concentration and yield at 190 °C (2.6 g/L, 6.7 % yield), after 210 min of isothermal 294 treatment time, were still lower than those obtained with pure glucose (3.5 g/L, 39 % 295 yield). These results could be explained considering the initial molar ratio OH⁻ to 296 monomeric sugars available in the initial raw material, evaluated as 0.65, which is lower 297 than the minimum OH⁻ to glucose ratio used in earlier experiments with glucose (Table 298 3). According to the results presented in Table 3, low molar ratios OH⁻ to glucose could 299 be offset by increasing the reaction temperature. Therefore, experiments were conducted 300 at higher temperatures, up to 260 °C (Figure 4). The maximum values for LA were 301 obtained at 260 °C (the maximum temperature essayed in this work), with 5.8 g LA/L and 302 a yield of 15.1 %. To compare the LA yield from pure glucose with that from corn stover 303 at the highest temperature essayed in this work, 260 °C, an additional experiment was 304 conducted at 260 °C with 0.075 Ca(OH)₂. Figure 1c illustrates the kinetic profile of LA 305 production at 260 °C, along with profiles at 190 °C and 90 °C for enhanced comparison. 306 It can be observed that raising the temperature also led to an increase in LA concentration 307 and yield when starting from pure glucose. However, the increase was less significant 308 than when starting with corn stover. For example, at 190 °C, the LA concentration and 309 yield from pure glucose were 3.7 g/L and 41.0 %, respectively, while at 260 °C they were

310 4.1 g/L and 45.7 %, indicanting an 11 % increase. In contrast, for corn stover, the 311 concentration and yield at 190 °C were 2.6 g/L and 6.7 %, and at 260 °C thy were 5.6 g/L 312 and 14.74 %, resulting in a 120 % increase in yield. This greater increase was due to the 313 low yield obtained at 190 °C with corn stover due to its chemical composition. The 314 polysaccharides must be first hydrolyzed into monomer units before being converted into 315 LA. In this context, the properties of subW play an important role with an increase in its 316 hydrolytic power with temperature, faciliting the release of monomers. The effect of 317 temperature on water under subcritical conditions has been widely reported in the 318 literature. The ionic product of water, K_w, increases with temperature, leading to higher 319 concentrations of hydronium (H₃O⁺) and hydroxide (OH⁻) ions from water 320 autoionization. This phenomenon could promote biomass hydrolysis and facilitates the release of sugar monomers from the polysaccharides.²⁵ 321

322 The experiments carried out at different temperatures enabled us to evaluate the apparent 323 activation energy of the process, assuming an Arrhenius temperature dependence for the 324 initial reaction rates:

$$325 \quad r_0 = A \cdot \exp(-Ea/RT)$$

326 where r_o is the initial LA formation rate, T is the absolute temperature, A is the pre-327 exponentail factor, E_a is the molar activitation energy, and R is the universal gas constant. 328 An activiation energy value of 34.67 kJ/mol was obtained (ln $r_0 = -4.3262 \cdot (1000/T(K))$) + 5.2923, $R^2 = 0.9107$). This value is lower than those typically reported for reactions in 329 acidic media ^{11,26} supporting that different catalytic pathways are involved. In alkaline 330 331 conditions, LA is likely formed via pyruvaldehyde, whereas acidic conditions favour 332 sugars dehydration towards furfural and hydroxymethyl furfural. The activation energy 333 value, although slightly lower, is comparable to the activation energy reported by Oefner et al.²⁷ for the alkaline (0.1 M NaOH) degradation of D-xylose, which produced a variety
of organic acids (including pyruvic, formic, glycolic, lactic and acetic acids) in yields
ranging from 10 to 23 % over a temperature range of 180-220 °C, with an activation
energy value of 63.7 kJ/mol.

338 It is also worth noting the high stability of the produced lactic acid in the alkaline medium, 339 as calcium lactate. Wang et al. also reported higher thermal stability of calcium lactate 340 during LA production from glucose using Ca(OH)₂ as catalyst.⁴ In contrast, previous 341 studies using Yb³⁺ (a Lewis lanthanide) as a catalyst under subcritical water conditions 342 showed that LA suffered degradation at temperatures above 220 °C.¹²

The profiles of other components quantified at the end of the treatment are presented in Figure 5. The most abundant degradation compounds were organic acids, accounting for more than 72 %, consistent with glucose studies, mainly acetic and formic acids. C3 and C2 aldoses (primarily glyceraldhyde, hydroxyacetone, dihydroxyacetone) were also detected in the final reaction medium, but only small amounts of C6 sugars could be identified at the end of the treatment, which are not included in Figure 5, but account for the remainder up to 100 %.

350 The composition of the solid residues collected after treatment with 0.075 M Ca(OH)2 at 351 different temperatures is listed in Table 2. An increase in temperature resulted in higher 352 hydrolysis yield of the corn stover charged into the reactor, from 49.9 % at 190 °C up to 353 81 % at 260 °C. Hemicelluloses (xylose + arabinose) were more easily hydrolyzed than 354 glucans. At 190 °C only 9.5 ± 0.3 % of the initial hemicellulose fraction remained in the 355 solid residue, while at temperatures above 240 °C, no hemicelluloses were detected in the 356 solid. In contrast, over 80 % of the initial glucans remained in the solid residue at 190-357 200 °C; but at 240 °C, only 7.9 \pm 0.4 % of the initial glucan remained in the solid, with no detectable glucans at 260 °C (note that Table 2 reports compositions). Regarding
lignin, its insoluble fraction primarily remained in the solid phase.

360 The percentage of C content in the solid residue increased compared to the initial corn 361 stover, with higher values observed at high temperatures (Table 2). The CHNO elemental 362 composition values were used to calculate the molar H:C and O:C ratios (Table 2). The 363 molar H:C ratio followed the order: corn stover > solid₁₉₀ $\circ_{\rm C}$ > solid₂₀₀ $\circ_{\rm C}$ > solid₂₂₀ $\circ_{\rm C}$ > 364 solid_{240 °C} > solid_{260 °C}, ranging from 1.60 to 1.06, indicating that higher temperatures result 365 in more aromaticity of the solid fractions. This trend aligns with lignin-enriched composition of the solid residue at higher temperatures which could have valuable 366 367 applications for further biobased chemicals production ²⁸ (Table 2). The molar O:C ratio 368 followed a similar trend as the H:C ratio, decreasing from 0.8 in the untreated corn stover 369 to 0.38 at 260 °C. This decrease in the O:C ratio is associated with a decrease in the content of hydroxyl (OH), carboxyl (COOH), and carbonyl (C=O) groups.²⁰ 370

371 LA yield increased with temperature using 0.075 M of Ca(OH)₂; however, the yield 372 remained lower than from pure sugar monomers. This lower yield was attributed to the 373 presence of organic acids generated during treatment, since maintaining alkaline 374 conditions during LA production is a critical factor. Figure 6a shows the final pH after 375 treatment at 260 °C, which was 4.88. This indicates an underestimation of the initial 376 Ca(OH)₂ concentration required to maintain an alkaline medium throughout the 377 treatment. The final molar concentration of carboxylic groups was approximately 378 0.28 moles of COOH/L, much higher than the initial 0.15 M [OH⁻] in the reaction medium 379 (Figure 6a). Figure 6b shows the kinetic profile of total carboxylic groups evaluated from 380 the identified organic acids during treatment at 190 °C and 260 °C. 381 At the selected working conditions, all [OH-] ions were consumed in neutralization

382 reactions with organic acids.

383 **3.3.2 Effect of alkaline concentration.**

Based on the final pH value at 0.075 M Ca(OH)₂, experiments were conducted at 260 °C using higher Ca(OH)₂ concentrations, from 0.2 to 1.5 M, resulting in higher LA concentrations and yields (Figure 7a). This Figure also includes the results obtained when treating corn stover in the absence of any catalysts added to the medium.

388 The distribution percentage of the main components quantified at the end of the treatment 389 are also presented in Figure 5, please note that for 0.4 M Ca(OH)₂ no kinetic was 390 performed but only composition at the end of treatment. As in previous experiments at 391 different temperatures and 0.075 M Ca(OH)₂, organic acids were the most abundant 392 compounds, representing 76 -91 % of the total identified compounds in the final reaction 393 mixture, while aldoses (C3 and C2), mainly glyceraldehyde, contributed between 9 % (at 394 1.5 M Ca(OH)₂) and 24 % (at 0.075 M Ca(OH)₂). The ratio of LA to other organic acids 395 identified in this work was 1.95 g of LA per g of other organic acids, accounting formic 396 and acetic acids more than 78 % of the other organic acids. It is important to note the 397 notable low concentration of LA achieved without Ca(OH)2 as catalyst. Furthermore, a 398 slight reduction in LA concentration was observed by the end of the treatment during the 399 cooling process, due to lower stability of LA compared to calcium lactate (during the 400 cooling, the LA concentration dropped from 1.98 g/L to 1.87 g/L). The reduced stability 401 of organic acids was evident from the low levels of formic acid observed during treatment 402 with no catalyst (reaching zero concentration by the end of the treatment); in contrast 403 acetic acid did concentration was kept at similar levels at those determined for treatment 404 in the presence of Ca(OH)₂. The most notable difference in the component profile was 405 the presence of typical dehydration products of glucose and xylose in the medium, HMF

and furfural, respectively. Although, these were found in much lower concentrations
compared to previous studies conducted at lower temperatures ranging from 150 to
200 °C ¹¹, due to their degradation at high temperatures.

409 Sánchez et al. ⁶ studied LA production from corn cobs $(23.13 \pm 3.40 \text{ lignin}, 36.75 \pm 0.54,$

410 cellulose and 29.98 ± 3.6 hemicelluloses) in a bath reactor (1:40 biomass to solvent mass

412 They found that organic acids were also the main degradation products; but contrary to

ratio, 1.23 g of corn cobs in 50 mL of disolution) with Ca(OH)₂ as the catalyst at 275 °C.

411

413 this work, significant levels of sugar monomers, particularly arabinose, were reported.

414 The effect of higher Ca(OH)₂ concentrations on final pH values is illustrated in Figure 415 6a, where the final pH of the final reaction mixture is plotted along with the initial [OH⁻] 416 and the total molar concentration of carboxylic groups (ranging between 0.24 and 0.27). 417 At concentrartions of Ca(OH)₂ higher than 0.3 M, the initial [OH⁻] seemed to be sufficient 418 to counteract its comsumption during neutralization reactions (final pH of 8.45). Further 419 analysis was done by plotting the LA yield as a function of the hydroxide group-to-420 monomeric sugar molar ratio (Figure7b), observing an increase until reaching a plateau 421 at ratios between 2.6 and 3.5, with no further increase. This trend was similar to the one 422 observed for glucose, although higher yields were obtained for glucose, approximately 423 39% compared to corn stover, 34 % (results are also plotted in Figure 7b). Therefore a 424 certain value of the molar ratio OH-/monomeric sugars is required to counteract catalyst 425 comsumption during neutralization reactions.

426 On the contrary, Sánchez et al. ⁶ in their study on LA production from corn cobs covered 427 a concentration range between 0.32 M and 1.05 M of Ca(OH)₂ observing a maximum at 428 0.7 M Ca(OH)₂ (275°C, 30 min) with a LA yield of 41 ± 2 % (6.73 g/L). Theses results 429 have been also included in Figure 7b, after expressing their results as molar OH⁻ to 430 monomeric sugars ratio.

The optical purity of final LA product obtained at 260 °C and 0.3 M Ca(OH)₂ was determined with the D-/L- LA kit resulting in a racemic mixture of 61 ± 6 % of D-LA. Although hydrothermal process assisted by Ca(OH)₂ resulted in shorter reaction times compared to microbial production, the racemic mixtures is not so valuable as the high pure isomers. For instance, in polylactic acid production, the mixture of L-LA and D-LA will result in amorphous and unstable polymers.²⁹

437 Mass intensity (MI) was evaluated to compare the outcomes at different molar
 438 concentrations of Ca(OH)₂:³⁰

439 Mass Instensity (MI) =
$$\frac{\text{total mass in reaction vessel (kg)}}{\text{mass of product (kg)}}$$
 [2]

440 In the ideal scenario MI would approach to 1. Total mass includes all components 441 introduced into the reaction vessel (solvents, catalyst and reagents), excluding water, since water as itself generally does not constitute a significant environmental impact.³⁰ A 442 443 minimum MI was determined at a 2.61 molar ratio of OH⁻ to initial monomeric sugars 444 available in the raw material with 5 % biomass loading (Figure 7b). Higher or lower OH-/sugar molar ratio resulted in increased MI values, indicating lower mass efficiency of the 445 process. Figure 7b also includes the MI values evaluated for the work of Sanchez et al.⁶ 446 447 with higher MI values likely due to the lower biomass loading (and therefore reduced 448 availability of monomeric sugar for LA production), resulting in lower LA concentrations 449 in their reactor. Consequently, another important factor to consider is the biomass loading 450 into the reactor.

451 **3.3.3 Effect of biomass concentration**

452 To asses the effect of biomass concentration, three additional biomass loadings were tried,

453 3 wt %, 6.2 wt%, and 7.5 wt%. In these experiments, no kinetics were followed, and 454 samples were analysed after 3 h of isothermal treatment at 260 °C. Two different sets of 455 experiments were conducted: (1) a fixed Ca(OH)₂ molar concentration of 0.3 M, the 456 optimum concentration for 5 % biomass loading (2) a fixed molar ratio OH⁻/sugar of 2.61,

the optimum value corresponding to 0.3 M Ca(OH)₂ for a 5 % of biomass loading.

457

458 The results are presented in Table 4, which also includes the values obtained at 5 % 459 biomass loading. At biomass loadings higher than 5 %, and 0.3 M Ca(OH)₂, lower LA 460 yields were obtained. This outcome was linked to lower final pH in the reaction medium 461 (5.41 and 5.78), as the carboxylic acids generated neutralize the Ca(OH)₂ when working 462 at lower ratios than the suggested optimal 2.61 OH-/sugar ratio. The unexpected lower 463 LA yields obtained when working at the optimum 2.61 OH-/sugar molar ratio (0.45 M 464 and 0.37 M Ca(OH)₂ for 7.5 % and 6.25 % biomass loadings respectively), specially at 465 7.5 % biomass, may be attributed to the stirring difficulties. To confirm this assumption, 466 some essays were conducted at room temperature to determine the water-swelling 467 capacity of corn stover. At 8 wt %, corn stover was able to retain 5.8 ± 0.1 g water/g dry 468 corn stover, making it difficult to create a homogeneous reaction medium. Furthermore, 469 mass transfer limitations could be significant, as the reaction medium is highly 470 heterogeneous due to the presence of solid biomass and the excess of Ca(OH)₂.

At 3 wt% biomass loadings, both experiments conducted to good LA yield according to
the non-acidic final pH values (Table 4). However, at low biomass loading, lower LA
concentration was obtained due to the initially reduced availability of monomeric sugars.
The MI at the optimum 2.61 OH⁻/sugar molar ratio and 3 % of biomass was similar to
that obtained at 5 % of biomass, but increased when using Ca(OH)₂ molar concentrations
higher than the corresponding 2.61 OH⁻/sugar molar ratio identified as the design

477 parameter to be considered instead of molar concentration of Ca(OH)₂, as it has been478 demonstrated.

479 Structural composition of the solid residue after treatment for biomass loading of 6.25 %, 480 5 % and 3 % at the optimum OH-/sugar mole ratio of 2.61 is also presented in Table 2, 481 after carefully removing the excess of $Ca(OH)_2$ with HNO₃, due to the limiting solubility 482 of $Ca(OH)_2$ in water. Similar composition was obtained for three solids with no 483 hemicelulloses and glucans composition ranging from 8.7 to 11.1 % and high insoluble 484 lignin content, agreeing with the C/H and O/H ratios.

485 **Conclusions**

Alkaline treatment by using Ca(OH)₂ as an affordable and ready available catalyst in
subW reaction medium has been shown as a promising green technology to produce LA
from corn stover, selected as an agricultural harvesting residue to replace first-generation
biomass.

Basic studies conducted with biomass-derived sugars, primarily glucose but also xylose, indicated that by using Ca(OH)₂ as catalyst, LA was the main chemical produced. However, some aldoses (such as glyceraldehyde, identified as LA precursor) and other organic acids were also identified in the medium. Ca(OH)₂ acts both as a catalyst and a reactant, neutralizing the organic acids formed. Therefore, an excess of Ca(OH)₂ is needed to maintain the necessary basic reaction medium.

496 Optimization of LA production from corn stover was carried out at different temperatures 497 and $Ca(OH)_2$ concentrations. Increasing temperature led to an increase in the initial 498 reaction rate, and a maximum LA concentration of 5.9 g/L (15.1 % LA yield) at the 499 highest temperature studied 260 °C. A concentration $Ca(OH)_2$ high enough was necessary 500 to mantain the basic conditions required for LA production and to compensate the organic acid production, including LA and other organic acids, mainly formic and acetic acids. These findings suggest that the molar ratio OH^- to sugar monomer in the raw material is a key parameter for optimizing LA production via alkaline treatment. An excess of base is necessary to compensate for its consumption due to neutralization reactions, but excessive base should be avoided. Due to the low solubility of Ca(OH)₂ in water, any excess will primarily remain in the solid residue, reducing its value and leading also to high mass intensity values with unnecessary higher consumption of reactants.

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