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Effect of High Pressure Carbon Dioxide on tomato juice: inactivation kinetics of pectin methylesterase and polygalacturonase and determination of other quality parameters



A.E. Illera, M.T. Sanz, E. Trigueros, S. Beltrán, R. Melgosa

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1           **Effect of High Pressure Carbon Dioxide on tomato juice: inactivation kinetics of**  
2           **pectin methylesterase and polygalacturonase and determination of other quality**  
3           **parameters**

4                           *A.E. Illera, M. T. Sanz\*, E. Trigueros, S. Beltrán, R. Melgosa*

5                           Department of Biotechnology and Food Science (Chemical Engineering Section),

6                           University of Burgos, 09001 Burgos. Spain

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8  
9           **Abstract**

10   Tomato juice, *Lycopersicon esculentum* cv. Canario, has been treated by HPCD as non-thermal  
11   preservation treatment. The inactivation kinetics for pectinmethylesterase (PME) and  
12   polygalacturonase (PG) were determined at different pressures (8.5 to 20 MPa) and temperatures  
13   (35 to 55 °C). At the highest operating pressure and temperature essayed in this work, it was found  
14   that PME could be almost completely inactivated, whereas PG resulted to be more HPCD resistant  
15   at the working conditions. PME enzyme inactivation curves were properly described by a Weibull  
16   type model, while the fractional conversion model was the most appropriate for the PG with a  
17   sharp initial decrease in activity. On the contrary, high hydrostatic pressure led to a nearly complete  
18   inactivation of PG while PME was very resistant at 600 MPa. It was also found that HPCD  
19   treatment led to a smaller particle size distribution of tomato juice.

20   *Keywords:* Tomato juice, HPCD, enzyme inactivation, properties, HPP.

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\* Corresponding author. Tel.: +34 947 258810. Fax: ++34947258831. E-mail address tersanz@ubu.es

## 21 1. Introduction

22 Tomatoes are usually consumed in a processed form, such as juice and pasta sauce, being viscosity  
23 one of the most important quality parameters. Viscosity is influenced by the concentration and  
24 type of cell wall polymers in the serum and pulp fraction (Kalamaki et al., 2012). Therefore,  
25 enzymes such as pectinmethylesterase (PME) and polygalacturonase (PG), involved in the  
26 breakdown of pectins (Anthon et al., 2002), should be inactivated during processing. PME  
27 catalyzes pectin demethylation while PG hydrolyses the  $\alpha$ -1,4-glycosidic bonds of the  
28 polygalacturonic acid chain. In this regard, two different effects of PME action on PG action have  
29 been described in the literature. On the one hand, partial demethylated pectin can bind bivalent  
30 calcium ions to form insoluble calcium pectate gels leading to a loss of juice cloud in a pH  
31 dependent manner (Croak and Corredig, 2006). This cross-linked network also shows a higher  
32 resistance to PG attack, increasing viscosity. On the other hand, the lower the degree of  
33 esterification of the pectin molecules, the better substrate for PG they are, leading to the  
34 depolymerization of cell wall pectin chains and therefore a reduction in viscosity (Andreou et al.,  
35 2016; Crelier et al., 2001). Coldbreak and hot break thermal treatments are traditionally used in  
36 the tomato industry. Coldbreak treatment, by using temperatures around 60°C, yields tomato  
37 products with a good retention of color and taste, but enzymes such as PME and PG are not  
38 completely inactivated and this fact is related to the low viscosity of coldbreak-treated products  
39 (Anthon et al., 2002). Hotbreak treatments, by using temperatures around 85-90°C, get the  
40 inactivation of PG and PME. It is applied for the production of tomato products with high viscosity,  
41 but it results in loss of flavor, browned color and nutritional degradation (Wu et al., 2008).

42 In the last years, the food industry is searching for alternative technologies to replace conventional  
43 food heat treatments that may affect food quality in terms of nutritional and physicochemical  
44 parameters. Among these technologies, high pressure carbon dioxide (HPCD) treatment has been

45 proposed as an alternative non-thermal pasteurization. Typically, operating pressure does not  
46 exceed 50 MPa and temperature ranges between 20 and 50 °C, below pasteurization temperature  
47 (Briongos et al., 2016). HPCD has been mainly applied to liquid foods such as fruit and vegetables  
48 juices (Amaral et al., 2018; Briongos et al., 2016; Illera et al., 2018) and dairy products (Amaral  
49 et al., 2017).

50 To our knowledge, no previous studies on HPCD treatment of tomato juice have been found in the  
51 literature. Other non-thermal technologies have been applied to inactivate some of the deleterious  
52 enzymes in tomato juice. Most of the studies were focused on the use of high pressure processing  
53 (HPP), observing a different behavior for both enzymes with pressure. Generally, it was found that  
54 PME was more pressure resistant while PG can be inactivated at moderate pressure and  
55 temperature by HPP (Andreou et al., 2016; Crelier et al., 2001; Fachin et al., 2003; Hernández and  
56 Cano, 1998; Houben et al., 2014; Hsu, 2008; Van Den Broeck et al., 2000). Other studies can be  
57 also found in the literature that employ other different non-thermal treatments, such as electric  
58 processing, cold plasma, membrane processing, ultrasound and ultraviolet irradiation (Bevilacqua  
59 et al., 2018).

60 The main objective of this work was to study the effect of HPCD on PME and PG inactivation  
61 from *Canario* tomato juice on a kinetic basis. Control samples of tomato juice treated in the same  
62 temperature range at atmospheric pressure, were studied in parallel. Additionally, enzyme  
63 inactivation was compared with results obtained by HPP performed at Hiperbaric (Burgos, Spain).  
64 The effect of HPCD on other quality parameters of tomato juice such as particle size distribution  
65 (PSD) and  $\zeta$  potential was also studied.

## 66 **2. Materials and methods**

### 67 **2.1 Juice preparation**

68 Fresh red tomato, *Lycopersicon esculentum* cv. Canario, from the local market, of uniform size,  
69 color and appearance were washed and chopped. Tomatos were subsequently squeezed with a  
70 screw juice extractor. The liqueur was filtered through a screen of 1 mm size to remove peel and  
71 seeds. The initial pH of the tomato juice was  $4.09 \pm 0.02$ .

## 72 **2.2 HPCD equipment and processing**

73 HPCD treatment was carried out in a stainless steel (SS-316) cell with an internal volume of  
74 100 mL and a maximum operating pressure and temperature of 30 MPa and 80°C, respectively  
75 (Melgosa et al., 2017). Tomato juice, 40 mL, was charged into the cell which was tightly closed  
76 and immersed in a water bath set at the operating temperature. Magnetic stirring was connected  
77 and the system was pressurized by using a syringe pump with a pressure controller (ISCO 260 D).  
78 CO<sub>2</sub> was bubbled directly into the tomato juice through a sintered stainless steel micro-filter of 10  
79 µm (Briongos et al., 2016; Illera et al., 2018) Experiments were carried out in the temperature  
80 range from 35 to 55 °C and pressure from 8.5 to 20 MPa. Enzyme inactivation kinetics were  
81 followed by collecting samples periodically and enzymatic activity was determined.

82 Particle size distribution,  $\xi$  potential and pH were also measured before and right after HPCD  
83 treatment for some of the experiments.

## 84 **2.3 HPP processing**

85 HPP treatment is currently applied to a great variety of juices and smoothies at industrial level.  
86 This experimental part was carried out at Hiperbaric España (Burgos). Tomato juice was sealed in  
87 plastic bottles of polyethylene terephthalate (PET) and introduced into a vessel subjected to  
88 600 MPa of isostatic pressure transmitted by water. Pressurization rate was 150 MPa/min and final  
89 pressure was held for 5 minutes. Depressurization occurred in about 2 s. The initial operating  
90 temperature was 17.2 °C. Physical compression during pressure treatment results in a volume  
91 reduction and an increase in temperature and energy, approximately 3°C per 100 MPa. Therefore,

92 temperature of the juice during treatment was around 35°C (data provided by Hiperbaric, Burgos,  
93 Spain). However, due to fast temperature decrease during decompression, this treatment  
94 temperature was only held during treatment time (Yordanov and Angelova, 2010)

95 Three different tomato juice samples were treated by HPP to analyze the effect of the nature of the  
96 dissolved gasses into the tomato juice on enzyme inactivation. In two of the samples, air dissolved  
97 in the juice was displaced by bubbling CO<sub>2</sub> or N<sub>2</sub> into the juice (HPP-CO<sub>2</sub> and HPP-N<sub>2</sub> samples,  
98 respectively) until the O<sub>2</sub> concentration of the juice was below 0.4 mg/L (YSI ProODO optical  
99 dissolved oxygen meter). The air dissolved in the third sample was not removed (HPP-Air sample).

100 All the three samples were treated together in the high pressure unit. CO<sub>2</sub> permeability for PET is  
101 higher than for O<sub>2</sub> and N<sub>2</sub>, coefficients of permeability for PET are 0.05, 0.22 and 1.53 ml mm cm<sup>-2</sup>  
102 s<sup>-1</sup>cmHg<sup>-1</sup> for N<sub>2</sub>, O<sub>2</sub> and CO<sub>2</sub>, respectively at 30°C (Zeman and Kubík, 2007). However, CO<sub>2</sub> loss  
103 is expected not be high in the first hour after carbonation when HPP experiments were carried out.

## 104 **2.4 Physico-chemical analysis**

### 105 **2.4.1. Determination of enzyme activity**

106 **Pectinmethylesterase.** Samples were analyzed by using an automatic titrator system (Metrohm ®  
107 Titrando) similar to Briongos et al. (Briongos et al., 2016) but with different amount of juice.  
108 50 mL of a 1% pectin solution (Alfa Aesar ® pectin citrus) prepared in NaCl 0.3 M was used as  
109 substrate. After the addition of 100 µL of tomato juice, pH was adjusted to 7.5 with NaOH 0.02 N.  
110 During pectin hydrolysis at 30 °C, pH was maintained at 7.5 by adding NaOH 0.02 N. The amount  
111 of NaOH added for 15 min was recorded. One PME activity unit (UPE) is defined as the  
112 micromoles of carboxylic groups produced per minute and mL of juice at pH 7.5 and 30 °C

113 **Polygalacturonase.** PG activity was determined by following a similar procedure to the one  
114 proposed by Anthon et al. (Anthon et al., 2002) and Fachin et al. (Fachin et al., 2003) with some  
115 modifications. First, PG was extracted from the tomato juice at 4 °C. 1 mL of tomato juice was

116 centrifuged at 7500 g for 10 min, the supernatant was replaced by cold distilled water (1:1)  
 117 adjusted to pH = 3 with 0.1 M HCl and mixed for 30 min. After centrifuging at 9000 g for 20 min,  
 118 the supernatant was removed and PG was extracted from the pellets with 1.2 M of NaCl (1:1) for  
 119 1 h. Subsequently the mixture was centrifuged at 18200 g for 10 min and the supernatant was  
 120 collected to determine the PG activity. 0.2 mL of the extracted enzyme solution were mixed with  
 121 0.6 mL of a 0.2 % polygalacturonic acid solution at 35°C for 10 min. Polygalacturonic acid was  
 122 prepared in a acetate buffer solution 0.05 M (pH = 4.5). 4 mL of 0.1 M borate buffer solution (pH  
 123 = 9) and 0.8 mL of 1% cyanoacetamide were added to the mixture to stop the reaction and boiled  
 124 in sealed bottles for 10 min. After cooling, absorbance was measured at 276 nm using a Jasco V-  
 125 750 spectrophotometer equipped with a Peltier thermostated cell holder and a water pump to keep  
 126 the temperature constant at 30 °C.

127 Each enzyme activity was measured at least in duplicate. Relative residual enzyme activities were  
 128 evaluated as the ratio of the measured activity after treatment, A, and the enzyme activity before  
 129 treatment, A<sub>0</sub>:

$$130 \text{ Residual activity} = \frac{\text{Enzyme specific activity after treatment}}{\text{Enzyme specific activity of the untreated juice}} \cdot 100 = \frac{A}{A_0} \cdot 100 \quad [1]$$

#### 131 2.4.2 Particle size distribution (PSD)

132 Particle size distribution of tomato juice was determined by laser diffraction at 750 nm with a  
 133 Mastersizer 2000 (Malvern® Inst., MA) (Illera et al., 2018). Size distributions (volume fractions  
 134 against particle size) before and after HPCD treatment were calculated and the weight-average  
 135 sizes expressed as:

$$136 \bullet \text{ The equivalent surface area mean diameter: } D(3,2) = \frac{\sum n_c d_{lc}^3}{\sum n_c d_{lc}^2} \quad [2]$$

$$137 \bullet \text{ The equivalent volume mean diameter: } D(4,3) = \frac{\sum n_c d_{lc}^4}{\sum n_c d_{lc}^3} \quad [3]$$

138 where  $d_{lc}$  is the diameter of the particle and  $n_c$  is the percentage of particles. Both properties were  
139 evaluated since the  $D[4,3]$  is highly influenced by large particles, whereas  $D[3,2]$  is more  
140 influenced by the small ones (Augusto et al., 2013).

141 Other useful parameters  $d_{v,0.9}$ ,  $d_{v,0.5}$  and  $d_{v,0.1}$  correspond to the particle size below which, 90%,  
142 50% and 10% of the particles lie. PSD measurements of the treated samples were carried out  
143 immediately after treatment and for the untreated tomato juice PSD was determined right after  
144 juice preparation.

145  $\zeta$ -potential was determined with a Zetasizer Nano ZS apparatus, using the Laser Doppler  
146 Velocimetry techniques. Samples were diluted 1:50 with deionized water and filtered through  
147 5  $\mu\text{m}$  filter (Illera et al., 2018).  $\zeta$ -potential gives an indication of the potential stability of a colloidal  
148 system and it is a good index of the colloidal electrostatic repulsive forces. Soluble pectin carries  
149 negative charge being important to keep a high  $\zeta$ -potential. Particles with  $\zeta$ -potentials more  
150 positive or negative than 30 mV or -30 mV are usually considered stable (Genovese and Lozano,  
151 2001).

## 152 **2.5 Kinetic data analysis**

153 Enzyme inactivation by applying pressure and/or temperature has been described in the literature  
154 by different models, such as the first order, two-fraction, fractional-conversion and Weibull  
155 models. In this work, PG inactivation data were fitted to the fractional conversion model, while  
156 the Weibull model described PME inactivation data.

157 ***Fractional-conversion model.*** The fractional-conversion model is a special case of the first order  
158 kinetic model that takes into account the non-zero residual activity after prolonged heating and/or  
159 pressure ( $A_\infty$ ) treatment and it can be expressed as (Hu et al., 2013):



$$A = A_{\infty} + (A_0 - A_{\infty})\exp(-kt) \quad [4]$$

160 where  $A_0$  is the initial activity of the enzyme,  $A$  is the residual activity at different treatment times,  
 161  $A_{\infty}$  is the non-zero activity after prolonged heating and/or pressure treatment,  $k$  is the inactivation  
 162 rate constant of the inactivated fraction at the operating conditions ( $\text{min}^{-1}$ ) and  $t$  is the treatment  
 163 time, min. By plotting  $A$  versus treatment time at constant pressure and temperature, the  
 164 inactivation rate constant,  $k$ , and the remaining activity,  $A_{\infty}$ , can be estimated by nonlinear  
 165 regression analysis (Hu et al., 2013).

166 From the decimal reduction time,  $D$ , treatment time needed to achieve a 90 % inactivation of the  
 167 initial enzyme activity at a certain operating pressure and temperature,  $z_T$  and  $z_p$  (temperature and  
 168 pressure increase needed for a 90% reduction of the  $D$  value, respectively) were evaluated as the  
 169 negative reciprocal slope of the regression line of  $\log D$  as function of  $T$  or  $p$  respectively:

$$\log\left[\frac{D_1}{D_2}\right] = \frac{T_2 - T_1}{z_T} \quad [5]$$

$$\log\left[\frac{D_1}{D_2}\right] = \frac{p_2 - p_1}{z_p} \quad [6]$$

170 The dependence of the inactivation rate constant on temperature and pressure can be expressed  
 171 through the Arrhenius and Eyring equations respectively:

$$172 \ln\left[\frac{k_1}{k_2}\right] = \frac{E_a}{RT}\left[\frac{1}{T_2} - \frac{1}{T_1}\right] \quad [7]$$

$$173 \ln\left[\frac{k_1}{k_2}\right] = \frac{V_a}{RT}[p_2 - p_1] \quad [8]$$

174 where  $p_2$ ,  $p_1$ ,  $T_2$  and  $T_1$  are pressures and temperatures corresponding to the decimal reduction  
 175 times  $D_1$  and  $D_2$  or constants  $k_1$  and  $k_2$ , respectively,  $R$  is the universal gas constant,  $E_a$ , the  
 176 activation energy (kJ/mol) and  $V_a$ ,  $\text{cm}^3/\text{mol}$ , is the activation volume.

177 **Weibull model.** The non-linear Weibull model can be written in the power-law form (Van Boekel,  
178 2002):

$$179 \log \frac{A}{A_0} = -\frac{1}{2.303} \left( \frac{t}{\alpha} \right)^\beta \quad [9]$$

180 where  $\alpha$  is the scale parameter (a characteristic time) and  $\beta$  is the shape parameter. The time  
181 required to achieve a number of decimal reductions,  $d$ , can be calculated by using the shape and  
182 scale parameters (Van Boekel, 2002):

$$183 t_d = \alpha \left( -\ln(10^{-d}) \right)^{\frac{1}{\beta}} \quad [10]$$

## 184 2.6 Statistical analysis

185 Statistical analyses were conducted using software Statgraphics X64. The results are presented as  
186 a mean  $\pm$  standard deviation of at least three replicates. The significance of the differences was  
187 determined based on an analysis of the variance with the Tukey's honestly significant difference  
188 (HSD) method at  $p$ -value  $\leq 0.05$ .

189 To estimate the kinetic parameters for the different models essayed in this work, non-linear  
190 regression was performed by using the Marquardt algorithm (Statgraphics X64).

## 191 3. Results and discussion

### 192 3.1 Mild thermal and HPCD inactivation of PME

193 Figure 1a shows the thermal inactivation curves of PME under mild thermal treatment in the  
194 temperature range from 35 to 55 °C for 120 min heating. No thermal inactivation was observed at  
195 the lowest temperatures essayed, 35 and 45 °C. At 55 °C, inactivation of tomato PME was observed  
196 reaching a lowest residual activity of  $70 \pm 4\%$  after 120 min.

197 Different values have been reported in the literature regarding mild thermal treatment of different  
198 tomato varieties ( $T \leq 60^\circ\text{C}$ ). For tomatoes of Alamanda cv., a PME residual activity of 50% was  
199 reported after 60 min heating at  $55^\circ\text{C}$  (Andreou et al., 2016). These authors reported inactivation  
200 rate constants of  $0.012 \pm 0.002$  and  $0.088 \pm 0.005 \text{ min}^{-1}$  at 45 and  $55^\circ\text{C}$ , respectively. Temperatures  
201 higher than  $60^\circ\text{C}$  were needed to get some PME inactivation by 5-min thermal treatment of  
202 tomatoes cv. Patrona (Houben et al., 2014). Inactivation rate constants for a first order kinetic  
203 model of  $0.026 \pm 0.003$  and  $0.0097 \pm 0.0005 \text{ min}^{-1}$  were obtained at  $60^\circ\text{C}$  for ripened tomatoes,  
204 Heinz 3402 variety and Nema 1401 variety, respectively (Terefe et al., 2009). The different  
205 inactivation data reported indicated that tomato variety played an important role on PME  
206 inactivation. The fastest PME inactivation kinetics were reported by van de Broeck et al. (Van Den  
207 Broeck et al., 2000) with a value of the inactivation rate constant of  $0.152 \pm 0.004 \text{ min}^{-1}$  at  $60^\circ\text{C}$ .  
208 However, in this case, tomato PME was not in its natural media but as commercial lyophilized  
209 powder dissolved in water, and in general, an enzyme is more stable in an intact tissue or in a  
210 homogenate where it is protected by the presence of other materials, such as proteins,  
211 carbohydrates and pectins, than in its purified form (Terefe et al., 2009).

212 PME inactivation kinetics by HPCD are plotted in Figures 2a and 2b. Figure 2a shows the effect  
213 of operating pressure in the range from 8.5 to 20 MPa at constant temperature of  $45^\circ\text{C}$ . At this  
214 temperature, PME inactivation was observed for all the working pressures, unlike the results  
215 obtained at atmospheric pressure in which no PME inactivation was found at  $45^\circ\text{C}$ . A pressure  
216 increase led to a faster inactivation rate. At  $45^\circ\text{C}$ , in the pressure range from 8.5 to 20 MPa,  $\text{CO}_2$   
217 is in supercritical state, and a pressure increase, results in an increase in  $\text{CO}_2$  density from  
218  $282 \text{ kg/m}^3$  at 8.5 MPa to  $813 \text{ kg/m}^3$  at 20 MPa. In any case, at  $45^\circ\text{C}$  and 20 MPa and after 120 min  
219 of treatment, still 42 % of PME residual activity was obtained

220 At constant pressure, 20 MPa, enzyme inactivation rate increased by increasing temperature (from  
221 35 to 55 °C) (Figure 2b). In addition to the intrinsic effect of temperature on enzyme inactivation,  
222 by increasing temperature, although CO<sub>2</sub> density decreases, there is an improvement of mass  
223 transport properties of CO<sub>2</sub>, enhancing CO<sub>2</sub> diffusivity and the number of collisions between the  
224 CO<sub>2</sub> and the enzyme. At 55°C, nearly complete PME inactivation was determined ( $1.5 \pm 0.5$  %)  
225 after 90 min of treatment at 20 MPa.

226 In the literature, it has been reviewed that enzyme inactivation by HPCD could be due to different  
227 causes such as pH lowering, conformational changes of the enzyme and inhibitory effects of  
228 molecular CO<sub>2</sub> due to formation of different complexes or decomposition of the enzyme by CO<sub>2</sub>  
229 (Hu et al., 2013). The lowering of pH it has been attributed to CO<sub>2</sub> dissolution into liquid food,  
230 and dissociation into bicarbonate and carbonate, and hydrogen ions. However, in acidic juices,  
231 such as tomato juice (pH = 4.09), carbonic acid will hardly dissociate because the dissociation  
232 constant of carbonic acid and bicarbonate are  $pK_a = 6.57$  and  $pK_a = 10.62$ , respectively (Zhou et  
233 al., 2015). Zhou et al. (Zhou et al., 2009) studied the alteration in activity and structure of PME  
234 from peel of Valencia oranges treated by HPCD, founding changes in the secondary and tertiary  
235 structures. Conformational changes were also found in other type of enzymes such as lipases (Chen  
236 et al., 2013; Melgosa et al., 2015) concluding that a decrease or increase in fluorescence intensity  
237 of HPCD treated enzyme is related to its tertiary structure and with changes in enzyme activity.

238 PME inactivation kinetics were fitted to the first order kinetic model and the Weibull model. At  
239 atmospheric pressure (Fig 1a), good fitting was obtained for both, the linear and the Weibull  
240 model. At atmospheric pressure, the decimal reduction time determined by the first order kinetic  
241 model at 55°C was  $807 \pm 45$  min. Some of the PME inactivation curves by HPCD were not properly  
242 described by a first order kinetic model; therefore, only kinetic parameters for the Weibull are

243 listed in Table 1. Scale parameter,  $\alpha$ , decreased with pressure and temperature. The shape factor,  
244  $\beta$ , was less than 1 and it was found to increase with temperature. However, there was not clear  
245 trend of the shape factor with pressure, being nearly independent on pressure in the range from 10  
246 to 20 MPa, and increasing at the lowest pressure studied, 8.5 MPa. Scale,  $\alpha$ , and shape,  $\beta$ ,  
247 parameters were used to calculate the time required to inactivate 1 log ( $t_{d=1}$ ) of PME. According  
248 to the values of  $\alpha$  and  $\beta$ ,  $t_{d=1}$  decreased with pressure in the range from 10 to 20 MPa, and an  
249 anomalous value of  $t_{d=1}$  was obtained at 8.5 MPa. Although, as it was previously described, faster  
250 kinetics at the beginning of the treatment were observed by increasing pressure as it was also  
251 indicated by the values of the scale parameter.  $t_{d=1}$  was found to decrease also with operating  
252 temperature at 20 MPa. At 55°C, a  $t_{d=1}$  of 77 min was calculated, being much lower than the value  
253 obtained at atmospheric pressure at the same temperature, 1305 min. These results indicated that  
254 temperatures higher than 45 °C, around 55 °C, are needed in HPCD treatment to get nearly  
255 complete PME inactivation at 20 MPa.

256 According to van Boekel (Van Boekel, 2002), the scale parameter,  $\alpha$ , could be modelled in a  
257 similar way to the classical D value of the first order kinetic model, suggesting a linear dependence  
258 of the log  $\alpha$  on temperature and considering that the shape parameter,  $\beta$ , did not depend on  
259 temperature:

$$260 \quad \log \alpha = a_1 - b_1 T \quad [11]$$

261 Although, in this work  $\beta$  was statistically significant dependent on temperature when tested at the  
262 95% significance level for a linear relationship, a  $z_T$ ' value was defined as suggested by van Boekel  
263 (Van Boekel, 2002):

$$264 \quad z_T = \frac{1}{b_1} \quad [12]$$

265 The value of the  $z_T'$  is listed in Table 1, together with the quality of the fitting to equation 11. The  
 266  $z_T'$  value obtained in this work by HPCD is similar to the values reported in the literature for  
 267 thermal treatment,  $z_T$ . Terefe et al. (Terefe et al., 2009) reported a  $z_T$  value of 11.4 °C in the  
 268 temperature range from 60 to 75 °C for Heinz 3402 variety. A similar value was reported by  
 269 Raviyan et al. (Raviyan et al., 2005), with  $z_T = 12.3$  °C, for tomato cv Roma for thermal inactivation  
 270 in the temperature range from 50 to 72 °C. An Arrhenius type equation was considered to relate  
 271 the inverse of the scale parameter,  $1/\alpha$ , with temperature. Although  $1/\alpha$  cannot be considered a  
 272 kinetic constant, the value obtained from the slope was calculated as  $197 \pm 31$  kJ/mol. This value  
 273 was similar to the one reported by Terefe et al. (Terefe et al., 2009) for the inactivation of tomato  
 274 PME by thermal treatment,  $193 \pm 28$  kJ/mol, in the temperature range from 60 to 75 °C. Therefore,  
 275 similar PME sensitivity to temperature was determined by HPCD and by thermal treatment,  
 276 although the HPCD temperature range, 35-55 °C was lower than the temperature range employed  
 277 by thermal inactivation.

278 In this work, a linear dependence of the  $\log \alpha$  on pressure was also found, being the shape  
 279 parameter not statistically significant dependent on pressure, when tested at the 95% significance  
 280 level for a linear relationship:

$$281 \log \alpha = a_2 - b_2 p \quad [13]$$

282 the inverse of the slope of  $\log \alpha$  versus  $p$  was also evaluated. Analogous to  $z_T'$ ,  $z_p'$  was defined:

$$283 z_p' = -\frac{1}{b_2} \quad [14]$$

284  $z_p'$  value was evaluated as  $43 \pm 3$  MPa. Comparing  $z_T'$  and  $z_p'$  values for PME it can be concluded  
 285 that PME was more sensitive to changes in temperature than in pressure. An Eyring type equation  
 286 was considered to relate the inverse of the scale parameter,  $1/\alpha$ , with pressure. Although  $1/\alpha$  is not  
 287 a kinetic constant, an  $V_a'$  was evaluated from the slope as  $-14 \pm 1$  cc/mol. The negative value of

288 this parameter indicated that PME inactivation was favored by increasing operating pressure.  
289 Although this value is much higher (lower in absolute value) than other  $V_a$  reported in the literature  
290 for different enzymes in juices treated by HPCD, such as PPO in cloudy apple juice with values  
291 of  $-251 \text{ cm}^3/\text{mol}$  (Illera et al., 2018).

### 292 3.2 Thermal and HPCD inactivation of PG

293 The inactivation kinetics of PG at atmospheric pressure are shown in Figure 1b in the temperature  
294 range from 35 to 55 °C. The shape of the inactivation curves indicates that some fraction of the PG  
295 remained stable even after long treatment in this temperature range. In this regard, the existence  
296 of two fractions with different PG activity in tomato fruit has been extensively documented in the  
297 literature: a thermolabile (PG2) and a thermostable fraction (PG1) (Fachin et al., 2003). At 35 and  
298 45 °C, low inactivation degree, with residual activities of  $93 \pm 5\%$  and  $84 \pm 3\%$  respectively, was  
299 observed. At 55 °C, higher partial PG inactivation was obtained with residual activities of around  
300  $60 \pm 2\%$ . As for tomato PME, different values have been reported for PG inactivation under mild  
301 thermal treatment of different tomato varieties ( $T \leq 60^\circ\text{C}$ ). Andreou et al. (Andreou et al., 2016)  
302 determined the thermal inactivation of PG at 55 °C with a residual activity around 80 % after 60  
303 min of treatment for Alamanda cv.. Terefe et al. (Terefe et al., 2009) found that thermal treatment  
304 at 50 °C did not have a significant effect on the PG activity of tomato Heinz 3402 cv, while residual  
305 activity of 37 % was reached at 60 °C after 60 min of heating. Lower PG residual activity for  
306 ripened tomato var. Flandria Prince was obtained being around 20 % at 50 °C and 60 °C after 120  
307 min heating (Fachin et al., 2003). At 55 °C, a residual activity of 80% after 5 min heating was  
308 observed for Patrona cv. (Houben et al., 2014). Based on these results, it can be concluded that  
309 tomato variety plays an important role in PG inactivation, similar to PME.

310 Figures 3a and 3b show the PG inactivation kinetics by HPCD at constant temperature, 45°C, in  
311 the pressure range from 8.5 to 20 MPa and at constant pressure, 20 MPa, in the temperature range  
312 from 35 to 55°C, respectively. The shape of the inactivation kinetics curves was similar to that  
313 described above for mild heating treatment. This indicated that PG also presented two fractions  
314 with different HPCD resistance. Although a higher degree of inactivation was obtained for all  
315 HPCD experiments compared to the results obtained for mild heating, a complete inactivation of  
316 the enzyme was not obtained in any of the experiments carried out. A pressure increase led to  
317 lower residual activity, although based on the inactivation curves at different operating pressures,  
318 PG was not very HPCD pressure sensitive in the pressure range from 8.5 to 20 MPa. At 8.5 MPa,  
319 the residual activity was  $72 \pm 2\%$ , and it only decreased down to  $55 \pm 5\%$  at 20 MPa.

320 Based on the kinetic curves, the fractional conversion model was found to adequately describe  
321 mild thermal and HPCD inactivation curves. The kinetic parameters for tomato PG are presented  
322 in Table 2. The inactivation rate constant increased both, with pressure and temperature. The  
323 corresponding D values for the labile fraction are also listed in Table 2. Lower D values were  
324 obtained by HPCD than under mild heating treatment. In any case, the residual PG activity was  
325 still  $41 \pm 4\%$  at the hardest conditions used in this work, 20 MPa and 55°C.

326 In this work, first order kinetic model could not describe satisfactorily PG inactivation kinetics  
327 neither at atmospheric pressure nor by HPCD. However, Andreou et al. (Andreou et al., 2016)  
328 found that PG inactivation followed a first order kinetic model, in the temperature range from 55  
329 to 75°C at atmospheric pressure for Alamanda cv., with values of the inactivation rate constant  
330 ranging from  $0.030 \pm 0.005$  to  $0.137 \pm 0.015 \text{ min}^{-1}$ . The fractional conversion model has been also  
331 used in the literature to describe thermal inactivation kinetics of PG in tomato juice of different  
332 varieties such as Heinz 3402 cv (Terefe et al., 2014) and Flandria Prince (Fachin et al., 2003) in



333 the temperature range from 60 to 75°C and 55 to 70°C, respectively. For these two varieties  
334 different kinetic parameters (percentage of the stable fraction,  $A_{\infty}$ , and inactivation rate constant  
335 for the labile fraction,  $k$ ) have been reported. For instance, at 60°C, for Heinz 3402 cv,  $A_{\infty} = 37 \pm$   
336 6 % and  $k = 0.085 \pm 0.024 \text{ min}^{-1}$  (Terefe et al., 2009) while for Flandria Prince cv,  $A_{\infty} = 14 \pm 4\%$   
337 and lower kinetic reaction constant,  $k = 0.048 \pm 0.005 \text{ min}^{-1}$ , were reported for the labile fraction  
338 (Fachin et al., 2003).

339 An Arrhenius type equation was considered to relate the inactivation rate constant of the labile  
340 fraction with temperature. From the slope, the activation energy of the labile fraction was  
341 calculated as  $48 \pm 14 \text{ kJ/mol}$  and  $27 \pm 9 \text{ kJ/mol}$  at atmospheric pressure and at 20 MPa by HPCD  
342 respectively, in the temperature range from 35 to 45 °C. These values are much lower than the  
343 values reported in the literature for the PG labile fraction,  $116 \pm 25$  and  $228.35 \text{ kJ/mol}$ , for Heinz  
344 3402 cv and Flandria Prince cv respectively (Fachin et al., 2003; Terefe et al., 2009) and also  
345 compared with the values obtained for the PME. These differences could be attributed to different  
346 tomato varieties and degree of ripening, among other factors, and indicated that the PG labile  
347 fraction of Canario cv. is not very temperature sensitive in the temperature range from 35 to 55 °C.  
348 This can be also observed in the values of  $z_T$  presented in Table 2, being much higher than  $z_T$   
349 values for thermal inactivation of enzymes under pasteurization conditions. Sensitive pressure  
350 parameters were evaluated through equations 6 and 8,  $V_a = -3.7 \pm 0.8 \text{ cc/mol}$  and  $z_p = 166 \pm$   
351 38 MPa. These values showed that PG was neither very sensitive to temperature nor to pressure  
352 changes by HPCD treatment in the range covered in this work, from 35 to 55 °C and 8.5 to 20  
353 MPa, respectively.

### 354 3.3. Effect of HPCD treatment on particle size distribution

355 As described in the literature, tomato juice consists of suspended particles greater than 150  $\mu\text{m}$  in  
356 diameter in colloidal serum (Wu et al., 2008). Figure 4 shows the PSD of the untreated tomato  
357 juice and HPCD treated samples at 45 °C for 60 min at different operating pressures in the range  
358 from 10 to 20 MPa. A bimodal PSD was obtained for all samples, both in the untreated juice and  
359 in HPCD treated samples. HPCD treatment resulted in a reduction of the particle size diameter of  
360 the tomato juice particles. On the one hand, the maximum peak corresponding to the larger  
361 particles moved from values of 416.9  $\mu\text{m}$  to values of 182.0  $\mu\text{m}$  for the juice treated at 10 MPa  
362 and 120  $\mu\text{m}$  for the juice treated at 15 and 20 MPa. On the other hand, the peak corresponding to  
363 the smallest particles remains constant in all samples and around 0.7-0.8  $\mu\text{m}$ , but, the number of  
364 particles with this size increased after HPCD treatment with operating pressure. For the untreated  
365 tomato juice, the total volume of all particles with diameter less than 10  $\mu\text{m}$  represented 7% of the  
366 total particles volume, while this number increased up to 11, 37 and 49 % at 10, 15 and 20 MPa,  
367 respectively. This trend can be also observed in the values of  $D[4,3]$ ,  $D[3,2]$  and  $d_v(0.1)$ ,  $d_v(0.5)$   
368 and  $d_v(0.9)$  that progressively decreased by increasing operating pressure (Table 3).

369 This fact has been explained in terms of the homogenization effect caused by HPCD treatment due  
370 to several reasons, such as high internal stress surpassing the tensile strength of the particles when  
371  $\text{CO}_2$  is removed from the vessel (Niu et al., 2010). This effect has been also observed in other  
372 juices treated by HPCD such as orange or apple juice (Briongos et al., 2016; Illera et al., 2018).

373 The PSD changes of tomato juice caused by HPCD could involve modifications of the properties  
374 of particles and serum due to cell disruption and subsequent fragmentation. The suspended  
375 particles in tomato juice include intact or broken cells, long-chain polymers of cellulose, lignin,  
376 hemicellulose and water insoluble pectic materials (Wu et al., 2008). Cell fragmentation and  
377 released cell wall constituents, such as pectins and proteins, caused by HPCD might modified  
378 particle–particle interactions and hence juice stability.  $\zeta$  potential was determined as an indicator

379 of the colloidal stability of the juice before and after HPCD treatment. Untreated tomato juice  
380 presented a  $\zeta$  potential value of  $-16.8 \pm 1.6$  mV. This negative value indicated that the juice particles  
381 were negatively charged. After HPCD treatment, slightly lower values of  $\zeta$  potential were obtained  
382 with values of  $-18.4 \pm 0.6$ ,  $-15.9 \pm 1.7$ ,  $-20.5 \pm 1.5$  and  $-18.6 \pm 0.5$  mV at 8.5, 10, 15 and 20 MPa,  
383 respectively. However, this increase is not very important and it can be concluded that colloid  
384 stability is not modified after HPCD treatment.

### 385 **3.4 Enzyme inactivation by HPP.**

386 Enzyme inactivation data obtained by HPCD were compared with data obtained by using high  
387 hydrostatic pressure treatment (Figure 5). At the standard operating conditions at Hipebaric, PME  
388 activity of tomato juices (HPP-Air sample) was not affected by HPP, with a residual activity of  
389  $104 \pm 7\%$ . These results agree with the literature that reported tomato PME was very resistant to  
390 pressure up to 800 MPa (Crelier et al., 2001; Tangwongchai et al., 2000). Other authors even found  
391 an increase on PME activity with pressure in the range from 335 to 500 MPa, with a higher  
392 efficiency for PME inactivation of low pressure/mild temperature treatments (150 MPa/30°C)  
393 (Hernández and Cano, 1998).

394 In the literature, it has been described a positive interaction of pressure with the presence of CO<sub>2</sub>  
395 in the sample, which could destroy or damage the structure of the enzyme (Ortuño et al., 2013).  
396 However, at the working conditions of 600 MPa, the presence of CO<sub>2</sub> did not bring an important  
397 additional inactivation of tomato PME, with a PME residual activity of the carbonate sample of  
398  $96 \pm 4\%$ . This indicated that there was no significant interaction between HPP and CO<sub>2</sub>. Slightly  
399 lower PME residual activity was obtained in the sample HPP-N<sub>2</sub>. However, this difference might  
400 be considered practically unimportant since still high residual activity was observed. Corwin and  
401 Shellhammer (Corwin and Shellhammer, 2002) followed a similar experimental procedure, these

402 authors first carbonated enzyme preparation at atmospheric pressure and then the samples were  
403 treated by HPP, observing that CO<sub>2</sub> had an additional inactivation effect on PME from orange  
404 juice at 500 MPa and 25°C for 3 min, but not at 800 MPa and 25°C for 1 min. Ortuño et al. (Ortuño  
405 et al., 2013) applied HPP in carbonated samples and also a combination of carbonated samples and  
406 addition of gaseous CO<sub>2</sub> in the headspace of the packaged liquid food before HPP treatment.  
407 According to these authors, gaseous CO<sub>2</sub> into the headspace could dissolve into the sample during  
408 the HPP treatment and the CO<sub>2</sub> concentration inside the sample could be higher than in carbonated  
409 samples. These authors found that, on average, the addition of CO<sub>2</sub> did not improve the inactivation  
410 of PME of feijoa puree in a HPP process in the pressure range from 300 to 600 MPa.  
411 Regarding PG inactivation by HPP, almost complete inactivation at 600 MPa for 5 min was  
412 obtained for all samples, although still a 3-4% of HPP resistant PG fraction was observed. In the  
413 literature it has been also described that PG in tomato juice can be totally inactivated at some  
414 temperature/pressure combination from pressure above 500 MPa and temperature around 20°C  
415 (Crelrier et al., 2001; Tangwongchai et al., 2000).

#### 416 **4. Conclusions**

417 HPCD treatment has been found as a promising non-thermal technology to process tomato juice  
418 being more effective than mild thermal treatment in the same temperature range. Nearly complete  
419 PME inactivation was reached at 55°C and 20 MPa while PG was found to be more HPCD  
420 resistant. The non-linear Weibull model and the fractional-conversion model fitted PME and PG  
421 inactivation kinetics, respectively.

422 HPP processing was also applied to tomato juices obtaining a different behavior in enzyme  
423 inactivation, reaching a nearly complete inactivation of PG and no PME inactivation.

424 HPCD induced a homogenization effect on tomato juice since particle size distribution was shifted  
425 to smaller particle size and colloidal stability was not affected by HPCD treatment

426 Based on these results regarding the enzyme inactivation it can be concluded that a selective  
427 inactivation of either PME or PG can be achieved by combining different non-thermal treatments.  
428 Further research should be done to elucidate the mechanism for enzyme inactivation by HPCD as  
429 well as to develop industrial applications for food preservation by HPCD.

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434

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- 551
- 552

553 **Table 1.** Kinetic parameters of the Weibull model for the inactivation of tomato PME by thermal  
 554 and HPCD treatment

p, MPa	T, °C	$\alpha$ , min	$\beta$	R <sup>2</sup>	t <sub>d=1</sub> , min
0.1	55	472 ± 95	0.82 ± 0.09	0.9794	1305
20	35	3082 ± 1298	0.40 ± 0.04	0.9830	24795
20	45	148 ± 12	0.53 ± 0.03	0.9930	714
20	55	29 ± 3	0.85 ± 0.09	0.9822	77
$z'_T = 10 \pm 2 \text{ °C}$ (R <sup>2</sup> = 0.9706) $\ln(1/\alpha)$ vs (1/T): $197 \pm 31 \text{ kJ/mol}$ (R <sup>2</sup> = 0.9764)					
8.5	45	273 ± 31	0.72 ± 0.06	0.9894	869
10	45	265 ± 40	0.58 ± 0.06	0.9878	1116
15	45	205 ± 45	0.55 ± 0.09	0.9611	934
$z'_p = 43 \pm 3 \text{ MPa}$ (R <sup>2</sup> = 0.9877) $\ln(1/\alpha)$ vs (p): $-14 \pm 1 \text{ cc/mol}$ (R <sup>2</sup> = 0.9877)					

555

556 **Table 2.** Kinetic parameters of the fractional model for the inactivation of tomato PG by thermal  
 557 and HPCD treatment

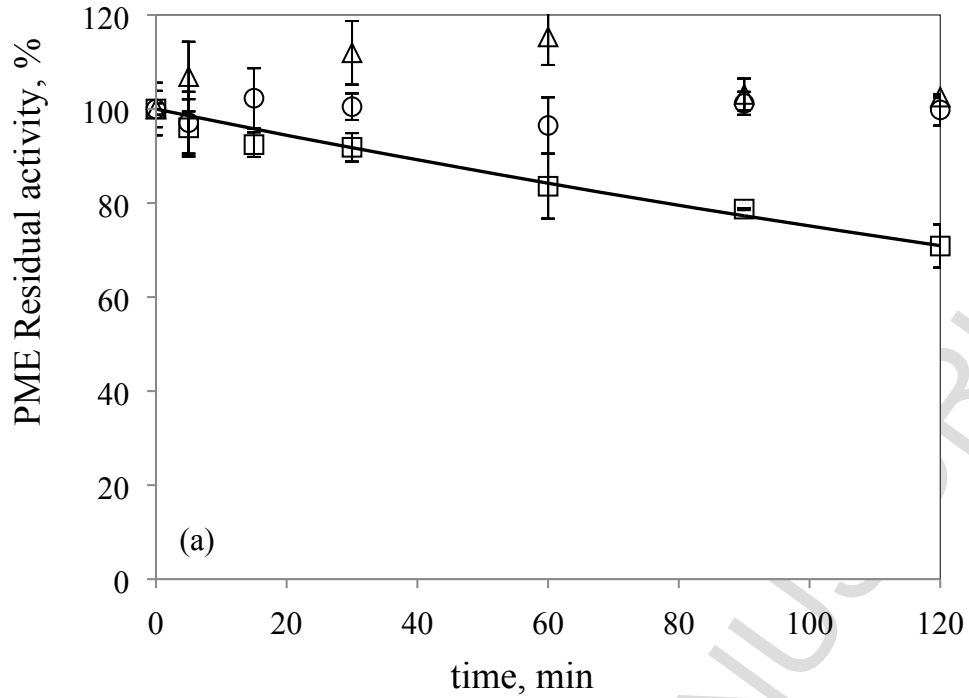
p, MPa	T, °C	$A_{\infty}$	k, min <sup>-1</sup>	R <sup>2</sup>	D, min
0.1	35	92.0 ± 0.5	0.14 ± 0.05	0.9236	16.5
0.1	45	84.4 ± 0.6	0.19 ± 0.04	0.9594	12.1
0.1	55	60 ± 1	0.44 ± 0.15	0.9637	5.3
$z_T = 40 \pm 11$ °C; $R^2 = 0.9334$ $E_a = 48 \pm 14$ kJ/mol; $R_2 = 0.9607$					
20	35	83 ± 2	0.18 ± 0.09	0.8373	12.8
20	45	59 ± 1	0.30 ± 0.06	0.9751	7.7
20	55	46 ± 2	0.34 ± 0.07	0.9764	6.8
$z_T = 72 \pm 25$ °C; ( $R^2 = 0.8908$ ) $E_a = 27 \pm 9$ kJ/mol; ( $R^2 = 0.9019$ )					
8.5	45	72.8 ± 0.2	0.25 ± 0.01	0.9978	9.2
10	45	68.9 ± 0.8	0.27 ± 0.05	0.9809	8.5
15	45	64 ± 1	0.28 ± 0.06	0.9734	8.2
$z_P = 166 \pm 38$ MPa ( $R^2 = 0.9074$ ) $\ln(1/\alpha)$ vs (p): $-3.7 \pm 0.8$ cc/mol ( $R^2 = 0.9074$ )					

559 **Table 3.** Effect of operating pressure of HPCD treatment on the particle size distribution ( $\mu\text{m}$ ) of tomato  
 560 juice.

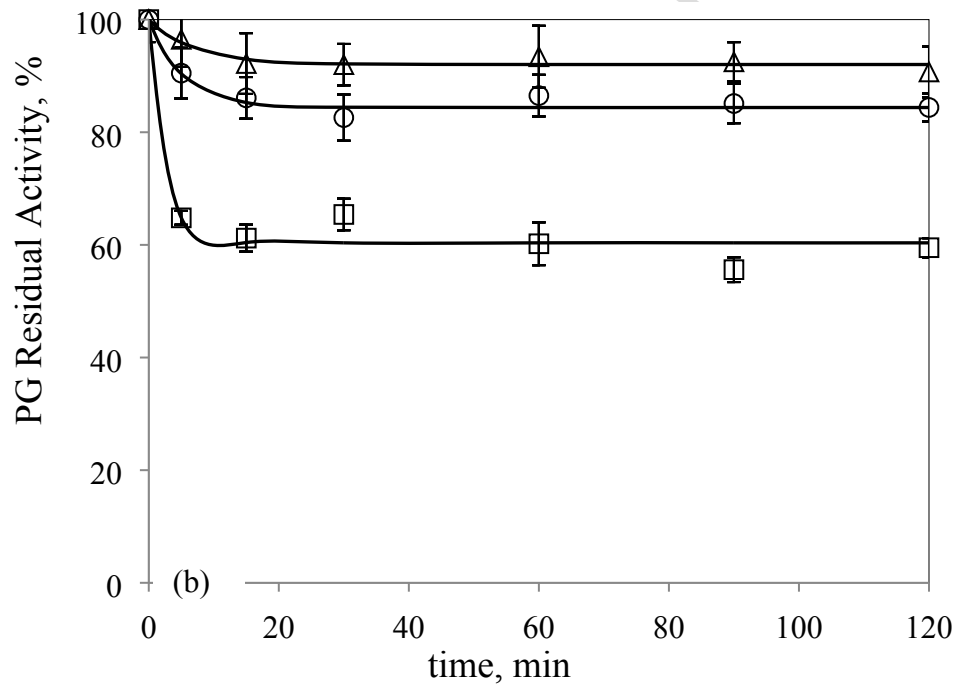
Time	D[3,2]	D[4,3]	$d_v(0.1)$	$d_v(0.5)$	$d_v(0.9)$
Untreated	$10.7 \pm 0.2^d$	$355.7 \pm 6.5^d$	$27.65 \pm 0.23^d$	$284.9 \pm 3.9$	$762.9 \pm 1.7^d$
10 MPa	$6.9 \pm 0.2^c$	$181.2 \pm 3.2^c$	$14.44 \pm 0.22^c$	$153.6 \pm 1.2^b$	$370.5 \pm 1.9^c$
15 MPa	$2.5 \pm 0.1^b$	$90.9 \pm 1.8^b$	$0.73 \pm 0.03^b$	$54.3 \pm 0.4^a$	$245.3 \pm 2.8^b$
20 MPa	$1.8 \pm 0.1^a$	$71.6 \pm 1.2^a$	$0.63 \pm 0.01^a$	$16.2 \pm 0.8^a$	$211.8 \pm 1.2^a$

561 Data: mean  $\pm$  SD (n=3). Different letters in a column indicate significant differences by the Tukey's  
 562 honestly significant difference (HSD) method at p-value  $\leq 0.05$ .

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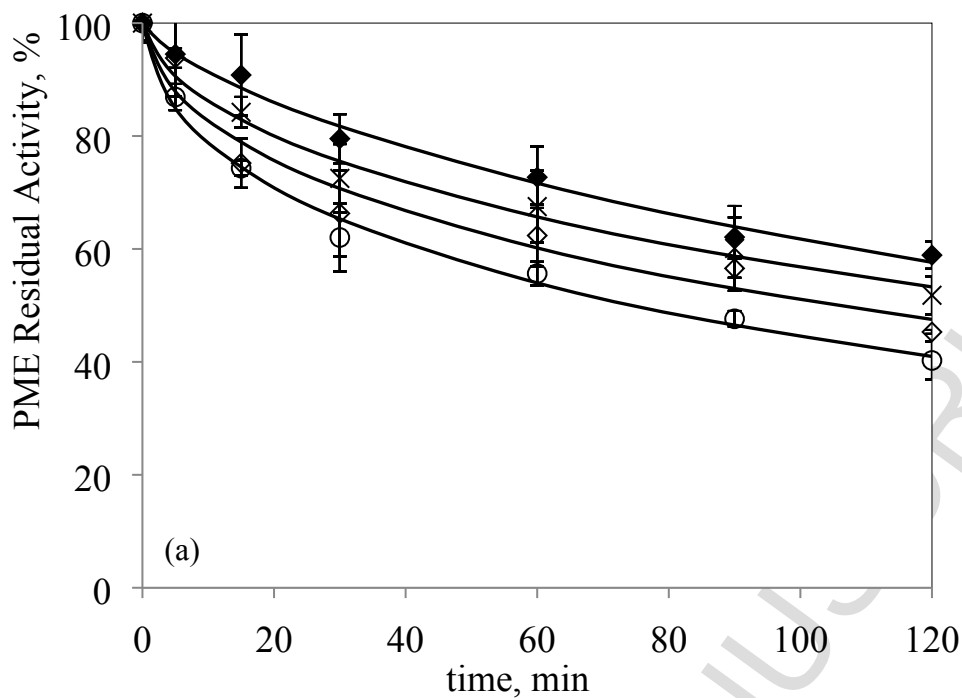


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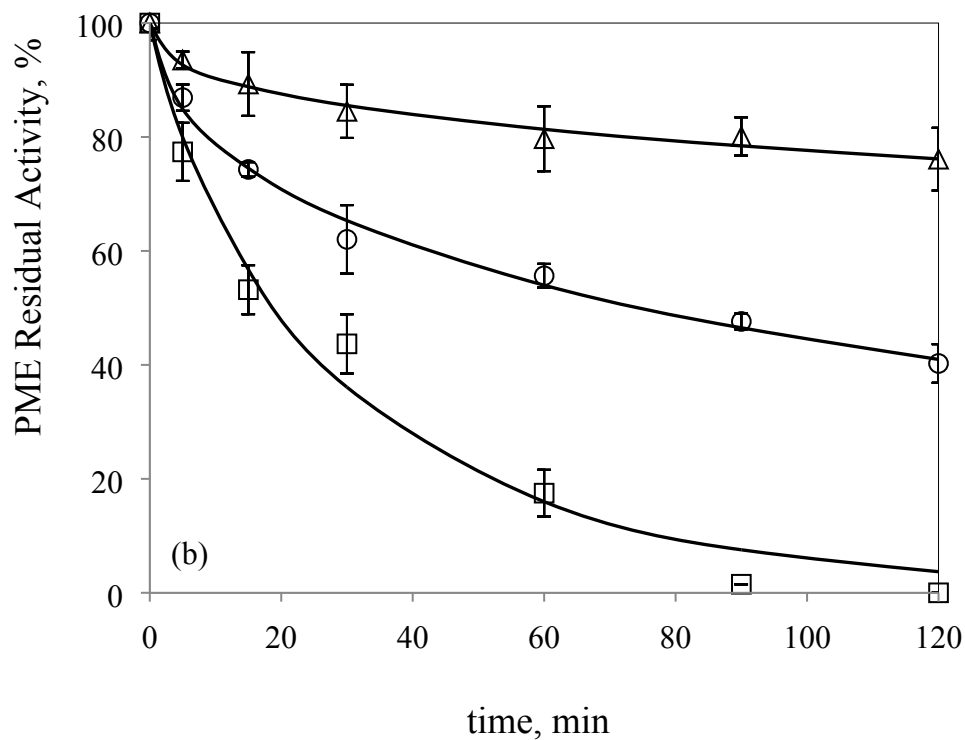


565

566 **Figure 1:** Inactivation kinetic data by mild heating treatment (a) PME (b) PG at different  
 567 temperatures: 35 °C (Δ), 45°C (○) and 55°C (□). Continuous lines represent the Weibull model  
 568 (Figure 1a) and the fractional-conversion model (Figure 1b)



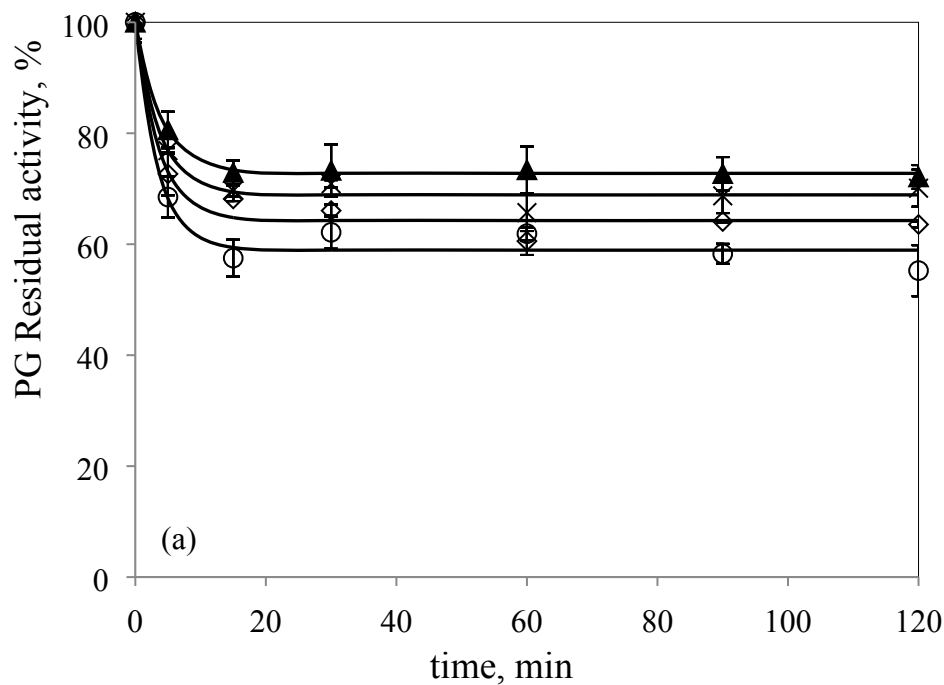
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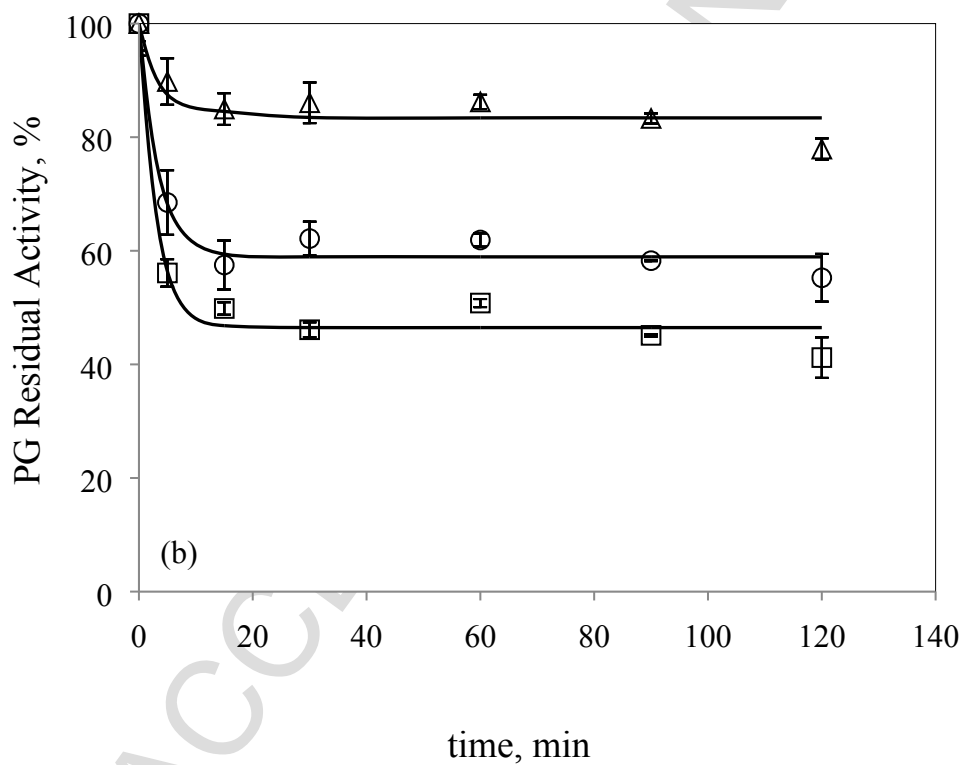
570

571 **Figure 2:** PME inactivation kinetic data by HPCD (a) At constant temperature (45 °C) and  
 572 different operating pressures: 20 MPa (○) 15 MPa (◇) 10 MPa (×) and 8.5 MPa (◆) (b) At constant  
 573 pressure (20 MPa) and different operating temperature: 35 °C (Δ), 45°C (○) and 55°C (□).  
 574 Continuous lines represent the Weibull model

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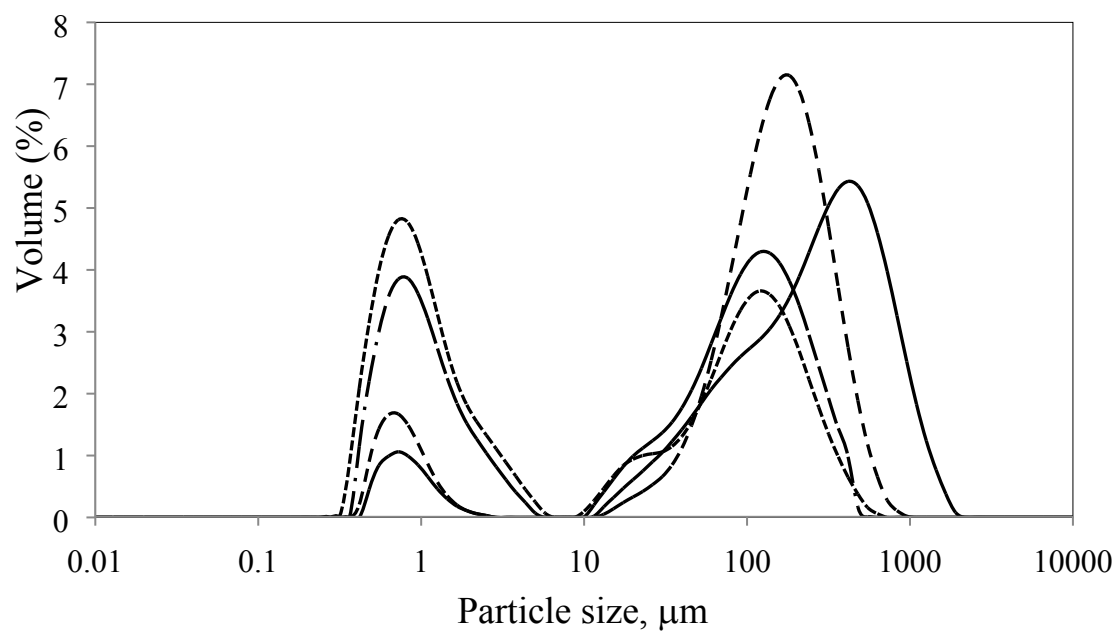
581

**Figure 3:** PG inactivation kinetic data by HPCD (a) At constant temperature (45 °C) and different operating pressures: 20 MPa (○) 15 MPa (◇) 10 MPa (×) and 8.5 MPa (◆) (b) At constant pressure (20 MPa) and different operating temperature: 35 °C (Δ), 45°C (○) and 55°C (□). Continuous lines represent the fractional-conversion model.



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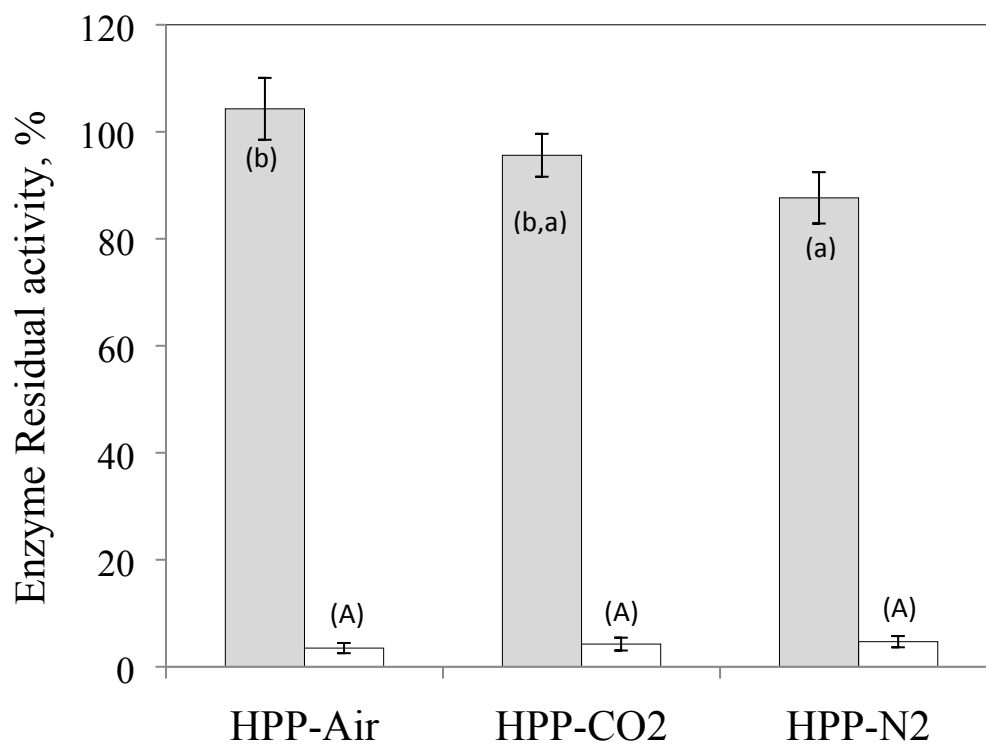


584

585 **Figure 4** Particle size distribution of fresh tomato juice (—) and treated by HPCD at different  
586 operating pressures: 10 MPa, (---), 15 MPa (- · - · -), 20 MPa (---) (T = 45°C, treatment  
587 time = 60 min)

588

589



590

591 **Figure 5.** Residual PME (grey color) and PG (white color) activity in tomato juice after HPP with  
592 different dissolved gases in the tomato juice. Data: mean  $\pm$  SD (n=3). Different letters for each  
593 enzyme indicate significant differences by the Tukey's honestly significant difference (HSD)  
594 method at p-value  $\leq$  0.05.

595

Tomato PME was nearly complete inactivated by HPCD at 55°C and 20 MPa

Tomato PG was found to be more HPCD resistant while it was inactivated by HPP

Selective enzyme inactivation could be reached combining HPCD and HPP treatments

HPCD decreased particle size of tomato juice but colloidal stability was not affected