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# **DUOGLOBE: One-Year Outcomes in a Real-**World Study of Levodopa Carbidopa **Intestinal Gel for Parkinson's Disease**

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ABSTRACT: Background: Levodopa-carbidopa intestinal gel (LCIG) is an established treatment for improving motor and some non-motor symptoms (NMS) in patients with advanced Parkinson's disease (PD). Prospective long-term data in routine clinical practice are limited.

Objective: Assess LCIG effectiveness and safety in patients with advanced PD after 12 months during real-world routine clinical practice.

Methods: Duodopa/Duopa in patients with advanced Parkinson's disease—a global observational study evaluating long-term effectiveness (DUOGLOBE) (NCT02611713) is an ongoing, prospective, multinational, observational study of LCIG-naïve patients treated as part of routine clinical practice; 3 years of follow-up are planned. The primary outcome is the change in patient-reported off time. Other assessments include the Unified Dyskinesia Rating Scale (UDysRS), Non-Motor Symptoms Scale (NMSS), Parkinson's Disease Sleep scale (PDSS-2), Epworth Sleepiness Scale (ESS), health-related quality of life (HR-QoL), caregiver burden, and serious adverse events (SAEs). Outcomes from baseline to month (M) 12 are presented.

Results: In this 12-month follow-up, patients (N = 195) had baseline characteristics similar to other LCIG studies. Significant improvements (mean change to M12) were observed in off time ( $-3.9 \pm 3.6$  hr/day, P < 0.001), dyskinesia assessed using the UDysRS ( $-9.6 \pm 22.5$ , P < 0.001), NMSS ( $-23.1 \pm 41.4$ , P < 0.001), sleep and sleepiness symptoms on the PDSS-2 ( $-6.5 \pm 12.2$ , P < 0.001) and ESS ( $-1.0 \pm 5.7$ , P < 0.05), HR-QoL ( $-9.0 \pm 21.6$ , P < 0.001), and caregiver burden (-1.9  $\pm$  6.7, P = 0.008). Overall, 40.5% (n = 79) of patients experienced SAEs; fall (n = 6; 3.1%) and urinary tract infection (n = 6; 3.1%) were SAEs reported in  $\geq$  3% of patients. Conclusions: These 12-month outcome data show sustained, long-term improvements and support the realworld effectiveness of LCIG in patients with advanced PD. Safety was consistent with previous studies.

In most cases, standard oral therapy to treat Parkinson's disease (PD) is based on the use of levodopa, either alone or together with other medications. As PD progresses, many patients experience symptoms that are no longer adequately controlled by oral dopaminergic therapy. Mechanistically, contributors to these fluctuations are oscillations in plasma levodopa concentrations because of levodopa's short half-life, erratic gastric emptying, and neural plasticity leading to a narrowed

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Keywords: DUOGLOBE, Parkinson's disease, levodopa-carbidopa intestinal gel, dyskinesia, real-world data.

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therapeutic window.<sup>1–3</sup> Fluctuations in non-motor symptoms (NMS) such as fatigue, anxiety, and autonomic dysfunction may also occur.<sup>4</sup> Furthermore, both motor and NMS can produce substantial disability and adversely impact patient health-related quality of life (HR-QoL) and caregiver burden.<sup>5–8</sup>

Continuous intrajejunal administration of levodopa-carbidopa intestinal gel (LCIG) reduces variability in levodopa plasma levels<sup>9</sup> and produces stable synaptic dopamine as measured by raclopride displacement positron emission tomography scans.<sup>10</sup> Furthermore, controlled clinical trials have demonstrated that LCIG therapy reduces *off* time, increases *on* time without troublesome dyskinesia, and improves patients' HR-QoL.<sup>11–14</sup> Several larger observational studies have confirmed the beneficial effects of LCIG on both motor and NMS and patient HR-QoL in clinical practice, although these studies were either not prospective (included non-naïve LCIG patients), single-centered, and/or lacked United States (US) study sites.<sup>15–19</sup>

Here, we present data from a large, long-term study of LCIG safety and efficacy in a multicenter, multinational cohort of patients with advanced PD. This study examines outcomes in patients treated according to local regulatory requirements and routine practice protocols across a diverse group of countries and practice settings. The design incorporates examination of the full spectrum of motor and NMS, including sleep, HR-QoL, and caregiver burden. There is also a special focus on dyskinesia: most previous studies have used the Unified Parkinson's Disease Rating Scale (UPDRS) Part IV or Hauser diary data, which have limited sensitivity to change and ability to quantitate dyskinesia symptoms. We used the recently developed and validated Unified Dyskinesia Rating Scale (UDysRS), a scale with established metrics that can measure all aspects of dyskinesia.<sup>20</sup> The duration of treatment in the Duodopa/Duopa in patients with advanced Parkinson's disease-a global observational study evaluating long-term effectiveness (DUOGLOBE) study is planned for 3 years. Here, we present results from the first 12 months of LCIG therapy.

## Methods Study Design

DUOGLOBE is a global, multicenter, single-arm, non-interventional, post-marketing observational study (NCT02611713) conducted at 55 specialized movement disorder centers in 10 countries (Australia, Belgium, Hungary, Israel, Italy, Romania, Slovenia, Spain, United Kingdom, and the US). The first patient was enrolled in December 2015; a total of 212 patients were screened and 195 patients were enrolled and are included in this analysis (Fig. 1).

The protocol, patient information, and informed consent requirements were approved in all countries by national and/or local independent ethics committees and health authorities according to the applicable national regulatory requirements.

### Patients

Patients were selected for LCIG treatment by physicians at each site according to the local label, reimbursement criteria, and customary local clinical practice. Key eligibility criteria (beyond those listed on the product label) included no previous exposure to LCIG and a Mini-Mental State Examination (MMSE) score  $\geq$ 24. Patients could not have had a previous surgery for PD including but not limited to deep brain stimulation or cell transplantation (this criterion was removed for US sites). Subcutaneous apomorphine infusion was not permitted during the study,



FIG. 1. Patient disposition. <sup>a</sup>After premature discontinuation, 13 patients continued LCIG treatment outside the study. LCIG, levodopacarbidopa intestinal gel; NJ, nasojejunal; PEG-J, percutaneous endoscopic gastrostomy with jejunal extension.

<b>ABLE 1</b> Baseline patient demographics and	disease characteristics
	N = 195
Demographics	
Sex, n (%)	
Male	120 (61.5%)
Female	75 (38.5)
Age, years, mean $\pm$ SD	$70.2 \pm 8.2$
<65 years, n (%)	44 (22.6)
65–75 years, n (%)	95 (48.7)
>75 years, n (%)	56 (28.7)
BMI, kg/m <sup>2</sup> , mean $\pm$ SD	$25.9 \pm 4.1$
<25, n (%)	83 (45.6)
≥25, n (%)	99 (54.4)
Medical history	
PD duration, years, mean $\pm$ SD	$11.2\pm4.8$
<10 years, n (%)	94 (48.5)
≥10 years, n (%)	100 (51.5)
Time to LCIG initiation from	
PD symptoms, years, mean $\pm$ SD	$12.2\pm5.0$
Start of motor fluctuations, years, mean ± SD	$5.6 \pm 4.7$
MMSE total score <sup>a</sup> , mean $\pm$ SD	$27.7\pm2.2$
Hoehn and Yahr, mean $\pm$ SD	
During on	$3.0 \pm 0.8$
During off	$3.6 \pm 0.8$
PD symptoms, HR-QoL, and caregiver bu baseline	rden measures at
$O\!f\!f$ time, hr/day, mean $\pm$ SD	$6.0 \pm 3.4$
UPDRS Part II (activities of daily living), mean $\pm$ SD	$14.8 \pm 7.8$
UPDRS Part III (motor examination), mean $\pm$ SD	$27.6 \pm 13.2$
UPDRS Part IV, mean $\pm$ SD	
Item 32 (duration of dyskinesia)	$1.5 \pm 1.2$
Item 39 (duration of off time)	$1.9 \pm 0.8$
UDysRS total score, mean $\pm$ SD	$33.7\pm21.1$
NMSS total score, mean $\pm$ SD	$87.9\pm51.3$
PDSS-2 total score (sleep quality), mean $\pm$ SD	$26.6 \pm 11.7$
ESS total score (daytime sleepiness), mean $\pm$ SD	$9.8 \pm 5.3$
PDQ-8 summary index (HR-QoL), mean + SD	$45.1\pm18.1$

(Continues)

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#### TABLE 1 Continued

	N = 195
MCSI total score (caregiver burden),	$10.9\pm6.4$
mean $\pm$ SD	

<sup>a</sup>Patient MMSE total score at baseline must be 24 for inclusion. BMI, body mass index; ESS, Epworth Sleepiness Scale; HR-QoL, healthrelated quality of life; LCIG, levodopa-carbidopa intestinal gel; MCSI, Modified Caregiver Strain Index; MMSE, Mini-Mental State Examination; NMSS, Non-Motor Symptoms Scale; PD, Parkinson's disease; PDSS-2, Parkinson's Disease Sleep Scale-2; PDQ-8, 8-item Parkinson's Disease Questionnaire; UDysRS, Unified Dyskinesia Rating Scale, UPDRS, Unified Parkinson's Disease Rating Scale.

and, if used, required a 4-week wash-out period. Treatment with LCIG (levodopa [20 mg/mL] and carbidopa [5 mg/mL]) was delivered via a percutaneous endoscopic gastrostomy with jejunal extension tube (PEG-J) using a portable pump (CADD-Legacy, Smiths Medical ASD, Minneapolis, MN). The daily duration of the therapy was determined by local practice and individual patient need. LCIG dosage was individually optimized for clinical response and concomitant use of other PD medications was permitted at the discretion of the treating clinician. A nasojejunal (NJ) test phase, as described in the prescribing label, was optional, unless recommended by the treating clinician.

Planned enrollment was  $\sim 200$  patients based on assumptions of 60% completion and mean  $\pm$  standard deviation (SD) decrease from baseline in *off* time  $4 \pm 4$  hr at month (M) 36. With these assumptions, the distance from the lower limit of the 95% confidence interval (CI) to the mean decrease would be 0.72 hr (ie, the half width of the 95% CI of the mean decrease from baseline would be 0.72 hr).

Assessments included those conducted at baseline (BL, defined as the last non-missing clinical data on or before the date of LCIG delivery via first device placement) and then at follow-up visits at M3, M6, and M12 (Fig. S1). For patients who underwent the optional temporary NJ phase and transitioned to PEG-J, data at day 1 (D1) were also collected; however, D1 data were not collected for patients who initiated treatment directly via PEG-J without NJ (Fig. S1).

The primary efficacy assessment for this analysis was the change in the number of hours spent in off time as reported by the patient on the day before the clinical visit (BL) compared with the same measure at M12. Additional efficacy measurements included the mean change from BL in the following: UPDRS part II (activities of daily living [ADL]), part III (motor examination), part IV modified item 32 (dyskinesia duration), item 33 (dyskinesia-related disability), item 34 (dyskinesia-related pain), item 35 (early morning dystonia), and modified item 39 (off time); UDysRS total score (dyskinesia signs and symptoms) and subdomain scores; NMS Scale (NMSS) total score and subdomain scores; PD Sleep Scale (PDSS)-2 total score (sleep quality) and Epworth Sleepiness Scale (ESS) total score (daytime somnolence); 8-item Parkinson's Disease Questionnaire (PDQ-8) summary index (HR-QoL); and Modified Caregiver Strain Index (MCSI) total score (caregiver burden). Significance

was determined using a 1-sample *t* test compared with BL efficacy assessments. In this observational study, serious adverse events (SAEs), product complaints, and pregnancies were recorded. Adverse events (AEs) not meeting the criteria for SAEs were not recorded. All patients who received any infusion of LCIG (either via temporary NJ or long-term PEG-J were included in the safety analysis population (N = 195). The full analysis set includes all patients in the safety population who have a BL effectiveness evaluation and at least 1 post-BL effectiveness assessment during PEG-J visits.

### Post Hoc Analyses

Additional post hoc analyses of subgroups were also conducted. Off time and HR-QoL were assessed in patients stratified by baseline age (<65 and ≥65 years of age), sex (male and female), and PD duration (<10 and ≥10 years). Comparison with BL values at indicated time points were determined using a repeated measures model that included country visit BL subgroup, the BL\*visit, and the subgroup\*visit interaction. Additionally, patients (n = 188) were stratified by their BL Hoehn and Yahr (H&Y) scale scores (<3 and  $\geq$ 3) in the *on* state and were analyzed for off time, dyskinesia signs and symptoms (UDysRS), axial symptoms (UPDRS part III: sum of items 18 [speech], 22 [rigidity], and 27-30 [arising from chair, posture, gait, and postural stability]), NMS (NMSS total score), and HR-OoL (PDO-8 summary index). Additionally, BL demographics and disease characteristics were assessed in patients who did and did not complete 12 months of LCIG therapy (12-month completer vs. 12-month non-completer).

# Results

### **Patient Disposition**

Demographics, medical histories, PD characteristics, and BL assessments of motor, NMS, HR-QoL, and caregiver burden of the 195 patients included in the analysis are summarized in Table 1. The temporary NJ test period occurred in 128 patients (no US patients [n = 39] participated in the optional NJ test period) with 120 patients continuing to PEG-J placement after the NJ test period. The average duration of NJ exposure was  $6.7 \pm 5.3$  days; in total, 187 patients underwent PEG-J placement (Fig. 1). In patients with initial tubing type data available, 20.3% (n/N = 36/177) had at least 1 PEG-J tube replacement (initial tubing type: AbbVie [21.1%, n/N = 31/147] and non-AbbVie tubing [16.7%, n/N = 5/30]). At the time of data lock for this analysis, the mean  $\pm$  SD LCIG treatment duration was 494.5  $\pm$  249.9 days, with a median daily duration of LCIG infusion of 16 hr from D1 through M12; 7 patients were receiving stable 24-hr LCIG therapy until their last follow-up visit. For all the mean  $\pm$  SD daily LCIG patients. dose  $1241.2 \pm 501.6$  mg/day at D1 and remained relatively stable through M12 (1356.9  $\pm$  504.2 mg/day). Of 195 patients, 60 (30.8%) discontinued the study prematurely. Primary reasons for premature discontinuation included SAEs (13.8%), withdrawal of consent (8.2%), lost to follow-up (1.0%), and other (7.7%) (Fig. 1). Of the 60 patients who discontinued the study, 13 continued LCIG treatment outside the study.

### **Motor Symptoms**

The mean  $\pm$  SD daily hours spent in the *off* state compared with BL (the day before the clinical visit) significantly decreased at D1 and was maintained through M12 (-3.9  $\pm$  3.6 hr/day, P < 0.001; Fig. 2A). Reductions in *off* time were independent of patient age, sex, and PD duration (Fig. S2A-C).

UDysRS total scores significantly improved at D1  $(-12.9 \pm 15.0, P < 0.001)$ , and improvements were maintained through M12 ( $-9.6 \pm 22.5$ , P < 0.001; Fig. 2B). Additionally, 3 of 4 UDysRS subdomains significantly improved from D1 to M12: part 1 (ON-dyskinesia:  $-4.7 \pm 11.8$ , P < 0.001), part 2 (OFF-dystonia:  $-3.0 \pm 4.6$ , P < 0.001), part 4 (disability:  $-1.3 \pm 4.5$ , P < 0.01), as well as the composite historical  $(-7.7 \pm 13.5, P < 0.001)$  and objective scores  $(-2.3 \pm 11.1, P < 0.001)$ P < 0.05; Table 2). Significant improvements were also observed in the UDysRS impairment subdomain from D1 to M6 with a numerical reduction at M12 (Table 2). Supporting evidence for reduction of dyskinesia was observed for patient-reported dyskinesia duration at BL and M12 (4.1  $\pm$  3.7 to 3.7  $\pm$  4.0 hours/ day, P = 0.229), and using the UPDRS scale; including reductions from BL to M12 in dyskinesia-related disability (1.1  $\pm$  1.17 to  $0.6 \pm 0.84$  [P < 0.001]; Fig. 2C), dyskinesia-related pain  $(0.7 \pm 1.05 \text{ at BL to } 0.2 \pm 0.49 \text{ at M12 } [P < 0.001];$  Fig. 2C); and item 35 (early morning dystonia;  $0.5 \pm 0.50$  at BL to  $0.3 \pm 0.46$  at M12 [P < 0.001]; Fig. 2C). UPDRS part II (ADL) total scores were not significantly changed from BL; UPDRS part III (motor examination) were significantly reduced at D1 (P = 0.004) and M3 (P = 0.001), with numerical reductions at M6 and M12 (Table 2). Compared with BL, both item 20 (on state-resting tremor) and 21 (on state-action tremor) significantly improved at M12 (P = 0.01 and P < 0.05, respectively; Table 2).

### **Non-Motor Symptoms**

LCIG significantly improved NMSS total scores through M12 (mean  $\pm$  SD change from BL:  $-23.1 \pm 41.4$  [P < 0.001]; Fig. 3A). Additionally, 5 of 9 NMSS subdomains were significantly improved at M12: cardiovascular including falls ( $-0.8 \pm 4.1$  [P = 0.028]), sleep/fatigue ( $-4.8 \pm 11.6$  [P < 0.001]), mood/cognition ( $-4.4 \pm 13.9$  [P < 0.001]), gastrointestinal tract ( $-3.2 \pm 7.7$  [P < 0.001]), and miscellaneous ( $-4.4 \pm 9.2$  [P < 0.001]; Table 2). In accordance with the NMSS sleep/fatigue domain, patients receiving LCIG reported significantly improved sleep quality (mean  $\pm$  SD change from BL in PDSS-2 total score:  $-6.5 \pm 12.2$  [P < 0.001]; Fig. 3B) and daytime somnolence (mean  $\pm$  SD change from BL in ESS total score:  $-1.0 \pm 5.7$  [P = 0.042] Fig. 3C) through M12.



BL mean  $\pm$  SD Off time (hours/day): (n = 164; 6.0  $\pm$  3.4)



BL mean ± SD UDysRS total score (n = 152; 33.7 ± 21.14)



**FIG. 2.** LCIG efficacy on motor symptoms as measured by change from baseline. *P* values indicate significant difference compared with baseline using a 1-sample *t* test:  $P \le 0.001$  (\*\*\*) and  $P \le 0.01$  (\*\*). BL, baseline; D, day; LCIG, levodopa-carbidopa intestinal gel; M, month; UDysRS, Unified Dyskinesia Rating Scale; UPDRS, Unified Parkinson's Disease Rating Scale.

	Visit				
	Baseline	D1 <sup>a</sup>	M3	M6	M12
UPDRS II, mean $\pm$ SD	n = 173	n = 92	n = 154	n = 149	n = 137
	14.8 ± 7.8	-1.3 ± 6.6	-0.4 ± 6.7	$0.0 \pm 7.4$	$0.7 \pm 7.7$
UPDRS III, mean $\pm$ SD	n = 171	n = 91	n = 156	n = 147	n = 135
	27.6 ± 13.2	-2.7 ± 8.7**	-2.5 ± 9.6**	-1.4 ± 10.6	-0.6 ± 10.4
Item 20: ON state-	n = 172	n = 91	n = 156	n = 148	n = 136
resting tremor	$0.9 \pm 2.2$	-0.1 ± 1.3	-0.3 ± 1.6*	-0.3 ± 1.8	-0.3 ± 1.5**
Item 21: ON state-	n = 172	n = 91	n = 156	n = 148	n = 136
action tremor	$1.0 \pm 1.4$	-0.1 ± 1.2	-0.3 ± 1.3**	-0.3 ± 1.3**	-0.3 ± 1.3*
UDysRS subdomains, mear	$m \pm SD$				
Total score	n = 152	n = 78	n = 134	n = 127	n = 117
	33.7 ± 21.1	-12.9 ± 15.0***	-11.9 $\pm$ 18.9***	-12.6 ± 20.0***	-9.6 ± 22.5***
Part 1: ON-dyskinesia	n = 153	n = 79	n = 136	n = 129	n = 119
	14.6 ± 11.0	-5.4 ± 8.1***	-5.3 ± 10.3***	-5.7 ± 10.8***	-4.7 ± 11.8***
Part 2: OFF-dystonia	n = 154	n = 80	n = 137	n = 130	n = 121
	$6.9 \pm 4.8$	-3.3 ± 4.0***	-3.2 ± 4.3***	-3.3 ± 4.5***	-3.0 ± 4.6***
Part 3: impairment	n = 154	n = 80	n = 137	n = 129	n = 120
	7.4 ± 6.5	-2.2 ± 4.3***	-2.2 ± 6.1***	-2.2 ± 6.7***	-1.0 ± 7.4
Part 4: disability	n = 152	n = 78	n = 135	n = 127	n = 118
	$4.9 \pm 3.8$	-1.6 ± 2.8***	-1.5 ± 3.5***	-1.8 ± 3.9***	-1.3 ± 4.5**
Historical score	n = 153	n = 79	n = 136	n = 129	n = 119
	21.5 ± 13.1	-8.8 ± 10.0***	-8.5 ± 12.1***	-9.0 ± 12.1***	-7.7 ± 13.5***
Objective score	n = 152	n = 78	n = 135	n = 127	n = 117
	$12.2 \pm 9.8$	-3.8 ± 6.9***	-3.6 ± 9.0***	-3.9 ± 10.1***	-2.3 ± 11.1*
NMSS subdomains, mean =	E SD				
Total score	n = 162	n = 89	n = 141	n = 137	n = 123
	87.9 ± 51.3	-26.7 ± 42.0***	-29.6 ± 37.7***	-27.9 ± 42.7***	-23.1 ± 41.4***
Domain 1: cardiovascular	n = 169	n = 92	n = 153	n = 144	n = 131
including falls	$3.1 \pm 4.4$	-1.2 ± 3.9**	-1.1 ± 4.2**	-1.0 ± 4.7**	-0.8 ± 4.1*
Domain 2: sleep/fatigue	n = 169	n = 93	n = 153	n = 144	n = 131
	$16.3 \pm 10.5$	-6.5 ± 10.2***	-5.9 ± 11.0***	-5.5 ± 10.3***	-4.8 ± 11.6***
Domain 3: mood/	n = 169	n = 93	n = 153	n = 143	n = 131
cognition	15.7 ± 15.9	-5.3 ± 14.2***	-5.9 $\pm 13.4^{\star\star\star}$	-4.7 ± 14.7***	-4.4 ± 13.9***
Domain 4: perceptual problems/ hallucinations	n = 169 $2.9 \pm 5.3$	n = 92 -1.5 ± 4.7**	n = 153 -1.4 ± 4.7***	n = 144 -1.0 ± 5.5*	n = 131 -0.4 ± 4.9
Domain 5: attention/	n = 172	n = 113	n = 153	n = 149	n = 135
memory	$8.2 \pm 8.6$	-2.0 ± 6.4**	-2.6 $\pm$ 7.0***	-2.9 $\pm$ 7.9***	-0.9 ± 7.3
Domain 6:	n = 171	n = 113	n = 151	n = 147	n = 134
gastrointestinal tract	$9.5 \pm 8.2$	-2.4 ± 6.1***	-3.7 ± 6.2***	-4.0 ± 7.5***	-3.2 $\pm$ 7.7***
Domain 7: urinary	n = 170	n = 114	n = 149	n = 146	n = 133
	14.3 ± 11.0	-1.8 ± 6.7**	-2.7 $\pm 9.2^{***}$	-2.5 ± 9.5**	-1.6 ± 10.5
Domain 8: sexual	n = 171	n = 113	n = 150	n = 147	$n = 130 - 1.0 \pm 6.3$
function	5.0 ± 7.1	-0.6 ± 4.1	-0.6 ± 5.5	-0.4 ± 6.3	

**TABLE 2** Change from baseline in the mean UPDRS II, UPDRS III, UDysRS subdomain, and NMSS subdomain scores

(Continues)

#### TABLE 2 Continued

		Visit			
	Baseline	D1 <sup>a</sup>	M3	M6	M12
Domain 9: miscellaneous	n = 171 $12.6 \pm 9.7$	n = 112 -4.1 ± 8.5***	n = 151 -4.7 ± 8.3***	n = 147 -5.8 ± 9.6***	n = 134 -4.4 ± 9.2***

<sup>a</sup>Patient numbers are lower at D1 as no efficacy assessments were collected in patients without the optional NJ phase.

D, day; M, month; NJ, nasojejunal; NMSS, Non-Motor Symptoms Scale; UDysRS, Unified Dyskinesia Rating Scale; UPDRS, Unified Parkinson's Disease Rating Scale. Values compared with baseline with paired t test, P < 0.001 (\*\*\*), P < 0.01 (\*\*), and P < 0.05 (\*).

# Patient HR-QoL and Caregiver Burden

Patient HR-QoL (mean  $\pm$  SD change from BL in PDQ-8 summary index) significantly improved from D1 to M12 (-9.0  $\pm$  21.6 [P < 0.001]; Fig. 4A). The sustained and significant improvements in patient HR-QoL were independent of patient age, sex, or PD duration from M3 to M12 (Fig. S4A-C and Fig. S3E). Additionally, LCIG treatment significantly improved caregiver burden from M3 to M12 (mean  $\pm$  SD change from BL in MCSI total score:  $-1.9 \pm 6.7$  [P = 0.008]; Fig. 4B).

# Impact of Baseline Disease Severity

To assess the effect of baseline disease severity, a post hoc analysis of the effect of baseline H&Y stage was conducted, dividing the subjects into those with H&Y scores <3 and those with scores  $\geq 3$ (in the on state). Significant improvements in off time were observed independent of disease severity (H&Y scores: <3 and  $\geq 3$ , mean  $\pm$  SD change from BL, n = 41 and 86;  $-4.2 \pm 3.6$  and  $-3.8 \pm 3.7$  hr/day, both P < 0.001; Fig. S3A) and dyskinesia signs and symptoms (UDysRS total scores: n = 45 and 72,  $-6.3 \pm 19.7$  and  $-11.6 \pm 23.9$ , P = 0.037 and P < 0.001, respectively; Fig. S3B). In the total population, axial symptoms significantly improved at D1 (mean  $\pm$  SD change from BL  $-1.5 \pm 4.4$ , P < 0.01) and M3  $(-1.5 \pm 4.6)$ [P < 0.001]) and numerically reduced at M6  $(-0.7 \pm 5.1)$  and M12 ( $-0.5 \pm 4.6$ ). The axial symptom scores at M12 increased significantly in the group with scores <3 (n = 47, 1.4  $\pm$  4.4 [P = 0.040]) but significantly improved in the group with scores  $\geq 3$  (n = 86, -1.5 ± 4.3 [P = 0.002]; Fig. S3C). Independent of BL disease severity, significant improvements were observed in NMS (NMSS total scores:  $n = 42/81, -18.6 \pm 42.3$ and  $-25.5 \pm 41.1$ ; both P < 0.01; Fig. S3D) and HR-QoL (PDO-8 summary index: n = 46/88,  $-11.0 \pm 3.2$ and  $-7.8 \pm 2.3$ ; both P < 0.01; Fig. S3E).

### **Completer Analysis**

There were no clinically meaningful differences in BL demographics or disease characteristics in patients who completed 12 months of LCIG therapy (12-month completers) and patients







BL mean  $\pm$  SD PDQ-8 summary index (n = 171; 45.1  $\pm$  18.10)





who discontinued before 12 months of LCIG therapy (12-month non-completers; Table 3).

### Safety

Overall, 79 (40.5%) patients experienced SAEs with 23 SAEs having a reasonable possibility of being related to LCIG and 29 (14.9%) patients discontinued LCIG because of an SAE (Table 4). SAEs that occurred in >2 patients are presented in Table 4. The most common SAEs were fall (n = 6), urinary tract infection (n = 6), hip fracture (n = 5), pneumonia (n = 5), and abdominal pain (n = 4) (Table 4). In the total population, mean weights were 72.9 kg at BL and 72.3 kg at M12; however, 56 patients (28.7%) experienced a  $\geq$ 7% decrease in weight and 29 (14.9%) experienced a  $\geq$ 7% increase in weight compared with BL measures. Three neuropathies were reported and were described by the investigators as chronic inflammatory demyelinating polyradiculoneuropathy (n = 1), polyneuropathy (n = 1), and sensory loss (n = 1) (Table 4). From initiation of data collection to the database lock for this analysis, 13 patients died, and 1 of these deaths (intestinal obstruction) in the investigator's judgment was deemed possibly related to LCIG (Table 4).

### Discussion

We present an analysis of the safety and efficacy of LCIG treatment in a large-scale, long-term, fully prospective observational study in patients with advanced PD. This study, the first to include US sites in a large observational cohort, was designed to replicate the clinical practice experience. Although practice settings were diverse, after 1 year of treatment, there were strong and consistent improvements in motor features, NMS, patient HR-QoL, and caregiver burden.

The LCIG dose was relatively stable over 12 months of treatment, as seen previously.<sup>15</sup> LCIG led to significant reductions in *off* time with  $\geq$ 3 hours absolute reduction and  $\geq$ 50% reduction at every study visit compared with measures at BL, independent of

	12-month completer ( $N = 148$ )		12-month non-completer ( $N = 47$ )	
	n	%	n	%
Sex				
Female	53	35.8	22	46.8
Male	95	64.2	25	53.2
	n	mean $\pm$ SD	n	mean $\pm$ SD
Age, years	148	$69.9\pm8.0$	47	$71.3\pm8.5$
BMI, kg/m <sup>2</sup>	140	$25.9\pm4.1$	42	$25.6\pm4.1$
Weight, kg	140	$73.9 \pm 14.2$	42	$69.7 \pm 13.9$
Hoehn and Yahr stage				
On state	143	$3.0 \pm 0.8$	45	$3.3 \pm 0.8$
Off state	141	$3.6 \pm 0.8$	45	$3.7 \pm 0.7$
PD duration, years	147	$11.3 \pm 4.8$	47	$11.0 \pm 4.9$
MMSE score	142	$27.8 \pm 1.8$	45	$27.4 \pm 3.0$
<i>Off</i> time, hr/day	137	$5.9 \pm 3.3$	27	$6.4 \pm 3.8$
UDysRS total score	126	$33.8\pm20.9$	26	$32.8 \pm 23.0$
NMSS total score	136	$89.4 \pm 52.0$	28	$82.6 \pm 47.1$
PDQ-8 summary index score	143	$45.4\pm18.6$	28	$43.2\pm15.5$
MCSI total score	104	$11.2\pm6.4$	19	$9.2 \pm 6.0$

**TABLE 3** Baseline demographics and disease characteristics of 12-month completer versus non-completers

BMI, body mass index; MCSI, Modified Caregiver Strain Index; MMSE, Mini-Mental State Examination; NMSS, Non-Motor Symptoms Scale; PD, Parkinson's disease; PDQ-8, 8-item Parkinson's Disease Questionnaire; UDysRS, Unified Dyskinesia Rating Scale.

patient age, sex, or disease duration. This observation is consistent with other observational and controlled-clinical trials of LCIG,<sup>11,12,14,15</sup> and are substantially greater than the 1-hr *off* time reduction threshold for a clinically meaningful change.<sup>21</sup>

This is the first prospective, multinational study assessing dyskinesia signs and symptoms using the novel, validated, and highly sensitive UDysRS.<sup>20,22</sup> UDysRS total score improvements were maintained through 12 months of treatment (29% reduction at M12). Additionally, 3 of 4 UDysRS parts significantly improved: ON-dyskinesia, OFF-dystonia, disability, and composite historical and objective scores. Improvement in the impact of dyskinesia on everyday functioning as measured by parts 1 and 2 of the UDysRS exceeded values proposed as the minimal clinically significant change (2.1 and 1.8, respectively).<sup>23</sup> Similar improvements in UDysRS scores were reported in a smaller open-label study of Hungarian patients with advanced PD who were treated with LCIG for 12 months.<sup>22</sup> This robust effect on dyskinesia was supported by corresponding improvements in UPDRS part IV. These observations support the idea that LCIG can be a useful clinical strategy, particularly in patients experiencing both off periods and dyskinesias.

Tremor is a troublesome motor feature that exhibits variable response to dopaminergic treatment.<sup>24,25</sup> Here, we observed significant improvement in both the *on* state-resting and -action tremors with LCIG. Of note, most enrolled patients did not have

severe tremor at baseline. Further studies of the effects of LCIG on tremor are warranted.

We also observed significant improvements in NMSS total scores through M12 that are consistent with previous clinical trials and observational studies, <sup>13,15–17,19</sup> including studies from independent cohorts that assessed the effects of LCIG on NMSS.<sup>26–28</sup> The magnitude of NMSS total score improvement (26% reduction at M12) with LCIG ranged from 23 to 30 points at all time points evaluated and were substantially greater than the 13.9-point reduction considered the minimal clinically important difference (MCID) for this scale.<sup>29</sup>

Improvement in sleep was an important contributor to the overall improvement in total NMSS. Of note, most patients received 16-hr per day LCIG infusion therapy through the study. Sleep disturbances are commonly reported in patients with PD,<sup>30–32</sup> here, we show improvements on the sleep/fatigue sub-domain of the NMSS (29% reduction at M12) similar to those seen in other LCIG observational studies.<sup>15–17</sup> Smaller studies have shown improvements in sleep symptoms with LCIG treatment as assessed by the PDSS-2<sup>33,34</sup> and ESS<sup>33</sup> and improvements in PDSS-2 sleep quality scores through 12 months of LCIG treatment, all above the MCID threshold of -3.44 points.<sup>35</sup> The sustained improvements of NMS with LCIG are important clinical outcomes because NMS treatment is considered a key unmet need<sup>36</sup> and is articulated in the recent

#### **TABLE 4** Safety summary

Patients with	n (% of N = 195)
Any severe AE	46 (23.6)
Any SAE	79 (40.5)
Any SAE with reasonable possibility of causal relationship with LCIG	23 (11.8)
Any AE leading to drug being withdrawn	29 (14.9)
Deaths	13 (6.7)
Deaths considered to be related to LCIG treatment by the study investigator <sup>a</sup>	1 (0.5)

	SAEs	Treatment-emergent SAEs (reasonable possibility)	
	n (% of N = 195)	n (% of N = 195)	
Patient with any SAE	79 (40.5)	23 (11.8)	
Treatment-emergent SAE occurring in >1% of patients by MedDRA preferred term			
Fall	6 (3.1)	2 (1.0)	
Urinary tract infection	6 (3.1)	1 (0.5)	
Hip fracture	5 (2.6)	0	
Pneumonia	5 (2.6)	0	
Abdominal pain	4 (2.1)	3 (1.5)	
Device dislocation	3 (1.5)	2 (1.0)	
Device occlusion	3 (1.5)	2 (1.0)	
Femoral neck fracture	3 (1.5)	0	
Hallucination	3 (1.5)	2 (1.0)	
Neuropathy <sup>b,c</sup>	3 (1.5)	0	
Pneumoperitoneum	3 (1.5)	2 (1.0)	
Urosepsis	3 (1.5)	1 (0.5)	

<sup>a</sup>Intestinal obstruction.

 $^{b}$ Chronic inflammatory demyelinating polyradiculoneuropathy (n = 1), polyneuropathy (n = 1), and sensory loss (n = 1).

<sup>c</sup>All 3 neuropathies were reported by the investigator as not treatment related.

AE, adverse event; LCIG, levodopa-carbidopa intestinal gel; MedDRA, Medical Dictionary for Regulatory Activities; SAE, serious adverse event.

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Beneficial effects of LCIG treatment were also observed in patient HR-QoL. Improvement in PDQ-8 summary index scores with LCIG exceeds the MCID threshold of -5.9,<sup>35,37</sup> independent of patient age, sex, or disease duration. These observations align with several studies indicating NMS are important contributors to patient HR-QoL, although a direct relationship between NMS and HR-QoL was not investigated here. In this study, using the MCSI, caregiver burden was significantly improved at M12. Multiple non-disease-specific scales have been used to assess the impact of LCIG treatment on caregiver burden, which could explain variable results reported in the literature.  $^{12,38-41}\,$ 

Our analysis of patients stratified by H&Y scores before LCIG treatment provides further evidence that, independent of disease severity, LCIG improves motor fluctuations including *off* time and dyskinesia. We also observed that in patients with higher disease severity at BL, axial symptoms significantly improved through M12. Another report found that axial symptoms in patients treated with LCIG remained relatively stable up to 1-year follow-up; however, that study included fewer patients and different stratification of patients by baseline H&Y scores.<sup>42</sup> Here, we also provide a description of those patients who

completed 12 months of LCIG treatment, although no clinically meaningful differences were observed, it will be important for further studies to assess whether any of these characteristics are predictive of a positive response to LCIG therapy.

Our observations on safety in this study are consistent with the established LCIG safety profile from controlled clinical trials and other observational studies.<sup>11,12,14,15</sup> SAEs were reported in 79 patients (40.5%) consisting of events typically encountered in this population. Weight variation and neuropathies have multifaceted manifestations, but have been potentially linked to the underlying pathological changes associated with PD, aging, and levodopa administration and dosage.<sup>43–47</sup> We report  $a \ge 7\%$ decrease or  $a \ge 7\%$  increase in weight in 56 (28.7%) and 29 (14.9%) patients after 12 months of LCIG therapy, respectively, and 3 patients with symptoms of neuropathies reported as SAEs. However, as an observational study, the protocol did not mandate prospective laboratory or nerve conduction velocity assessments. From initiation of data collection to the database lock for this analysis, 13 deaths occurred with 1 deemed possibly related to LCIG, which is considered within the expected range in this age group. Overall, 60 patients (30.8%) prematurely discontinued the study; however, of note, 13 of these patients continued to receive LCIG therapy outside of the study. Overall, this AE profile is consistent with a procedure that is often administered to patients with advanced PD who may be frail and elderly.

The main limitation of this study is that it is open-label, noncomparative, and observational. We cannot exclude a component of placebo effect in the outcomes observed, but LCIG has previously been shown to be effective for off time in a randomized double-blind study, and the magnitude of the changes in off time, dyskinesia, and HR-QoL measures are large and unlikely because of placebo alone. This observational study relied on selfreported off time for the day before the study visit. Although Hauser diaries are the gold standard for measuring off time,<sup>48</sup> use of these diaries would have added non-routine burden to patients, caregivers, and clinicians. We used single-day retrospective reporting to avoid potential recall bias, and to reflect assessments of off time in routine clinical practice. The large number of patients included in the study should sufficiently offset individual daily fluctuations in off time. However, we note that subgroup analyses are inherently less precise, because they rely on smaller numbers of patients. Despite these limitations, the data presented here provide important real-world information on LCIG in routine clinical practice.

LCIG treatment of patients with advanced PD in the diverse routine clinical care settings found in a variety of countries and practices consistently led to significant and sustained improvements in both motor features (including *off* time and dyskinesia) and non-motor measures (including sleep). There was also consistent improvement in measures of patient HR-QoL and caregiver burden. The safety events reported here were consistent with the previously established safety profile of LCIG as determined from controlled-clinical trials and observational studies. Overall, these findings reinforce the effectiveness of LCIG in a real-world setting and provide valuable new information regarding effects on dyskinesia and NMS. Continued observation of this cohort to 36 months of treatment, as planned, will provide further insight into how these features evolve over extended periods of treatment.

## **Author Roles**

(1) Research Project: A. Conception, B. Organization, C. Execution; (2) Statistical Analysis: A. Design, B. Execution, C. Review and Critique; (3) Manuscript: A. Writing of the First Draft, B. Review and Critique.

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### Disclosures

Ethical Compliance Statement: The protocol, patient information, and informed consent were approved in all countries by national and/or local independent ethics committees and health authorities according to the applicable national regulatory requirements. All patients participating in the study provided written informed consent. We confirm that we have read the Journal's position on issues involved in ethical publication and affirm that this work is consistent with those guidelines.

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### **Data Sharing Statement**

AbbVie is committed to responsible data sharing regarding the clinical trials we sponsor. This includes access to anonymized individual and trial-level data (analysis data sets), as well as other information (eg, protocols and Clinical Study Reports), as long as the trials are not part of an ongoing or planned regulatory submission. This includes requests for clinical trial data for unlicensed products and indications. This clinical trial data can be requested by any qualified researchers who engage in rigorous, independent scientific research, and will be provided following review and approval of a research proposal and Statistical Analysis Plan (SAP) and execution of a Data Sharing Agreement (DSA). Data requests can be submitted at any time and the data will be accessible for 12 months, with possible extensions considered. For more information on the process, or to submit a request, visit following link: https://www.abbvie.com/our-science/ the clinical-trials/clinical-trials-data-and-information-sharing/dataand-information-sharing-with-qualified-researchers.html.

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## **Supporting Information**

Supporting information may be found in the online version of this article.

**Figure S1.** Study schematic. <sup>a</sup>Approximately 14 days or as recommended by the physician according to local reimbursement guidelines. <sup>b</sup>Effectiveness data only for patients with prior NJ treatment phase. LCIG = levodopa-carbidopa intestinal gel; M = month; NJ = nasojejunal; PD = Parkinson's disease; PEG-J = percutaneous endoscopic gastrostomy with jejunal extension tube.

**Figure S2.** Mean (SE) change from baseline in *off* time by (A) age, (B) sex, and (C) disease duration at indicated time points. *P* values indicate significant difference compared with baseline using repeated measures model that includes country visit baseline subgroup, the baseline\*visit, and the subgroup\*visit interaction:  $P \le 0.001$  (\*\*\*). BL = baseline; LCIG = levodopa-carbidopa intestinal gel; M = month.

Figure S3. Motor and non-motor symptoms in patients treated with LCIG stratified by baseline H&Y score. Axial

symptom scores are the sum of items 18, 22, 27–30 on UPDRS part III. *P* values indicate significant difference compared with baseline using:  $P \le 0.001$  (\*\*\*). BL = baseline; D = day; H&Y = Hoehn and Yahr; HR-QoL = health-related quality of life; LCIG = levodopa-carbidopa intestinal gel; M = month; NMSS = Non-Motor Symptom Scale; PDQ-8 = 8-item Parkinson's Disease Questionnaire; UDysRS = Unified Dyskinesia Rating Scale; UPDRS = Unified Parkinson's Disease Rating Scale.

**Figure S4**. Mean (SE) change in PDQ-8 summary index scores by (A) age, (B) sex, and (C) disease duration at indicated time points. *P* values indicate significant difference compared with baseline using repeated measures model that includes country visit baseline subgroup, the baseline\*visit, and the subgroup\*visit interaction:  $P \le 0.001$  (\*\*\*),  $P \le 0.01$  (\*\*), and  $P \le 0.05$  (\*). BL = baseline; D = day; LCIG = levodopa-carbidopa intestinal gel; M = month; PDQ-8 = 8-item Parkinson's Disease Questionnaire; SE = standard error.