



SÍNTESIS EMPÍRICA DE META-ANÁLISIS,
TÉCNICAS DE OPTIMIZACIÓN MULTI-
OBJETIVO Y MINERÍA DE DATOS
APLICADAS AL DIAGNÓSTICO DE LA
ENFERMEDAD DE ALZHEIMER



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Síntesis empírica de meta-análisis, técnicas de optimización
multi-objetivo y minería de datos aplicadas al diagnóstico de
la Enfermedad de Alzheimer

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A mis padres y mi sister

Iris...

En memoria de mi abuela

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INTRODUCTION



INTRODUCTION

On November 3, 1906, Alois Alzheimer presented a communication about a peculiar disease recorded in the ex-cerebral section of the brain. The patient who had suffered from this disease was named Auguste Deter, and during his last years of his life he presented unique characteristics of dementia (1). This was the first scientific description of the Alzheimer's disease, although some historical data have been found from as far back as 500 B.C. There are other references to dementia in ancient Greece and the Roman Empire. Cicero, in one of his works, describes the loss of judgment associated with age. In the Roman Empire, it was Aurelius Cornelius who succeeded in having dementia considered a serious disease. Later, the physician Arateus of Cappadocia established the first classification of dementias and divided them into chronic and acute. Between 1894 and 1915, focal cerebral atrophy began to be studied. But if there was a turning point in the discovery of Alzheimer's disease (AD), it was in 1901 when the German psychiatrist and neurologist Alois Alzheimer began to treat a 51-year-old patient who, for no reason, presented memory problems and behavioral alterations (hallucinations, delusions, etc.). When Alzheimer studied the brain of this patient, he noted the existence of peculiar lesions and peculiar anomalies in the neuronal structures of the brain (2). This study, published in 1907, was the basis for the clinical picture of what in 1910 would become known as Alzheimer's disease. Alzheimer published a second study in 1911(3). Later, in 1973, Benjamin Rush published the data of a clinical case that matched the symptomatology of AD in the United States (2).

AD follows a progressive degenerative course characterized by an insidious progression whose symptomatological manifestations usually appear in a staggered manner. As the disease progresses, different cognitive, functional, and psychiatric symptoms characteristic of Alzheimer's-type dementia appear in different stages (3). Consensus has been reached on the establishment of three stages of disease progression (mild, moderate, and severe). The

progression of the disease depends on many factors, including the age of the user, the care and treatment received, the environment in which it develops, or the existence of other pathologies that may influence the aggravation of AD (4). The duration of AD is highly variable, with some users dying prostrate within 4 years of the onset of the disease, and others surviving for more than 12 to 15 years (5).

The main signs and symptoms that characterize AD are cognitive alterations, behavioral alterations, and neurological alterations (6). Cognitive disorders may include memory impairment (the main and most characteristic symptom of the disease), language impairment, agnosia, apraxia, disorientation, and impaired executive function. Behavioral disturbances can include delusions, hallucinations, mood swings, neurovegetative function disturbances, and psychomotor activity disturbances. Neurological signs of AD include extrapyramidal signs, dyskinesias, myoclonias, seizures, gait disturbances, and pyramidal signs (1).

STRUCTURE



STRUCTURE

This thesis aims to bring health professionals closer to AD. In this context, some of the risk factors that influence AD will be analyzed, since every year there are scientific advances in this field that explore this complex disease. AD ranks as the seventh most frequent cause of death, with a total of 13,045 people dying in 2021. Among these people 3,835 were men and 9,210 were women.(7).

This paper is structured in two main sections. **Section 1** consists of a meta-analytic review of the different risk factors that appear in the literature directly related to AD, and **Section 2** analyzes some of the risk factors using heuristic optimization, data mining, and a multi-objective approach.

In the first section, **Chapter 1** describes some of the main risk factors found in the scientific literature. From there, the factors to be analyzed throughout the thesis are selected: cholesterol, depression, blood pressure and stroke. **Chapter 2** examines the relationship between cholesterol and AD. Dyslipidemia, which has been identified as a risk factor for AD, refers to abnormal levels of lipids or lipoproteins in the blood, including low-density lipoprotein cholesterol (LDL-C), high-density lipoprotein cholesterol (HDL-C), and finally triglycerides (TG) and total cholesterol (TC) (8). **Chapter 3** discusses the relationship between depression and AD. Depression is one of the most common diseases that afflict the elderly, with an estimated prevalence of 6-20% in this group (9). There are numerous studies where the relationship between depression and the development of AD has been studied. Thus, studies conducted by Santa Bárbara (9), Ford(10), Kuring(11), Cherbuin(12), Diniz(13), and Gao(14) all show strong positive associations between depression and AD. No meta-analytic studies have been found that reject this relationship or that confirm a negative relationship between depression and the development of AD. **Chapter 4** studies the relationship between blood pressure with AD for which there are still no conclusive

results. Indeed, there is much debate in the scientific literature about the relationship between these two factors. Some studies associate vascular dementia with hypertension, arteriosclerosis, and stroke, and positively relate these factors to the development of AD (15). Along the same lines, another cardiovascular factor, blood pressure, could be related to the development of AD. **Chapter 5** examines strokes as another risk factor. Despite significant improvements in primary prevention and acute treatment in recent decades, strokes continue to be a devastating disease (16). Due to the increasing size and age of the world's population, the incidence of strokes is increasing dramatically. In fact, epidemiological data indicate that 16.9 million people suffer a stroke each year, representing a global incidence of 258 / 100,000 / year, with marked differences between high- and low-income countries, and an age-adjusted incidence 1.5 times higher in men than in women (17). Strokes have long been associated with AD, but the link remains controversial and unproven (18). Some studies explain the relationship by the brain changes that occur when a stroke occurs which lead to the clinical expression of cognitive impairment and dementia (19,20).

In **Section 2**, a study of heuristic optimization, data mining and a multi-objective approach is carried out. This section is divided into two chapters. In **Chapter 6**, the objective is to develop a model generating system for medical diagnostics. Different variable selection methods (filter, wrapper, and embedded) have been used within linear models that support the specific method type and that make high accuracy predictions using features of different variables. The application possibilities of supervised classification are expected to be very broad, especially in the medical-health field (aiding diagnosis and prevention). Therefore, the aim is to find a classification method that can allow, based on its characteristics (classifying variables, criteria, predictors, or explanatory variables), to classify an individual in one of the previously established groups (Group 1 (People diagnosed with AD) and Group 2 (People not diagnosed with AD)) by means of an optimal decision rule, with the objective of getting

it right as many times as possible. This is done using only information provided by a set of variables. To achieve this, the discriminant factors are calculated and the central values of each of the groups (the vectors formed by the means of each discriminant factor) are found. In **Chapter 7**, different classifiers are used – the Support Vector Machines model (SVM), the logistic regression model (LR), and the discriminant analysis model (LDA) – which are applied to the method developed in Chapter 6 to diagnose AD in the elderly from a matrix with multiple data and variables.

SECCIÓN 1

FACTORES DE RIESGO ASOCIADOS A LA ENFERMEDAD DE ALZHEIMER: REVISIÓN SISTEMÁTICA DE META- ANÁLISIS Y ESTUDIOS META- ANALÍTICOS

CAPÍTULO 1

“Cuando recordar no pueda, ¿dónde mi recuerdo irá? Una cosa es el recuerdo y otra cosa recordar.”

Antonio Machado

Saiz Vazquez O, Ubillos Landa S, Puente Martínez A, Antonio Pacheco Bonrostro J y Casado Yusta S. Síntesis empírica de meta-análisis de los factores de riesgo modificables de la Enfermedad Alzheimer. En: Pacheco Bonrostro J, Cuesta Gómez JL. VII Jornadas de Doctorandos de la Universidad de Burgos. Burgos: Servicio de Publicaciones de la Universidad de Burgos; 2021.p. 365-685.

CAPÍTULO 1: SÍNTESIS EMPÍRICA DE META-ANÁLISIS DE FACTORES DE RIESGO MODIFICABLES DE LA ENFERMEDAD ALZHEIMER

RESUMEN

Introducción: La Enfermedad de Alzheimer (EA) consiste en un trastorno neuro-cognitivo asociado a la pérdida funcional y cognitiva de las personas que lo padecen. La identificación de factores que pueden modificar el curso de la EA es fundamental para poder intervenir sobre ellos a fin de prevenir la enfermedad y/o ralentizar su avance. Por ello, se ha realizado una síntesis empírica de los meta-análisis de los factores modificables de la EA.

Objetivo: Actualizar y sintetizar la evidencia empírica aportada por los meta-análisis sobre el peso de los factores de riesgo modificables de la EA para posibilitar un tratamiento de mantenimiento y mejora eficiente de las capacidades físicas y cognitivas a través de la intervención no farmacológica.

Metodología: Se han realizado búsquedas en diferentes bases de datos: Scopus, Web Of Science, Pubmed, Science Direct y Google Scholar, sin límite de tiempo hasta junio del 2020. Se identificaron 2783 meta-análisis, de los cuales 44 cumplieron los criterios de inclusión.

Resultados: Entre los factores de riesgo del desarrollo de la EA con una evidencia relativamente fuerte se encuentran: accidente cerebrovascular ($n = 2$), algunos tipos de colesterol (LDL, TC) ($n = 3$), depresión ($n = 5$), diabetes mellitus ($n = 7$), inactividad física ($n = 1$), obesidad (índice de masa corporal por encima de 30) ($n = 5$), algunos tipos de presión arterial elevada (PAS y PA) ($n = 3$), riesgo cardiovascular ($n = 2$), tabaquismo ($n = 5$) y ausencia o incremento de vitaminas (B y D) ($n = 3$). En algunos estudios no existe evidencia de asociación significativa en los factores de riesgo de: algunos tipos de colesterol (HDL, TG) ($n = 2$), algunos tipos de presión arterial elevada (PAD) ($n = 2$), tabaquismo ($n = 1$), ausencia o incremento de vitaminas (D, B y E) ($n = 3$)

Conclusión: Si se interviene en los factores modificables que están asociados significativamente con el aumento de la EA, se estima que se podría disminuir la incidencia de esta enfermedad.

Palabras clave: Meta-análisis, Enfermedad de Alzheimer, Factores de Riesgo Modificables.

ABSTRACT

Background: Alzheimer's disease (AD) consists of a neuro-cognitive disorder associated with functional and cognitive loss in people who suffer from it. The identification of factors that can modify the course of AD is essential to be able to intervene on them in order to prevent the disease and/or slow its progression. Therefore, an empirical synthesis of meta-analyses of modifiable factors in AD has been carried out.

Objective: To update and synthesize the empirical evidence provided by meta-analyses on the weight of modifiable risk factors of AD to enable efficient maintenance treatment and improvement of physical and cognitive abilities through non-pharmacological intervention.

Methods: We searched different databases: Scopus, Web Of Science, Pubmed, Science Direct and Google Scholar, with no time limit until June 2020. A total of 2783 meta-analyses were identified, of which 44 met the inclusion criteria.

Results: Risk factors for the development of AD with relatively strong evidence included: stroke (n = 2), some types of cholesterol (LDL, TC) (n = 3), depression (n = 5), diabetes mellitus (n = 7), physical inactivity (n = 1), obesity (body mass index above 30) (n = 5), some types of elevated blood pressure (SBP and BP) (n = 3), cardiovascular risk (n = 2), smoking (n = 5), and lack of or increased vitamins (B and D) (n = 3). In some studies, there is no evidence of significant association in the risk factors of: some types of cholesterol (HDL, TG) (n = 2), some types of elevated blood pressure (DBP) (n = 2), smoking (n = 1), absence or increase of vitamins (D, B and E) (n = 3)

Conclusions: If we intervene in the modifiable factors that are significantly associated with the increase of AD, we can decrease the incidence of this disease.

Keywords: Meta-analysis, Alzheimer's disease, Modifiable Risk Factors.

INTRODUCCIÓN

La EA es un tipo de demencia crónica, irreversible, primaria, con lesiones localizadas en la corteza cerebral y claramente relacionada con la edad. Existe una forma precoz, antes de los 65 años, y una forma tardía, después de los 65 años, que es la más frecuente y que se produce en el 85% de las personas que padecen la EA(21).

La EA es una enfermedad neurodegenerativa primaria, con las propiedades sindrómicas de demencia, que se caracteriza en su forma típica por una pérdida progresiva de la memoria y de una o varias capacidades mentales, con sintomatología clínica tanto a nivel cognitivo como conductual y funcional(22). Los síntomas de la enfermedad fueron identificados por Emil Kraepelin, mientras que la neuropatología característica fue descrita por primera vez por Alois Alzheimer en 1906.

La EA sigue un curso degenerativo progresivo que se caracteriza por un avance insidioso, cuyas manifestaciones sintomatológicas suelen ir apareciendo de manera escalonada. A medida que avanza la enfermedad aparecen diferentes síntomas cognitivos, funcionales y psiquiátricos característicos de la demencia tipo Alzheimer que se establece en diferentes fases(3). Se ha llegado al consenso de establecer tres fases de progresión de la enfermedad (leve, moderada y severa). La progresión de la enfermedad depende de muchos factores, entre los que se incluye la edad del usuario, los cuidados y tratamientos recibidos, el entorno en el que se desarrolla o la existencia de otras patologías que puedan influir en el agravamiento de la EA(4). La duración de la enfermedad puede ir desde un año hasta 15 años.

Los principales signos y síntomas que caracterizan a la EA son: alteraciones cognitivas, de la conducta y neurológicas(6). Dentro de trastornos cognitivos, se podrían incluir problemas de la memoria (el principal síntoma y el más característico de la enfermedad), dificultades del lenguaje, agnosia, apraxia, desorientación y alteración de la función ejecutiva. En el caso de las alteraciones de la conducta se señalan: delirios, alucinaciones, cambios de humor, cambios de la función neurovegetativa y alteraciones de la actividad psicomotora. Con respecto a los signos neurológicos se podrían diferenciar entre: signos extrapiramidales, discinesias, mioclonías, crisis convulsivas, paratonías, alteraciones de la marcha y signos piramidales(1).

Para llegar a entender la repercusión que podría tener la EA en un futuro en nuestro país, es importante tener en cuenta según las proyecciones de población publicadas en la página web del Instituto Nacional de Estadística(23), cuál es el aumento estimado de la población española. En los próximos 15 años, España ganaría 2.375.776 habitantes, hasta superar los 49 millones de personas en el año 2033. La esperanza de vida al nacimiento alcanzaría en el año 2033 los 82,9 años en los hombres y los 87,7 años en las mujeres, respecto a los valores actuales. Se estima que para el año 2030 las personas con demencia en el mundo superen los 80 millones (24), con estos datos, la EA repercutiría en la vida de más de 3,5 millones de personas en nuestro país(24).

La primera causa de muerte en España, en el año 2021, fue la del grupo de enfermedades del sistema circulatorio, seguida de las enfermedades cerebro vasculares y de las enfermedades de cáncer de bronquios y pulmón (24). En este primer grupo de enfermedades circulatorias, las enfermedades isquémicas del corazón y las enfermedades cerebro vasculares ocupan el primer lugar en el número de defunciones, aunque el porcentaje descendió con respecto al año anterior (24). La demencia se sitúa como la quinta causa más frecuente de defunciones en España. La EA se sitúa como la octava causa más frecuente, con un total de 13.045

personas fallecidas; de entre ellas 3.835 fueron hombres y 9.210 fueron mujeres (24). Pero en el último año se destaca el descenso en las defunciones por EA (-16.2%)(24).

Se estima que actualmente, en España, hay cerca de 800.000 personas con EA, más de la mitad en estado de dependencia. Las demencias son el problema sanitario en España que más recursos consume(25). El coste comprende, por un lado, los gastos directos (gasto de consultas médicas, medicación, adaptaciones de la vivienda, ocupación de Centro de día o residencia de ancianos...). Y, por otro lado, los gastos indirectos (cuidadores que dedican muchas horas de su tiempo y que reducen su productividad en el trabajo y requieren una mayor atención médica por sobrecarga, desgaste emocional de la familia, ingreso del enfermo en un centro asistido, coste emocional...)(25).

Actualmente, existen diferentes recursos terapéuticos, farmacológicos y no farmacológicos cuyo objetivo consiste en ralentizar el desarrollo de la enfermedad, mitigar la gravedad de los síntomas y mejorar la calidad de vida de los enfermos y de sus cuidadores(26). Todavía no se dispone de un tratamiento definitivo que sea capaz de detener el curso de la EA. De esta manera se siguen desarrollando investigaciones en este campo para conocer aspectos de la enfermedad que todavía no han sido descubiertos y que en un futuro lograrán determinar cómo abordar y prevenir la enfermedad.

En los últimos años se han realizado muchas revisiones para investigar los distintos factores de riesgo que pueden repercutir en la EA. Algunas de ellas extraen conclusiones contundentes, pero en otras ocasiones, los resultados parecen ser controvertidos. Por esta razón se ha realizado una revisión sistemática extensa y completa de meta-análisis actuales para resumir los principales factores de riesgo modificables de EA. Muchos de estos factores se caracterizan por ser evitables, pudiéndose reducir y controlar mediante intervenciones de prevención primaria dirigidas a la población general y prevención secundaria y terciaria dirigidas a las personas que padecen la enfermedad. Por tanto, el objetivo de este estudio

consiste en actualizar y sintetizar la evidencia empírica aportada por los meta-análisis sobre el peso de los factores de riesgo modificables de la EA para posibilitar un tratamiento de mantenimiento y mejora eficiente de las capacidades físicas y cognitivas a través de la intervención no farmacológica.

METODOLOGÍA

Esta revisión se realizó de acuerdo con la declaración Preferred Reporting for Systematic Reviews and Metaanálisis (PRISMA)(27). Se realizó una búsqueda sistemática en las bases de datos ISI Web of Science (WOS), Scopus, Pubmed, Elsevier Science Direct y Google Scholar. La fecha de corte de la búsqueda fue el 31 de julio de 2020. No se impuso una fecha de inicio de publicación. Se utilizaron combinaciones de los siguientes términos de búsqueda: “presión arterial”, “diabetes”, “actividad física”, “tabaquismo”, “vitamina D”, “obesidad”, “colesterol”, “accidente cerebro vascular”, “enfermedad coronaria”, “depresión” Y “EA” Y “metanálisis”. La búsqueda se realizó en inglés y español. Se identificaron 3363 meta-análisis, de los cuales 44 cumplieron los criterios de inclusión.

Los criterios de inclusión que se han determinado en este estudio han sido:

- Criterios de inclusión: 1. Meta-análisis que investigan el efecto de cualquiera de los factores de riesgo modificables en la EA. 2. Que incluyan pacientes con diagnóstico de EA según un criterio de diagnóstico (p. Ej., El Manual diagnóstico y estadístico de trastornos mentales, DSM-III o los criterios de la Asociación del Instituto Nacional de Neurológicos y de la Comunicación-EA y trastornos relacionados, N-ADRDA). 3. Que sean estudios originales revisados por pares que se publican en inglés o en español.
- Criterios de exclusión 1. Revisiones sistemáticas ($k = 16$). 2. Estudios primarios no publicados como metaanálisis en revistas revisadas por pares (es decir, resúmenes de conferencias, capítulos de libros) ($k = 12$). 3. Estudios que investigan el efecto de los

distintos factores de riesgo en una población mixta de pacientes con EA y otras demencias ($k = 23$). 4. Estudios donde se investiga el efecto de un fármaco relacionado con un factor de riesgo ($k = 8$). 5. Estudios donde se incluyen factores genéticos ($k = 13$). 6. Estudios que no incluyen un tamaño del efecto ($k = 5$).

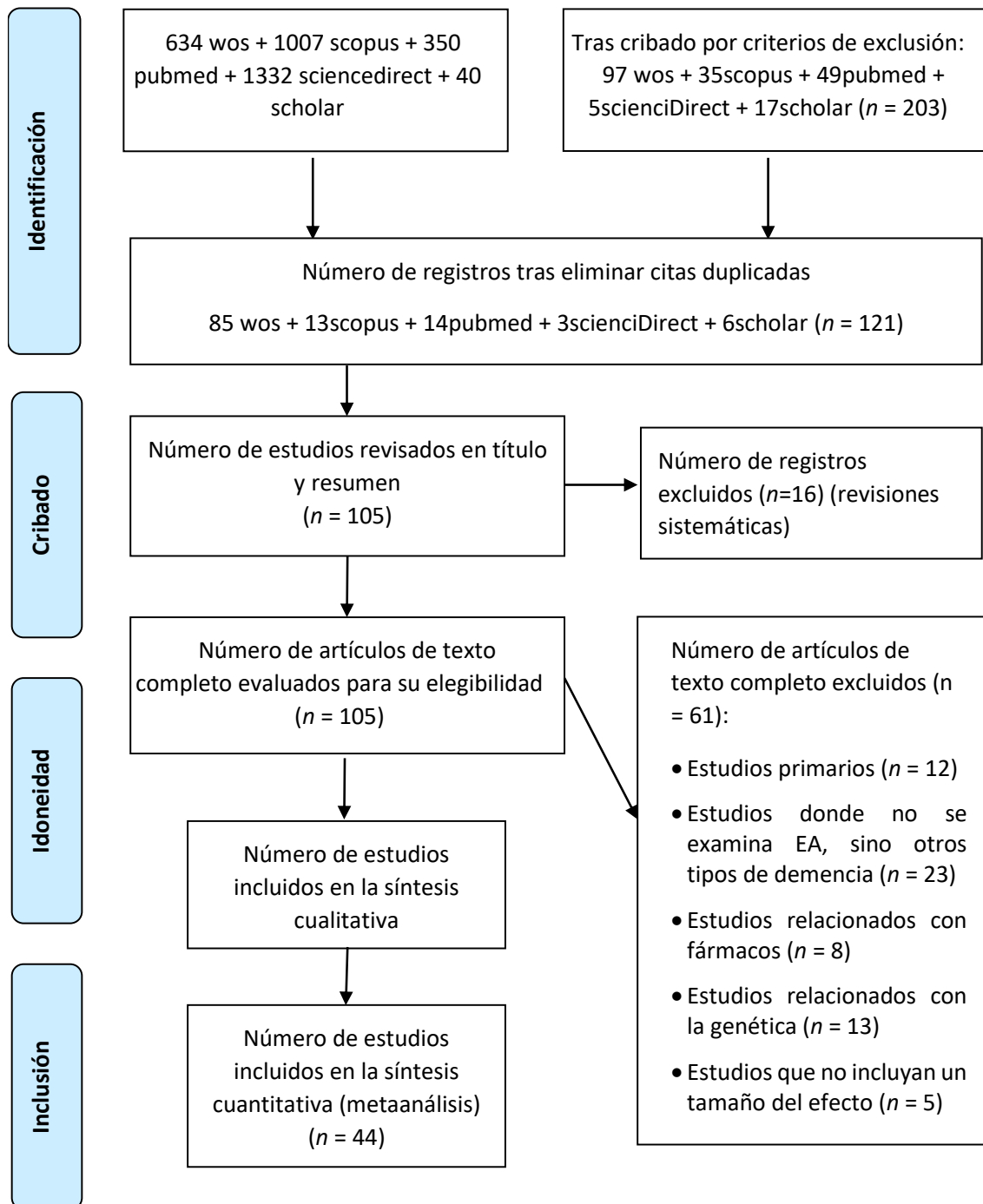


Figura 1. Diagrama de flujo donde se muestra la selección de artículos para el meta-análisis

Para la evaluación de la calidad de los estudios y la extracción de los datos, 3 investigadores expertos realizaron un análisis de manera independiente del título y del resumen. Tras la exclusión de los artículos irrelevantes, todos los meta-análisis restantes se inspeccionaron críticamente para verificar la precisión de los datos. Luego, se revisaron los textos completos de todos los estudios primarios incluidos en cada meta-análisis de acuerdo con los criterios de inclusión. Los datos relacionados con el diagnóstico de EA se obtuvieron directamente del texto o de otras tablas estadísticas de los estudios primarios incluidos.

El autor principal se encargó de extraer de forma independiente los datos correspondientes a cada estudio, incluidas las características del estudio. Los diagnósticos de EA se basaron en criterios clínicos aceptados: Manual diagnóstico y estadístico de trastornos mentales en diferentes ediciones (DSM-III, DSM-III-R, DSM-IV, DSM-V), Clasificación internacional de enfermedades (CIE-10), Criterios revisados y criterios de la Asociación del Instituto Nacional de Trastornos Neurológicos y de la Comunicación-EA y Trastornos Relacionados (N-ADRDA) e Instituto Nacional de Trastornos Neurológicos y de la Comunicación - Asociación Internacional para la Investigación y la Enseñanza en Neurociencias (N-AIREN). Además, la calidad de los informes de los estudios incluidos se evaluó mediante la herramienta Assessment of Multiple Systematic Reviews (AMSTAR)(28), que ha demostrado tener una buena concordancia, fiabilidad y validez de contenido entre evaluadores(28,29).

RESULTADOS

Se han analizado un total de 44 metaanálisis después de revisar títulos, resúmenes y texto completo. Los detalles de cada uno de ellos se encuentran en la Tabla 1.

ACCIDENTE CEREBRO VASCULAR (ACV): En los dos meta-análisis incluidos en la revisión(18,30), la EA se asocia con el ACV con unos valores de $HR = 1.69$ (1.49-1.92) y $RR = 1.13$ (0.75-1.70) respectivamente.

COLESTEROL: De los 5 estudios incluidos, tres de los meta-análisis realizados por Zhou et al.(31), Liu et al.(8) y Wu et al.(32). muestran asociación significativa entre las proteínas de baja densidad y los niveles de colesterol total con el riesgo de EA.

DEPRESIÓN: Los resultados de todos los meta-análisis incluidos en esta revisión muestran fuertes asociaciones positivas entre la depresión y la EA con un rango del tamaño del efecto comprendido entre 1.54 y 2.04(33–37).

DIABETES: Los 7 metaanálisis revisados determinan una asociación positiva entre la diabetes y EA. Los valores estadísticos que se muestran en cada estudio oscilan entre los valores de 1.18 a 1.56 (Profenno et al.(38), Cheng et al.(39), Vagelatos et al.(40), Gudala et al.(41), Meng et al.(42), Xu et al.(43), Zhang et al.(44)).

INACTIVIDAD FÍSICA: Los dos meta-análisis encontrados concluyen que existe una asociación positiva entre la inactividad física y el desarrollo de la EA con tamaños del efecto de $HR = 1.36$ (1.12-1.65) (Kivimaki et al.(45)) y $RR = 1.20$ (1.02-1.38) (Xu et al.(43)).

OBESIDAD: En todos los metaanálisis revisados, se asocia la obesidad o el índice de masa corporal alto con un mayor riesgo para desarrollar EA. El rango del tamaño del efecto oscila entre 1.35 y 1.88.

PRESIÓN ARTERIAL: Prácticamente en todos los meta-análisis, la presión arterial (PA), a excepción de uno(15), y la presión arterial sistólica (PAS), se asocia positivamente con el riesgo de EA. Los tamaños del efecto oscilan entre $OR = 1.31$ (1.01-1.70) y $RR = 1.87$ (1.36-2.37). Sin embargo, no se encontró asociación entre (presión arterial diastólica) (PAD) y EA(46), $RR = 0.99$ (0.88-1.09).

RIESGO CARDIOVASCULAR: Los dos metaanálisis revisados encontraron una asociación positiva entre el riesgo cardiovascular y la EA cuyos tamaños del efecto varían entre $RR = 1.07$ (0.90-1.28) y $RR = 1.20$ (1.02-1.38).

TABAQUISMO: De los seis metaanálisis revisados, cinco de ellos asocian ser fumador con un mayor riesgo de EA(41,42,46-48). Los tamaños del efecto (RR) estimaron valores entre 1.37-1.59. En el estudio realizado por Meng et al. se concluye que existe un riesgo doble de desarrollar EA ($HR = 2.36$). Un único metaanálisis concluye que en pacientes fumadores, el tabaquismo actuó como un factor protector de EA $OR = 0.70$ (0.57-0.85)(50).

VITAMINA D: El exceso de vitamina D se asocia con el riesgo de EA, $RR = 0.93$ (0.89,0.97)(51), aunque también la ausencia de vitamina D se asocia con el desarrollo de EA, $HR = 1.32$ (1.16,1.52)(52). Existen otros dos estudios que no encuentran relación entre ausencia de vitamina D y EA, $RR = 1.55$ (0.97,2.49)(53) y $OR = 1.19$ (0.96,1.41)(54). El exceso de Vitamina E no se asocia positivamente con el riesgo de EA, $RR = 0.81$ (0.50-1.33)(55). Además, el exceso de Vitamina B tampoco parece estar vinculado con la EA, $RR = 0.99$ (0.99-1.00)(56).

En síntesis, entre los factores de riesgo del desarrollo de la EA con una evidencia relativamente fuerte se encuentran: accidente cerebrovascular ($n = 2$), algunos tipos de colesterol (LDL, TC) ($n = 3$), depresión ($n = 5$), diabetes mellitus ($n = 7$), inactividad física ($n = 2$), obesidad (índice de masa corporal por encima de 30) ($n = 4$), algunos tipos de presión arterial elevada (PAS y PA) ($n = 4$), riesgo cardiovascular ($n = 2$), tabaquismo ($n = 5$) y ausencia o incremento de vitaminas (B y D) ($n = 3$) (Tabla 1).

En algunos estudios no existe evidencia de asociación significativa en los factores de riesgo de: algunos tipos de colesterol (HDL, TG) ($n = 2$), algunos tipos de presión arterial elevada (PAD) ($n = 2$), tabaquismo ($n = 1$), ausencia o incremento de vitaminas (D, B y E) ($n = 3$) (Tabla 1)

Tabla 1. Meta-análisis extraídos de la búsqueda en las distintas bases de datos.

| VARIABLE | AUTORES | AÑO | REGIÓN ¹ | Nº ESTUDIOS ² | MUESTRA ³ | RESULTADO ⁴ | TAMAÑO DEL EFECTO ⁵ |
|----------------------------|--------------------------------------|------|--|--------------------------|--|--|---|
| ACCIDENTE CEREBRO VASCULAR | Zhou, Jing, Yu et al(30). | 2015 | EU (3), USA (3) | 6 | EA <i>n</i> = 952 GC <i>n</i> = 14730 | > ACV > EA | RR = 1.13 (0.75,1.70) |
| | Kuzma, Elzbieta, Lourida, et al(18). | 2013 | - | 19 | EA <i>n</i> = 237886 GC <i>n</i> = 1885536 | > ACV > EA | HR = 1.69 (1.49,1.92) |
| COLESTEROL | Zhou, Liang, Zhang et al(31). | 2020 | EU (7), USA (6), AS (4), AF (2), OC (1) | 22 | EA <i>n</i> = 2266 GC <i>n</i> = 4767 | > LDL-C > EA | SMD = 0.35 (0.12,0.58) |
| | Liu, Xin, Jiajia et al(8). | 2020 | EU (3), USA (4), AS (2) EU (4), USA (4), AS (3) EU (6), USA (4), AS (3) EU (4), USA (2) | 9 | EA <i>n</i> = 891 GC <i>n</i> = 2399 | > LDL-C > EA > TC > EA > HDL-C = EA > TG = EA | SMD= 1.40 (0.70,2.10) SMD = 0.76 (0.13,1.40) SMD= -0.53 (-1.12,0.07) SMD= -0.02 (-0.25,0.21) |
| | Wu, Yufei, Wang et al(32). | 2019 | AS (33) | 33 | EA <i>n</i> = 3037 GC <i>n</i> = 5375 | > LDL-C > EA < HDL = EA > TC > EA > TG = EA | OR = 1.64 (1.07,2.51) OR = 0.81 (0.55,1.19) OR = 1.58 (1.10,2.92) OR = 1.33 (0.99,1.79) |
| | Wang, Hua-Long, Wang et al(57). | 2016 | - | - | EA <i>n</i> = 959 GC <i>n</i> = 694 | > TC = EA | SMD = -0.23 (-0.65,0.19) |
| | Xu, Wei, Tan et al(43). | 2015 | USA (2), EU (4) USA (8), EU (4), AS (4) | 6 16 | EA <i>n</i> = 12604 GC <i>n</i> = 2,256,519 | > HDL = EA > TC = EA | RR = 1.00 (0.86,1.14) RR = 0.96 (0.81,1.11) |

| VARIABLE | AUTORES | AÑO | REGIÓN ¹ | Nº ESTUDIOS ² | MUESTRA ³ | RESULTADO ⁴ | TAMAÑO DEL EFECTO ⁵ |
|-----------|---|------|---------------------------|--------------------------|---|------------------------|--------------------------------|
| DEPRESIÓN | Kuring, Mathias, Ward et al(34). | 2020 | - | 22 | EA <i>n</i> = 27084 GC <i>n</i> = 55785 | > DP > EA | <i>p</i> = 0.0001 |
| | Santabarbara, Sevil-Perez, Olaya et al(33). | 2019 | EU (5), AS (2) | 7 | EA <i>n</i> = 633 GC <i>n</i> = 13425 | > DP > EA | RR = 1.54 (1.05,2.24) |
| | Cherbuin, Nicolas, Kim et al(35). | 2017 | EU (19), USA (13), AS (3) | 35 | EA <i>n</i> = 2797 GC <i>n</i> = 66532 | > DP > EA | RR = 2.04 (1.40,2.96) |
| | Diniz, Breno, Butterset al(36). | 2016 | - | 16 | EA <i>n</i> = 3437 GC <i>n</i> = 20746 | > DP > EA | HR = 1.54(1.23,1.93) |
| | Gao, Huang, Zhao et al(37). | 2013 | EU (1), USA (3) | 4 | EA <i>n</i> = 659 GC <i>n</i> = 5686 | > DP > EA | RR = 1.66 (1.29,2.14) |
| DIABETES | Zhang, Chen, Chunxiang et al(44). | 2018 | - | - | EA <i>n</i> = 710858 GC <i>n</i> = 1035919 | > D > EA | RR = 1.53 (1.42,1.63) |
| | Xu, Tan, Lan et al(43). | 2015 | - | 34 | <i>N</i> = 188515 | > D > EA | RR = 1.18 (1.07,1.29) |
| | Meng, Yu, Wang et al(42). | 2014 | EU (2), AS (3) | 5 | EA <i>n</i> = 529 GC <i>n</i> = 8971 | > D > EA | OR = 1.4 (1.25,1.57) |
| | Gudala, Bansal, Schifano et al(41). | 2013 | EU (8), USA (15), AS (6) | 29 | EA <i>n</i> = 91052 GC <i>n</i> = 1148767 | > D > EA | RR = 1.56 (1.41,1.73) |
| | Vagelatos, Eslick, Guy et al(40). | 2013 | EU (6), USA (5), AS (5) | 16 | EA <i>n</i> = 12321 GC <i>n</i> = 2122883 | > D > EA | OR = 1.55 (1.4,1.73) |

| VARIABLE | AUTORES | AÑO | REGIÓN ¹ | Nº ESTUDIOS ² | MUESTRA ³ | RESULTADO ⁴ | TAMAÑO DEL EFECTO ⁵ |
|--------------------|---|------|--------------------------|--------------------------|--|------------------------|--------------------------------|
| | Cheng, Huang, Deng et al(58). | 2012 | EU (5), USA (11), AS (1) | 17 | EA <i>n</i> = 5761 GC <i>n</i> = 42434 | > D > EA | RR = 1.46 (1.2,1.77) |
| | Profenno, Porsteinsson, Faraonca et al(38). | 2010 | EU (9), USA (1) | 10 | EA <i>n</i> = 1750 GC <i>n</i> = 23416 | > D > EA | RR = 1.54 (1.33,1.79) |
| INACTIVIDAD FÍSICA | Kivimaki, Singh-Manoux, Pentti et al(45). | 2019 | - | 11 | EA <i>n</i> = 1604 GC <i>n</i> = 354143 | > IA > EA | HR = 1.36 (1.12,1.65) |
| | Xu, Wei, Tan et al(43). | 2015 | - | 10 | N = 5524 | > O > EA | RR = 1.20 (1.02,1.38) |
| | Meng, Yu, Wang et al(42). | 2014 | EU (4), USA (3), AS (2) | 9 | EA <i>n</i> = 1041 GC <i>n</i> = 13786 | > O > EA | OR = 1.88 (1.32,2.69) |
| OBESIDAD | Beydoun, Beydoun, Wang et al(47). | 2014 | EU (1), USA (4) | 5 | EA <i>n</i> = 802 GC <i>n</i> = 25964 | > O > EA | OR = 1.81 (1.00,3.29) |
| | Anstey, Cherbuin, Budge et al(59). | 2011 | USA (7) | 7 | EA <i>n</i> = 13166 GC <i>n</i> = 15256 | > O > EA | RR = 1.35 (1.19,1.54) |
| | Profenno, Porsteinsson, Faraonca et al(38). | 2010 | EU (6), USA (4), AS (1) | 11 | EA <i>n</i> = 928 GC <i>n</i> = 18064 | > O > EA | HR = 1.59 (1.02,2.48) |

| VARIABLE | AUTORES | AÑO | REGIÓN ¹ | Nº ESTUDIOS ² | MUESTRA ³ | RESULTADO ⁴ | TAMAÑO DEL EFECTO ⁵ |
|-----------------------|--|------|-----------------------------------|--------------------------|--|--------------------------|--|
| PRESIÓN ARTERIAL | Lennon, Makkar, Crawford et al(60). | 2019 | EU (2), USA (2), AS (3) | 7 | EA <i>n</i> = 2591 GC <i>n</i> = 877321 | > PA > EA | HR = 1.25 (1.06,1.47) |
| | Wang, Xu, Wang et al(46). | 2018 | EU (2), USA (2), AS (3) | 7 | EA <i>n</i> = 2468 GC <i>n</i> = 876653 | > PAS > EA > PAD = EA | PAS: HR = 1.4 (1.1,1.8) |
| | Xu, Wei, Tan et al(43). | 2015 | - | 49 | N = 2290617 | > PAS > EA > PAD = EA | RR = 1.87 (1.36,2.37) RR = 0.99 (0.88,1.09) |
| | Meng, Yu, Wang et al(42). | 2014 | EU (3), USA (3), AS (1) | 7 | EA <i>n</i> = 529 GC <i>n</i> = 8971 | > PA > EA | OR = 1.31(1.01,1.70) |
| | Guan, Huang, Li et al(15). | 2011 | EU (2), USA (7) | 9 | EA <i>n</i> = 7982 GC <i>n</i> = 15146 | > PA = EA | RR = 1.01 (0.87,1.18) |
| RIESGO CARDIOVASCULAR | Wolters, Segufa, Darweesh, Sirwan et al(61). | 2018 | EU (4), USA (3), OC (1) | 8 | EA <i>n</i> = 18715 GC <i>n</i> = 1309483 | > RC > EA | RR = 1.07 (0.90,1.28) |
| | Xu, Wei, Tan et al(43). | 2015 | - | 2 | N = 7011 | > RC > EA | RR = 1.20 (1.02,1.38) |
| TABAQUISMO | Xu, Wei, Tan et al(43). | 2015 | - | 4 | N = 16028 | > T > EA | RR = 1.96 (1.37,2.54) |
| | Zhong, Wang, Zhang et al(48). | 2015 | - | - | EA <i>n</i> = 5787 GC <i>n</i> = 919549 | > T > EA | RR = 1.40 (1.13,1.73) |
| | Beydoun, Beydoun, Wang et al(47). | 2014 | EU (15), USA (11), AS (5), OC (1) | 32 | N = 170816 | > T > EA | RR = 1.37 (1.23,1.52) |

| VARIABLE | AUTORES | AÑO | REGIÓN ¹ | Nº ESTUDIOS ² | MUESTRA ³ | RESULTADO ⁴ | TAMAÑO DEL EFECTO ⁵ |
|-----------|--|------|-------------------------|--------------------------|-----------------------------|------------------------|--------------------------------|
| VITAMINAS | Meng, Yu, Wang et al(42). | 2014 | EU (2), USA (1), AS (2) | 5 | EA n = 961 GC n = 14688 | > T > EA | HR = 2.36 (1.54,3.61) |
| | Peters, Poulter, Warner et al(49). | 2008 | EU (3), USA (3), AS (1) | 7 | - | > T > EA | OR = 1.59 (1.15,2.20) |
| | Fontelles, Carvalho, D'Oliveira(50) | 2007 | - | - | EA n = 1544 GC n = 3962 | > T < EA | OR = 0.70 (0.57,0.85) |
| | Bingyan, Fulin, Wu et al(52). | 2019 | EU (3), USA (13) | 16 | N = 14618 | < VIT D > EA | HR = 1.32 (1.16,1.52) |
| | Kui, Chen, Xiaoguang et al(53). | 2019 | EU (5), USA (1) | 6 | EA n = 1607 GC n = 21692 | > VIT D = EA | RR = 1.55 (0.97,2.49) |
| | Wang, Li, Zhang et al(55). | 2019 | USA (5) | 5 | EA n = 244 GC n = 14262 | > VIT E = EA | RR = 0.81 (0.50,1.33) |
| | Ahmad, Ali, Shab-Bidar(54) | 2019 | EU (5), USA (3) | 8 | EA n = 1607 GC n = 28354 | > VIT D < EA | OR = 1.19 (0.96,1.41) |
| | Chen, Xue, Li et al(51). | 2018 | EU (7), USA (3) | 10 | N = 28640 | > VIT D > EA | RR = 0.93 (0.89,0.97) |
| | Doets, van Wijngaarden, Szczecinska et al(56). | 2011 | - | - | EA n = 431 GC n = 9415 | > VIT B = EA | RR = 0.99 (0.99,1.00) |

Notas:

¹Región: N: número de estudios independientes. AF (África); AS (Asia); EU (Unión Europea); OC (Oceanía); USA (Estados Unidos)

²N: Número de estudios primarios que conforman el metaanálisis

³Muestra: EA_n (Número de personas con Enfermedad de Alzheimer); GC_n (Número de personas del Grupo control); N (Número total de la muestra)

⁴Resultado: ACV: Accidente Cerebro Vascular; LDL-C: Nivel de lipoproteínas de baja densidad; HDL-C: Nivel de proteínas de alta densidad; TC: Colesterol total; TG: Triglicéridos; DP: Depresión; D: Diabetes; IA: Inactividad física; O: Obesidad; PA: Presión Arterial; PAS: Presión Arterial Sistólica; PAD: Presión Arterial Diastólica; RC: Riesgo Cardiovascular; T: Tabaco; Vit: Vitaminas; EA: Enfermedad de Alzheimer.

⁵Tamaño del efecto: HR: Hazard Ratio; OR: Odds Ratio; RR: Risk Ratio; SMD: Standard Mean Difference

DISCUSIÓN

Los resultados de esta revisión sistemática de meta-análisis han logrado el objetivo de analizar los distintos factores de riesgo modificables de la EA. Este estudio muestra, por tanto, la relación de distintos factores de riesgo (accidente cerebro vascular, algunos tipos de colesterol, depresión, diabetes, inactividad física, obesidad, algunos tipos de presión arterial, factores de riesgo cardiovascular, tabaco y algunos déficits o excesos de vitaminas) con la EA para aportar un conocimiento más preciso sobre esta enfermedad de alta prevalencia en nuestro país.

ACCIDENTE CEREBRO-VASCULAR: En nuestra revisión, existe unanimidad de que los ACV está asociados a la EA. Una de las razones que se argumentan sobre la relación entre EA y el ACV es que ambas enfermedades afectan al cerebro y el ACV puede acelerar la degeneración cerebral que se presenta en la EA(30).

COLESTEROL: No existe un consenso claro sobre la relación entre colesterol y EA. Solo tres meta-análisis aportan evidencias empíricas sobre esta asociación(8,31,32). Sin embargo, no se encuentran diferencias significativas entre la asociación de triglicéridos y el nivel de proteínas de alta densidad con la EA en tres de los meta-análisis revisados(8,32,43). La teoría de las placas seniles podría explicar la relación entre el LDL-C, TC y EA, al plantear que el depósito extracelular de proteína amiloide (A) dificulta las conexiones sinápticas neuronales en el cerebro y aumenta el riesgo de EA(62,63).

DEPRESIÓN: Los datos de los meta-análisis revisados en este estudio sobre la asociación entre depresión y EA son muy robustos. Una de las hipótesis que puede explicar esta asociación es el uso de medicamentos anticolinérgicos, que se utilizan para el tratamiento de la depresión y que, por su efecto en el organismo, están asociados con un incremento del riesgo de demencia(64–67).

DIABETES: Tal y como muestra esta revisión, la diabetes mellitus tipo 2 (DM2), como se sospechaba desde hace mucho tiempo, se presenta como un factor de riesgo para la EA(40). La DM está asociada con cambios cognitivos y existen varios estudios que incluso relacionan el mecanismo de la resistencia a la insulina y la patogénesis de la formación de placa y la señalización neuronal alterada en la EA(41). A pesar de la unanimidad de los resultados encontrados, hoy en día, la asociación entre la diabetes y la demencia siguen siendo un área de controversia(44).

INACTIVIDAD FÍSICA: Esta revisión concluye que existe una relación entre las personas que son físicamente inactivas y la EA. La relación que existe entre inactividad física y ciertas enfermedades, como por ejemplo la diabetes, la enfermedad cardíaca coronaria y el accidente cerebrovascular(45), que se encuentran asociadas a EA, podría explicar que fuera un factor de riesgo de esta enfermedad neurodegenerativa.

OBESIDAD: Como en todos los meta-análisis revisados, la mayoría de estudios epidemiológicos que abordan el sobrepeso/obesidad, sugieren que existe una asociación entre la obesidad y la EA, especialmente en estudios donde existe un periodo de seguimiento mayor(68). La relación entre ambos factores puede deberse a que la obesidad se relaciona con otras enfermedades cardiovasculares y otras patologías como trastornos neurocognitivos, resistencia a la insulina, hipertensión(69), que son a su vez factores de riesgo de la EA(68). Por lo tanto, tener sobrepeso incidiría directa e indirectamente en el desarrollo de la EA a largo plazo.

PRESIÓN ARTERIAL: Tras la revisión de los metaanálisis, se puede concluir que la presión arterial (PA) y la presión arterial sistólica (PAS), excepto en un caso, se asocia positivamente con el riesgo de EA. La relación de la presión arterial con la EA es todavía un misterio. Existe mucha controversia en la literatura científica acerca de la relación entre estos dos factores. Algunos estudios muestran que las demencias vasculares se asocian con la

hipertensión(15). Las razones podrían derivarse de la presión arterial que se puede generar en el cerebro y que podría desembocar en una demencia a largo plazo.

RIESGO CARDIO-VASCULAR: Este estudio arroja evidencia empírica unánime sobre la asociación entre el riesgo cardiovascular y la EA. Existen muchos estudios como el de Ford(10) en el que la mayoría de los factores de riesgo cardiovasculares muestran una relación positiva con la EA. Las enfermedades del corazón y del cerebro coexisten en las personas mayores. Wolters et al.(61) sugieren que el corazón y el cerebro están vinculados de tal forma que, al ser diagnosticado con una enfermedad vascular, el sujeto está predispuesto a desarrollar una demencia.

TABAQUISMO: Este estudio arroja una amplia evidencia sobre el tabaquismo como factor de riesgo de desarrollar EA. Hoy en día, nadie cuestiona que fumar perjudica la salud gravemente. Además de los riesgos bien conocidos como el cáncer de pulmón, fumar también es un predictor independiente de morbilidad cardiovascular, desarrollo de infarto de miocardio y mortalidad, además de acelerar la atrofia cerebral, el declive perfusional y las lesiones de la sustancia blanca(48). El tabaquismo aumenta el riesgo de EA y puede aumentar el riesgo de otras demencias, esto refuerza la necesidad de dejar de fumar, especialmente a partir de los 65 años(49).

VITAMINA D: En este estudio la variabilidad sobre los resultados obtenidos en cuanto al déficit o exceso de Vitamina D su relación con EA es alta. La vitamina D juega un papel importante en la neurotrofia, neurotransmisión, neuroprotección y neuroplasticidad y se ha sugerido que la deficiencia de vitamina D puede desempeñar un factor clave en la progresión de la demencia y la EA(52). Los organismos obtienen principalmente vitamina D a través de la ingesta de alimentos y la síntesis de la piel(52). La vitamina D es crucial para mantener la función cognitiva en la personas mayores, estos receptores de vitamina D están presentes en algunas regiones del cerebro que son responsables del correcto funcionamiento de la

memoria y las funciones cognitivas; aunque no se haya alcanzado un consenso global del valor óptimo para la vitamina D en el cuerpo humano(52).

La revisión de los 44 metaanálisis seleccionados aporta resultados muy robustos sobre la relación que existe entre 7 de los factores de riesgo modificables (accidente cerebro vascular, colesterol LDL-C, depresión, diabetes, inactividad física, obesidad, tipos de presión arterial sistólica, factores de riesgo cardiovascular y tabaco) y la EA. A pesar de los hallazgos encontrados en esta revisión de meta-análisis, existen una serie de limitaciones que se deben considerar. En primer lugar, en algunos de los estudios no se conoce la muestra o ésta es demasiado pequeña, por lo que no serían representativas de la población. En segundo lugar, la representatividad de regiones como África y Oceanía es insuficiente. En tercer lugar, la búsqueda se limitó a dos idiomas: inglés y español. Por último, los metaanálisis estaban compuestos de estudios longitudinales y transversales, lo que dificulta extraer conclusiones sobre la causalidad de estos factores sobre la EA. Sería conveniente seleccionar solo los estudios longitudinales de cada metaanálisis con seguimiento a largo plazo para poder obtener resultados más concluyentes sobre el posible efecto causal que puedan tener estos factores modificables sobre el desarrollo de la EA.

Se considera que las terapias no farmacológicas y la adopción de algunos estilos de vida pueden ser protectores frente a estas enfermedades neurológicas que afectan multifactorialmente a la población. En este sentido, la Terapia Ocupacional se consolida como una de las disciplinas cuyo objetivo se centraría en mejorar el desempeño de las actividades de la vida diaria y aumentar el rendimiento cognitivo y emocional mediante programas de intervención donde se puedan incluir ejercicios para la movilidad funcional, de estimulación cognitiva y sensorial y rehabilitación de funciones cognitivas como la memoria (afectada principalmente en la EA)(70,71). En el estudio realizado por Matilla-Mora et al.(70) se demostró la eficacia y efectividad de la Terapia Ocupacional en el retraso de la progresión

de las distintas disfunciones en personas con EA. La variabilidad de intervenciones que se disponen en Terapia Ocupacional es amplia, por lo que la intervención a distintos niveles de atención será fundamental. En prevención primaria, la mitad de los casos se pueden prevenir mediante el control de los factores de riesgo y la promoción de estilos de vida saludables(72), por lo tanto, se podría tratar de forma anticipada todos aquellos factores considerados de riesgo y procurar la detección precoz de enfermedades. La prevención secundaria es esencial para limitar su progresión y favorecer su independencia(73). En este sentido, se puede realizar una adaptación del entorno que favorezca los desplazamientos y estimule el mantenimiento de la independencia(74). En la prevención terciaria se incluiría el tratamiento de las complicaciones derivadas de la enfermedad. La Terapia Ocupacional tendría como objetivos la reducción y modulación de síntomas y la optimización de aspectos relacionados con la calidad de vida de la persona con demencia y su cuidador familiar(75). En síntesis, se debería incidir en la existencia de hábitos relacionados con el estilo de vida que actúan como factores de riesgo y hábitos protectores para el desarrollo de EA(76).

CONCLUSIÓN

En este estudio se ha realizado una revisión sistemática de 44 meta-análisis en el que se tiene en cuenta la gran mayoría de los factores de riesgo modificables que influyen en la aparición de EA. Se han analizado los distintos factores de riesgo de la EA y se ha visto la complejidad de su etiología y la controversia literaria que existe sobre algunos de ellos. Sin embargo, los resultados son más controvertidos sobre los tipos de colesterol (HDL-C, TG y TC), presión arterial diastólica y vitaminas que sí están asociados a la EA.

En esta tesis, los factores de riesgo de EA que se han seleccionado para analizarlos de una forma más profunda con técnicas meta-meta-analíticas son: colesterol, depresión, hipertensión arterial e ictus. Las razones para analizar estos factores de riesgo de manera específica es la relación tan fuerte que existe entre ellos y la EA(20,77). Por una parte,

colesterol, hipertensión arterial e ictus son considerados como factores de riesgo cardiovascular y se han asociado con la EA(78). En la fisiopatología de la EA se forman placas y ovillos neurofibrilares que se acumulan en el cerebro y que las patologías vasculares aumentan la formación de las mismas, lo que puede explicar su vinculación con la EA(79). Por otra parte, la depresión es una de las complicaciones psiquiátricas más frecuentes de la EA y afecta hasta al 50% de los pacientes(80). Además, la depresión se asocia con una peor calidad de vida, mayor discapacidad en las actividades de la vida diaria, un deterioro cognitivo más rápido, una alta tasa de ingreso en hogares de ancianos, mortalidad relativamente más alta y una mayor frecuencia de depresión y sobrecarga en los cuidadores(81). Por todos estos motivos se han realizado meta-análisis de la relación de estos factores de riesgo modificables (colesterol, depresión, hipertención arterial e ictus) con la EA.

CAPÍTULO 2

“No sé quién soy y no sé qué será lo siguiente que pierda.”

Julianne Moore

CAPÍTULO 2: CHOLESTEROL AND ALZHEIMER'S DISEASE RISK: A META-META-ANALYSIS

ABSTRACT

Background: Alzheimer's disease (AD) is the most common subtype of dementia. In the last ten years, the relationship between cholesterol and AD has been investigated. Evidence suggests that cholesterol is associated with AD and represents promising targets for intervention. However, the causality of these associations is unclear. Therefore, we sought to conduct a meta-meta-analysis to determine the effect of cholesterol on the development AD. Then, we assessed the effect of serum levels of low-density lipoprotein cholesterol (LDL-C) and high-density lipoprotein cholesterol (HDL-C), total cholesterol (TC) and triglycerides (TG), on AD risk.

Methods: A systematic search of meta-analyses was conducted. Scopus, Web of Science, Science direct, PubMed and Google academic system databases were reviewed.

Results: We found 100 primary studies and five meta-analyses to analyze the relationships between cholesterol and AD. The total effect of cholesterol on risk of AD was significant and heterogeneous. Subgroup analysis shows that LDL-C levels influence the development of AD. However, non-significant effects of HDL-C, TC and TG levels on AD were found.

Conclusions: These results strengthen the evidence that LDL-C cholesterol levels increase risk for AD. More initiatives to investigate the relationship between cholesterol and AD are needed.

Keywords: Alzheimer's disease; etiology; cholesterol; risk factors; meta-analysis

INTRODUCTION

Alzheimer's disease (AD) is the most common neurodegenerative disorder resulting in cognitive impairment. AD is characterized by a gradual decline in memory and other cognitive and executive functions, and the progressive development of affective and behavioral disorders (8). The onset of AD is insidious, and its progression is gradual. As it progresses, various patterns of deficits are seen, but the disorder most commonly begins with deficits in recent memory, which are followed by aphasia, apraxia and agnosia after several years (3). AD also may cause psychiatric symptoms and personality changes (82). At the beginning, it affects some abilities, but in the most severe stages, people may depend entirely on others for basic activities of daily living (3).

The etiology of AD is unknown (83). With the global population aging, AD has increased considerably and become a primary concern for governments and the scientific and medical communities (84). In Europe, the AD rate is around 5.05% (3.31% for men and 7.13% for women). The AD increase by age reaches 4% of prevalence worldwide, and it increases to 4.02% in people over 60 years old (85,86). A recent study indicated that the prevalence of AD in individuals aged 60 to 69 years was 1.9 times higher in females than in males (108 cases versus 56 cases per 10,000 persons) (86). In Spain, around 400,000 people suffer from AD, with the highest prevalence in central and north-eastern Spain (87).

Disorders of lipid homeostasis are common risk factors for cardiovascular disease, which is linked to AD (88). Dyslipidemia has been identified as a risk factor for AD (8). This concept refers to abnormal levels of lipids or lipoproteins in the blood, which include high levels of low-density lipoprotein (LDL-C), low levels of high-density lipoprotein (HDL-C), total cholesterol (TC) and triglycerides (TG) (8). According to previous results, the overall performance of four independent test results should be considered indexes for the prediction

of AD, and provide accurate information on an individual's lipid metabolism status or serum lipid and cholesterol levels (32,89,90).

In the last ten years, the relationship between cholesterol and AD has been extensively investigated, especially in longitudinal epidemiological studies (89). Evidence suggests that there is a relationship between having high cholesterol levels in blood in mid- and late-life and the development of dementia (8,43). Specifically, some studies have demonstrated that dyslipidemia, mainly a high level of LDL-C, has vascular and neurotoxic effects, and is implicated in the pathogenesis of AD (89,91–93). Additionally, another study indicates that if the TC in the brain membrane increases, synapses are not performed normally and, therefore, affect cognitive degeneration in AD (94). Nevertheless, other studies did not find an association between hypercholesterolemia (high levels of LDL-C, TC, and TG) and AD (57,95). Regarding HDL-C levels, Tynkkynen et al. found that high levels of HDL-C were inversely associated with the risk of AD. Other studies share the same finding (96,97). However, some studies did not find an association between high triglycerides levels and high levels of HDL-C proteins and AD (8,32,57).

The study of the disorders of lipid homeostasis is essential, because it may reduce the consequences of vascular diseases and neurodegenerative diseases, among others, in a cost-effective way (8). First, this study aimed to conduct a meta-meta-analysis to determine the global effect of cholesterol on AD risk. Second, as there was no consensus in the previous literature about the impact of different types of cholesterol on AD, the effects of serum levels of LDL-C, HDL-C, TCTG on the development of AD were analyzed.

MATERIALS AND METHODS

I. Data Collection

We applied the Preferred Reporting Items for Systematic reviews and Meta-Analyses (PRISMA) guidelines for systematic reviews and meta-analyses (27). For data collection, we searched meta-analyses reporting outcomes in individuals with diagnoses of AD. To locate potentially suitable studies, we conducted several searches using 5 electronic databases (last search completed in January 2020), including the Web of Science, Scopus, Pubmed, Science Direct and Google Scholar. No publication date was imposed. The electronic search adopted several combinations of the following keywords: “cholesterol” AND Alzheimer’s disease AND meta-analysis. The same search strategy was used in academic Google but limited to the title. Articles were also searched manually and, if required and when feasible, authors were contacted directly for additional information. The search was also done in the Spanish language.

The study selection included previous meta-analyses that met the following criteria: (1) meta-analysis studies that included measures for cholesterol (LDL-C, HDL-C, TC and TG) and AD diagnosis; (2) they should be written in English or Spanish; (3) quantitative studies that reported effect sizes or data that enabled effect size calculation or estimation; (4) meta-analyses that included human samples.

All abstracts were independently analyzed by 2 researchers. Then, after the exclusion of irrelevant abstracts, all remaining articles were critically inspected to check data accuracy. For meta-analyses that met the inclusion criteria, a third investigator independently extracted the salient data. Data were collected directly from the text, correlation matrixes or other statistical tables from the included studies (Appendix 2: table A1).

The primary variable (type of cholesterol), design (cross-sectional or longitudinal studies), country of origin of the study, sample size, gender, mean age, main results and an effect size

of the relationships between cholesterol and AD were extracted. Information on all the collected data from the selected studies is presented in Table 2.

II. Quality Assessment

Quality of the meta-analyses was independently coded by two co-authors using the 11-item Assessment of Multiple Systematic Reviews (AMSTAR) tool (28), which has shown to have good inter-rater agreement, reliability, and content validity (28,29). Total scores for each meta-analysis were calculated as the sum of the 11 items on a binary scale. Quality classifications were established as low quality (0–4), moderate quality (5–8), and high quality (9–11).

III. Statistical Analysis

We conducted meta-meta-analysis, combining standard mean difference (*SMD*), odds ratio (*OR*), and risk ratio (*RR*) for AD reported in the selected meta-analyses (98). We report separate meta-analytic results for each meta-analysis in Table 1. Additionally, we identified separate effect sizes for LDL-C, HDL-C, TC and TG cholesterol levels and their relationship with AD risk. The most frequently reported measure of the associations with cholesterol was *SMD* and *OR*. Hence, the results of this meta-meta-analysis are reported in *OR* format. For each meta-analysis, we calculated (see Tables 3–6): (a) the 95% confidence interval of the effect; (b) the *Z*-value and *p* (two-tailed significance); and (c) *k* or number of studies (99). *RRs* and *ORs* were considered as equivalent, as deemed appropriate when the outcome condition is relatively rare (incidence < 15%) (100). Adjusted effect measures were used in the analysis when they were included in the source studies, under the assumption that adjustment was performed to remove bias in the estimate of the association between cholesterol and AD. We conducted a random-effect model that allowed *SMD* and *ORs* to be incorporated into the same input. Random-effect models are more appropriate than fixed-effect models when the number of studies included in the meta-analysis is low (< 10) (101).

Initially, we performed an analysis summarizing all the available data into a single pooled estimate (102). Then, to assess the heterogeneity of our results, subgroup analyses were performed to examine the differential effects of type of cholesterol: (1) LDL-C, (2) HDL-C, (3) TC and (4) TG. We did not assume a common among-study variance component across subgroups.

We calculated summary estimates and plotted the effects, using Comprehensive Meta-Analysis software (103). The heterogeneity of the results obtained from the different meta-analysis was calculated using the Q statistic. Additionally, the presence of heterogeneity was evaluated by calculating the I^2 . The I^2 statistic explains the percentage of variance in the observed effects due to variance in the true effects. I^2 values of 25% are considered as low-heterogeneity, 50% as moderate-heterogeneity, and 75% as high-heterogeneity (102). Statistical significance was set at $p \leq 0.05$. The effect sizes of the mean differences were estimated using Cohen's criteria (104). A small effect was conceptualized as $d = 0.20$, medium $d = 0.50$, and large $d = 0.80$.

Regarding the risk of AD and the cholesterol component, the direction of the reported effect size coefficient was reversed wherever necessary, such that all included effect sizes represented the association between cholesterol and an increase in the risk of suffering from AD, instead of a decrease in the AD risk.

RESULTS

A total of 331 studies were identified from major databases: 64 in ISI Web of Science (WOS), 141 in Scopus, 45 in PubMed, 79 in the Elsevier Science Direct and two in Google Scholar. Twenty-two meta-analyses were eligible for inclusion in this meta-meta-analysis. Of these, 17 were excluded because: (a) $k = 2$ did not report an effect size; (b) $k = 2$ did not provide information on the relationship between cholesterol and AD; (c) $k = 6$ were duplicated; (d) $k = 5$ were systematic reviews about other issues; (e) $k = 1$ aimed to study the effect of

medication on AD; and (f) one meta-analysis that included the same primary studies as another study (see Figure 2). Finally, a total of $K = 5$ meta-analyses were analyzed in this meta-meta-analysis ($k = 12$ pooled effect sizes), including data from $n = 100$ primary studies ($n = 236$ effect sizes).

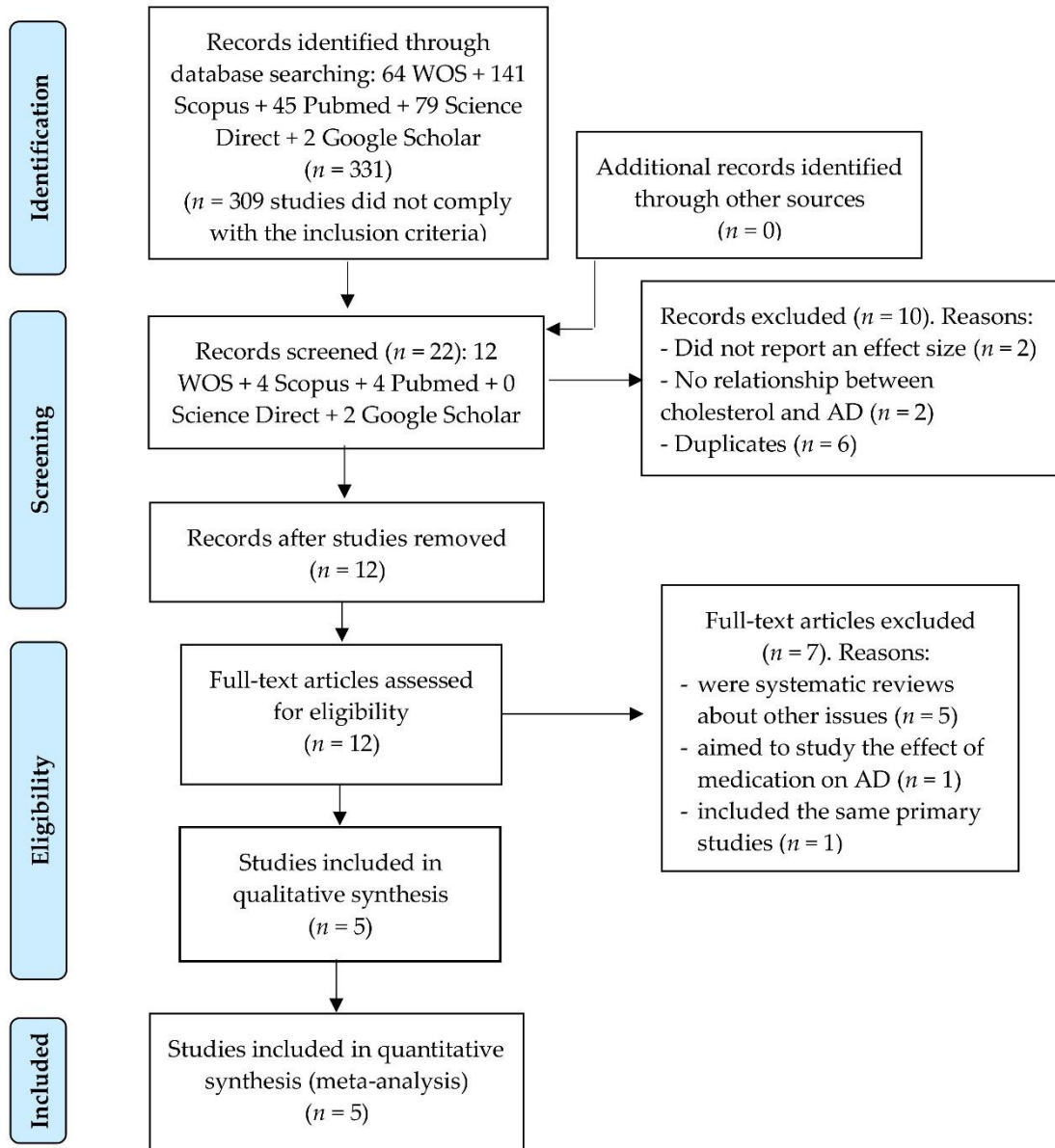


Figura 2. Flow chart depicting the selection of articles for our meta-meta-analysis. Note: AD: alzheimer’s disease; n: number of studies

Tabla 2. Population characteristics in studies of Alzheimer's Disease (AD) and cholesterol.

| Study | Variable | Total <i>n</i> | Design | <i>K</i> | Country (<i>N</i>) | Sample | % F | Age | Result | Effect Size | 95% <i>CI</i> LL~UL | <i>p</i> | AMSTAR |
|------------------|----------|--|----------------|----------|--|--|-------|----------------------------|-------------------|--------------------|------------------------|----------|--------|
| Zhou et al. (31) | LDL-C | AD <i>n</i> = 2266 HC <i>n</i> = 4767 | C | 20 | EU (7), USA (6), AS (4), AF (2), OC (1) | AD <i>n</i> = 2266 HC <i>n</i> = 4767 | 69.50 | 50-87 | > LDL-C > AD | <i>SMD</i> = 0.35 | 0.12~0.58 | <0.01 | 10 |
| Liu et al. (8) | LDL-C | AD <i>n</i> = 891 HC <i>n</i> = 2399 | C | 9 | EU (3), USA (4), AS (2) | AD <i>n</i> = 584 HC <i>n</i> = 2130 | 70 | 59-92 | > LDL-C > AD | <i>SMD</i> = 1.40 | 0.70~2.10 | 0.000 | 11 |
| | HDL-C | | | 11 | EU (4), USA (4), AS (3) | AD <i>n</i> = 727 HC <i>n</i> = 2233 | | | HDL-C = AD | <i>SMD</i> = -0.53 | -1.12~0.07 | 0.082 | |
| | TC | | | 13 | EU (6), USA (4), AS (3) | AD <i>n</i> = 809 HC <i>n</i> = 2303 | | | > TC > AD | <i>SMD</i> = 0.76 | 0.13~1.40 | 0.019 | |
| | TG | | | 6 | EU (4), USA (2) | AD <i>n</i> = 273 HC <i>n</i> = 239 | | | > TG = AD ns. | <i>SMD</i> = -0.02 | -0.25~0.21 | 0.859 | |
| Wu et al. (32) | LDL-C | AD <i>n</i> = 3037 HC <i>n</i> = 5375 | C | 33 | AS (33) | AD <i>n</i> = 2843 HC <i>n</i> = 5174 | 53.87 | 56-84 | > LDL-C > AD | <i>OR</i> = 1.64 | 1.07~2.51 | | 10 |
| | HDL-C | | | 33 | | AD <i>n</i> = 2921 HC <i>n</i> = 5271 | | | < HDL = AD ns. | <i>OR</i> = 0.81 | 0.55~1.19 | | |
| | TC | | | 33 | | AD <i>n</i> = 2661 HC <i>n</i> = 5189 | | | > TC > AD | <i>OR</i> = 1.58 | 1.10~2.92 | | |
| | TG | | | 28 | | AD <i>n</i> = 2556 HC <i>n</i> = 4903 | | | > TG = AD ns. | <i>OR</i> = 1.33 | 0.99~1.79 | | |
| Wang et al. (57) | TC | AD <i>n</i> = 959 HC <i>n</i> = 694 | C | 16 | - | AD <i>n</i> = 959 HC <i>n</i> = 694 | 60.21 | 60-94, <i>M</i> = 71.38 | > TC = AD | <i>SMD</i> = -0.23 | 0.65~0.19 | 0.29 | 10 |
| Xu et al. (43) | HDL-C | AD <i>n</i> = 12604 HC <i>n</i> = 2,256,519 | L(2-9) | 6 | USA (2), EU (4) | AD <i>n</i> = 499 HC <i>n</i> = 11,991 | 56.3 | <i>M</i> = 71.21 | > HDL = AD | <i>RR</i> = 1.00 | 0.86~1.14 | 0.942 | 11 |
| | TC | | L (3.2- 32) | 16 | USA (8), EU (4), AS (4) | AD <i>n</i> = 12275 HC <i>n</i> = 2,246,750 | 49.5 | <i>M</i> = 68.5 | > TC = AD | <i>RR</i> = 0.96 | 0.81-1.11 | 0.000 | |

Note: Variables: AD: Alzheimer's disease; LDL-C: Low-Density Level Cholesterol; HDL-C: High-Density Level Cholesterol; TC: Total Cholesterol; TG: Triglycerides; Total *n*: number of participants of each study; Design: C: Cross-sectional; L: Longitudinal (year); *K*: Number of Studies; Country *N*: Number of Independent Studies in of each country. EU: European Union; USA: United States of America; AS: Asia; AF: Africa; OC: Oceania; *Independent Sample: AD: Alzheimer's Disease cases; HC: Healthy Control participants for each type of cholesterol.; F: females; M: Mean; *CI*: 95% Confidence Interval; LL: Lower Limit; UL: Upper Limit; *SMD*: Standard Mean Difference; *OR*: Odds Ratio; *RR*: Risk Ratio; AMSTAR: AMSTAR Score.

Table A1 (Appendix 2) show the available primary studies of cholesterol and AD ($K = 100$ studies) and the main characteristics. It is worth noting that the search for suitable meta-analyses was systematic. To carry out the main analysis, cholesterol studies were divided into groups based on the type of lipid serum at which cholesterol was placed in each meta-analysis: LDL-C, HDL-C, TC, and TG. Table A2 (Appendix 2) illustrates the individual effect sizes obtained from the meta-analysis of the 100 primary studies to facilitate the replicability of this study and further analysis.

Twelve effect sizes were extracted from a total of five meta-analyses. $K = 3$ effect sizes informed about LDL-C and risk of AD (25%); $k = 3$ about HDL-C (25%); $k = 4$ about TC (33.3%), and $k = 2$ of TG (16.7%). Table 2 summarizes the key features of the included primary diagnosis, design, number of primary studies, country of origin of the study, sample size, gender, mean age, results, total scores of quality of included meta-analyses (MAs) (AMSTAR) and effect sizes of the relationships between cholesterol and AD that were extracted.

First, we investigated the relationship between overall cholesterol components and risk of AD in five meta-analyses, with a total of 2,289,511 participants, most of whom were female (N cases, AD = 19,757; N controls, HCs = 2,269,754). We identified a total of 12 estimates for cholesterol serum lipids (LDL-C, HDL-C, TC, and TG). The distribution of these estimates is shown in Figure 3.

The total random effect of cholesterol on risk of AD was significant with $OR = 1.29$, 95% confidence interval (CI) [1.04, 1.60], $Z = 2.28$, $p = 0.023$, $d = 0.14$. When calculating the overall effect of lipid parameters, evidence of significant heterogeneity was found ($Q = 45.49$, $df = 11$, $p = 0.0001$, $I^2 = 75.82\%$). Therefore, we examined whether subgroup analysis changed the results, as cholesterol levels at onset were significantly associated with AD. Heterogeneity could be explained, due to the different types of cholesterol: LDL-C, HDL-

C, TG and TC. The results indicated that there were differences between the types of cholesterol: $Qb = 9.04$, $df = 3$, $p = 0.029$. Hence, independent analyses for each type of cholesterol were performed.

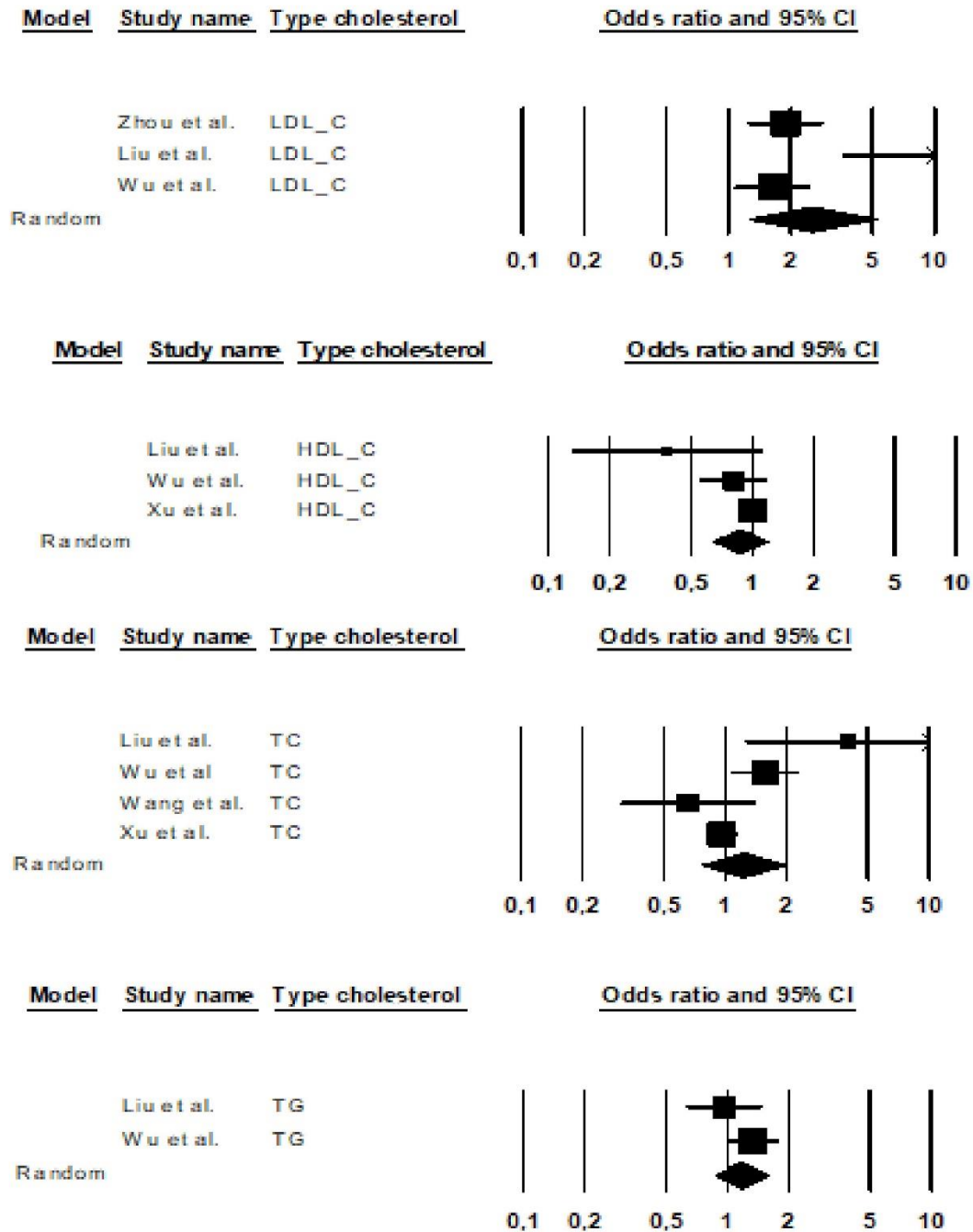


Figura 3. Forest plot of the effects of dyslipidemia on Alzheimer's disease (AD): low-density lipoprotein cholesterol (LDL-C), high-density lipoprotein cholesterol (HDL-C), total cholesterol (TC), triglycerides (TG).

3.1. Studies of LDL-C Levels

The total random effect of LDL-C levels on AD was significant for $k = 3$ meta-analysis ($N = 17,764$, $n = 5693$ AD, and $n = 12,071$ HCs): $OR = 2.55$, 95% $CI [1.25, 5.22]$, $Z = 2.57$, $p = 0.010$, $d = 0.52$.

The first study conducted by Zhou et al. (31) provided information of $K = 20$ studies that compared serum LDL-C levels in AD and HC subjects ($N = 7033$ participants: 2266 AD and 4767 HCs). Liu et al. (8) also compared AD ($n = 584$ AD) and HC participants ($n = 2130$), examining $K = 9$ independent studies with an $N = 2714$. Finally, Wu et al. (32) informed about LDL-C, comparing $K = 33$ studies ($N = 8017$) with $n = 2843$ AD and $n = 5174$ HC participants. Results indicated that the LDL-C serum levels were significantly higher in AD patients than in HC subjects. Heterogeneity was significant ($Q = 9.05$, $df = 2$; $I^2 = 77.89\%$, $p = 0.011$, $I^2 = 77.89\%$). (See Table 3).

Table 3. Summary effect sizes for low-density lipid cholesterol (LDL-C) serum levels and Alzheimer’s disease (AD).

| Model | Study | Statistics | | | | | | |
|----------------------|------------------|------------|------|-------|------|-------|---------------|--------------|
| | | OR | LL | UL | Z | p | Weight Random | Std Residual |
| | Zhou et al. (31) | 1.89 | 1.24 | 2.86 | 2.98 | 0.003 | 40.64 | -0.69 |
| | Liu et al. (8) | 12.67 | 3.56 | 45.08 | 3.92 | 0.000 | 18.96 | 2.13 |
| | Wu et al. (32) | 1.64 | 1.07 | 2.50 | 2.25 | 0.024 | 40.39 | -1.01 |
| Random effect | | 2.55 | 1.25 | 5.22 | 2.57 | 0.010 | | |

OR: Odds Ratio; LL: Lower Limit; UL: Upper Limit; Z: standard punctuation; p: statistical significance; Std: Standard Residual.

3.2. Studies on HDL-C Levels

Three meta-analyses $K = 3$ ($N = 23,642$, $n = 4147$ AD and $n = 19,495$ HCs) showed a non-significant effect of HDL-C levels on the risk of AD: $OR = 0.87$, $CI 95\% [0.64, 1.18]$, $Z = -0.89$, $p = 0.372$, $d = 0.08$.

Liu et al. (8) included 11 studies that analyzed HDL-C serum levels in AD patients and HCs. The combined sample size consisted of 2960 participants: 727 AD and 2233 HCs. They found non-significant differences between AD and HC subjects in HDL-C serum levels. Likewise, no differences were found between AD and HCs ($K = 33$ studies; $N = 8192$, $n = 2921$ AD and $n = 5271$ HCs) in the meta-analysis conducted by Wu et al. (32). Finally, Xu et al.(43) did not find any association between a lower level of HDL-C and AD ($K = 6$ studies; $N = 12,490$, $n = 499$ AD and $n = 11,991$ HCs). Heterogeneity was non-significant ($Q = 3.85$, $df = 2$; $I^2 = 47.98\%$, $p = 0.146$). (See Table 4).

Tabla 4. Summary effect sizes for high-density lipid cholesterol (HDL-C) serum levels and AD.

| Model | Study | Statistics | | | | | | |
|-------|----------------|------------|------|-------|------|-------|---------------|--------------|
| | | OR | LL | UL | Z | p | Weight Random | Std Residual |
| | Liu et al. (8) | 0.38 | 0.13 | 1.13 | -1.7 | 0.081 | 7.35 | -1.46 |
| | Wu et al. (32) | 12.67 | 3.56 | 45.08 | 3.92 | 0.000 | 18.96 | 2.13 |
| | Xu et al. (43) | 1.00 | 0.87 | 1.16 | 0.00 | 1.000 | 59.35 | 1.08 |
| | Random | 0.87 | 0.87 | 0.87 | 0.87 | 0.87 | | |

OR: Odds Ratio; LL: Lower Limit; UL: Upper Limit; Z: standard punctuation; p: statistical significance; Std: Standard Residual.

3.3. Studies on TC Levels

Results indicated that $K = 4$ meta-analyses ($N = 2,271,785$, $n = 16,704$ AD and $n = 2,255,081$ HCs) informed about the TC and AD risk. The combined effect size showed that TC levels increased by 44% the risk of AD, but this effect did not reach statistical significance: $OR = 1.44$, $CI 95\% [0.91, 2.28]$, $Z = 1.55$, $p = 0.121$, $d = 0.20$.

Liu et al. (8) included $k = 13$ primary studies ($N = 3112$) that compared the TC serum levels in AD ($n = 809$) and HC subjects ($n = 2303$), showing that TC levels were significantly higher in AD patients than in HC participants. Likewise, Wu et al. (32) reviewed $K = 33$ studies ($N = 7850$, $n = 2661$ AD patients and $n = 5189$ HCs), finding significant effects. However, Wang et al. (57) evaluated total of $K = 16$ studies ($N = 1653$), including 959 subjects with AD

and 694 controls, finding non-significant differences between AD and HDs. In this study, the authors analyzed the markers of cholesterol in subjects with AD with age-matched controls. Finally, Xu et al. (43), in a longitudinal study, also reported non-significant differences between AD and HCs in TC levels ($K = 16$ studies; $N = 2,259,170$, $n = 12,275$ AD and $n = 2,246,895$ HCs). Heterogeneity was significant ($Q = 11.83$, $df = 3$; $I^2 = 74.77\%$, $p = 0.008$). (See Table 5).

Tabla 5. Summary effect sizes for total cholesterol (TC) serum levels and Alzheimer’s disease (AD).

| Model | Study | Statistics | | | | | | |
|----------------------|------------------|------------|------|-------|-------|-------|---------------|--------------|
| | | OR | LL | UL | Z | p | Weight Random | Std Residual |
| | Liu et al. (8) | 3.97 | 1.25 | 12.55 | 2.35 | 0.019 | 11.41 | 1.55 |
| | Wu et al. (32) | 1.57 | 1.09 | 2.28 | 2.39 | 0.017 | 31.52 | 0.26 |
| | Wang et al. (57) | 1.52 | 0.70 | 3.25 | 1.07 | 0.283 | 19.04 | 0.11 |
| | Xu et al. (43) | 0.96 | 0.83 | 1.12 | -0.53 | 0.597 | 37.25 | 0.38 |
| Random effect | | 1.44 | 0.91 | 2.28 | 1.55 | 0.121 | | |

OR: Odds Ratio; LL: Lower Limit; UL: Upper Limit; Z: standard punctuation; p: statistical significance; Std: Standard Residual.

3.4. Studies of TG Levels

The combined effect size of studies of TG levels $K = 2$ ($N = 8085$, $n = 2865$ AD and $n = 5220$ HCs), $OR = 1.22$, $CI 95\% [0.96, 1.56]$, $Z = 1.64$, $p = 0.102$, $d = 0.11$, indicates that there was no significant association between overall TG and the risk of AD. Liu et al. (8) ($K = 6$; $N = 512$, $n = 273$ AD, and $n = 239$ HCs) and Wu et al. (32) ($K = 28$; $N = 7573$, $n = 2592$ AD and $n = 4981$ HCs) showed that there were no differences in TG serum levels between patients and controls. Heterogeneity analysis was non-significant ($Q = 0.91$, $df = 1$; $I^2 = 0\%$, $p = 0.340$). (See Table 6).

Tabla 6. Summary effect sizes for triglycerides (TG) serum levels and Alzheimer's disease (AD).

| Model | Study | Statistics | | | | | | |
|----------------------|----------------|------------|-----------|-----------|----------|----------|------------------|------------------------|
| | | <i>OR</i> | <i>LL</i> | <i>UL</i> | <i>Z</i> | <i>p</i> | Weight Random | <i>Std</i> Residual |
| | Liu et al. [1] | 1.04 | 0.68 | 1.57 | 0.17 | 0.864 | 33.61 | -0.96 |
| | Wu et al. [12] | 1.33 | 0.99 | 1.79 | 1.89 | 0.059 | 66.39 | 0.96 |
| Random effect | | 1.22 | 0.96 | 1.56 | 1.64 | 0.102 | | |

OR: Odds Ratio; LL: Lower Limit; UL: Upper Llimit; Z: standard punctuation; p: statistical significance; Std: Standard Residual.

DISCUSSION

This study analyzes the association between cholesterol levels and the risk of developing AD. This is the first attempt to evaluate this relation by identifying previous meta-analyses and their primary studies analyzed worldwide. The present meta-meta-analysis summarizes the information of 100 primary studies and expands the findings of individual studies.

Global results revealed that the level of cholesterol is a risk factor for AD. This finding is consistent with those from several prior studies, in which high cholesterol levels were associated with a higher likelihood of developing AD (8,32,43,83). However, sensitivity analysis yielded several interesting and informative results. Even though the studies revealed that AD is involved in lipid metabolism, the results indicated that the effect of LDL-C, HDL-C, TC and TG on the development of dementia was different. We found that, compared with HC subjects, LDL-C levels were higher in AD participants, whereas HDL-C, TC and TG levels were not sensitive hallmarks of AD.

An elevated LDL cholesterol level was an independent risk factor for the development of AD. The pooled effect size exhibited a significant increase in the risk of AD for individuals with higher levels of LDL-C. Other prospective studies also support these results, showing that LDL concentration in mid-life increases the risk of developing AD in later life (105). Nevertheless, in this study, the pathways through which elevated LDL cholesterol levels influence the development of dementia are unclear (63).

First, previous research indicated that the senile plaques theories may provide a link between high LDL-C and AD (62). In this theory, elevated levels of LDL-C and TC cause the extracellular deposition of amyloid protein ($A\beta$), hindering neuronal synaptic connections in the brain and increasing the risk of AD (106).

Second, the Tau protein may play an important role in proper axonal transport and overall neural integrity (107) and correlates with cognitive decline in the AD. In this case, cognitive loss is associated with an excess of the Tau protein, which causes neurofibrillary tangles and prevents the synaptic connection of neurons in the brain (108).

In addition, risk factors for vascular disease may also be risk factors for AD, and high blood LDL-C levels are vascular risk factors (109). Indeed, various studies have demonstrated that high concentrations of LDL cholesterol are associated with coronary heart disease and carotid artery atherosclerosis, which, in turn, may lead to cognitive decline through cerebral embolism or hypoperfusion (110–113). The study conducted by Moroney et al. (113) also demonstrated that the level of LDL cholesterol is a potential risk factor for dementia with stroke. Therefore, it is necessary to analyze the influence of other factors related to LDL-C in the development of AD. This result could explain the heterogeneity between LDL-C studies found in this meta-meta-analysis.

The results showed no difference in HDL-C serum levels between AD and HC subjects. However, this result remains controversial, and no conclusive evidence was found. Various studies indicated that variations in HDL serum lipid levels are not associated with AD (8,32,43,63). In other studies, lower levels of HDL have been associated with a high risk of AD (106,109). Conversely, evidence suggests that high HDL-C levels are associated with a reduced risk of dementia, and that HDL may protect people against cerebrovascular dysfunction in AD (114). In fact, cholesterol is an essential molecule for many physiologic processes and has multiple beneficial effects. Cholesterol is a precursor of steroid hormones

(estrogens, androgens, vitamin D), it provides structural integrity and modulates the fluidity of cell membranes and is a main component of basic synaptic integrity and neurotransmission (115). Moreover, HDL is known to have antioxidant and anti-inflammatory properties, which can affect neuroinflammatory responses in the brain and improve cognitive functions (116).

Whereas TC (total cholesterol) has been identified as a lipid marker for hyperlipemia (8,32,57), the summarized results did not find significant effects of TC levels on AD. Four meta-analyses assessing the effects of mid-life serum cholesterol on late-life risk of dementia and AD have yielded conflicting results. Several studies state that high cholesterol levels in middle age represent a risk factor for AD, but that there are no detectable differences in cholesterol levels at advanced ages (31,63,114). Therefore, the non-significant effects of TC on AD in prospective studies (30 years to follow-up) could be explained by the variations in TC levels and the disease progression. Along these lines, Leparo et al. (115) indicated that cholesterol may be associated with AD cross-sectionally. In the same vein, Reitz et al. (106) concluded that there is an association between higher cholesterol levels and a lower risk of AD, because of the nutritional status of elderly patients. In the early stages of AD, patients show alterations in the energy profile (weight loss, reduced caloric intake and increased energy requirements), and low cholesterol levels may reflect malnutrition (115). Similarly, experimental studies and retrospective analyses in cohort studies indicate that statins could also affect the natural progress of the AD and reduce its prevalence over time (116). Finally, even though Wang et al. (57) used a cross-sectional design, they did not find significant effects of TC on AD. In this study, the authors explained that cholesterol homeostasis could be altered in preclinical AD, whereas cholesterol dysregulation occurs throughout the disease's process. This evidence could make it more difficult to find a significant relationship between TC and AD during the disease's progress (57). Hence, additional analysis is necessary.

The triglyceride serum level did not show a positive association with the development of AD in this meta-meta-analysis. This result also may be explained because of the retrospective design of some of the studies included herein. As we noted before, the use of cholesterol-lowering drugs could have suppressed the development of AD in participants, decreasing the likelihood of finding an association between TC and AD (117,118). For instance, Wolozin et al. (117) concluded that the use of statins, including lovastatin and pravastatin, decreased the development of AD. Other studies did not find that high triglyceride levels were associated with AD (8,32) and with potential changes in cognitive performance (119). However, the results are not robust. Many studies associate hypercholesterolemia with the risk of dementia. Kivipelto et al. (120) concluded that hypercholesterolemia could increase the risk of dementia, because arteriosclerosis occurs in the blood vessels, and this can alter blood flow, and directly induce neurodegeneration of AD (121). Likewise, a recent study that investigated the association between diet and the level of triglycerides in the blood concluded that TG was associated with cognitive decline (122). This result highlighted that a healthy diet and a good lifestyle for controlling the serum lipid levels was beneficial for preventing AD, which seems to counteract the scientific literature, where TG level is not associated with AD (123).

Our summary results showed no statistically significant differences between serum HDL-C, TC and TG levels in patients with AD compared with HC participants. Based on all available information, this study reveals that it is important to identify early risk factors for AD, because the neurodegenerative processes of AD can begin at an early age, and pharmacological and non-pharmacological therapies that delay the neurodegenerative progress of AD may be performed. Moreover, it may be necessary for future studies to investigate in more detail the neural regions that exhibit different cholesterol content regarding the pathological processes related to AD (124), and the influence of other potential moderators that could explain the heterogeneity between the primary results. Hence, the

relevance of our findings for the pathophysiology of AD needs to be further explored in future research.

The limitations of this study include the possibility of misclassification bias when using single baseline measurements of cholesterol and the lack of verification of the clinical diagnosis of dementia subtype. We were also unable to investigate the effect of other moderator variables, such as country or cohort. Perhaps the relationship between lipid levels and the risk of probable AD would change if the same cohort were analyzed. Moreover, we could not assess the possible association between dietary and exercise levels and LDL-C, HDL-C, TC and TG serum levels. In addition, other variables have been associated with AD, but the meta-analysis included lacked a description of these factors, so the results could not be further adjusted. Body mass index, smoking status, stroke, hypertension, Type 2 diabetes and heart disease are also closely related to blood lipid levels, and could affect the risk of AD.

However, this meta-meta-analysis represents a step toward evidence-based of AD and its relationship with dyslipidemia. First, this meta-meta-analysis provides an update and complete summary of the association of LDL-C, HDL-C, TC and TG with the prevalence of AD. Second, the effect sizes of one of the most studied risk factors for AD are provided to all healthcare professionals. Cholesterol is a modifiable risk factor, so if professionals know the relationship between cholesterol and AD, they could try to modify cholesterol levels to help to reduce AD risk. This study provides empirical evidence for the reduction of LDL-C levels through the promotion of healthy lifestyles (such as diet, weight control or physical activity) and/or the prescription of different medical treatments.

CONCLUSIONS

To sum up, the association of cholesterol and AD was evaluated. This meta-meta-analysis indicates that there is an association between the effect of cholesterol and AD. LDL-C, HDL-C, TC and TG were analyzed separately. LDL-C has a significant impact on the

development of AD. Overall, this meta-meta-analysis represents a step toward evidence-based knowledge of AD.

The understanding of risk factors and protective factors of AD would require more long-term studies, conducting exhaustive follow-ups of each patient. Furthermore, this study highlighted the need to analyze other factors related to AD. Indeed, physical activity and the use of drugs could reduce the effects of cholesterol on AD; hence, more research is necessary. This meta-meta-analysis provides more knowledge about the relationship between cholesterol and AD, which could have a huge beneficial impact on AD incidence and prevalence.

CAPÍTULO 3

“Pide una mano que estreche la suya, un corazón que le cuide y una mente que piense por él cuando él no pueda hacerlo; alguien que le proteja en su viaje a través de los peligrosos recodos y curvas del laberinto”.

(Vivir en el Laberinto. Diana Friel, 1994)

Sáiz-Vázquez O, Gracia-García P, Ubillos-Landa S, Puente-Martínez A, Casado-Yusta S, Olaya B et al. Depression as a risk factor for Alzheimer’s disease: a systematic review of longitudinal meta-analyses. J Clin Med. 2021; 10(9), 1809. <https://doi.org/10.3390/jcm10091809>

CAPÍTULO 3: DEPRESSION AS A RISK FACTOR FOR ALZHEIMER'S DISEASE: A SYSTEMATIC REVIEW OF LONGITUDINAL META-ANALYSES

ABSTRACT

Background: Alzheimer's disease (AD) is the most frequent cause of dementia, linked to morbidity and mortality among elderly patients. Recently, several clinical studies suggested that depression is a potential risk factor for cognitive decline and AD.

Methods: A review of meta-analyses was performed, calculating pooled odds ratios to estimate the risk of AD in people with a prior diagnosis (or clinically significant symptoms) of depression.

Results: A total of six meta-analyses which represented 28 individual studies were analyzed. A significant association between depression and AD was found ($OR = 1.54$, 95% $CI [1.02-2.31]$; $p = 0.038$). The results showed that heterogeneity across studies was substantial. We found a significant positive effect size for clinical measures of depression, but not for symptomatic rating scales, in the association of depression with risk of AD. The type of rating scale used to assess depression and the cut-off criteria selected also moderated the relationship between depression and AD risk.

Conclusions: We found that studies that used clinically significant criteria for diagnosis of depression had more consistent and significant results than studies that used symptomatic scales.

Keywords: depression; Alzheimer's disease; clinical and symptomatic criteria; meta-meta-analysis

INTRODUCTION

Alzheimer's disease (AD) is the most frequent cause of dementia and is considered one of the main causes of morbidity and mortality among elderly people (125). The World Alzheimer's Report revealed that 46.8 million people worldwide were living with dementia in 2015, and the total global social cost of dementia was estimated to be \$818 billion (126). Estimates of dementia incidence in population-based studies range from 5 to 10 cases per 1,000 person-years in people aged 64 to 69, and up to 40 to 60 cases per 1,000 person-years in people aged 80 to 84 (127). In 2017 in Europe, prevalence rates of AD have been reported to be 5.05%, 3.31% in men, and 7.13% in women (128). Given the personal and social consequences of dementia and AD demand, we accelerate the global effort to understand this complex disorder (129).

Decades of research has suggested that the pathophysiological mechanisms underlining this neurodegenerative disease includes the accumulation of the amyloid-beta peptide ($A\beta$) in brain tissues and to cytoskeletal changes related to the hyperphosphorylation of microtubule-associated Tau protein in neurons. As a consequence, neuritic plaques and neurofibrillary tangles are accumulated, mostly observed in medial temporal lobe and associative neocortical areas (130) and resulting in several cognitive deficits. The clinical manifestation of AD is progressive, from unnoticeable brain changes to brain changes that cause cognitive deterioration and eventually physical disability (131). AD usually begins with memory difficulties followed by other cognitive problems such as visuospatial abnormalities, navigation difficulties, executive problems, and language disturbance (126).

Evidence seems to suggest that the etiology of AD is multifactorial, with genetics, older age, and a family history of AD being the greatest contributors to a higher risk of AD (132). Furthermore, AD is often associated with other chronic diseases (diabetes, cholesterol, cardiovascular diseases, obesity, and hypertension) (133). Although these risk factors are

unchangeable, other risk factors can be modified to reduce the risk of dementia and cognitive decline. This is particularly important, since there is no currently available way to stop the damage and destruction of neurons linked to AD.

Depressive symptoms are common in AD and occur in approximately 20–30% of patients (134). Depression is a serious medical illness that affects about 300 million people worldwide and which might aggravate existing medical conditions and increase functional disability (134,135). Clinical evidence suggests a relationship between depression and AD (136–139). However, it remains unclear whether depression represents a risk factor for AD, is an early symptom of neurodegeneration, or is a reaction to early cognitive deficits (139,140). Some studies have suggested that depressive symptoms immediately follow the onset of AD rather than precede it (141). Moreover, evidence from other studies indicates that depression has only a mild effect on dementia (142) and does not increase the risk for developing AD (143). However, other authors suggest that the presence of depression in patients with AD increases the risk of behavioral disturbance and accelerates functional decline (137). Hudon et al. (144), for example, found that depression was the most consistent risk factor associated with behavioral or psychological symptoms and cognitive decline in patients with AD. In addition, several studies concluded that late-life depression is related to an increased risk for all-cause dementia, vascular dementia, and Alzheimer's disease (33,34,36), and late-life depression was shown to be associated consistently with a two-fold increased risk of dementia (35,37).

In order to clarify the role of depression as a risk factor of AD, several meta-analyses were conducted (34,36,37,144). However, some limitations were pointed out. Cherbuin et al. (35), for example, indicated that, in general, results from previous studies that focused on depression as a risk factor of AD might be biased due to the type of instrument used to assess depression. Results are frequently based on different tools. Some of these studies are

based on symptomatic rating scales with cut-off points (e.g., CESD), while others are based on clinical criteria (e.g., DSM). Thus, the pooled estimates of the risk for AD in depressed people might be unreliable, because these meta-analyses combined effect sizes from studies using different instruments to assess depression (i.e., symptomatic rating scales and clinical diagnoses). Additionally, these previous meta-analyses did not pool findings separately for studies using clinical criteria and studies using depressive symptom rating scales with specified cut-off points.

Based on these limitations and the inconclusive evidence, we aimed to perform a meta-meta-analysis of longitudinal studies to assess the effect of depression on the risk of a subsequent diagnosis of AD. Given the expected heterogeneity among studies, we also aimed to pool findings separately from studies using clinical criteria and those using de-pression symptom rating scales, and to test the association between depression and risk of AD according to the different instruments used.

MATERIALS AND METHODS

I. Data Collection

This meta-meta-analysis was performed in accordance with the Preferred Reporting for Systematic Reviews and Meta-analysis (PRISMA) Statement (145). For data collection, we searched meta-analyses that measured depression at baseline and reported outcomes in individuals with diagnoses of AD at follow-up. ISI Web of Science (WOS), Scopus, Pubmed, Elsevier Science Direct, and Google scholar were searched from inception up to 31 July 2020. Combinations of the following search terms were used: “depression” AND “Alzheimer’s disease” AND “meta-analysis”. The data search was done in English (four studies) and Spanish (one study). When necessary, corresponding authors were contacted to provide full text details of the study outcome measures.

II. Inclusion Criteria

By consensus of the authors, studies were included if they met the following criteria:

- Longitudinal studies that investigated the effect of depression or depressive symptoms (at baseline) as an antecedent to AD (follow-up).
- Studies including patients with a diagnosis of AD according to diagnosis criteria (e.g., Related Disorders Association criteria, N-ADRDA, the Diagnostic and Statistical Manual of mental Disorders, DSM-III or the National Institute of Neurological and Communication Disorders-Alzheimer's Disease).
- Studies that clinically assessed levels of depression by means of a clinical diagnosis (e.g., DSM-IV, ICD-10), or a symptomatic diagnostic tool with a cut-off score (e.g., Geriatric Mental State Schedule, GMS) that identifies clinically significant levels of depression.
- Studies reporting sufficient information to calculate common effect size statistics (i.e., mean and SD, exact P-, t-, or z-values).
- Original, peer-reviewed meta-analyses that were published in English and Spanish.

III. Exclusion Criteria

By consensus of the authors, the following were excluded:

- Studies investigating the association of depression and risk of AD using a sample of patients with AD and other dementia (non-independent or overlapping data for AD).
- Studies not reporting quantitative data to calculate the association between depression and AD, or not published as meta-analyses in peer-reviewed journals (i.e., conference abstracts, book chapters).
- Meta-analyses about other topics or those that included the same primary studies.

IV. Data Extraction and Quality Assessment

Titles and abstracts of potential meta-analyses about depression and incident AD were independently analyzed by three researchers (OS, SU, PG). After exclusion of irrelevant articles, the remaining meta-analyses were critically inspected to check data accuracy. Then, full texts of all primary studies included in each meta-analysis were screened according to the inclusion criteria. In the event of ambiguity, two authors (SU, JS) additionally reviewed the study to reach a consensus regarding its eligibility.

Data related to the diagnosis/assessment of depression and AD were collected directly from the text or from statistical tables. The lead author and either the third or fourth author independently extracted data from each study, including study characteristics (year, country, total sample size, and length of follow-up period), sample characteristics (mean age, % of women), measures of depression and AD, and the cut-off point used for depression in each individual study.

Diagnoses of AD were based on the following accepted clinical criteria: Revised criteria and the National Institute of Neurological and Communication Disorders-Alzheimer's Disease and Related Disorders Association criteria (N-ADRDA), the Diagnostic and Statistical Manual of Mental Disorders in different editions (DSM-III, DSM-III-R, DSM-IV, DSM-V), and the International Classification of diseases (ICD-10). Additionally, studies established different cut-off scores on neuropsychological tests for the purposes of screening out cognitive impairment and dementia at baseline (see Table 7). Participants with scores above the cut-off on cognitive domains were excluded on the basis that this level of test performance indicates the presence of dementia or cognitive impairment. The most frequently used measures to describe the cognitive characterization of the participants at baseline were the Mini Mental State Examination (MMSE) ($n = 14$) and the Clinical Rating Scale (CRS) ($n = 6$). Diagnoses of depression were based on either symptomatic rating scales

or clinical diagnoses. Clinical criteria for depression included the DSM-III, DSM-III-R, DSM-IV, DSM-V, and the Automated Geriatric Examination for Computer Assisted Taxonomy (GMS-AGECAT). Diagnoses of depression were based on symptomatic rating scales on valid cut-off points (SGDS/15/30, CES-D/10/11/20, HRSD-17).

In addition, the quality of the included studies was reported using the Assessment of Multiple Systematic Reviews (AMSTAR) tool (28), which was previously shown to have good interrater agreement, reliability, and content validity (28,29).

V. Statistical Analysis

Crude odds ratios (OR_s) (and 95% confidence intervals (CI_s)) were used to calculate the risk of developing AD associated with previous depression. When the number of cases of depression and AD were not provided, the effect sizes were calculated using reported data in the meta-analysis according to Lipsey and Wilson (146). We considered HR_s and OR_s as equivalent, since it was previously shown that for rare events, they can be considered equivalent (incidence < 15%) (100). Seventeen studies provided data that could be used in calculating crude OR_s (odds of an outcome in the intervention arm divided by the odds of an outcome in the control). Eleven additional studies provided data on AD risk in samples as HR or OR_s with 95% confidence intervals that could be used in pooling estimates.

Tabla 7. Summary of demographic and study information

| Study | Year | Country | AD Measure ¹ | Cognitive Measure ² | Cut-Off Criteria Cognition | Depression Measure ³ | Cut-Off Criteria Depression ⁴ | <i>n</i> ⁵ | Follow-Up Length (Years) <i>M (SD)</i> | Age <i>M (SD)</i> | Female (%) (Total) | AMSTAR2 ⁶ |
|-----------------------------------|------|--------------|-------------------------|--------------------------------|----------------------------|---------------------------------|--|-----------------------|--|-------------------|--------------------|----------------------|
| Bae et al. (147) | 2015 | AS | N-ADRDA | CERAD-K | ≥60 | GDS15 | ≥8 | 540 | 3.5 (0.3) | 71.7 (5.1) | 55.2 | HIGH |
| Bartolini et al. (148) | 2005 | EU | N-ADRDA | MMSE | >26 | DSM-III-R | - | 222 | 1 | 69.2 (4.8) | 63.5 | HIGH |
| Becker et al. (143) | 2009 | USA | N-ADRDA | MMSE | >26 | CES-D20 | ≥10 | 729 | 7.1 (NR) | 70 | 69 | HIGH |
| Blasko et al. (149) | 2010 | Austria | N-ADRDA | CERAD | ≥60 | DSM-IV | - | 648 | 2.5 (NR) | 78.3 (0.5) | 56.5 | HIGH |
| Burke et al. (150) | 2018 | USA | N-ADRDA | CRS | ≤3 | DSM-V | - | 12,083 | 4.2 (-) | 63.9 | 83 | HIGH |
| Chen et al. (151) | 1999 | USA | DSM-III-R | MMSE | >26 | CES-D20 | ≥16 | 803 | 4.5 (NR) | 73.7 (5.0) | 60 | MODERATE |
| Dal Forno et al. (152) | 2005 | USA | N-ADRDA | BIMC | | CES-D20 | ≥20 | 1357 | 6.1 (-) | 65.5 (12.0) | 45.5 | HIGH |
| Devanand et al.(153) | 1996 | USA | N-ADRDA | CRS | ≤3 | DSM-III R | - | 456 | 2.54 | 72 | 70 | HIGH |
| Dotson, Beydoun & Zonderman (154) | 2010 | USA | DSM-III R | BIMC | | CES-D20 | ≥16 | 2177 | 23.6 (NR) | 52.7 (18.8) | 42.3 | HIGH |
| Fuhrer, Dufouil & Dartigues (155) | 2003 | France | N-ADRDA/DSM-III-R | MMSE | >26 | CES-D20 | ≥16 | 1576 | 8.0 (NR) | 75.2 (6.9) | 58.3 | HIGH |
| Gatz et al. (141) | 2005 | Canada | DSM-III R | MMSE | >26 | CES-D20 | ≥16 | 766 | 5 | 74.5 (6.0) | 61.7 | HIGH |
| Geerlings et al. (156) | 2000 | Países Bajos | DSM-III-R | MMSE | >26 | GMS-AGECAT | - | 1911 | 5,9 (1,6) | 73.5 (7.9) | 49 | MODERATE |

| Study | Year | Country | AD Measure ¹ | Cognitive Measure ² | Cut-Off Criteria Cognition | Depression Measure ³ | Cut-Off Criteria Depression ⁴ | <i>n</i> ⁵ | Follow-Up Length (Years) <i>M</i> (<i>SD</i>) | Age <i>M</i> (<i>SD</i>) | Female (%) (Total) | AMSTAR2 ⁶ |
|------------------------------|------|-------------|-------------------------|--------------------------------|----------------------------|---------------------------------|--|-----------------------|---|----------------------------|--------------------|----------------------|
| Geerlings et al. (157) | 2008 | Netherlands | N-ADRDA | MMSE | >26 | CES-D20 | ≥16 | 393 | 5.9 (1.6) | 73.5 (7.9) | 49 | MODERATE |
| Gracia-García et al. (158) | 2015 | EU | DSM-IV | MMSE | >26 | GMS-AGECAT | ≥3 | 3626 | 4.5 | 71.9 (9.0) | 54.4 | HIGH |
| Heser et al. (159) | 2013 | Germany | DSM-IV/ICD-10 | MMSE | >26 | DSM-IV | - | 2969 | 4 | 81 | 64.8 | HIGH |
| Irie et al. (160) | 2008 | USA | N-ADRDA | CRS | ≤3 | CES-D11 | ≥9 | 1585 | 5.1 | 76.3 (3.6) | 0 | HIGH |
| Kim et al. (161) | 2010 | South Korea | N-ADRDA | CRS | ≤3 | GDS30 | 13/14 | 473 | 2.4 (0.3) | 71.8 (5.1) | 54.4 | HIGH |
| Kim et al. (162) | 2011 | South Korea | DSM-IV | CRS | ≤3 | GMS-AGECAT | ≥3 | 563 | 2.4 (0.3) | 71.8 (5.0) | 54.4 | MODERATE |
| Lauriola et al. (163) | 2018 | EU | DSM-V | MMSE | >26 | DSM-V | - | 181 | 4 | 74.5 (7.5) | 59.7 | HIGH |
| Lenoir et al. (164) | 2011 | France | N-ADRDA | MMSE | >26 | CES-D20 | M ≥ 16 W ≥ 22 | 7989 | 4 (NR) | 74.0 (5.4) | 61.3 | HIGH |
| Li et al. (165) | 2011 | USA | N-ADRDA | CASI | ≥78 | CES-D11 | ≥10/ | 3410 | 7.1 (NR) | 74.9 (6.2) | 59.9 | HIGH |
| Luchsinger et al. (166) | 2008 | USA | N-ADRDA | CRS | ≤3 | HRSD17 | ≥10 | 1138 | 5.1 (3.3) | 75.1 (6.4) | 67.7 | HIGH |
| Reding, Haycox & Blass (167) | 1985 | USA | ICD-10 | MSQ | 0–2 errors | DSM-III | - | 60 | 3 | - | - | MODERATE |
| Richard et al. (168) | 2013 | USA | DSM-III R | MMSE | >26 | CES-D10 | ≥4 | 2160 | - | 76.9 (7.1) | 75 | MODERATE |

| Study | Year | Country | AD Measure ¹ | Cognitive Measure ² | Cut-Off Criteria Cognition | Depression Measure ³ | Cut-Off Criteria Depression ⁴ | <i>n</i> ⁵ | Follow-Up Length (Years) <i>M (SD)</i> | Age <i>M (SD)</i> | Female (%) (Total) | AMSTAR2 ⁶ |
|-----------------------------|------|---------|-------------------------|--------------------------------|----------------------------|---------------------------------|--|-----------------------|--|-------------------|--------------------|----------------------|
| Saczynski et al. (169) | 2010 | USA | N-ADRDA | MMSE | >26 | CES-D20 | ≥16 | 949 | 8 (NR) | 79.3 (5.0) | 63.6 | MODERATE |
| Tyas et al. (170) | 2001 | Canada | N-ADRDA | MMSE | >26 | CES-D20 | ≥16 | 694 | 3 to 5 | 65 | 67 | MODERATE |
| Vilalta-Franch et al. (171) | 2013 | EU | DSM-IV | CAMCOG | ≥79 | DSM-IV | - | 451 | 5 | 76.7 (5.4) | 63.7 | HIGH |
| Wilson et al. (172) | 2003 | USA | N-ADRDA | VARIOUS | - | CES-D10 | ≥4 | 142 | 3.9 (NR) | 81.0 (6.6) | 52.3 | HIGH |

Note: Meta-analyses analyzed were: Cherbuin et al. (35), Diniz et al. (36), Gao et al. (37), Kuring et al. (34), Kuring et al. (173), Santabárbara et al. (33). ¹ AD: Alzheimer’s disease. DSM-III-R, DSM-IV, DSM-V = Diagnostic and Statistical Manual of Mental Disorders; N-ADRDA = National Institute of Neurological and Communicative Disorders and Stroke–Alzheimer’s Disease and Related Disorders Association; N-AIREN = National Institute of Neurological and Communicative Disorders and Stroke–Association Internationale pour la Recherche et l’Enseignement en Neurosciences; ICD-10 = International Classification of Diseases. Total of diagnoses are *k* = 30. ² Cognitive measures: CERAD/K: Consortium to Establish a Registry for Alzheimer’s Disease; MMSE: Mini-Mental State Examination; CRS: Clinical Rating Scale; BIMC: Blessed Information-Memory-Concentration; CASI: Cognitive Abilities Screening Instrument; MSQ: Mental Status Questionnaire; CAMCOG: Cambridge Cognitive Examination. ³ Depression. DSM-III, DSM-III-R, DSM-IV, DSM-V: Diagnostic and Statistical Manual of Mental Disorders; HRSD17: Hamilton M. Rating Scale for DP; GMS-AGECAT: Geriatric Mental State-Automated Geriatric Examination for Computer Assisted Taxonomy; GDS-15/30: Geriatric Depression Scale; CES/-D10 (10 items)/- D11 (11 items)/-D20 (20 items) = Center for Epidemiologic Studies–DP Scale. ⁴ Cut-off criteria for categorial depression measures: HRSD-17, Hamilton et al.(174); Williams et al. (175); GMS-AGECAT, Copeland et al. (176); GDS 15/30, Jung et al. (177); Yesavage et al. (178); SGDS, Kim et al. (179); CES-D/D20, Radloff (180); CES-D10/11, Kohout et al. (181). ⁵ Follow-up: Total sample size for controls and healthy indicated; separate sample sizes for those with AD and depression and healthy controls were not reported. Study based on registry data. ⁶ AMSTAR 2 identifies quality of randomized controlled clinical trials. Rating overall confidence in the results: High = Zero or one non-critical weakness; Moderate = More than one non-critical weakness; Low = One critical flaw with or without non-critical weaknesses; Critically low = More than one critical flaw with or without non-critical weaknesses, Shea et al. (28) (https://amstar.ca/Amstar_Checklist.php accessed on 19 April 2021)

Summary statistics were calculated using Comprehensive Meta-Analysis software (CMA; Version 3) (Biostat Inc., Englewood, NJ, USA) (102,103). Initially, we performed an analysis summarizing all data available, including all studies with validated cut-offs or clinical diagnoses in a single pooled estimate (102). For each study, we calculated: (a) 95% *CI* of the effect, (b) *Z* value and *p* (two-tailed significance), and (c) *k* or number of studies (182). Presence of publication bias was assessed through visual inspection of funnel plots and with Egger's test (182).

The level of heterogeneity was assessed with the I^2 statistic, which describes the percentage of total variation across studies due to heterogeneity rather than chance alone. An I^2 value of 25% indicates low heterogeneity, 50% moderate heterogeneity, and 75% high heterogeneity (102). Random-effect models were used to determine statistically significant heterogeneity. Additionally, the Cochran Q test was applied to assess significant heterogeneity (p -value < 0.05). Moderating variables were selected on the basis of substantive considerations and the availability of data across studies included in the meta-analysis. Subgroup analyses were performed according to how depression was assessed: by clinical diagnosis (e.g., DSM-V) or by symptomatic rating scales (e.g., CES-D). Additionally, because the studies included different symptomatic rating scales, we also considered the instrument and the specific cut-off criteria as moderating variables. Therefore, we calculated the effect sizes of the association between depression and risk of AD separately for studies using different cut-off points. Finally, meta-regression analyses were conducted to obtain the proportion of variance explained for each moderator (the *R*-square analog). The scatter plot represents the mean effect for each level of covariate.

RESULTS

The search strategy produced a total of 443 meta-analyses (see Table 7). Initially, 37 meta-analyses were eligible for inclusion. Of these, 31 were excluded: (a) 3 did not report an effect size; (b) 6 did not provide information on the relationship between depression and AD; (c) 8 were duplicates; (d) 9 were systematic reviews about other topics; (e) 4 aimed to study the effect of medication on AD; and (f) 1 included the same primary studies as another. Finally, a total of six meta-analyses were analyzed ($k = 28$ pooled effect sizes), representing data from $n = 28$ individual studies (see Figure 4).

Since the effect estimated from a biased collection of studies might overestimate the true effect, we assessed the likely extent of this bias and its potential impact on the conclusions. The result of Egger's test was not significant: The intercept (B0) was 0.53, 95% CI (-1.88 to 2.95), with $t = 0.45$, $df = 26$, $p = 0.65$, indicating no publication bias.

I. Overall Results from the Meta-Analysis

A total of 28 individual studies reported the association between depression at baseline and AD at follow-up with a total of 101,881 participants ($N_{\text{baseline}} = 51,830$; $N_{\text{follow-up}} = 50,051$). Individual sample sizes ranged from 60 to 12,083. Most subjects was female. The mean age was 71.95, ranging from 52.7 to 81 years. One study did not report gender and age (167). The mean follow-up length was 4.90 years (range from 1 to 23.6), with one study not reporting the number of years (168). Characteristics of the 28 individual studies are presented in Table 8.

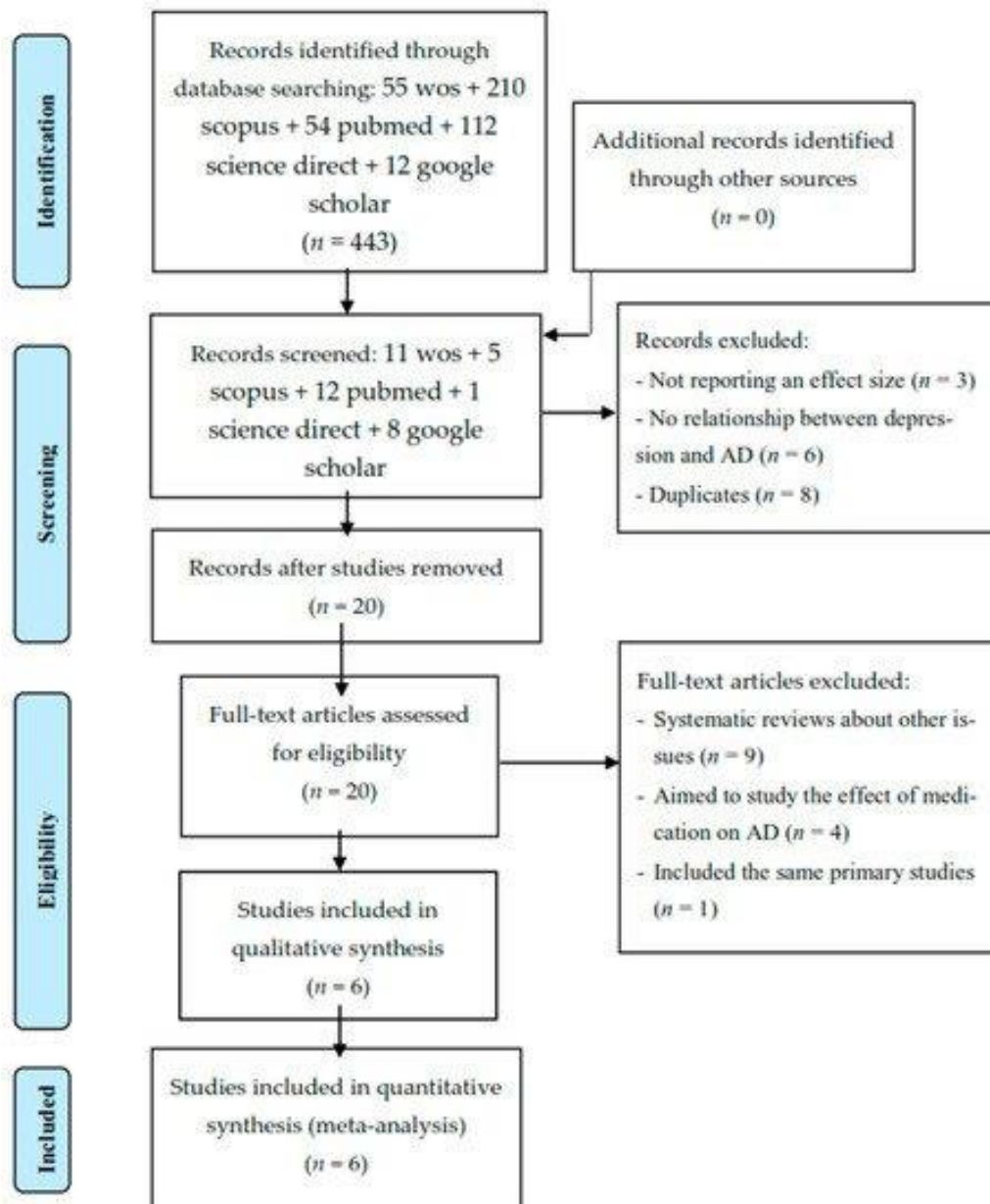


Figura 4. Flow chart depicting the selection of articles for our meta-analysis. Note: AD: alzheimer’s disease; n: number of studies.

A total of 17 and 11 studies were based on symptomatic rating scales and clinical criteria to assess depression, respectively: CES-D ($n = 14$) (50%), DSM-III/III-R/IV/V ($n = 8$) (28.6%), GMS-AGECAT ($n = 3$) (10.7%), GDS ($n = 2$) (7.1%), and HAM-D ($n = 1$) (3.6%). AD diagnosis was established based on the N-ADRDA ($n = 17$) (56.7%) or DSMIII-R/IV/V ($n = 10$) (33.3%), ICD10 ($n = 2$) (6.7%), and N-AIREN ($n = 1$) (3.3%) scales.

Risk estimates were pooled across the 28 studies. The random effect of the relationship between depression and AD was significant (OR = 2.46, 95% CI [1.81–3.35], $Z = 5.72$, $p < 0.001$). Figure 5 shows the forest plot of the effect sizes and their 95% CI. Heterogeneity across studies was substantial (Q -value = 284.53, $df = 27$, $I^2 = 90.51$, $p < 0.001$), suggesting the presence of potential moderators (Table 8).

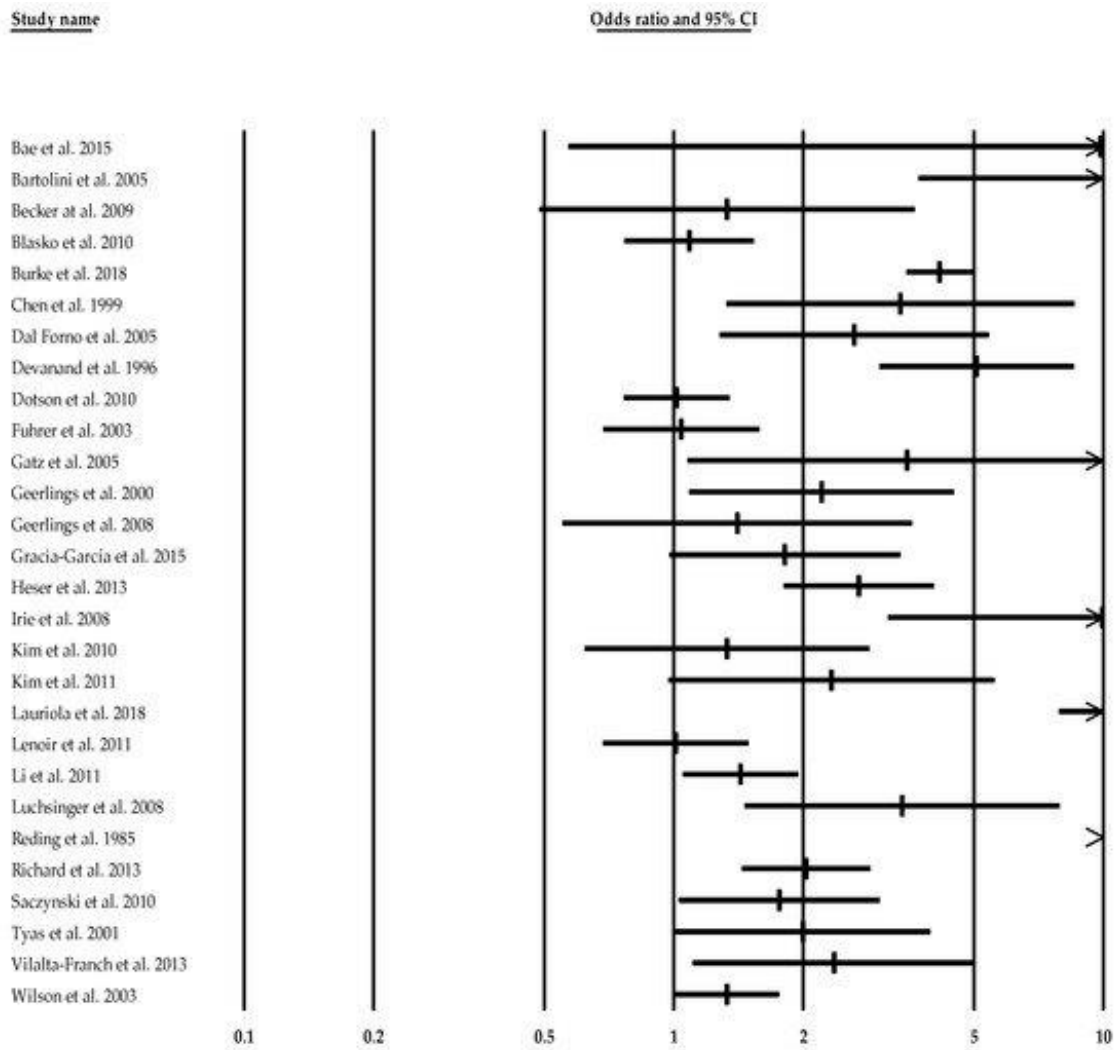


Figure 5. Forest plot of studies investigating the risk of Alzheimer’s disease (Time2) associated with depression (including all instruments).

Tabla 8. Summary details for individual studies that examined the risk of dementia (OR) associated with depression.

| | Odds Ratio | Lower Limit | Upper Limit | Z- | p- | Cases (Depression) | Controls (No Depression) |
|---------------|-------------------|--------------------|--------------------|-------------|------------------|---------------------------|---------------------------------|
| Bae et al. | 9.84 | 0.57 | 170.00 | 1.57 | 0.116 | 9/359 | 0/181 |
| Bartolini et | 16.00 | 3.72 | 68.76 | 3.73 | <0.001 | 31/124 | 2/98 |
| Becker at al. | 1.33 | 0.49 | 3.65 | 0.56 | 0.578 | HR = 1.33 (0.49–3.65) | |
| Blasko et al. | 1.09 | 0.77 | 1.53 | 0.47 | 0.637 | 77/242 | 122/406 |
| Burke et al. | 4.15 | 3.49 | 4.94 | 15.98 | <0.001 | 205/1214 | 507/10,869 |
| Chen et al. | 3.37 | 1.33 | 8.54 | 2.56 | 0.011 | 6/52 | 28/751 |
| Dal Forno | 2.63 | 1.28 | 5.40 | 2.63 | 0.008 | HR = 2.63 (1.28–5.40) | |
| Devanand | 5.07 | 3.02 | 8.52 | 6.13 | <0.001 | 57/173 | 25/283 |
| Dotson et | 1.02 | 0.77 | 1.35 | 0.11 | 0.911 | 96/938 | 125/1239 |
| Fuhrer et | 1.04 | 0.69 | 1.58 | 0.19 | 0.849 | 30/203 | 196/1373 |
| Gatz et al. | 3.49 | 1.08 | 11.28 | 2.09 | 0.037 | OR = 3.49 (1.08–11.28) | |
| Geerlings | 2.21 | 1.09 | 4.48 | 2.20 | 0.028 | OR = 2.21 (1.09–4.48) | |
| Geerlings | 1.41 | 0.55 | 3.58 | 0.71 | 0.475 | 6/35 | 44/343 |
| Gracia- | 1.81 | 0.98 | 3.36 | 1.89 | 0.059 | 13/452 | 51/3174 |
| Heser et al. | 2.70 | 1.80 | 4.03 | 4.84 | <0.001 | 34/306 | 118/2663 |
| Irie et al. | 9.94 | 3.16 | 31.22 | 3.93 | <0.001 | 6/146 | 6/1397 |
| Kim et al. | 1.33 | 0.62 | 2.85 | 0.74 | 0.463 | HR = 1.33(0.62–2.85) | |
| Kim et al. | 2.33 | 0.97 | 5.56 | 1.90 | 0.057 | 7/45 | 38/518 |
| Lauriola et | 130.73 | 7.90 | 2162.50 | 3.40 | 0.001 | 57/115 | 0/66 |
| Lenoir et | 1.01 | 0.69 | 1.49 | 0.05 | 0.960 | HR = 1.0 (0.7–1.6) | |
| Li et al. | 1.43 | 1.05 | 1.94 | 2.28 | 0.022 | HR = 1.43 (1,05–1,94) | |
| Luchsinger | 3.40 | 1.46 | 7.90 | 2.85 | 0.004 | HR = 3.4 (1.5–8.1) | |
| Reding et | 19.00 | 12.42 | 29.06 | 13.59 | <0.001 | HR = 19.00 (12.40–27.90) | |
| Richard et | 2.03 | 1.44 | 2.86 | 4.06 | <0.001 | 55/452 | 109/1708 |
| Saczynski | 1.76 | 1.03 | 3.01 | 2.07 | 0.039 | HR = 1.76 (1.03–3.01) | |
| Tyas et al. | 2.00 | 1.01 | 3.95 | 2.00 | 0.046 | 21/36 | 271/658 |
| Vilalta- | 2.36 | 1.11 | 5.03 | 2.23 | 0.026 | 13/116 | 17/335 |
| Wilson et | 1.33 | 1.01 | 1.76 | 2.01 | 0.044 | OR = 1.33 (1.01–1.76) | |
| Random | 2.46 | 1.81 | 3.35 | 5.72 | <0.001 | | |

Note: AD: Alzheimer’s disease; NO-AD: No Alzheimer’s disease. Ns are based on total participant data available for de- pression or AD (not entire sample). Some data (N at baseline and follow-up) were not available for the depression and control groups, because studies did not provide them. In those cases, we reported the effect given in primary studies. OR: odds ratio; LL: Lower Limit; UL: Upper Llimit; Z: standard punctuation; p: statistical significance

II. Clinical Criteria and Symptomatic Rating Scales to Assess Depression

We tested three different models that reflected a combination of methodological moderators (see Table 9). Random effect models revealed a significant positive effect size of the association between depression and risk of AD for clinical ($k = 11$) and symptomatic ($k = 17$) measures of depression. Heterogeneity was substantial for the depression criteria ($I^2 = 90.51$), indicating that the OR was greater for clinical than symptomatic measures.

Tabla 9. Summary effect sizes.

| | | Model Statistics | | | | | Weight | Std |
|-------------------------------|----|------------------|------|------|------|--------|------------|----------|
| | | OR | LL | UL | Z | p | Random | Residual |
| Depression criteria (model 1) | | | | | | | | |
| Clinic | 11 | 3.68 | 2.44 | 5.55 | 6.20 | 0.0001 | 172.78 *** | 6.86 ** |
| Symptomatic | 17 | 1.81 | 1.30 | 2.53 | 3.51 | 0.0001 | | |
| Depression scale (model 2) | | | | | | | | |
| GDS | 2 | 1.63 | 0.64 | 4.15 | 1.03 | 0.303 | 37.83 *** | 1.87 |
| CES-D | 14 | 1.60 | 1.28 | 2.02 | 4.07 | 0.0001 | | |
| HSRD | 1 | 3.40 | 1.19 | 9.71 | 2.29 | 0.022 | | |
| Cut-off (CES-D) (model 3) | | | | | | | | |
| ≥4 | 2 | 1.63 | 0.97 | 2.78 | 1.80 | 0.072 | 28.63 ** | 1.97 |
| ≥10 | 3 | 2.02 | 1.14 | 3.60 | 2.39 | 0.017 | | |
| ≥16 | 8 | 1.44 | 1.04 | 2.00 | 2.19 | 0.028 | | |
| ≥20 | 1 | 2.63 | 0.97 | 7.11 | 1.91 | 0.057 | | |

Note: *** $p \leq 0.001$, ** $p \leq 0.01$, k: number of studies; OR: Odds ratio; LL: Lower limit; UL: Upper limit; Q_w : heterogeneity within; Q_b : heterogeneity between.

Then, we performed an additional sub-group analysis distinguishing between types of symptomatic rating scale used to assess depression. The total effect (OR) was significant (1.80, 95% CI: 1.16–2.78, $Z = 2.62$, $p = 0.009$), and heterogeneity was moderate ($I^2 = 61.84$). Sub-group analysis yielded a significant effect of depression on the development of AD for studies using the CES-D scales and HSRD, although this effect was non-significant when studies used the GDS scale. Only one study included the HSRD scale, and no additional subsample analyses were conducted. However, sufficient data were available for the CES-D ($k = 14$). We conducted further sub-analyses according to different cut-off points of the CES-D scale to define presence of depression. ORs were pooled across 14 studies (OR = 1.68, IC 95% 1.24–2.27, $Z = 3.36$, $p = 0.001$). Heterogeneity was moderate across these studies ($I^2 = 63.95$), indicating that the effect of depression on the risk of AD may differ according to the cut-off points used. Estimates were significant for ≥ 10 and ≥ 16 cut-offs,

whereas the effect of depression on AD was not significant when studies used a cut-off of ≥ 4 and ≥ 20 (Table 9).

III. Meta Regression Analysis

We conducted a meta-regression analysis to determine whether the criteria used to measure depression might explain differences across studies in reporting effect size and might also cause heterogeneity. A significant negative effect of the use of symptomatic rating scales on the prediction of AD was found ($b = -0.71$, $Se = 0.27$, 95% CI: $-1.24/-0.17$, $Z = -2.59$, $p = 0.009$) compared to clinical criteria ($k = 28$, intercept: $b = 1.30$, $Se = 0.21$, CI: $0.89/1.72$, $Z = 6.14$, $p \leq 0.001$) ($Q = 6.71$, $df = 1$, $p = 0.009$). Together, these explained 26% of the variance. That is, the use of symptomatic rating scales to assess depression was associated with a decreased likelihood of developing AD in the follow-up compared to the use of clinical criteria.

No significant moderating effects were found in meta-regression analyses conducted for the various symptomatic rating scales of depression ($k = 17$) (1 = GDS, intercept: $b = 0.47$, $Se = 0.45$ ($-0.41/1.36$), $Z = 1.04$, $p = 0.296$; 2. CES-D: $b = -0.02$, $Se = 0.46$ ($-0.93/0.89$), $Z = -0.04$, $p = 0.97$; 3. HSRD: $b = 0.75$, $Se = 0.68$ ($-0.59/2.09$), $Z = 1.10$, $p = 0.270$) ($Q = 2.18$, $df = 2$, $p = 0.336$). Differences explained the 28% of variation observed in the association between depression and AD.

When analyzing the differential effect of the CES-D cut-offs on the development of AD ($k = 14$), results showed a greater predictive effect for studies using more restrictive cut-off points (≥ 20) (intercept: $b = 0.97$, $Se = 0.37$, 95% CI: $0.25/1.69$, $Z = 2.63$, $p = 0.008$) (≥ 4 : $b = -0.51$, $Se = 0.38$ ($-1.26/0.24$), $Z = 1.34$, $p = 0.180$; ≥ 0.10 : $b = -0.50$, $Se = 0.39$ ($-1.27/0.28$), $Z = -1.26$, $p = 0.209$; ≥ 0.16 : $b = -0.77$, $Se = 0.38$ ($-1.51/-0.01$), $Z = -2.04$, $p = 0.041$) ($Q = 7.43$, $df = 3$, $p = 0.050$). The different cut-off points of the CES-D explained the 53% of variation in the diagnosis of AD (Figure 6).

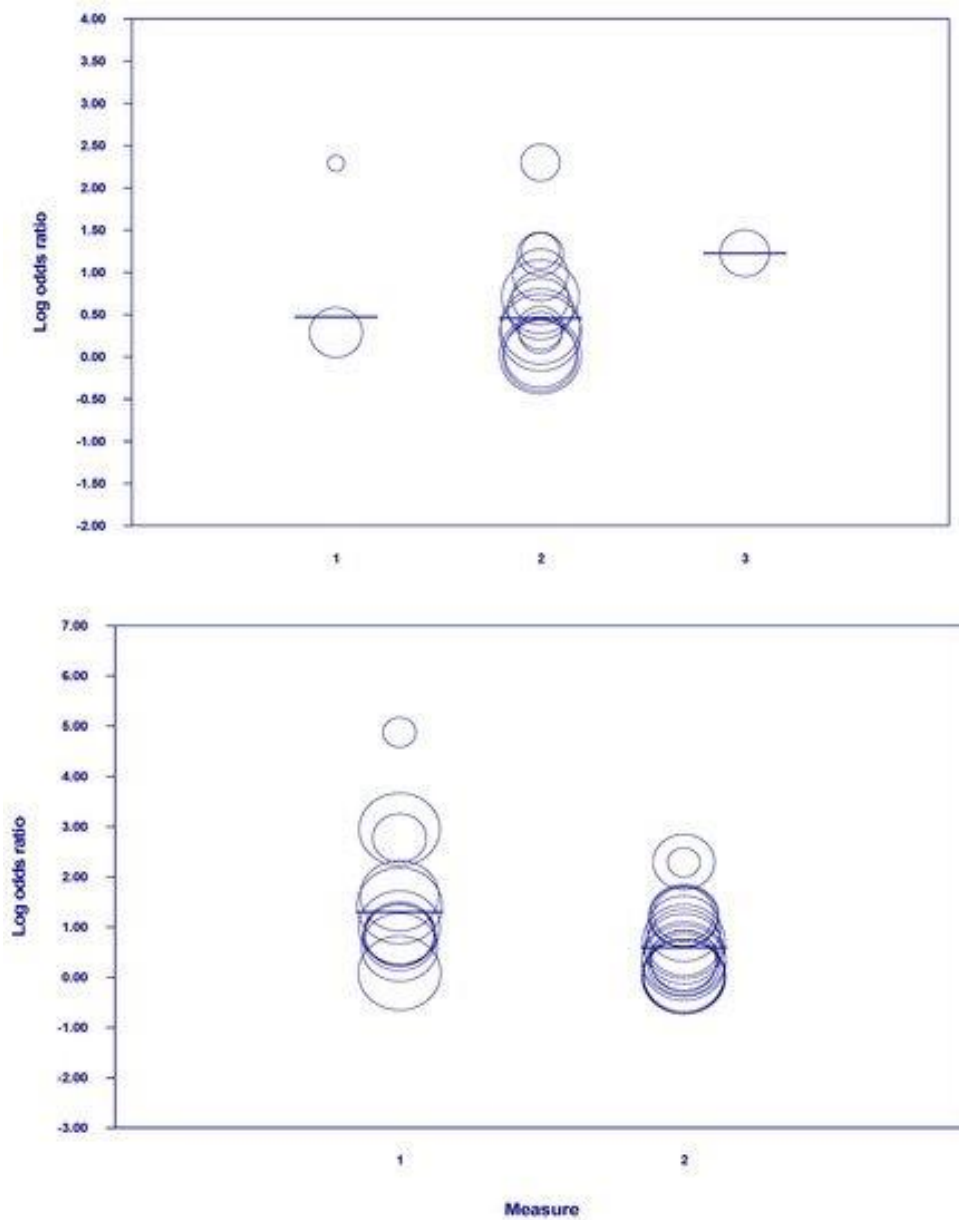


Figure 6. Plot of variation in the diagnosis of AD

DISCUSSION

The main contribution of this study was to produce precise AD risk estimates associated with different depression criteria, either clinically significant or based on symptomatic scales. Based on the results of 11 cohorts, we found a more than three-fold increased risk of AD for clinically significant depression. Likewise, based on findings of 17 cohort studies, the risk of AD increased almost two-fold in participants diagnosed with symptomatic measures of depression. We found that studies that used clinically significant criteria for diagnosis of

depression had more consistent and significant results than those that used symptomatic scales.

However, most included studies used self-reported symptomatic scales for diagnosis of depression, specifically the CES-D. We further analyzed the differential effect of CES-D cut-off points on AD risk and found that they explained 53% of the variability of results. We found a slightly significant predictive effect in meta-analyzed data of studies using the cut-off CES-D point ≥ 10 and ≥ 16 , but predictive risk of AD was greater for one study using a more restrictive cut-off point (≥ 20). Our results are consistent with those of Cherbuin et al. (35) who found that the meta-analysis of studies using a cut-off previously validated against clinical criteria (≥ 20) demonstrated higher risk estimates than those using a more lenient cut-off (≥ 16).

We found a greater effect of clinically significant depression on AD risk than the MA of Santabábara et al. (33), probably because that meta-study included only three studies with homogeneous criteria for the diagnosis of depression (GMS-AGECAT). We also included eight studies using DSM criteria for depression; all of them but one, Blasko et al. (149), found consistently higher risk of AD compared to any other criteria. However, some of them found relatively large (148,167) or even extreme values of OR (163).

Furthermore, our study includes recent references (34), and it did not analyze data from studies of patient groups with mixed psychiatric histories or all types of dementia. Even though the meta-analysis of Kuring et al. (34) analyzed 36 independent studies for all types of dementia, they only pooled $k = 8$ studies for AD (OR = 2.23). This inclusion criterion may explain why our results show a greater OR risk from depression to AD than previous meta-analyses (33–37). Furthermore, they did not analyze variability arising from the type of measure (clinical or symptomatic criteria) and from cut-off points used to assess depression across studies. Another strength of our study is that it includes a selection of prospective

cohort studies to provide more evidence in establishing the cause and effect, and the relationship between depression and AD (33). We analyzed a long follow-up period (4.9 years, range 1–23.6 years) to observe the potential association between depression (as an antecedent) and risk of AD, avoiding cross-sectional studies (34,35). This analysis covers a gap in the previous literature, adding new information about the association between depression and AD. Finally, previous meta-analyses limited the literature search to biomedical databases. In our study, we included five databases in order to provide coverage of publications from different countries, reducing the likelihood of publication bias (36,37). Overall, our study is the first to review all previously available meta-analyses of depression as a risk factor of incident AD systematically. Moreover, we included individual studies when they assessed clinically significant depression or a validated cut-off score in a symptomatic depression scale, and we conducted differential meta-analysis of specific AD risk estimates according to depression criteria. Our study demonstrates how depression criteria can explain variability between studies in the association between depression and incident AD. We agree with Cherbuin et al. (35) about the importance of using objective and specific measures of risk in evidence-based clinical practice.

Several different hypotheses on the association between depression and dementia were suggested, yet the ways in which depression influences AD are yet unclear. For instance, antidepressant use (i.e., anticholinergic drugs) was shown to be associated with an increased risk of dementia (64–67). Furthermore, the $\epsilon 4$ allele of apolipoprotein E (APOE) was associated with the development of AD (160,161). However, the idea that $\epsilon 4$ and dementia may be linked has little support (183–186). In this vein, some risk factors, such as brain-vascular (187), cortisol, hippocampal atrophy (188), and neuroinflammation, could involve a possible common pathway to explain the association between depression and AD (189).

We should also recognize some limitations of our study. Firstly, as the studies included in the meta-analyses reported either the odds ratio or the hazard ratio for the association between late-life depression and dementia, we calculated the pooled *OR* for the association between depression and AD separately. Odds ratio is a measure of association between two conditions (such as in logistic regression models), whereas the hazard ratio is a measure of the strength of the association between two conditions in time-to-event statistical analysis. Given this, we should interpret the results from the pooled risk analysis with caution, as we included studies that reported hazard ratios and odds ratios together. Nonetheless, the results are very consistent across all analyses for AD. Secondly, individual studies assessing depressive symptoms by self-rating scales used pre-established cut-off scores, and no structured interviews were conducted for the diagnosis of depressive disorders, which may have introduced significant heterogeneity into the classification of cases and non-cases, in particular in individuals with mild depression; according our results, this may explain a good deal of the variability in results between studies. In addition, some of the studies included in this meta-analysis were not representative of the entire population (such as studies including only men) (160). Although we did not find a moderator effect of observation time (results not shown), and the results support the hypothesis that clinical depression is a risk factor for later development of AD, the influence of prodromal symptoms should not be discounted, and it remains to be determined. Furthermore, we did not examine the influence of any single study on the overall risk estimates with sensitive analysis that omitted them one by one. Moreover, by choosing to include studies that allowed us to calculate crude *OR*s, we implicitly included studies that provided estimates of the relation between depression and AD risk in the form of unadjusted *OR*s, so other study-related factors may have affected the outcomes of these studies (age or sex). Inclusion of these studies may have biased our results. Another possible limitation of this meta-analysis is that our search was limited to certain databases. We did a careful review of all references in potentially relevant publications, previous meta-

analyses, and systematic reviews published on depression and AD. Nevertheless, a search of other international databases (such as EMBASE and PsycINFO) might have led to the identification of additional studies that could have been included in this meta-analysis.

CONCLUSIONS

Although we cannot yet assert an etiological basis of the association, our study provides consistent data pointing to an increased risk of AD for clinically significant depression. Our findings highlight the importance of using more stringent and objective measures of depression in future studies. Depression should be assessed by clinicians with standardized, validated measures, and preventive strategies targeting at-risk individuals should be designed. Further studies need to assess the potential for treatment of clinically significant depression to decrease the risk of AD.

CAPÍTULO 4

“Detrás de esos ojos que ya no miran, y del silencio de tus labios, hay una vida”.

Rita Gómez

CAPÍTULO 4: BLOOD PRESSURE AND ALZHEIMER'S DISEASE: A REVIEW OF META-ANALYSIS

ABSTRACT

Background: AD is a neurological disorder of unknown cause in which the death of brain cells occurs. Identifying some of the modifiable risk factors for AD could be crucial for primary prevention and could lead to reduce the incidence of AD. **Objective:** We aimed to perform a meta-meta-analysis of studies to assess the effect of blood pressure on diagnosis of AD.

Methods: The search was restricted to meta-analyses assessing high systolic and diastolic blood pressure and Alzheimer's disease. We applied the PRISMA guidelines.

Results: High SBP is associated with AD. The exploration of parameters (sex, age, study design, region, and BP measurements) shows that only region significantly moderates the relationship between BP and AD. Asian people are those whose SBP levels > 140 mmHg are associated with AD. BP is associated with AD in both people ≤ 65 and ≥ 65 and in cross-sectional and longitudinal studies. In the case of DBP, only in women is it associated with a higher risk of AD, particularly when DBP levels > 90 .

Conclusions: SBP is associated with both cerebrovascular disease and AD. Therefore, future studies should use other uncontrolled factors, such as cardiovascular diseases, diabetes, and stroke to explain the relationship between SBP and AD.

Keywords: Alzheimer Disease, Blood Pressure, Diastolic Pressure, Systolic Pressure, Risk Factors, Meta-Analysis

INTRODUCTION

Worldwide, 55 million people are affected by dementia (190). Alzheimer is the most common cause of dementia, accounting for up to 75% of all dementia cases (191). The prevalence of Alzheimer's disease (AD) increases every year in individuals between the ages of 65 and 85 (192), and by the year 2050, the worldwide prevalence of Alzheimer's will grow fourfold, to 106.8 million (range 47.2-221.2) (193). While between the ages of 65 and 74, about 10 percent of people have AD, in those over 85, the risk increases by 50 percent (192). According to estimates by the World Health Organization (WHO), the projected global prevalence of AD by 2050 will increase 110 percent from 2010 (194).

AD is a neurological disorder of unknown cause in which the death of brain cells occurs (192). AD is the most common cause of cognitive impairment (195). AD is characterized by hallmark pathological changes such as extracellular A β plaques and intracellular neurofibrillary pathology, which selectively affect specific subclasses of neurons and brain circuits. While dementia is a general term, Alzheimer's disease is a specific brain disease. It is marked by symptoms of dementia that gradually get worse over time (196). Dementia is a rather broad syndrome of global cognitive decline. However, AD first affects the part of the brain associated with specific cognitive functions such as language (aphasia), motor skills (apraxia) and perception (agnosia) (197,198). Moreover, in AD early symptoms often include changes in memory, thinking and reasoning skills (199).

Some of the first symptoms that occur with AD (neuropsychiatric) are a direct cause of early institutionalization (200). In AD there is an identity loss (201) and worsening in the physical and social areas (200), along with the progressive deterioration of basic cognitive (episodic memory, linguistic and spatial orienting) and executive functions (inhibitory abilities and the visuospatial functions) (202). Emotional and mental health problems delusions and hallucinations, abnormal behaviors or physical violence and hitting) are common, cause

distress to caregivers, and may be amenable to treatment (203,204). All these symptoms affect the quality of life and activities of daily living in individuals diagnosed with this disease (204).

The most important non-modifiable risk factor for developing AD is age. The prevalence of Alzheimer's disease (AD) increases every year in individuals between the ages of 65 and 85 (192), and by the year 2050, the worldwide prevalence of Alzheimer's will grow fourfold, to 106.8 million (range 47.2-221.2) (193). While between the ages of 65 and 74, about 10 percent of people have AD, in those over 85, the risk increases by 50 percent (192). Many cardiovascular risk factors increase with age, such as high blood pressure, which moreover could affect the mechanisms that lead to impairment in the brain (205).

According to Ballard et al. (206), there are not only genetic factors associated with the development of dementia but also acquired factors (i.e., hypertension) that could predict a higher risk of AD. In this study, we particularly focused on analyzing high blood pressure (BP) as a risk factor for the development of AD (120,207). The overall prevalence of high BP in adults is 25%, with more than 50% of those individuals over 60 years of age (208). Vascular risk factors like BP could change the anatomy of the human body by modifying vascular walls or causing ischemia and cerebral hypoxia, which may consequently lead to the development of AD (209). Furthermore, BP could generate dysfunction in the blood-brain barrier, which has been associated with the genesis of AD (60). Studies on the relation between BP and Alzheimer's disease have yielded inconsistent results, showing an association between AD and high BP, or no significant associations between these variables (210–212). For example, Mielke found that systolic hypertension was associated with an increased risk of AD. However, they did not find an association between diastolic hypertension and AD (60).

Findings also established that the association between AD and hypertension was determined by age of onset (early ≤ 65 and late ≥ 65 onset AD). In fact, AD has been classified as presenile or early-onset (≤ 65) and as senile or late-onset (≥ 65) that tend to be sporadic and slow-moving (213). However, it is still not clear in the current literature whether age moderates the relationship between BP and AD. Indeed, some researchers have indicated that elevated BP occurring in both either middle age or late life may be involved in the development of AD (62,210,214). Also, one study concluded that high systolic BP (SBP) and diastolic BP (DBP) were related to worse cognitive function for persons aged 65 to 74. However, in older age (≥ 75), higher SBP and DBP were related to an adequate cognitive function (215).

Other studies have studied the relationship of hypertension to gender. Gillis and Sullivan (216). concluded that women are more likely to be prehypertensive than men. Furthermore, in the study by Anstey et al. (217) hypertension in middle age in women was associated with greater cognitive impairment and AD. However, recent studies have shown that the prevalence of hypertension is higher in men before the sixth decade of life, although it increases in women after menopause (218).

Related to regions due to the high incidence of hypertension in developed countries, studies are aimed at prevention strategies (219,220). In addition, the earlier onset and more aggressive development of the AD in the young population have been identified as risk factors for hypertension in these countries (221).

The literature refers to various degrees of hypertension. This study was based on the cut-off points established by International Society of Hypertension (ISH)(222). On the one hand, ISH establishes the following measures for SBP: Elevated (130-139 mmHg); Grade 1 (140-159 mmHg) and Grade 2 (160-179 mmHG). On the other hand, for DBP there are also three cut-off measurements: Elevated (85-89 mmHg); Grade 1 (90-99 mmHg) and

Grade 2 (100-109 mmHg) (222,223). Mielke et al. (224) concluded in their study that SBP measurements greater than 160 mmHg were associated with greater cognitive impairment in the elderly that may lead to AD. Likewise, in Launer et al. (210) elevated midlife SBP > 160 mmHg and DBP \geq 90mmHg were particularly associated with an increased risk of AD. Furthermore, longitudinal (37,170) and cross-sectional (225,226) studies have been used to identify risk factors and elucidate some characteristics of AD. To this end, we aggregated data of longitudinal and cross-sectional studies and used meta-analytic equation modeling to test for causal relationships. One major advantage of meta-analytic equations is that it allows an integration of the given data from all studies into one model and to specify models that have not been tested in the primary studies (227).

Based on the results and evidence of other articles and meta-analyses, we aimed to perform a meta-meta-analysis of longitudinal and cross-sectional studies to test the association between BP (high SBP and high DBP) and risk AD. We also aimed to pool findings separately from cross-sectional studies and longitudinal studies and to assess the effect of BP on the risk of subsequent diagnosis of AD.

MATERIALS AND METHODS

I. Data Collection

The search was restricted to meta-analyses assessing high SBP and DBP and AD. We applied the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines (145). The literature searches were carried out in five electronic databases, including ISI Web of Science, Scopus, PubMed, Elsevier Science Direct, and Google Scholar. No publication date was set. The list of keywords was generated through a system of successive approximations: "blood pressure" and "Alzheimer's disease" and "meta-analysis." A Google Scholar search was also performed but limited to the title. The literature search was carried out in English and Spanish.

II. Criteria for inclusion in the study

The procedure applied to carry out this meta-meta-analysis was: 1) search and selection of meta-analyses assessing high SBP and DBP and AD; 2) selection of primary studies contained in the meta-analyses and the deletion of duplicates.

Meta-analyses and primary studies that met each of the following criteria were selected: (1) meta-analysis and primary studies that measured the relationship between hypertension (high SBP and DBP) and the risk of AD; (2) meta-analysis and primary studies reported data that allowed the estimation of a pooled effect size; (3) meta-analysis and primary studies that diagnosed AD through clinical examination, using defined diagnostic criteria, DSMV(198) and NINCDS-ADRDA(45); (4) meta-analysis and primary studies that reported the sample size; and (5) meta-analysis and primary studies written in English or Spanish.

To avoid bias in eligible studies, all abstracts were independently reviewed by two investigators (OS and AP). After excluding all irrelevant abstracts, the remaining articles were analyzed, and data precision was examined in detail. In meta-analysis where relevant data were lacking ($k = 1$), the authors were contacted to request additional data to be subsequently added to the meta-analysis. Then, duplicate reports were excluded to pool the primary studies. After all meta-analyses and primary studies were selected, a third researcher independently extracted the highlighted data (SU). Information on all data collected from the primary studies included in the meta-analysis is presented in appendix table. (Appendix 4: Table A3).

III. Quality assessment

The qualities of the meta-analyses were independently coded by two co-authors using the 11-item Assessment of Multiple Systematic Reviews (AMSTAR) tool (28), which has been shown to have good inter-rater agreement, reliability, and content validity (28,29). Total scores for the meta-analyses were calculated as the sum of the 11 items on a binary scale.

Quality classifications were set as low quality (0–4), moderate quality (5–8), and high quality (9–11).

IV. Statistical analysis

Initially, we reported the associations between hypertension and AD for each primary study included in the previous meta-analysis.

Then, for this review of meta-analyses, firstly, we calculated the cumulative incidence ratio (or Log risk ratio [LnRR]) of AD for both SBP and DBP for each primary study. Secondly, we identified separate effect sizes for SBP and DBP measurements and their relationships with the risk of AD. Thirdly, study outcomes were grouped according to the definition of BP (SBP or DBP) and the measurement of hypertension established by ISH: (1) SBP: Elevated (130-139 mmHg); Grade 1 (140-159 mmHg) and Grade 2 (160-179 mmHg). (2) DBP: Elevated (85-89 mmHg); Grade 1 (90-99 mmHg) and Grade 2 (100-109 mmHg) (222,223). Heterogeneity between study samples was assessed using Cochran's Q statistic (102). The I^2 statistic was calculated to express the fraction of variation between studies that was due to heterogeneity. The I^2 statistic explains the percentage of variance in the observed effects due to variance in the true effects. An I^2 value less than 25% was considered low heterogeneity, between 25% and 50% was considered moderate heterogeneity, and greater than 50% was considered high heterogeneity (102). Statistical significance was set at $p \leq 0.05$. Data were analyzed using Comprehensive Meta-Analysis Version 3.1 (Biostat Inc, NJ, USA) (103). Additionally, to test for the possibility of publication bias we computed the Egger regression test. Results revealed no evidence for a publication bias (229).

For each primary study included in the meta-analysis, we calculated the following (see Table 1): a) k or number of studies, b) effect size, c) 95% confidence interval of the effect, and d) p (two-tailed significance) (146). We used a random effect model for the calculation of pooled effect estimates. Then, to assess the heterogeneity of our results, subgroup analyses

were performed to examine the differential effects of type of BP: 1) SBP, 2) DBP and 3) BP (total) on the risk of AD. We did not assume a common among-study variance component across subgroups. High-resolution forest plots were also developed separately with random effects.

Additionally, moderating variables were selected on the basis of substantive considerations and the availability of data across studies included in the meta-analysis. We anticipated interstudy heterogeneity as there was some variation between studies according to the study design (longitudinal k effect size = 29 vs. cross-sectional k effect size = 46) and the measures of SBP (>140 mmHg k effect size = 52 and >160 mmHg k effect size = 8) and DBP (>85 mmHg k effect size = 2 and >90 mmHg k effect size = 9). Finally, we also considered whether age at exposure assessment (early age of onset ≤ 65 k effect size = 39, versus late age of onset or ≥ 65 k effect size = 36) could account for heterogeneity in associations. When possible, we used separate summary measures for early and late-life measures of blood pressure. Otherwise, blood pressure in early life or late life was defined according to the mean of age. Moreover, we also analyzed the sex (male or female) in the different blood pressure measurements. In the same line, we also analyzed the continent where the sample was recruited (Europe, Asia, and the North America) in the different blood pressure measurements.

RESULTS

A total of 214 studies were identified from major databases: 61 in ISI Web of Science, 55 in Scopus, 17 in PubMed, 79 in Elsevier Science Direct and 2 in Google Scholar. One hundred eighty-nine articles were excluded for inclusion in this review for various reasons: a) $k = 89$ were duplicates; b) $k = 100$ no information provided on the relationship between BP and AD.

Tabla 10. Characteristics of the population of the AD and BP studies

| Study | Variable ¹ | Design ² | K ³ | Regions (N) ⁴ | Sample ⁵ | % F ⁶ | Age ⁷ | SBP/DBP ⁸ measure/m mHg | Results | Effect Size ⁹ | | | AMSTAR ¹⁰ scores |
|-------------------|-----------------------|---------------------|----------------|-----------------------------------|--------------------------------|------------------|------------------|--|-------------------------|--------------------------|------------------|-------|--------------------------------|
| | | | | | | | | | | Effect Size (RR) | 95 % CI LL~UL | p | |
| Lennon et al.(60) | SBP | L (13-22) | 6 | EU (2), NA (2), AS (2) | AD n = 2208 HC n = 852683 | 47.3 | M = 56.87 | >140mmHg | >SBP > AD | 1.18 | 1.02 ~ 1.35 | 0.021 | 10 |
| | | | | | | | | >160mmHg | >SBP > AD | 1.25 | 1.06 ~ 1.47 | 0.006 | |
| | | | | | | | | >90mmHg | >DBP > AD ¹¹ | | | | |
| Xu et al.(43) | SBP | L (1-21) | 39 | EU (15), NA (20), AS (8), AF (1), | AD n = 21359 HC n = 1421593 | 50.5 | M = 71.8 | >140mmHg | > SBP > AD | 0.87 | 0.70 ~ 1.0 | 0.000 | 10 |
| | DBP | | 5 | | AD n = 743 HC n = 11653 | | | >90mmHg | >DBP = AD | 1.14 | 0.89 ~ 1.39 | 0.028 | |
| Meng et al.(42) | SBP | L (10) | 1 | EU (1) | AD n = 79 HC n = 707 | 100 | M = 45 | >140mmHg | >SBP > AD | 1.77 | 0.93 ~ 3.37 | 0.082 | 10 |
| Guan et al.(15) | SBP DBP | L (2-27) | 4 | EU (2), NA (1), AS (1) | AD n = 176 HC n = 7283 | 56.3 | 40-92 | >160mmHg >85mmHg | >SBP & DBP =AD | 1.01 | 0.87 ~1.18 | 0.850 | 9 |
| Wang et al.(46) | SBP | T | 2 | EU (1), NA (1) | AD n = 385 HC n = 3626 | 39 | <65 | >140mmHg >160mmHg | >SBP = AD | 1.50 | 0.56 ~ 4.04 | 0.036 | 10 |
| | | | | | | | ≥ 65 | >160mmHg | >SBP = AD | 1.00 | 0.79 ~ 1.25 | 0.180 | |

| Study | Variable ¹ | Design ² | K ³ | Regions (N) ⁴ | Sample ⁵ | % F ⁶ | Age ⁷ | SBP/DBP ⁸ measure/m mHg | Results | Effect Size ⁹ | | | AMSTAR ¹⁰ scores |
|-----------------|-----------------------|---------------------|----------------|--------------------------|---------------------------|------------------|------------------|--|--------------|--------------------------|------------------|-------|--------------------------------|
| | | | | | | | | | | Effect Size (RR) | 95 % CI LL~UL | p | |
| Wang et al.(46) | SBP | | 2 | EU (1), NA (1) | AD n = 385 HC n = 3626 | | 65-75 | >160mmHg | >SBP = AD | 1.01 | 0.66 ~ 1.53 | 0.215 | |
| | | | | | | | 75-85 | >160mmHg | >SBP > AD | 1.07 | 0.63 ~ 1.82 | 0.052 | |
| | DBP | | | | | | <65 | >90mmHg | - | 1.70 | 0.80 ~ 3.60 | - | |
| | | | | | | | ≥ 65 | >90mmHg | >DBP = AD | 0.75 | 0.43 ~ 1.32 | 0.066 | |
| | | | | | | | 65-75 | >85mmHg | >DBP = AD | 0.71 | 0.30 ~ 1.67 | 0.616 | |
| 75-85 | >90mmHg | >DBP = AD | 0.52 | 0.32 ~ 0.85 | 0.267 | | | | | | | | |

Notes:

¹ Variable: SBP: Systolic Blood Pressure; DBP: Diastolic Blood pressure. ² Design: T: Cross sectional; L: Longitudinal. ³ K: Number of studies. ⁴ Regions: N: Number of independent studies. EU: European Union; NA: North America; AS: Asia; AF: Africa. ⁵ Sample: AD: Participants with Alzheimer Disease; HC: Health Control participants. ⁶ %F: Percentage of women. ⁷ M: mean of age. ⁸ Study outcomes were grouped according to the measurement of hypertension: (1) SBP > 140 mmHg and >160 mmHg, (2) DBP > 85 mmHg and 90 mmHg. ⁹ CI: 95% Confidence Interval; RR: Risk Ratio. LL: Lower limit; UL: Upper limit. ¹⁰ AMSTAR: Assessing the methodological quality of systematic reviews. https://amstar.ca/Amstar_Checklist.php. ¹¹ Given that two studies used odds ratios and the others hazard ratios, the authors could not compute summary estimates.

Table 10 summarizes key features of the included primary diagnosis, design, number of primary studies, regions of origin of the study, sample size, gender, mean age, results, effect sizes of the relationships between BP and AD, and AMSTAR scores.

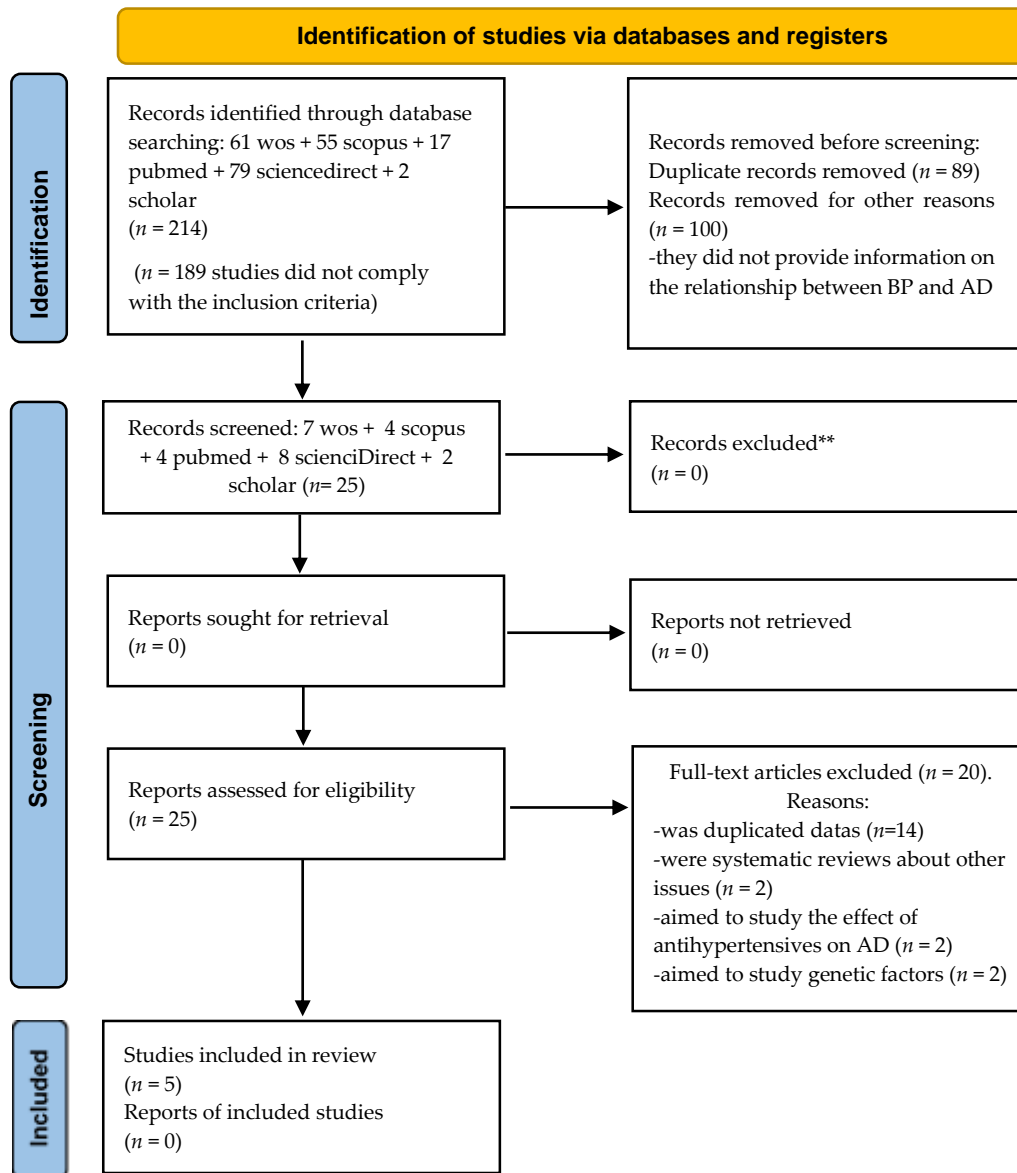


Figura 7. Flow chart depicting the selection of articles for our meta-analysis. Note: AD: alzheimer’s disease; n: number of studies.

Although the meta-meta-analyses were based on the criteria established by ISH, the studies only showed values for the following cut-off points: SBP (>140mmHg and >160mmHg) and DBP (>85mmHg and >90mmHg). Eggers’ test was not significant: the intercept (B0) is 0.47, se= 0.28, 95%CI (-0.09, 1.04), with $t = 1.65$, $df. = 73$, indicating no publication bias.

Twenty-five meta-analyses were eligible for inclusion in this review of meta-analyses. Of these meta-analysis, 20 meta-analyses were excluded because: a) $k = 14$ studies were duplicated data; b) $k = 2$ were systematic reviews about other issues; c) $k = 2$ aimed to study the effect of antihypertensives on AD; and d) $k = 2$ aimed to study genetic factors (Figure 7).

I. BP and AD: Heterogeneity analysis

Seventy-five effect sizes were extracted from a total of five meta-analyses that included $K = 52$ primary studies. Sixty effect sizes provided information about high SBP and risk of AD (80%); $k = 11$ about high DBP (14.7%); $k = 4$ about combined effect (5.3%). (Appendix 4: Table A3)

For the pooling LogRR analysis, we analyzed primary studies. The total effect size was $\ln RR = 0.07$, $Se = 0.02$ [0.031, 0.125], $Z = 3.27$, $p = 0.001$ and heterogeneity was high ($Qb = 415.56$, $df = 74$, $p = 0.0000$; $I^2 = 82.19$). These findings suggest that heterogeneity of effect may be present in some analyses.

II. Systolic blood pressure and AD

Four meta-analyses examined the relationship between high SBP and AD. The meta-analyses carried out by Lennon et al. (60) ($k = 11$ effect sizes; $N = 7,666$; $n = 1,520$ participants with AD and high SBP; $n_{HC} = 6,146$ HC participants), Xu et al. (43) ($k = 40$ effect sizes; $N = 1,443,213$; $n = 17,113$ participants with AD and high SBP; $n = 1,426,100$ HC participants), Meng (42) ($k = 1$ effect size; $N = 786$; $n = 79$ participants with AD and high SBP; $n = 707$ HC participants), and Wang et al. (46) ($k = 8$ effect sizes; $N = 5,885$; $n = 385$ participants with AD and high SBP; $n = 5,500$ HC participants) compared HC and AD subjects with high SBP. Only in two of them (42,60) found significant associations between high SBP and the risk of AD.

The total random effect of the high SBP value was ($k = 60$ effect sizes; $N = 1,457,550$ participants; $n_{AD} = 19,097$ participants; $n_{HC} = 1,438,453$) ($\ln RR = 0.09$, $95\%CI = 0.013-0.166$, $Z = 2.28$, $p = 0.022$) (see Table 11). The heterogeneity was high: $Q\text{-value} = 380.08$, $df = 59$, $I^2 = 84$.

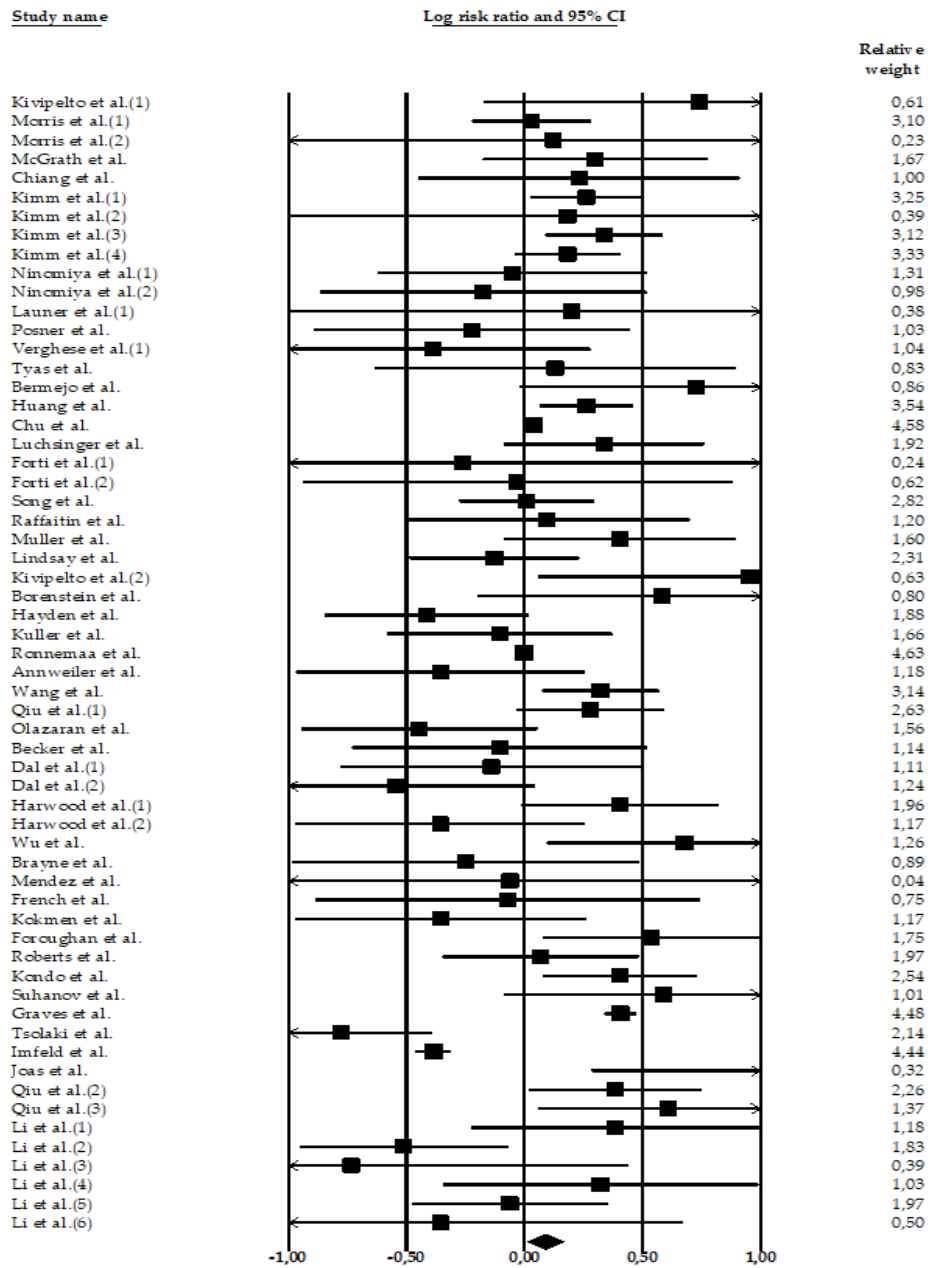


Figura 8. Forest plot of the meta-analysis of incidence rates of AD in participants with high SBP

Note: Individual and pooled estimates of the association between measures of hypertension and Alzheimer disease. The size of the box representing the point estimate for each study in the forest plot is proportional to the contributing weight of that study estimate to the summary estimate.

Tabla 11. Individual and pooled estimates of the association high SBP and AD.

| Study name | Statistics for each study | | | | | | | |
|-------------------------------------|--|---------------------------|-----------|-----------|-------------|-------------|----------|----------|
| | Sample | <i>L_{log} RR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> |
| Lennon et al. (60) | | | | | | | | |
| Kivipelto et al.(1) (120) | AD <i>n</i> = 48 HC <i>n</i> = 1400 | 0.74 | 0.47 | 0.22 | -0.174 | 1.658 | 1.59 | 0.113 |
| Morris et al.(1) (212) | AD <i>n</i> = 324 HC <i>n</i> = 378 | 0.03 | 0.13 | 0.02 | -0.221 | 0.280 | 0.23 | 0.817 |
| ¹ Morris et al.(2) (212) | AD <i>n</i> = 54 HC <i>n</i> = 378 | 0.12 | 0.79 | 0.63 | -1.430 | 1.674 | 0.15 | 0.877 |
| McGrath et al. (230) | AD <i>n</i> = 81 HC <i>n</i> = 1440 | 0.30 | 0.24 | 0.06 | -0.174 | 0.775 | 1.24 | 0.215 |
| Chiang et al. (231) | AD <i>n</i> = 64 HC <i>n</i> = 292 | 0.23 | 0.35 | 0.12 | -0.448 | 0.910 | 0.67 | 0.505 |
| Kimm et al.(1) (232) | AD <i>n</i> = 282 HC <i>n</i> = 821 | 0.26 | 0.12 | 0.01 | 0.030 | 0.495 | 2.21 | 0.027 |
| Kimm et al.(2) (232) | AD <i>n</i> = 164 HC <i>n</i> = 821 | 0.18 | 0.60 | 0.36 | -1.000 | 1.364 | 0.30 | 0.762 |
| ¹ Kimm et al.(3) (232) | AD <i>n</i> = 274 HC <i>n</i> = 821 | 0.34 | 0.13 | 0.02 | 0.088 | 0.584 | 2.66 | 0.008 |

| Study name | Statistics for each study | | | | | | | |
|---------------------------------------|--------------------------------|-------------|-------------|-------------|--------------|--------------|-------------|--------------|
| | Sample | $Lnog\ RR$ | Se | Ve | $LLIC$ | $ULIC$ | Z | p |
| ¹ Kimm et al.(4) (232) | AD $n = 206$ HC $n = 821$ | 0.18 | 0.11 | 0.01 | -0.041 | 0.405 | 1.60 | 0.109 |
| Ninomiya et al.(1) (233) | AD $n = 6$ HC $n = 149$ | -0.05 | 0.29 | 0.08 | -0.619 | 0.516 | -0.18 | 0.859 |
| ¹ Ninomiya et al.(2) (233) | AD $n = 17$ HC $n = 177$ | -0.17 | 0.35 | 0.12 | -0.865 | 0.516 | -0.50 | 0.621 |
| Total (60) | | 0.20 | 0.06 | 0.00 | 0.090 | 0.307 | 3.58 | 0.000 |
| Xu et al. (43) | | | | | | | | |
| Launer et al.(1) (210) | AD $n = 81$ HC $n = 2.137$ | 0.20 | 0.61 | 0.37 | -0.996 | 1.394 | 0.33 | 0.744 |
| Posner et al. (211) | AD $n = 257$ HC $n = 1.259$ | -0.22 | 0.34 | 0.12 | -0.892 | 0.446 | -0.65 | 0.513 |
| Verghese et al.(1) (234) | AD $n = 65$ HC $n = 406$ | -0.39 | 0.34 | 0.11 | -1.049 | 0.278 | -1.14 | 0.255 |
| Tyas et al. (170) | AD $n = 35$ HC $n = 685$ | 0.13 | 0.39 | 0.15 | -0.634 | 0.897 | 0.34 | 0.737 |
| Bermejo et al. (235) | AD $n = 113$ HC $n = 3.824$ | 0.73 | 0.38 | 0.15 | -0.020 | 1.475 | 1.91 | 0.056 |

| Study name | Statistics for each study | | | | | | | |
|-------------------------|----------------------------------|------------|------|------|--------|--------|-------|-------|
| | Sample | $Lnog\ RR$ | Se | Ve | $LLIC$ | $ULIC$ | Z | p |
| Huang et al. (236) | AD $n = 612$ HC $n = 142.744$ | 0.26 | 0.10 | 0.01 | 0.064 | 0.460 | 2.60 | 0.009 |
| Chu et al. (237) | AD $n = 10$ HC $n = 153$ | 0.04 | 0.02 | 0.00 | 0.009 | 0.069 | 2.54 | 0.011 |
| Luchsinger et al. (166) | AD $n = 246$ HC $n = 1.138$ | 0.34 | 0.22 | 0.05 | -0.087 | 0.760 | 1.56 | 0.120 |
| Forti et al.(1) (238) | AD $n = 18$ HC $n = 466$ | -0.26 | 0.77 | 0.60 | -1.777 | 1.254 | -0.34 | 0.735 |
| Forti et al.(2) (238) | AD $n = 30$ HC $n = 238$ | -0.03 | 0.46 | 0.21 | -0.939 | 0.878 | -0.07 | 0.948 |
| Song et al. (239) | AD $n = 416$ HC $n = 2.790$ | 0.01 | 0.15 | 0.02 | -0.276 | 0.296 | 0.07 | 0.946 |
| Raffaitin et al. (240) | AD $n = 134$ HC $n = 7.087$ | 0.10 | 0.31 | 0.10 | -0.509 | 0.700 | 0.31 | 0.757 |
| Muller et al. (241) | AD $n = 147$ HC $n = 1833$ | 0.41 | 0.25 | 0.06 | -0.085 | 0.896 | 1.62 | 0.105 |
| Lindsay et al. (242) | AD $n = 194$ HC $n = 4.088$ | -0.13 | 0.18 | 0.03 | -0.486 | 0.231 | -0.70 | 0.485 |

| Study name | Statistics for each study | | | | | | | |
|---------------------------|--------------------------------------|--------------|------|------|--------|--------|-------|-------|
| | Sample | $L_{log} RR$ | Se | Ve | $LLIC$ | $ULIC$ | Z | p |
| Kivipelto et al.(1) (243) | AD $n = 48$ HC $n = 1.449$ | 0.96 | 0.46 | 0.21 | 0.060 | 1.851 | 2.09 | 0.037 |
| Borenstein et al. (77) | AD $n = 90$ HC $n = 1.859$ | 0.58 | 0.40 | 0.16 | -0.196 | 1.361 | 1.47 | 0.143 |
| Hayden et al. (244) | AD $n = 104$ HC $n = 3.264$ | -0.42 | 0.22 | 0.05 | -0.847 | 0.016 | -1.89 | 0.059 |
| Kuller et al. (245) | AD $n = 330$ HC $n = 2.807$ | -0.11 | 0.24 | 0.06 | -0.582 | 0.372 | -0.43 | 0.665 |
| Ronnemaa et al. (246) | AD $n = 127$ HC $n = 2.268$ | 0.00 | 0.09 | 0.01 | -0.182 | 0.182 | 0.00 | 1.000 |
| Annweiler et al. (247) | AD $n = 70$ HC $n = 498$ | -0.36 | 0.31 | 0.10 | -0.968 | 0.254 | -1.14 | 0.253 |
| Wang et al. (248) | AD $n = 8.488$ HC $n = 1.230.400$ | 0.32 | 0.13 | 0.02 | 0.076 | 0.568 | 2.57 | 0.010 |
| Qiu et al.(1) (249) | AD $n = 333$ HC $n = 1.301$ | 0.28 | 0.16 | 0.03 | -0.034 | 0.590 | 1.74 | 0.081 |
| Olazaran et al. (250) | AD $n = 68$ HC $n = 1.376$ | -0.45 | 0.26 | 0.07 | -0.946 | 0.054 | -1.75 | 0.080 |

| Study name | Statistics for each study | | | | | | | |
|-------------------------|------------------------------|--------------|------|------|--------|--------|-------|-------|
| | Sample | $L_{nog} RR$ | Se | Ve | $LLIC$ | $ULIC$ | Z | p |
| Becker et al. (143) | AD $n = 48$ HC $n = 288$ | -0.11 | 0.32 | 0.10 | -0.729 | 0.518 | -0.33 | 0.740 |
| Dal et al.(1) (152) | AD $n = 40$ HC $n = 576$ | -0.14 | 0.32 | 0.11 | -0.775 | 0.496 | -0.43 | 0.668 |
| Dal et al.(2) (152) | AD $n = 67$ HC $n = 781$ | -0.54 | 0.30 | 0.09 | -1.134 | 0.045 | -1.81 | 0.070 |
| Harwood et al.(1) (251) | AD $n = 202$ HC $n = 392$ | 0.41 | 0.21 | 0.05 | -0.011 | 0.822 | 1.91 | 0.056 |
| Harwood et al.(2) (251) | AD $n = 188$ HC $n = 84$ | -0.36 | 0.31 | 0.10 | -0.969 | 0.256 | -1.14 | 0.254 |
| Wu et al. (252) | AD $n = 201$ HC $n = 391$ | 0.68 | 0.30 | 0.09 | 0.095 | 1.261 | 2.28 | 0.023 |
| Brayne et al. (253) | AD $n = 18$ HC $n = 340$ | -0.25 | 0.37 | 0.14 | -0.983 | 0.486 | -0.66 | 0.507 |
| Mendez et al. (254) | AD $n = 50$ HC $n = 407$ | -0.06 | 2.02 | 4.07 | -4.015 | 3.891 | -0.03 | 0.976 |
| French et al. (255) | AD $n = 76$ HC $n = 102$ | -0.07 | 0.42 | 0.17 | -0.887 | 0.742 | -0.17 | 0.861 |

| Study name | Statistics for each study | | | | | | | |
|-------------------------|----------------------------------|--------------|-------------|-------------|---------------|--------------|-------------|--------------|
| | Sample | $L_{log} RR$ | Se | Ve | $LLIC$ | $ULIC$ | Z | p |
| Kokmen et al. (256) | AD $n = 203$ HC $n = 415$ | -0.36 | 0.31 | 0.10 | -0.972 | 0.258 | -1.14 | 0.256 |
| Foroughan et al. (257) | AD $n = 42$ HC $n = 115$ | 0.54 | 0.23 | 0.05 | 0.078 | 0.995 | 2.30 | 0.022 |
| Roberts et al. (258) | AD $n = 151$ HC $n = 264$ | 0.07 | 0.21 | 0.04 | -0.348 | 0.483 | 0.32 | 0.750 |
| Kondo et al. (259) | AD $n = 60$ HC $n = 120$ | 0.41 | 0.16 | 0.03 | 0.082 | 0.729 | 2.46 | 0.014 |
| Suhanov et al. (260) | AD $n = 127$ HC $n = 260$ | 0.59 | 0.34 | 0.12 | -0.086 | 1.262 | 1.71 | 0.087 |
| Graves et al. (261) | AD $n = 18$ HC $n = 340$ | 0.43 | 0.03 | 0.01 | 0.339 | 0.472 | 11.90 | 0.000 |
| Tsolaki et al. (262) | AD $n = 65$ HC $n = 69$ | -0.77 | 0.19 | 3.86 | -1.161 | -0.391 | -3.94 | 7.829 |
| Imfeld et al. (263) | AD $n = 3.541$ HC $n = 7.086$ | -0.38 | 3.75 | 1.41 | -0.459 | -0.312 | -10.26 | 0.000 |
| Total (43) | | 0.05 | 0.05 | 0.00 | -0.038 | 0.146 | 1.16 | 0.246 |
| Meng et al. (42) | | | | | | | | |

| Study name | Statistics for each study | | | | | | | |
|----------------------------------|--|----------------|-------------|-------------|---------------|--------------|-------------|--------------|
| | Sample | <i>Lnog</i> RR | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> |
| Joas et al. (264) | AD <i>n</i> = 79 HC <i>n</i> = 707 | 1.59 | 0.67 | 0.45 | 0.285 | 2.902 | 2.39 | 0.017 |
| Wang et al. (46) | | | | | | | | |
| Qiu et al.(2) (265) | AD <i>n</i> = 150 HC <i>n</i> = 1.270 | 0.61 | 0.28 | 0.08 | 0.060 | 1.159 | 2.18 | 0.030 |
| ¹ Qiu et al.(3) (265) | AD <i>n</i> = 124 HC <i>n</i> = 441 | 0.39 | 0.19 | 0.03 | 0.019 | 0.751 | 2.06 | 0.039 |
| Li et al.(1) (266) | AD <i>n</i> = 14 HC <i>n</i> = 530 | 0.39 | 0.31 | 0.10 | -0.225 | 0.995 | 1.24 | 0.216 |
| Li et al.(2) (266) | AD <i>n</i> = 19 HC <i>n</i> = 733 | -0.51 | 0.23 | 0.05 | -0.953 | -0.069 | -2.26 | 0.024 |
| Li et al.(3) (266) | AD <i>n</i> = 37 HC <i>n</i> = 530 | -0.73 | 0.60 | 0.36 | -1.908 | 0.440 | -1.23 | 0.220 |
| ¹ Li et al.(4) (266) | AD <i>n</i> = 31 HC <i>n</i> = 733 | 0.32 | 0.34 | 0.12 | -0.346 | 0.990 | 0.95 | 0.345 |
| Li et al.(5) (266) | AD <i>n</i> = 4 HC <i>n</i> = 733 | -0.06 | 0.21 | 0.04 | -0.476 | 0.352 | -0.29 | 0.770 |
| ¹ Li et al.(6) (266) | AD <i>n</i> = 6 HC <i>n</i> = 530 | -0.36 | 0.52 | 0.27 | -1.384 | 0.670 | -0.68 | 0.496 |
| Total (46) | | 0.08 | 0.16 | 0.03 | -0.241 | 0.399 | 0.48 | 0.629 |
| Total random | | 0.09 | 0.04 | 0.00 | 0.013 | 0.166 | 2.28 | 0.022 |

¹ Measures SBP > 160. Lnog RR, natural log risk ratio; SE, estimator bias; VE, variance statistics; LLIC, Lower Limit confidence interval; ULIC, Upper Limit confidence interval; Z, standard punctuation; p, statistical significance

III. Diastolic blood pressure and AD

Three meta-analyses showed the relationship between DBP and AD. Lennon et al. (60) ($k = 1$ effect size; $N = 378$; $n = 78$ with AD and high DBP; $n = 300$ HC participants), Xu et al. (43) ($k = 5$ effect sizes; $N = 12,225$; $n = 497$ with AD and high DBP; $n = 11,728$ HC participants). Wang et al. (46) ($k = 5$ effect sizes; $N = 7,745$; $n = 306$ with AD and high DBP; $n = 7,439$ HC participants). None of the three meta-analyses show significant associations between high DBP and AD.

Consistently, our results ($k = 11$ effect sizes; $N = 20,348$; $n_{AD} = 881$; $n_{HC} = 19,467$) did not find an association between high DBP and the risk of AD ($LnRR = 0.15$, $95\% CI = -0.045-0.338$, $Z = 1.50$, $p = 0.133$) (see Table 12). The heterogeneity was high: Q -value=29.99, $df = 10$, $I^2 = 66.65$.

Table 12. Individual and pooled estimates of the association high DBP and AD.

| Study name | Statistics for each study | | | | | | | |
|---------------------------|--------------------------------|--------|------|------|--------|-------|-------|-------|
| | Sample | Log RR | Se | Ve | LLCI | ULIC | Z | p |
| Lennon et al. (60) | | | | | | | | |
| Morris et al.(3) (212) | AD $n = 78$ HC $n = 300$ | 0.44 | 0.49 | 0.24 | -0.513 | 1.402 | 0.91 | 0.363 |
| Xu et al. (43) | | | | | | | | |
| Launer et al.(2) (210) | AD $n = 87$ HC $n = 2.137$ | 0.62 | 0.31 | 0.10 | 0.005 | 1.236 | 1.98 | 0.048 |
| Verghese et al.(2) (234) | AD $n = 65$ HC $n = 406$ | 0.65 | 0.31 | 0.09 | 0.048 | 1.246 | 2.12 | 0.034 |
| Qiu et al.(4) (249) | AD $n = 87$ HC $n = 1.301$ | 0.64 | 0.17 | 0.03 | 0.303 | 0.981 | 3.71 | 0.000 |
| Ruitenbergh et al. (267) | AD $n = 107$ HC $n = 6.985$ | -0.11 | 0.11 | 0.01 | -0.331 | 0.120 | -0.92 | 0.359 |
| Shah et al. (268) | AD $n = 151$ HC $n = 899$ | 0.00 | 0.01 | 0.00 | -0.010 | 0.010 | 0.00 | 1.000 |

| Study name | Statistics for each study | | | | | | | |
|----------------------------------|---------------------------|-------------|-------------|-------------|---------------|--------------|-------------|--------------|
| | Sample | Log RR | Se | Ve | LLCI | ULCI | Z | p |
| Wang et al. (46) | | | | | | | | |
| Qiu et al.(5) (265) | AD n = 245 HC n = 2249 | -0.25 | 0.19 | 0.03 | -0.613 | 0.116 | -1.34 | 0.182 |
| Li et al.(7) (266) | AD n = 22 HC n = 2.605 | -0.20 | 0.53 | 0.28 | -1.245 | 0.848 | -0.37 | 0.710 |
| Li et al.(8) (266) | AD n = 28 HC n = 1.321 | -0.31 | 0.39 | 0.15 | -1.086 | 0.457 | -0.80 | 0.424 |
| ¹ Li et al.(9) (266) | AD n = 4 HC n = 905 | 0.54 | 0.28 | 0.08 | -0.018 | 1.091 | 1.90 | 0.058 |
| ¹ Li et al.(10) (266) | AD n = 7 HC n = 359 | -0.04 | 0.22 | 0.05 | -0.464 | 0.383 | -0.19 | 0.850 |
| Total random | | 0.15 | 0.10 | 0.01 | -0.045 | 0.338 | 1.50 | 0.133 |

¹ Measures DBP > 90 Log RR, log risk ratio; SE, estimator bias; VE, variance statistics; LLIC, Lower Limit confidence interval; ULIC, Upper Llimit confidence interval; Z, standard punctuation; p, statistical significance

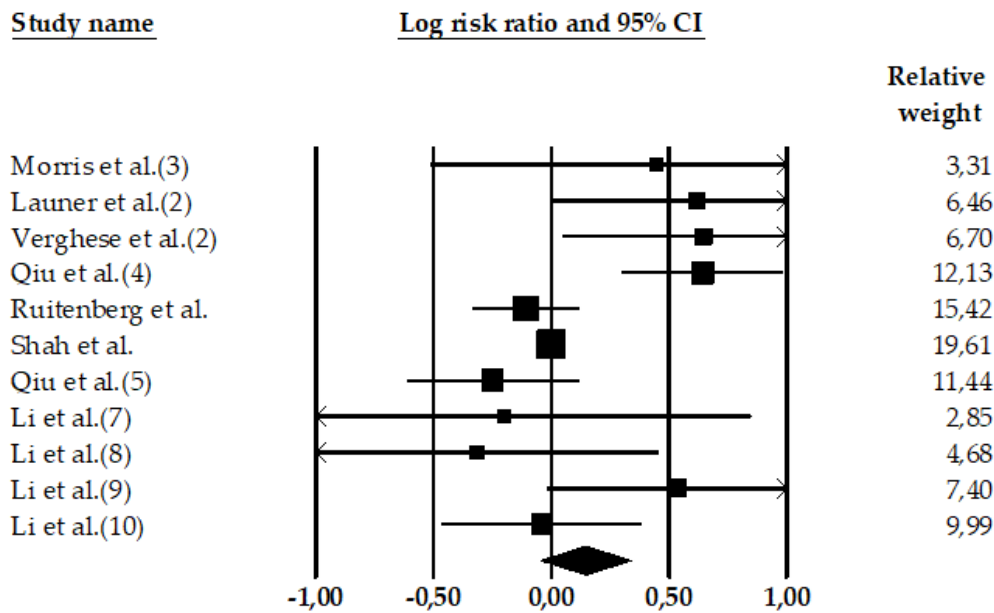


Figura 9. Forest plot of the meta-analysis of incidence rates of AD in participants with high DBP.

Note: Individual and pooled effect estimates of the association between diastolic BP hypertension (DBP) and Alzheimer disease. The size of the box representing the point estimate for each study in the forest plot is proportional to the contributing weight of that study estimate to the summary estimate.

IV. High DBP and High SBP Studies: Combined Effect Sizes

A meta-analysis reported a combined effect size for high SBP and high DBP (15). In this study ($k = 4$ effect sizes; $N = 7,494$; $n = 211$ with AD and high SBP/DBP; $n = 7,283$ HC participants) found a non-significant association between high SBP and high DBP and AD ($LnRR = 0.02$, 95% $CI = -0.179-0.222$, $Z = 0.21$, $p = 0.835$) (see Table 13). The heterogeneity was medium: Q -value= 4.52, $df = 3$, $I^2 = 33.69$.

Tabla 13. Individual and pooled estimates of the association high BP and AD

| Study name | Statistics for each study | | | | | | | |
|-------------------------|-------------------------------|-------------|-------------|-------------|---------------|--------------|-------------|--------------|
| | Sample | Log RR | Se | Ve | LLCI | ULCI | Z | p |
| Guan et al. (15) | | | | | | | | |
| Qiu et al.(6) (207) | AD $n = 75$ HC $n = 719$ | 0.22 | 0.20 | 0.04 | -0.168 | 0.599 | 1.10 | 0.272 |
| Stewart et al.(269) | AD $n = 35$ HC $n = 1.778$ | -0.12 | 0.23 | 0.05 | -0.566 | 0.333 | 0.51 | 0.611 |
| Treiber et al. (270) | AD $n = 65$ HC $n = 3.634$ | 0.17 | 0.14 | 0.02 | -0.103 | 0.434 | 1.21 | 0.227 |
| Hassing et al. (271) | AD $n = 36$ HC $n = 1.152$ | -0.17 | 0.14 | 0.02 | -0.441 | 0.092 | 1.28 | 0.199 |
| Total random | | 0.02 | 0.10 | 0.01 | -0.179 | 0.222 | 0.21 | 0.835 |

Note: Log RR, log risk ratio; SE, estimator bias; VE, variance statistics; LLIC, Lower Limit confidence interval; ULIC, Upper Llimit confidence interval; Z, standard punctuation; p, statistical significance

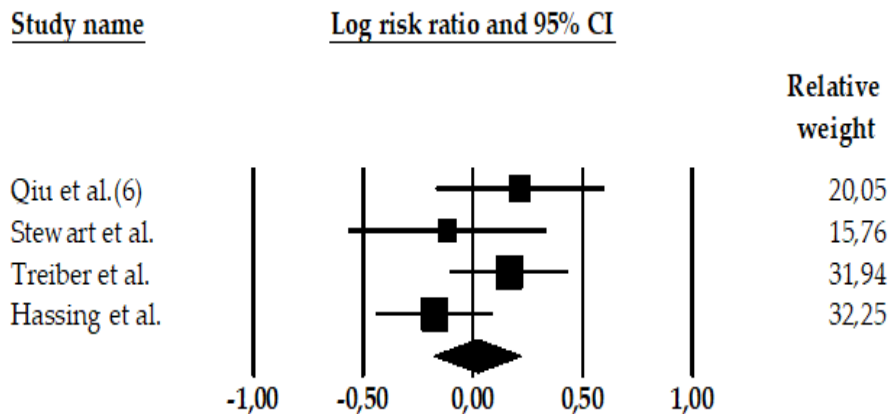


Figura 10. Forest plot of the meta-analysis of rates of AD in participants with high BP (high SBP and high DBP)

Note: The size of the box representing the point estimate for each study in the forest plot is proportional to the contributing weight of that study estimate to the summary estimate.

V. Subgroup analyses

Results of the subgroup analysis on the primary outcomes are presented in Table 5. Study outcomes were grouped by definition of hypertension and measures of blood pressure (e.g., SBP, DBP or total blood pressure). 60 effect sizes examined SBP at both grades (60): 52 effect sizes examined only grade 1 (>140 mmHg) (43,46) and 8 effect sizes examined only grade 2 (>160 mmHg) (15). Eleven effect sizes examined DBP at both grade: 2 effect sizes examined DBP using a cut off of > 85 mmHg (43,46) and 9 effect sizes > 90 mmHg. Four effect sizes combined both types of hypertension (15). Moderator analyses were performed comparing effect sizes according to sex (1: men and 2: women), age (1 age. ≤ 65 and 2. age ≥ 66), study design (1. cross-sectional or C and 2. longitudinal or L), and regions (1. Europe. 2. Asia and 3. North America).

Pooling studies that reported RRs for a total score of BP, results showed that sex, age and design did not moderate the relationship between hypertension and AD risk (Qb: $p \leq 0.50$). These results indicate that the risk of AD in participants with hypertension did not change significantly according to sex, age, and study designs groups. However, it can be observed that there are significant relationships between different categories of the variables sex, age and study design and AD (Z: $p \leq 0.50$). Findings revealed a significant relationship only between being women and a greater risk of AD ($p = 0.008$). Age was also associated with increased risk of AD in early ($p = 0.008$) and late age ($p = 0.047$) of onset, and this association was also significant in cross sectional ($p = 0.021$) and longitudinal ($p = 0.013$) studies. Regions moderated the association between BP and AD. The risk of AD was greater in studies that used samples from Asia and North America than those performed in Europe.

Results did not find significant differences in the risk of AD according to the measures of SBP (> 140 and > 160 mmHg) and DBP (> 85 and > 90 mmHg). Similarly, sex, age, design, and regions did not moderate the relationship between SBP and DBP and the risk of AD,

except sex in the case of DBP. Results found that women showed stronger risk of developing AD than men. It is also observed that only in longitudinal studies and Asia region, significant associations were found between SBP and AD.

According to measures of SBP (> 140 mmHg and > 160 mmHg), results indicated that SBP had no significant differences in effect sizes on the risk of AD at different sexes, ages, and designs. However, for SBP > 140 mmHg, there was evidence of heterogeneity between regions in RRs of AD. Asian countries showed stronger effect sizes between SBP and risk of AD than European and North American countries. Also, results found that elevated SBP (Systolic > 160 mmHg) was significantly associated with AD risk in the young elderly (≤ 65), longitudinal studies and in Europa and Asia.

For DBP (> 85 mmHg and > 90 mmHg), there was evidence of heterogeneity between sexes. Women with elevated DBP (>90 mmHg) showed a greater risk of AD than men. Furthermore, there were no significant differences in AD risk according to age, design, and regions.

Finally, age and regions did not moderate the relationship between combined effects of BP and the risk of AD.

Tabla 14. Effects of sex, age, design, and country in different types of SBP (>140 mm HG and >160 mm HG) and DBP (>80 mm HG and >90 mm HG)

| | | Statistics for each study | | | | | | | | |
|-----------------------|--------------|---------------------------|------|------|--------|-------|--------|-------|----------------|----------------------|
| | Effect sizes | Log RR | Se | Ve | LLIC | ULIC | Z | p | I ² | Qb |
| BP (all types) | | | | | | | | | | |
| Sex | | | | | | | | | | |
| Men | 54 | 0.06 | 0.04 | 0.00 | -0.023 | 0.140 | 1.407 | 0.159 | 72.01 | 1.867, p = 0.172 |
| Women | 21 | 0.16 | 0.06 | 0.00 | 0.041 | 0.274 | 2.657 | 0.008 | 88.38 | |
| Age | | | | | | | | | | |
| ≤65 | 36 | 0.09 | 0.03 | 0.00 | 0.024 | 0.160 | 2.645 | 0.008 | 58.70 | 0.280, p = 0.596 |
| ≥65 | 39 | 0.07 | 0.03 | 0.00 | 0.001 | 0.132 | 1.984 | 0.047 | 88.11 | |
| Design | | | | | | | | | | |
| C | 46 | 0.06 | 0.03 | 0.00 | 0.010 | 0.120 | 2.303 | 0.021 | 87.61 | 0.744, p = 0.389 |
| L | 29 | 0.11 | 0.04 | 0.00 | 0.023 | 0.197 | 2.484 | 0.013 | 36.48 | |
| Country | | | | | | | | | | |
| Europe | 23 | -0.05 | 0.03 | 0.00 | -0.113 | 0.025 | -1.244 | 0.214 | 87.66 | 20.65, p = 0.0001 |
| Asia | 15 | 0.19 | 0.04 | 0.00 | 0.115 | 0.284 | 4.627 | 0.000 | 58.27 | |
| North-America | 37 | 0.11 | 0.04 | 0.00 | 0.038 | 0.190 | 2.939 | 0.003 | 62.02 | |

| Statistics for each study | | | | | | | | | | | |
|---------------------------|--------------|--------|-------|------|--------|--------|-------|-------|-------|---------------------|---------------------|
| | Effect sizes | Log RR | Se | Ve | LLIC | ULIC | Z | p | I2 | Qb | |
| SBP | | | | | | | | | | | |
| >140 | 52 | 0.08 | 0.04 | 0.01 | -0.007 | 0.158 | 1.786 | 0.074 | 86.01 | 0.948, p = 0.330 | |
| > 160 | 8 | 0.19 | 0.11 | 0.01 | -0.027 | 0.407 | 1.720 | 0.085 | 3.14 | | |
| Sex | | | | | | | | | | | |
| Men | 42 | 0.08 | 0.05 | 0.01 | -0.015 | 0.174 | 1.649 | 0.099 | 67.99 | 0.107 p = 0.744 | |
| Women | 18 | 0.11 | 0.06 | 0.01 | -0.012 | 0.221 | 1.158 | 0.079 | 88.94 | | |
| >140 | Men | 35 | 0.06 | 0.05 | 0.01 | -0.045 | 0.162 | 1.11 | 0.267 | 71.87 | 0.237 p = 0.626 |
| | Women | 17 | 0.09 | 0.06 | 0.00 | -0.025 | 0.222 | 1.565 | 0.118 | 89.81 | |
| >160 | Men | 7 | 0.21 | 0.11 | 0.01 | -0.009 | 0.426 | 1.880 | 0.060 | 15.65 | 0.018 p = 0.895 |
| | Women | 1 | 0.18 | 0.11 | 0.01 | -0.041 | 0.405 | 1.601 | 0.109 | 0.000 | |
| Age | | | | | | | | | | | |
| | ≤65 | 29 | 0.101 | 0.07 | 0.01 | -0.034 | 0.250 | 1.495 | 0.135 | 54.50 | 0.133, p = 0.715 |
| | ≥65 | 31 | 0.07 | 0.07 | 0.01 | -0.063 | 0.207 | 1.040 | 0.298 | 90.29 | |
| >140 | ≤65 | 25 | 0.08 | 0.08 | 0.01 | -0.084 | 0.234 | 0.927 | 0.354 | 49.01 | 0.000 p = 0.987 |
| | ≥65 | 27 | 0.08 | 0.07 | 0.01 | -0.067 | 0.221 | 1.048 | 0.295 | 91.54 | |
| >160 | ≤65 | 4 | 0.26 | 0.10 | 0.01 | 0.070 | 0.455 | 2.667 | 0.008 | 23.26 | 1.854 |

| Statistics for each study | | | | | | | | | | | |
|---------------------------|----------------|--------------|--------|------|------|--------|-------|-------|-------|-------|-----------|
| | | Effect sizes | Log RR | Se | Ve | LLIC | ULIC | Z | p | I2 | Qb |
| | ≥65 | 4 | 0.01 | 0.17 | 0.03 | -0.318 | 0.334 | 0.047 | 0.962 | 0.00 | p = 0.173 |
| | Design | | | | | | | | | | |
| | C | 41 | 0.06 | 0.05 | 0.01 | -0.031 | 0.152 | 1.294 | 0.196 | 88.23 | 1.336 |
| | L | 19 | 0.16 | 0.07 | 0.01 | 0.018 | 0.302 | 2.206 | 0.027 | 35.78 | p = 0.248 |
| >140 | C | 41 | 0.06 | 0.05 | 0.00 | -0.032 | 0.152 | 1.290 | 0.198 | 88.23 | 0.517 |
| | L | 11 | 0.14 | 0.10 | 0.01 | -0.052 | 0.327 | 1.425 | 0.154 | 50.73 | p = 0.472 |
| >160 | C | - | - | - | - | - | - | - | - | | - |
| | L | 8 | 0.21 | 0.07 | 0.01 | 0.065 | 0.356 | 2.834 | 0.005 | 3.14 | |
| | Country | | | | | | | | | | |
| | Europe | 18 | 0.03 | 0.09 | 0.01 | -0.148 | 0.198 | 0.284 | 0.777 | 89.30 | 5.785 |
| | Asia | 14 | 0.27 | 0.09 | 0.01 | 0.095 | 0.436 | 3.044 | 0.002 | 60.41 | p = 0.055 |
| | North-America | 28 | 0.01 | 0.07 | 0.01 | -0.130 | 0.152 | 0.156 | 0.876 | 64.11 | |
| >140 | Europe | 17 | 0.00 | 0.09 | 0.01 | -0.187 | 0.176 | 0.057 | 0.955 | 89.62 | |
| | Asia | 11 | 0.29 | 0.10 | 0.01 | 0.091 | 0.493 | 2.854 | 0.004 | 63.14 | 5.985 |
| | North-America | 24 | 0.01 | 0.08 | 0.01 | -0.143 | 0.160 | 0.109 | 0.913 | 67.66 | p = 0.050 |
| >160 | Europe | 1 | 0.61 | 0.28 | 0.08 | 0.060 | 1.159 | 2.176 | 0.030 | 0.00 | |

| Statistics for each study | | | | | | | | | | | |
|---------------------------|--------------|--------|-------|------|--------|--------|--------|--------|--------|--------|------------|
| | Effect sizes | Log RR | Se | Ve | LLIC | ULIC | Z | p | I2 | Qb | |
| Asia | 3 | 0.23 | 0.08 | 0.01 | 0.067 | 0.389 | 2.771 | 0.006 | 9.15 | 3.562 | p = 0.169 |
| North-America | 4 | 0.01 | 0.17 | 0.03 | -0.318 | 0.334 | 0.047 | 0.962 | 0.00 | | |
| DBP | | | | | | | | | | | |
| >80 | 2 | 0.21 | 0.24 | 0.06 | -0.266 | 0.680 | 0.859 | 0.390 | 61.98 | 0.067 | p = 0.795 |
| >90 | 9 | 0.14 | 0.11 | 0.01 | -0.081 | 0.358 | 1.236 | 0.217 | 69.65 | | |
| Sex | | | | | | | | | | | |
| Men | 8 | -0.01 | 0.06 | 0.01 | -0.13 | 0.118 | -0.109 | 0.913 | 39.20 | 13.37, | p = 0.0001 |
| Women | 3 | 0.62 | 0.15 | 0.03 | 0.307 | 0.927 | 3.897 | 0.0001 | 0.00 | | |
| >80 | Men | 2 | 0.22 | 0.29 | 0.08 | -0.344 | 0.782 | 0.763 | 0.446 | 61.98 | - |
| | Women | - | - | - | - | - | - | - | - | - | |
| >90 | Men | 6 | -0.02 | 0.05 | 0.01 | -0.126 | 0.079 | -0.452 | 0.641 | 35.53 | 16.052 |
| | Women | 3 | 0.62 | 0.15 | 0.02 | 0.321 | 0.915 | 4.081 | 0.0001 | 0.00 | p = 0.0001 |
| Age | | | | | | | | | | | |
| | ≤65 | 4 | 0.21 | 0.18 | 0.03 | -0.133 | 0.552 | 1.198 | 0.231 | 85.01 | 0.131, |
| | ≥65 | 7 | 0.12 | 0.16 | 0.03 | -0.196 | 0.442 | 0.756 | 0.449 | 39.41 | p = 0.717 |
| >80 | ≤65 | - | - | - | - | - | - | - | - | - | - |

| Statistics for each study | | | | | | | | | | | |
|---------------------------|----------------|--------------|--------|------|-------|--------|-------|--------|-------|-------|-----------|
| | | Effect sizes | Log RR | Se | Ve | LLIC | ULIC | Z | p | I2 | Qb |
| | ≥65 | 2 | 0.22 | 0.29 | 0.08 | -0.344 | 0.782 | 0.763 | 0.446 | 61.98 | |
| >90 | ≤65 | 4 | 0.21 | 0.18 | 0.03 | -0.147 | 0.574 | 1.160 | 0.246 | 85.01 | 0.245 |
| | ≥65 | 5 | 0.08 | 0.21 | 0.04 | -0.334 | 0.485 | 0.363 | 0.716 | 36.35 | p = 0.621 |
| | Design | | | | | | | | | | |
| | C | 5 | 0.26 | 0.14 | 0.02 | -0.015 | 0.537 | 1.854 | 0.064 | 82.58 | 1.345, |
| | L | 6 | 0.01 | 0.17 | 0.023 | -0.317 | 0.334 | 0.052 | 0.958 | 28.15 | p = 0.246 |
| >80 | C | - | - | - | - | - | - | - | - | | - |
| | L | 2 | 0.22 | 0.29 | 0.08 | -0.344 | 0.782 | 0.763 | 0.446 | 61.98 | |
| >90 | C | 5 | 0.26 | 0.14 | 0.02 | -0.013 | 0.530 | 1.864 | 0.062 | 82.58 | 2.450 |
| | L | 4 | -0.15 | 0.21 | 0.05 | -0.575 | 0.282 | -0.671 | 0.502 | 0.00 | p = 0.118 |
| | Country | | | | | | | | | | |
| | Europe | 3 | 0.12 | 0.19 | 0.04 | -0.253 | 0.498 | 0.638 | 0.523 | 87.13 | |
| | Asia | - | - | - | - | - | - | - | - | - | 0.074, |
| | North-America | 8 | 0.19 | 0.15 | 0.02 | -0.109 | 0.487 | 1.241 | 0.215 | 49.06 | p = 0.786 |
| >80 | Europe | - | - | - | - | - | - | - | - | - | - |
| | Asia | - | - | - | - | - | - | - | - | - | - |

| | | Statistics for each study | | | | | | | | | |
|------------------------------|---------------|---------------------------|--------|------|------|--------|-------|--------|-------|-------|---------------------|
| | | Effect sizes | Log RR | Se | Ve | LLIC | ULIC | Z | p | I2 | Qb |
| | North-America | 2 | 0.22 | 0.29 | 0.08 | -0.344 | 0.782 | 0.763 | 0.446 | 61.98 | |
| >90 | Europa | 3 | 0.12 | 0.21 | 0.04 | -0.278 | 0.525 | 0.604 | 0.546 | 87.13 | |
| | Asia | - | - | - | - | - | - | - | - | - | 0.041 p = 0.840 |
| | North-America | 6 | 0.18 | 0.19 | 0.04 | -0.193 | 0.554 | 0.946 | 0.344 | 53.09 | |
| BP (combined effects) | | | | | | | | | | | |
| Sex | | | | | | | | | | | |
| | Men | 4 | 0.02 | 0.10 | 0.01 | -0.179 | 0.222 | 0.209 | 0.835 | 33.68 | - |
| | Women | - | - | - | - | - | - | - | - | - | - |
| Age | | | | | | | | | | | |
| | ≤65 | 3 | -0.05 | 0.12 | 0.02 | -0.289 | 0.192 | -0.387 | 0.669 | 27.19 | 0.978, p = 0.323 |
| | ≥65 | 1 | 0.17 | 0.18 | 0.03 | -0.182 | 0.513 | 0.934 | 0.350 | 0.00 | |
| Design | | | | | | | | | | | |
| | C | | | | | | | | | | |
| | L | 2 | 0.02 | 0.10 | 0.01 | -0.179 | 0.222 | 0.209 | 0.835 | 33.69 | - |
| Country | | | | | | | | | | | |
| | Europe | 2 | -0.01 | 0.19 | 0.04 | -0.383 | 0.383 | -0.026 | 0.979 | 62.61 | |

| Statistics for each study | | | | | | | | | | |
|---------------------------|--------------|---------------|-----------|-----------|-------------|-------------|----------|----------|-----------|----------------------------|
| | Effect sizes | <i>Log RR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> | <i>I2</i> | <i>Qb</i> |
| Asia | 1 | -0.12 | 0.32 | 0.10 | -0.736 | 0.503 | -0.368 | 0.713 | 0.00 | 0.522, <i>p</i> = 0.770 |
| North-America | 1 | 0.16 | 0.26 | 0.07 | -0.339 | 0.670 | 0.643 | 0.520 | 0.00 | |

Note: Log RR, log risk ratio; SE, estimator bias; VE, variance statistics; LLIC, Lower Limit confidence interval; ULIC, Upper Limit confidence interval; Z, standard punctuation; p, statistical significance; I2, heterogeneity; Qb, heterogeneity between

DISCUSSION

This study analyzes the association between high BP and the risk of AD. It is the first attempt to evaluate this relation through identifying previous meta-analyses and analyzing primary studies worldwide. The present study summarized the information on meta-analyses of hypertension (DBP and SBP) and AD and expands on findings from individual studies. In this study, fifty-two primary studies and seventy-five effect sizes were extracted. Furthermore, we included some moderator variables between high DBP and high SBP and AD such as sex, age, study design, regions, and measures of SBP and DBP.

Overall, results suggest that hypertension is associated with an increased risk of Alzheimer's disease ($RR = 1.08$, $IC\ 95\% [1.032, 1.13]$, $Z = 3.273$, $p = 0.001$). It indicates that the risk of AD increases 8% for patients with SBP.

In this study, forty-six primary studies and sixty effect sizes extracted from 4 meta-analysis (15,42,43,60) confirm the relationship between high SBP and AD ($RR = 1.09$, $IC\ 95\% [1.013, 1.181]$, $Z = 2.285$, $p = 0.022$). These results indicate that participants with high SBP increase the rate risk of AD by 9%, and support findings of previous studies which suggested that there were consistent demonstrations of a relationship between SBP and the risk of developing AD. In this vein, research demonstrated that high SBP could increase the risk of AD since it could cause neurobiological alterations (deposits of beta-amyloid protein), which lead to lesions in the brain, such as cerebral atrophy, formation of senile plaques and neurofibrillary tangles, which could be explanatory factors of the development of AD (272,273). Other studies also suggest that high SBP could cause brain vascular injury, leading to increase the flow of the blood, cerebral patency and cerebral amyloid angiopathy that were also associated with a higher risk of AD (274–276). However, our analysis cannot underlie the pathophysiology of AD and only could be defined SBP as a risk factor.

The relationship between high DBP and AD was studied through $k = 8$ primary studies and eleven effect sizes (3 meta-analyses) (43,46,60). Findings did not find a significant association between high DBP and the risk of AD. Nevertheless, according to previous studies these results could be explained by confounding due to associations between BP and advanced disease or to other unknown modifiable risk factors (277–279). For instance, secondary diseases such as obesity, cardiovascular diseases, silent infarcts, and vascular risk factors (280) or type 2 diabetes (272,277,278), could be closely related with the development of AD. Hence, in these cases it is not clear if hypertension is directly related to the risk of AD or whether AD is indirectly motivated for a secondary disease (279). Finally, there was a small number of studies analyzing DBP and AD in comparison with SBP, and in consequence, it is possible that we did not have sufficient statistical power to obtain a significant pooled estimate of the association between DBP and AD.

Related to the combined BP hypertension, only a meta-analysis (15) with four independent studies and effect sizes compared the incidence of AD between subjects with and without hypertension. These studies found that high BP is not associated with an increased risk of AD. This result is contradictory with the general view on the association between risk for AD and hypertension. For example, Guan et al. (15) points out that AD and hypertension are independent diseases with some common etiopathogenesis, which is a risk factor in AD. To explore the influence of other research parameters in the relationship between high SBP and high DBP with AD, we analyzed different moderators: sex, age, study design and region. This study does not find differences in the risk of AD according to the type of measure of SBP (>140 and >160) and DBP (>85, >90). Total scores reveal significant differences between men ($RR = 0.99$, $IC\ 95\% [0.887, 1.125]$, $Z = -0.109$, $p = 0.913$) and women ($RR = 1.85$, $IC\ 95\% [1.359, 2.527]$, $Z = 3.897$, $p = 0.001$) (rate risk of AD increases by 85%), in the relationship of high DBP and AD, but not between SBP and AD. Specifically, the data

suggest that women with high DBP (> 90 mmHg) had an increased risk of AD compared with men ($RR = 1.86$, $IC\ 95\%$ [1.379, 2.498], $Z = 16.05$, $p = 0.001$), which increase the rate risk of AD by 86%. These results have been shown in previous studies that worked with different samples (women and men), where AD was also associated with high DBP mainly in women (276,277). For instance, Benetos et al. (281) found that DBP in women is associated with a higher cardiac output, pulse pressure and heart rate factors that are related with a higher risk of AD (63.8%).

Total scores of BP show that age is associated with increased risk of AD in early and late age of onset ($RR = 1.10$, $IC\ 95\%$ [1.024, 1.174], $Z = 2.645$, $p = 0.008$; $RR = 1.07$, $IC\ 95\%$ [1.001, 1.141], $Z = 0.047$, $p = 0.047$), in those results the rate risk of AD increase 10% and 7%. However, age of onset (≤ 65 , early onset and ≥ 65 late onset) do not moderate the relationship between high SBP/DBP and AD showing similar effect sizes for both categories. Related to the measure of BP, this study found that elevated SBP > 160 mmHg was associated with risk of AD in the young elderly (≤ 65), but not in those ≥ 65 . In this vein, several studies have found that hypertension has different impacts on cognitive function at different ages (60,207,279). Current literature indicates that hypertension is a risk factor for cognitive decline in midlife and the young old age but may be protective against cognitive decline in late life (60). For example, some authors concluded that high BP in the early age of onset impacted cognitive functions and increased the risk of developing AD in older ages (207,282). Iadecola et al. (283) also found that hypertension in early onset is associated with higher risk of AD. Therefore, changes in blood pressure may be due to hemodynamic regulation being altered by neurodegenerative processes in the years preceding disease onset (60).

The only variable that moderates the relationship between BP and AD is the region. We observe higher risk of AD in Asia with SBP >140 mmHg ($RR = 1.34$ $IC\ 95\%$ [1.096, 1.637],

$Z = 2.854, p = 0.004$) compared with European ($RR = 0.99, IC\ 95\% [0.829, 1.193], Z = -0.057, p = 0.955$) and North America ($RR = 1.01, IC\ 95\% [0.866, 1.174], Z = 0.109, p = 0.913$). Therefore, the rate risk of AD in Asia increases by 34%. These results are related with some studies. During the last four decades, the highest BP measurements worldwide have shifted from high-income countries to low-income countries, such as South Asia and Africa (284), which could explain our results (285,286). On the one hand, several authors suggest that recent lifestyle changes in Asia countries, such as diet, changing demographics, urbanization, environmental interactions, and other factors may help explain this relationship (286). On the other hand, one study with data from 90 countries showed that the percentage of people with hypertension receiving treatment increased in both high-income and low- and middle-income countries, but the gap between them widened (287). Moreover, our results also show that the risk of AD related to $SBP > 160\text{mmHg}$ in Europe ($RR = 0.61, IC\ 95\% [0.060, 1.159], Z = 2.176, p = 0.030$) and Asia ($RR = 0.23, IC\ 95\% [0.067, 0.389], Z = 2.771, p = 0.006$) are significant. However, in North America ($RR = 0.01, IC\ 95\% [-0.318, 0.334], Z = 0.047, p = 0.962$) did not find a significant relationship. Despite these results, the strength of the association between $SBP > 160\text{mmHg}$ and AD risk is similar in the three regions.

Finally, results do not find differences in the effect size of the association between high SBP and DBP and the risk of AD according to the type of design (cross-sectional and longitudinal). Our results found an association between BP and the risk of AD in both types of studies. However, findings confirm that the relationship between higher SBP and AD is only significant in longitudinal studies and with $SBP > 160\text{mmHg}$ ($RR = 1.23, IC\ 95\% [1.067, 1.428], Z = 2.834, p = 0.005$), so the rate risk of AD increases by 23%, while high DBP ($>85, > 90\text{mmHg}$) is not related to an increased AD risk. In this vein, previous work found differences according to type of design that may result in part from the use of different definitions of hypertension and nonuniform measures of high or low BP. In this

study we use standardized criteria to define BP (SBP > 140/160mmHg and DBP > 85/90mmHg) and AD (clinical criteria) that could explain that there are no differences according to the study design. After controlling for this confounding factor, the effect size of longitudinal studies is higher in all the BP and SBP measures, although the differences do not reach significance. Longitudinal studies provide an opportunity to assess the temporal relation between BP and AD and the length of follow-up remains relevant since hypertension could render individuals more vulnerable to comorbid conditions such as cerebrovascular disease that confer greater risk for AD during long periods of follow-up.

However, our study is not without limitations. The key limitation is that only a small number of studies examined the association between DBP, both types of BP combined, and AD compromising the generalizability of the results. Furthermore, it is likely that due to the procedure used in this meta-meta-analysis some primary studies were not included. Another challenge was that studies reported outcomes using different metrics (*OR*, *HR* and *RR*). Likewise, not all the cut-off points established by ISH could be analyzed, since the stages of SBP \geq 130-139 and DBP \geq 100 could not be defined due to lack of primary studies. Other confounders may also influence the study's finding. For example, results were not adjusted for other risk variables including cardiovascular disease, stroke, alcohol consumption, smoking, kidney disease, and many others. Also, two studies did not report the mean of age of the sample, and they were not included in moderator analysis. Moreover, the relationship between hypertension and AD could not be thought of as binary but rather as a dynamic one, changing with life stage and disease state. Hence, a single measurement of BP may not accurately reflect the participant's average BP measurements. Additionally, data on the age at onset of hypertension and years of living with the condition may be important in clarifying temporal relationships between hypertension and AD. Also, we did not examine the potentially modifying impact of antihypertensive therapy on the relationship between hypertension and AD. In addition, another limitation is the absence of studies from South

America and Australia. Finally, we did not include educational level as a moderator variable since the external validity of some of results have been questioned. The primary studies contained in this meta-analysis used very different forms of measurement. For instance, some studies analyzed education using individual (i.e., no formal education, mandatory education, secondary studies, university studies) (250,257) or community-based samples (i.e., family education level, region or country) (257), quantitative (linear relation between the number of years of education and the risk of dementia) (152,252) or qualitative measures (a threshold effect at a given level of education) (255) and composite measures (i.e. socioeconomical status, SES defines education plus income) (239,288) that show different results. Therefore, we should interpret our results cautiously.

Several strengths of our review of meta-analysis should be emphasized. First, most prior studies were drawn from general community samples or non-Alzheimer's dementia-specific studies (vascular dementia, cortical dementia, or dementia in general) whereas the current study relied on Alzheimer's disease. Second, we add to the current literature analyzing fifty-two primary studies extracted from previous meta-analysis increasing the statistical power in our results. Third, we have analyzed the impact of different moderators (sex, age, study design, region, and measures of SBP/DBP) to explore the influence of other research parameters in the relationship between high SBP and DBP and AD. Finally, we want to focus on effect sizes since the statistical significance should never be interpreted as evidence that an effect had a clinical importance. It is important to note that the effect sizes were “relatively small” and the variation is great within the same meta-analysis. Therefore, the clinical significance and practical importance of these results should be considered in relation to the patient's status, goals, and clinician experience.

As a practical implication, this study suggests that high SBP could be a risk factor for AD. There is limited evidence that single cardiovascular risk factors affect AD risk, but the

strength of the association is influenced greatly by changing the parameters of the risk factors and in particular by identifying interactions between the factors. Future research should confirm this and determine whether stabilizing BP might be a target to slow decline the development of AD.

CONCLUSION

In summary, this study analyzes the association between SBP/DBP/combined BP and the risk of developing AD. A total of five meta-analyses and 52 primary studies were analyzed in this review of meta-analysis. Our study found that SBP is associated with increased risk of AD by 11%, although no association was found for DBP. Measures of SBP>140, SBP>160, DBP>85 and DBP>90 does not moderate the relationship between SBP and DBP and AD. Moderator analysis (sex, age, study design, region, and measures of SBP/DBP) show a significant association between high DBP (>90) and AD in women. The age of onset (≤ 65 . early onset AD and. ≥ 65 late onset AD or senile AD) did not moderate the relationship between SBP and DBP and AD. Finally, regarding the type of study there were no differences in the association between BP y AD between longitudinal and cross-sectional studies. However, Asian countries showed stronger effect sizes between SBP > 140 and risk of AD than European and North American countries. Future work should use other uncontrolled factors (e.g., cardiovascular diseases, diabetes, and stroke) to explain the relationship between high BP and AD.

CAPÍTULO 5

“Un amigo es alguien que conoce la canción de tu corazón y puede cantarla cuando a ti ya se te haya olvidado la letra”.

(Julio Ramón Ribeyro)

CAPÍTULO 5: A SYSTEMATIC REVIEW OF STROKE AND THE RISK OF ALZHEIMER DISEASE

ABSTRACT

Background: In recent years, several population-based studies have shown that the rate of cognitive decline is accelerated in people with a history of stroke. But the relation between stroke and AD is still an area of controversy. The current study examined the relationship between stroke and Alzheimer's disease (AD) analyzing previous literature.

Methods: We searched the literature in 5 databases and no initial publication date was set. We included longitudinal population-based studies examining the association between stroke and risk of AD. The meta-analysis was conducted using Comprehensive Meta-analysis 3.1

Results: Results report significant association between ischemic stroke (IS), hemorrhagic stroke (HS) and microinfarcts (MI) with the risk of AD. Even though results show heterogeneous effects between studies, this review did not find differences in the association between any type of stroke and AD according to age, sex or country.

Conclusion: Our study describes incidence rates of AD in patients with episodes of stroke (IS, HS and MI), and suggests that the risk of AD may be higher in patients that suffer stroke when compared to matched controls without incidence of stroke. Moreover, moderator analysis supports the robustness of our results.

Keywords: Alzheimer's disease; Stroke; Meta-analysis Ischemic Stroke; Hemorrhagic Stroke; Cerebral Infarction.

INTRODUCTION

Alzheimer disease (AD) is the most common dementing illness in the elderly(289). Over 13.8 million individuals with AD will be affected in 2050 (290). Mid-range estimates of net annual expected costs for an AD patient older than 70 years of age are over \$81,000 and will reach \$92,060 by 2030 (291). However, there is no effective treatment to cure AD or to inhibit the progression of AD symptoms (292). Therefore, urgent measures must be taken to reduce AD, considering that a condition with escalating costs and very limited treatment options.

Neurodegenerative diseases such as stroke and AD are two inter-related disorders that affect the neurons in the brain and central nervous system (293). Stroke is characterized as a neurological clinical sign of focal (or global) disturbance of cerebral function by a vascular cause, including cerebral infarction, intracerebral hemorrhage, and subarachnoid hemorrhage, and is a major cause of disability and death worldwide (294). In fact, it is the second most common cause of death worldwide and it is on the top of high public health issues in the 21st century (295). Research estimate that one in 5 patients with stroke will end up demented shortly after a stroke (19). When a stroke occurs, a series of interconnected vascular and cerebral changes contribute to the progression towards cognitive impairment (296). Moreover, AD and stroke share some risk factors such as low education, sedentary lifestyle, and having at least one heart disease (297).

There are different types of strokes: ischemic stroke (IS), hemorrhagic stroke (HS) and microinfarcts (MI). IS is defined by the sudden loss of blood flow to an area of the brain with the resulting loss of neurologic function. It is caused by thrombosis or embolism that occludes a cerebral vessel supplying a specific area of the brain (298). Brain dysfunction caused by IS is often localized to the affected vascular territory (299). Hemorrhagic stroke (HS) is due to bleeding into the brain by the rupture of a blood vessel (300). The cause of

this increased stroke risk is multi-factorial. For example, chronic obstructive pulmonary disease may increase the risk of IS, whereas dialysis may increase the risk of HS (301). Cerebral MI are defined as microscopically demarcated regions of cellular necrosis that are not visible by macroscopic inspection of the brain (302). Despite being small lesions, affected individuals can have hundreds to thousands of cerebral microinfarcts, which is why they are associated with some dementias such as AD (303). No pharmacological treatment to date, however, has shown the qualities of restoring cognitive function or preventing further deterioration after stroke (296).

There are many theories that try to explain the association between AD and stroke. Firstly, the APOE $\epsilon 4$ allele is a genetic risk factor for AD with stroke (304,305). Secondly, brain imaging results suggest that intracerebral vascular dysregulation could be a cause for developing AD (306). This hypothesis is based on the presence of vascular risk factors that reduce cerebral blood flow to a critical threshold that decreases the supply of nutrients such as glucose and oxygen to the brain, essential for maintaining normal neuronal activity (307). Thirdly, beta amyloid ($A\beta$), a major component of senile plaque in AD, tend to appear after a stroke (308). Amyloid protein is associated with features – brain atrophy and progressive cognitive decline – that are typically considered hallmarks of neurodegenerative diseases such as AD (309).

Moreover, variables such as age, sex or country could also contribute to the development of AD and stroke. Research found that age is one of the strongest predictors for AD (310). Several studies suggest that patterns of brain gray matter atrophy may vary across the AD spectrum and depend on age and disease diagnosis (311,312). AD is commonly categorized as either early onset (EOAD) or late onset (LOAD) based on an age cutoff, typically 65 years. Early-onset AD includes a percentage of phenotypic variants that differ from the usual amnesic presentation of typical AD (313). AD affects 10-15% of individuals over 65

years and up to 47% of individuals over the age of 80 (314). Van Veluw et al. (303) find that hippocampal volume is independently affected by ageing and AD, such that the amount of hippocampal atrophy in young and elderly AD patients is similar. Nevertheless, the typical phenotype presentation of late-onset AD is characterized by predominant impairment of anterograde episodic memory, dysfunction in additional cognitive domains such as visuospatial, language, and executive function, eventually resulting in global cognitive decline, complete dependency, and death (315). On the other hand, Nakayama et al. (316) concluded that age was not related to stroke, however, age independently influences stroke outcome selectively in ADL-related aspects (BI) but not in neurological aspects (SSS), suggesting a poorer compensatory ability in elderly stroke patients. Kelly-Hayes et al. (317) demonstrated that the risk of stroke increased with age, with the incidence doubling with each decade after the age of 45 years, and more than 70% of all strokes occurring in persons aged 65 years or older.

Sex differences in risk of clinically diagnosed AD indicate that AD pathology is stronger in women (318). First, neuronal densities and estimates of the number of neurons are higher in men (319). Secondly, men and women with AD exhibit different cognitive and psychiatric symptoms, and women show faster cognitive decline (320). Thirdly, the prevalence and effects of cerebrovascular risk factors for AD are different between men and women, being higher in men (321). Increasing evidence suggests that sex differences exist in stroke. Moriel et al. (322) estimated, on the one hand, that the incidence of stroke is higher in men and, on the other hand, that there is a higher mortality rate in women than in men after stroke.

Additionally, research found differences between countries in the prevalence of AD. A previous study suggests that AD prevalence estimates in developing Asian and Latin American countries are high ($\geq 5\%$), but consistently low (1–3%) in India and Sub-Saharan Africa (323). The lower prevalence in Africa and South Asia could be explained in part by a

lower survival of patients with dementia rather than lower incidence (324). In developed countries, the development of neurological diseases such as AD is related to aging of the population (325). Recent data in the study by Turana et al. (286) show also that the prevalence of AD is related to age in Asian countries. Nevertheless, in Latin America the higher rates of dementia and AD as one dementia's type may be due to issues of poverty, cultural barriers, and socioeconomic vulnerability (326). In addition, the highest estimated risks of stroke in different countries were: East Asia (38.8%), Central Europe (31.7%) and Eastern Europe (31.6%) (327). Epidemiological studies done in the USA, Europe, and Asia found that being overweight and obese was significantly associated with an increased incidence of IS, but the association with HS incidence was not always consistent (328).

The goal of this study was to perform a systematic review of available literature and conduct a meta-analysis of longitudinal primaries studies which reported incident stroke in AD patients. We estimated the risk of AD comparing patients that suffered an incident of stroke (IS, HI and MI) in a matched population without a previous history of stroke. Therefore, this study: a) estimates the effect size of the relationship between AD and stroke (IS, HS and MI), and b) analyzes whether this effect size varies as a function of different moderating variables (age, sex, country).

MATERIALS AND METHODS

I. Data Collection

In order to explore the association between different types of stroke (IS, HI and MI) with AD, we conducted a systematic review and meta-analysis according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines (27). The PRISMA checklist is presented in the (Appendix 5: Table A4).

The search was carried out in 5 databases: ISI Web of Science (WoS), Scopus, PubMed, Elsevier Science Direct, and Google Scholar. No initial publication date was set. We used

some key search terms including “stroke”, “microvascular infarcts”, “ischemic stroke”, “hemorrhagic stroke” and “meta-analysis” and “dementia” or “Alzheimer’s disease”. The search was limited to English language publications and studies of humans. A search date was not set. Searching in the Google Scholar database were limited to the title. We also reviewed the reference lists of relevant primary articles and reviews to identify studies that may have been missed in the search.

The search performed allows us to select longitudinal meta-analyses that meet the inclusion criteria. Subsequently, each of the primary studies included in the meta-analysis is reviewed to select only those that meet the inclusion criteria, since some of these studies focus on non-specific variables of the relationship between AD and stroke. The same criteria and procedures were used to select the meta-analyses and the primary studies included in these meta-analyses.

Finally, another search was conducted to check if there were any primary longitudinal studies not included in the meta-analyses. The search was conducted from the year 2017 to the present and no studies were located.

II. Criteria for inclusion in the study

Following criteria of many other meta-analyses in this field, the following inclusion criteria were established: (1) reported effect size; (2) longitudinal meta-analysis or primary studies measure the relationship between stroke and AD; (3) meta-analysis that provide sample data; (4) subjects without a diagnosis of AD at baseline; (5) AD was diagnosed by diagnostic criteria (DSM, ICD...); (6) meta-analysis score greater than 9 on AMSTAR; (7) studies should be controlled, with participants divided into the AD group and the control group.

Case reports, narrative reviews, letters, animal studies, articles in languages other than English and articles reporting data on the interaction of some types of drugs with stroke and AD were excluded.

III. Data extraction

Two authors (OS and AP) extracted key information of the studies according to a preplanned form, and recorded it in two separate databases, which were later compared and corrected for inconsistencies. When conflicts appeared in inclusion, exclusion, or data extraction, they were solved by discussion or the involvement of a third reviewer (SU). The following variables were collected: study, year, type of stroke (IS, HS and MI), population size (N), number of studies (K), country (*ies*), percentage of women (% F), age mean (M), main results of the study, effect sizes (Odds Ratio and 95% confidence limits) and AMSTAR scores. If studies reported different types of stroke were defined as effect size for every type.

For meta-analysis, we calculated the odds ratio (OR) of AD for every type of stroke. Study results were pooled by measures of every type of stroke (IS, HS and MI). We reported associations between stroke and AD for each primary study (Appendix 5: Table A5.) included in the above meta-analysis (Table 15).

Data were entered into Comprehensive Meta-Analysis version 3.1 (Biostat Inc, NJ, USA) (103). Heterogeneity between study samples was assessed using Cochran's Q statistic (329). The I^2 statistic was calculated to express the fraction of variation between studies that was due to heterogeneity (330). The I^2 statistic explains the percentage of variation in the observed effects due to the variation in the actual effects. An I^2 value less than 25% was considered low heterogeneity, between 25% and 50% was considered moderate heterogeneity, and more than 50% was considered high heterogeneity (102). Statistical significance was established at $p \leq 0.05$.

IV. Quality Assessment

To assess the quality of all included studies we used the 11-item Assessment of Multiple Systematic Reviews (AMSTAR) tool (29), which has been shown to have good inter-rater agreement, reliability, and content validity (28). Total, scores for meta-analyses were

calculated as the sum of the 11 items on a binary scale. Quality ratings were established as low quality (0 to 4), moderate quality (5 to 8), and high quality (9 to 11).

RESULTS

A total of 448 meta-analysis were identified in the search: 68 in ISI Web of Science, 135 in Scopus, 49 in PubMed, 194 in Elsevier Science Direct, and 2 in Google Scholar. A total of 398 studies were removed before screening. Duplicate records ($n = 67$) and records removed for other reasons ($n = 331$): genetic studies ($n = 87$); not related with stroke ($n = 92$); relationship between dementia and stroke ($n = 109$); pharmacology studies ($n = 43$).

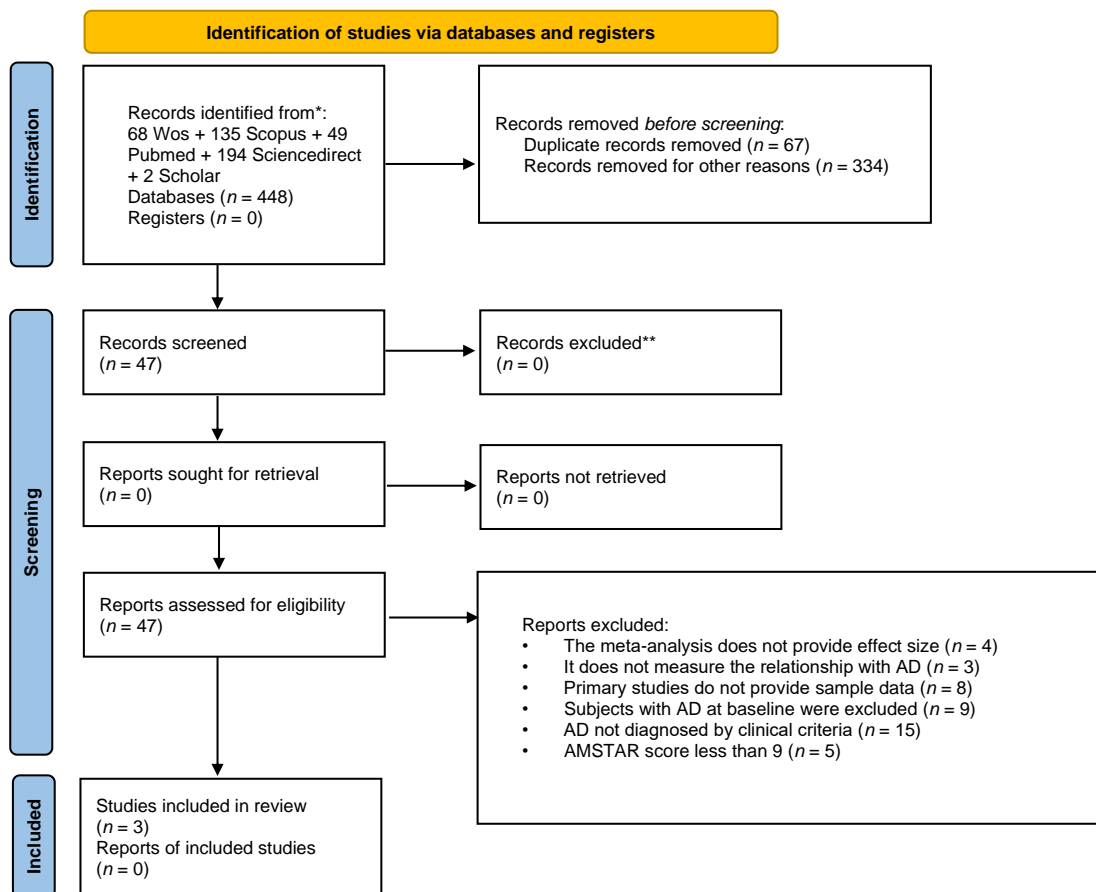


Figura 11. Flow chart depicting the selection of articles for our meta-analysis. Note: AD: alzheimer's disease; n: number of studies

A total of fifty meta-analyses were assessed for eligibility. Of these, some of them were excluded because they: (1) do not report an effect size ($n = 4$), (2) the primary studies do not measure the relationship between stroke and AD ($n = 3$), (3) the primary studies do not provide sample data ($n = 8$), (4) subjects were diagnosed with AD at baseline ($n = 9$), (5) AD was not diagnosed using a clinical criteria (DSM, ICD, NINCDS) ($n = 15$), (6) AMSTAR meta-analysis score was lower than 9 ($n = 5$).

For the estimation of effect sizes of each of the meta-analyses according to the type of stroke, only the studies included in each of the meta-analyses that met the inclusion criteria were considered.

Fourteen effect sizes were extracted from a total of three meta-analyses that included a total of twelve primary studies (Appendix 4: A5). According to the meta-analysis results, $k = 8$ effect sizes provided information about IS and risk of AD (57,1%); $k = 3$ about HS (21.4%); $k = 3$ about MI (21.4%).

For the pooling OR analysis, we analyzed the effect sizes of the primary studies ($K = 12$).

Tabla 15. Characteristics of the population of the AD and Stroke studies

| Study | Type of stroke ¹ | Total N ² | K ³ | Country N ⁴ | % F ⁵ | Age M ⁶ | Results ⁷ | Effect size ⁸ | | | AMSTAR ⁹ scores |
|-------------------|-----------------------------|---------------------------------|----------------|---------------------------|------------------|--------------------|----------------------|--------------------------|------------------|-------|----------------------------|
| | | | | | | | | Effect size LogOR | 95 % CI LL~UL | p | |
| Pinho et al.(331) | HS | AD n = 284 Stroke N = 2.201 | 2 | USA (2) | 59.06 | 78 | HS > AD | 0.57 | 0.125 ~ 1.008 | 0.012 | 9 |
| | MI | AD n = 81 Stroke N = 139 | 1 | USA (1) | 41 | 79 | MI > AD | 1.58 | 1.114 ~ 2.036 | 0.000 | |
| Cao et al.(332) | IS | AD n = 261 Stroke N = 680 | 3 | EU (1), USA (2) | 50.42 | 54.8 | IS > AD | 0.70 | 0.134 ~ 1.267 | 0.015 | 10 |
| | HS | AD n = 51 Stroke N = 201 | 1 | EU (1) | 21.2 | 90.7 | HS = AD | 0.41 | -0.693 ~ 1.504 | 0.469 | |
| | MI | AD n = 239 Stroke N = 491 | 3 | USA (2) | 35 | * | MI > AD | 0.85 | -0.384 ~ 2.074 | 0.178 | |
| Zhou et al.(30) | IS | AD n = 691 Stroke N = 13.527 | 4 | EU (2), North America (2) | 55.75 | 73.23 | IS = AD | 0.89 | -0.052 ~ 1.840 | 0.064 | 10 |

Note: ¹Type of stroke. IS: Ischemic Stroke; HS: Hemorrhagic Stroke; MI: Microinfarcts. ²Total N: Total number of cases. AD n: Alzheimer cases; Stroke N: Stroke cases. ³K: Number of studies. ⁴Country N: Number of independent studies. EU: European Union; USA: United States of America. ⁵% F: Percentage of women. ⁶Age M: Mean of age. ⁷Sample: AD: Alzheimer cases; IS: Ischemic Stroke; HS: Hemorrhagic Stroke; MI: Microinfarcts. ⁸CI: 95% Confidence Interval; RR: Risk Ratio; LL: Lower limit; UL: Upper limit. ⁹AMSTAR: Assessing the Methodological Quality of Systematic Reviews. https://amstar.ca/Amstar_Checklist.php

Table 15 summarizes key features of the three selected meta-analyses.

The total effect size was $LnOR = 0.82$, $se = 0.18$, 95% CI [0.470, 1.169], $OR = 2.27$, 95% CI [1.599, 3.218] and heterogeneity was high ($Qb = 65.98$, $df = 14$, $p = 0.000$; $I^2 = 78.78$). Results did not find differences in effect sizes according to the type of stroke: $Qb = 3.27$, $df = 2$, $p = 0.195$.

I. Ischemic Stroke and AD

Seven primary studies examined IS and AD and reported a significant association between IS and risk of AD ($k = 8$ effect sizes; $N = 14,207$ participants with IS; $n = 952$ with AD and IS). The meta-analyses carried out by Cao et al.(332) ($k = 4$ effect size; $N = 680$ with IS; $n = 261$ with AD and IS) showed a significant association and Zhou et al.(30) ($k = 4$ effect sizes; $N = 13,527$ with IS; $n = 691$ with AD and IS) found no significant association.

The total random effect of the IS and AD value was $LnOR = 0.79$, $se = 0.29$, 95% CI [0.212, 1.362], $Z = 2.68$, $p = 0.007$, $I^2 = 84.93$. The effect sizes for IS are shown in Table 2.

Tabla 16. Effect sizes related to AD and IS

| Study name | Statics for each study | | | | | | | | | | |
|------------------------------------|--|-------------|-------------|-------------|--------------|--------------|-------------|--------------|-------------|--------------|--------------|
| | Sample | Log OR | Se | Ve | LLIC | ULIC | Z | p | OR | LLIC | ULIC |
| Cao et al.(332) | | | | | | | | | | | |
| Brayne et al.(333) ⁽¹⁾ | AD <i>n</i> = 51 IS <i>N</i> = 100 | 0.64 | 0.40 | 0.16 | -0.140 | 1.424 | 1.61 | 0.108 | 1.90 | 0.869 | 4.153 |
| Strozyk et al.(334) ⁽¹⁾ | AD <i>n</i> = 47 IS <i>N</i> = 258 | 0.10 | 0.51 | 0.26 | -0.895 | 1.086 | 0.19 | 0.850 | 1.10 | 0.409 | 2.962 |
| Strozyk et al.(334) ⁽²⁾ | AD <i>n</i> = 84 IS <i>N</i> = 143 | 0.41 | 0.45 | 0.20 | -0.476 | 1.287 | 0.90 | 0.367 | 1.50 | 0.621 | 3.623 |
| Troncoso et al.(335) | AD <i>n</i> = 79 IS <i>N</i> = 179 | 1.39 | 0.35 | 0.12 | 0.706 | 2.067 | 3.99 | 0.000 | 4.00 | 2.025 | 7.899 |
| Zhou et al.(30) | | | | | | | | | | | |
| Qiu et al.(265) | AD <i>n</i> = 303 IS <i>N</i> = 2.212 | -0.20 | 0.24 | 0.06 | -0.681 | 0.274 | -0.83 | 0.404 | 0.82 | 0.506 | 1.316 |
| Bermejo-Pareja et al.(336) | AD <i>n</i> = 184 NIS = 3.864 | 1.50 | 0.24 | 0.05 | 1.024 | 1.972 | 6.20 | 0.000 | 4.47 | 2.784 | 7.184 |
| Hayden et al.(244) | AD <i>n</i> = 121 NIS = 3.215 | 1.86 | 0.28 | 0.08 | 1.303 | 2.422 | 6.52 | 0.000 | 6.44 | 3.679 | 11.266 |
| Lindsay et al.(242) | AD <i>n</i> = 83 NIS = 4.236 | 0.43 | 0.28 | 0.08 | -0.123 | 0.987 | 1.53 | 0.127 | 1.54 | 0.884 | 2.682 |
| Total Random | | 0.79 | 0.29 | 0.09 | 0.212 | 1.362 | 2.68 | 0.007 | 2.20 | 1.236 | 3.903 |

Note: AD *n*: Alzheimer disease cases; IS *N*: Ischemic Stroke cases. A p-value ≤ 0.05 is statistically significant. Log OR, log odds ratio; Se, estimator bias; Ve, variance statistics; LLIC, Lower Limit confidence interval; ULIC, Upper Limit confidence interval; Z, standard punctuation; p, statistical significance; OR, odds ratio; LLIC, Lower Limit confidence interval; ULIC, Upper Limit confidence interval

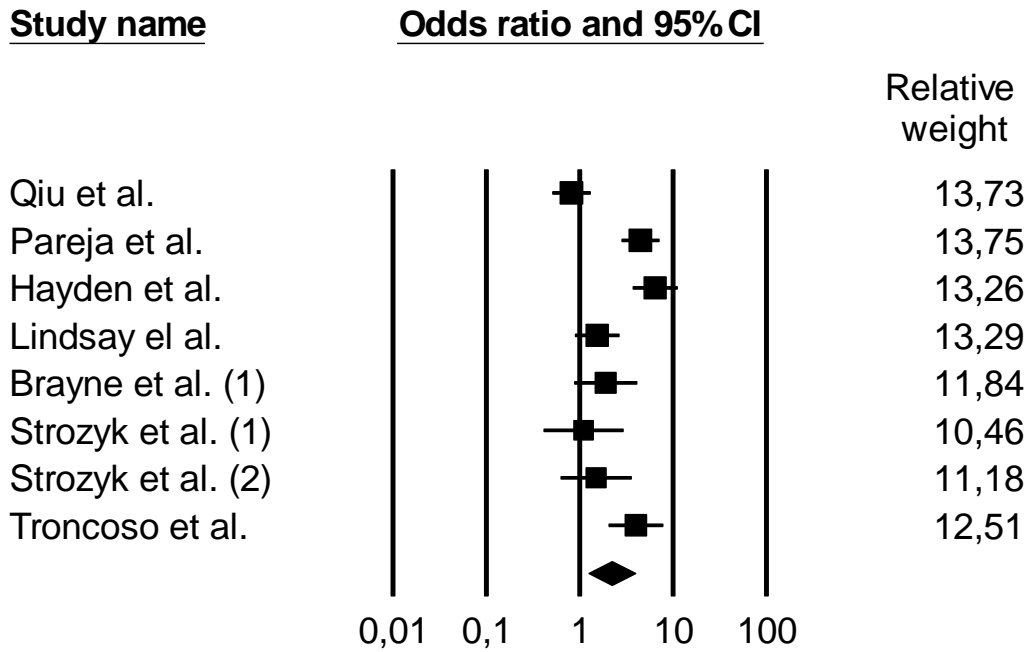


Figura 12. Forest plot of the meta-analysis of incidence rates of AD in patients with IS

II. Hemorrhagic Stroke and AD

Two meta-analyses showed the relationship between HS and AD. Pinho et al.(331) ($k = 2$ effect sizes; $N = 2,201$ participants with HS; $n = 284$ with HS and AD) which showed significant associations between HS and AD; Cao et al.(332) ($k = 1$ effect size; $N = 201$ participants with HS; $n = 51$ with HS and AD) did not found significant associations between HS and AD.

Consistently with Pinho et al.(331), in this meta-analyses ($k = 3$ effect sizes; $N = 2,402$ with HS, $n = 335$ with HS and AD;) a significant association between HS and the risk of AD ($LnOR = 0.54$, $se = 0.21$, $95\% CI = 0.134-0.954$, $Z = 2.60$, $p = 0.009$, $I^2 = .000$).

Tabla 17. Effect sizes related to AD and HS

| Study name | Statics for each study | | | | | | | | | | |
|-----------------------------------|---|-------------|-------------|-------------|--------------|--------------|-------------|--------------|-------------|--------------|--------------|
| | Sample | <i>LnOR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> | <i>OR</i> | <i>LLIC</i> | <i>ULIC</i> |
| Pinho et al. | | | | | | | | | | | |
| Epstein et al.(337) | AD <i>n</i> = 186 HS <i>N</i> = 435 | 0.33 | 0.61 | 0.37 | -0.873 | 1.525 | 0.53 | 0.594 | 1.39 | 0.418 | 4.597 |
| Honig et al.(338) | AD <i>n</i> = 98 HS <i>N</i> = 1.766 | 0.60 | 0.24 | 0.06 | 0.129 | 1.080 | 2.49 | 0.013 | 1.83 | 1.138 | 2.944 |
| Cao et al. | | | | | | | | | | | |
| Brayne et al.(333) ⁽²⁾ | AD <i>n</i> = 51 HS <i>N</i> = 201 | 0.41 | 0.56 | 0.31 | -0.693 | 1.504 | 0.72 | 0.469 | 1.50 | 0.500 | 4.500 |
| Total Random | | 0.54 | 0.21 | 0.04 | 0.134 | 0.954 | 2.60 | 0.009 | 1.72 | 1.144 | 2.596 |

Note: AD *n*: Alzheimer Disease cases; HS *N*: Hemorrhagic Stroke cases. A *p*-value ≤ 0.05 is statistically significant. Log OR, log odds ratio; Se, estimator bias; Ve, variance statistics; LLIC, Lower Limit confidence interval; ULIC, Upper Llimit confidence interval; Z, standard punctuation; p, statistical significance; OR, odds ratio; LLIC, Lower Limit confidence interval; ULIC, Upper Llimit confidence interval

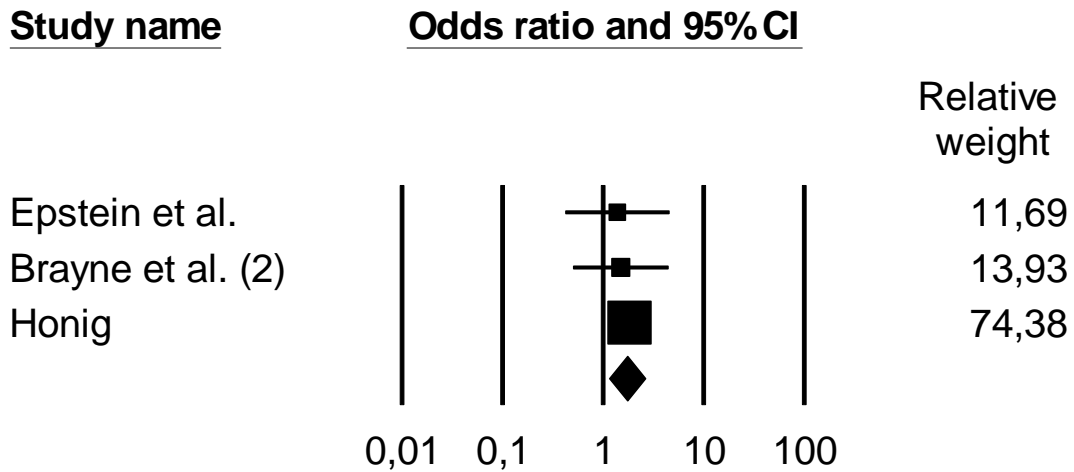


Figura 13. Forest plot of the meta-analysis of risk rates of AD in patients with HS

III. Microinfarcts and AD

Two meta-analyses reported a significant association between MI and risk of AD. In this vein, meta-analyses of studies carried by Pinho et al.(331) ($k = 1$ effect sizes; N with MI = 139; $n = 81$ with AD and MI) which showed significant associations between MI and AD and Cao et al.(332) ($k = 3$ effect sizes; N with MI = 491; $n = 239$ with AD and MI) did not found significant associations between HS and AD.

As in the study conducted by Pinho, in this meta-analysis found a significant association between MI and AD ($LnOR = 1.49$, $se = 0.22$ $95\% CI = 1.053, 1.917$], $Z = 6.74$, $p = 0.000$, $I^2 = 69.59$).

Tabla 18. Effect sizes related to AD and microinfarcts

| Study name | Statics for each study | | | | | | | | | | |
|-------------------------|--|---------------|-------------|-------------|--------------|--------------|-------------|--------------|-------------|--------------|--------------|
| | Sample | <i>Log OR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> | <i>OR</i> | <i>LLIC</i> | <i>ULIC</i> |
| Pinho et al. | | | | | | | | | | | |
| Suter et al.(339) | AD <i>n</i> = 81 MI <i>N</i> = 139 | 1.58 | 0.24 | 0.06 | 1.114 | 2.036 | 6.69 | 0.000 | 4.83 | 3.045 | 7.662 |
| Cao et al. | | | | | | | | | | | |
| Arvanitakis et al.(340) | AD <i>n</i> = 192 MI <i>N</i> = 233 | 1.39 | 0.20 | 0.04 | 0.989 | 1.788 | 6.81 | 0.000 | 4.01 | 2.689 | 5.979 |
| Sonnen et al.(341) | AD <i>n</i> = 47 MI <i>N</i> = 258 | 0.12 | 0.52 | 0.27 | -0.892 | 1.136 | 0.24 | 0.813 | 1.13 | 0.410 | 3.115 |
| Total Random | | 1.49 | 0.22 | 0.05 | 1.053 | 1.917 | 6.74 | 0.000 | 4.41 | 2.866 | 6.798 |

Note: AD *n*: Alzheimer Disease cases; MI *N*: Microinfarcts cases. A *p*-value ≤ 0.05 is statistically significant. Log OR, log odds ratio; Se, estimator bias; Ve, variance statistics; LLIC, Lower Limit confidence interval; ULIC, Upper Llimit confidence interval; Z, standard punctuation; p, statistical significance; OR, odds ratio; LLIC, Lower Limit confidence interval; ULIC, Upper Llimit confidence interval

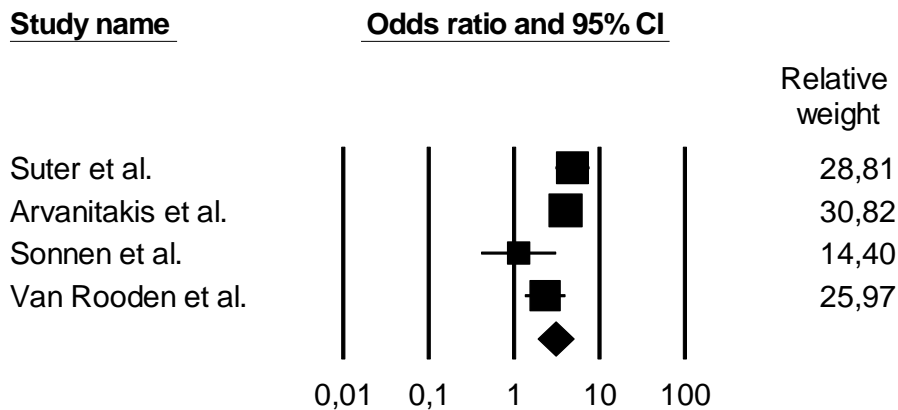


Figura 14. Forest plot of the meta-analysis of risk rates of AD in patients with all types of strokes

IV. Moderating variables analysis

Moderator analyses were performed to explore possible parameters that may explain the differences between the effect sizes. These analyses were performed on categorical variables comparing studies according to sex (0: men and 1: women), age (1. ≤ 65 . 2 > 65) and country (1. Europe. 2. North America).

Results showed that there were no differences in the association between Stroke and AD according to sex, age or country. There were also no differences in the relationship between IS, HS and MI with AD according to these variables (See *Qb*). However, regarding sex, in women a significant association is found between IS and AD, but in men it is not significant. Furthermore, as for age, in persons younger than 65 years there is an association between HS and AD, that does not occur in those older than 65 years. Regarding the country, we also highlight that in the North America there is a significant association between all types of stroke and AD, between IS and AD and between HS and AD, while in Europe this association is not significant.

Tabla 19. Effects of sex, age and country in different types of stroke (IS, HS and microinfarcts)

| Moderator | | Statics for each study | | | | | | | | |
|--------------------------------|---------------|------------------------|---------------|-----------|-----------|-------------|-------------|----------|----------|------------------|
| | Variable | <i>k</i> | <i>Log RR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> | <i>Qb</i> |
| Stroke all types | | | | | | | | | | |
| Sex | Men | 7 | 0.86 | 0.22 | 0.05 | 0.419 | 1.295 | 3.83 | 0.000 | 0.02 |
| | Women | 8 | 0.81 | 0.28 | 0.08 | 0.262 | 1.362 | 2.90 | 0.004 | <i>p</i> = 0.900 |
| Age | ≤65 | 4 | 0.85 | 0.24 | 0.06 | 0.377 | 1.328 | 3.52 | 0.000 | 0.03 |
| | >65 | 11 | 0.79 | 0.25 | 0.06 | 0.307 | 1.270 | 3.21 | 0.001 | <i>p</i> = 0.853 |
| Country | Europe | 5 | 0.65 | 0.35 | 0.13 | -0.041 | 1.342 | 1.84 | 0.065 | 0.43 |
| | North America | 10 | 0.92 | 0.20 | 0.32 | 0.518 | 1.315 | 4.51 | 0.000 | <i>p</i> = 0.514 |
| Isquemic Stroke (IS) | | | | | | | | | | |
| Sex | Men | 1 | 0.64 | 0.40 | 0.13 | -0.140 | 1.424 | 1.61 | 0.108 | 0.10 |
| | Women | 7 | 0.80 | 0.33 | 0.11 | 0.160 | 1.449 | 2.45 | 0.014 | <i>p</i> = 0.753 |
| Age | ≤65 | 2 | 0.98 | 0.53 | 0.28 | -0.070 | 2.020 | 2.02 | 0.067 | 0.16 |
| | >65 | 6 | 0.71 | 0.39 | 0.15 | -0.041 | 1.470 | 1.47 | 0.064 | <i>p</i> = 0.692 |
| Country | Europe | 3 | 0.65 | 0.58 | 0.33 | -0.483 | 1.775 | 1.12 | 0.262 | 0.12 |
| | North America | 5 | 0.88 | 0.35 | 0.12 | 0.194 | 1.574 | 2.51 | 0.012 | <i>p</i> = 0.725 |
| Hemorrhagic Stroke (HS) | | | | | | | | | | |
| Sex | Men | 3 | 0.54 | 0.21 | 0.04 | 0.134 | 0.954 | 2.60 | 0.009 | 0.00 |

| Moderator | | Statics for each study | | | | | | | | |
|---------------------------|---------------|------------------------|------|------|------|--------|-------|------|-------|-------------|
| Age | Women | 0 | - | - | - | - | - | - | - | $p = 1.000$ |
| | ≤65 | 1 | 0.60 | 0.24 | 0.06 | 0.129 | 1.080 | 2.49 | 0.013 | 0.24 |
| | >65 | 2 | 0.37 | 0.41 | 0.17 | -0.441 | 1.179 | 0.89 | 0.371 | $p = 0.624$ |
| Country | Europe | 1 | 0.41 | 0.56 | 0.31 | -0.693 | 1.504 | 0.72 | 0.469 | 0.07 |
| | North America | 2 | 0.57 | 0.23 | 0.05 | 0.125 | 1.008 | 2.51 | 0.012 | $p = 0.790$ |
| Microinfarcts (MI) | | | | | | | | | | |
| Sex | Men | 3 | 1.21 | 0.30 | 0.09 | 0.617 | 1.793 | 4.02 | 0.000 | 0.80 |
| | Women | 1 | 0.84 | 0.28 | 0.08 | 0.288 | 1.387 | 2.99 | 0.003 | $p = 0.371$ |
| Age | ≤65 | 1 | 0.84 | 0.28 | 0.08 | 0.288 | 1.387 | 2.98 | 0.003 | 0.80 |
| | >65 | 3 | 1.21 | 0.30 | 0.09 | 0.617 | 1.793 | 4.01 | 0.000 | $p = 0.371$ |
| Country | Europe | 1 | 0.84 | 0.28 | 0.08 | 0.288 | 1.387 | 2.98 | 0.003 | 0.80 |
| | North America | 3 | 1.21 | 0.30 | 0.09 | 0.617 | 1.793 | 4.01 | 0.000 | $p = 0.371$ |

Note: Log OR, log odds ratio; Se, estimator bias; Ve, variance statistics; LLIC, Lower Limit confidence interval; ULIC, Upper Llimit confidence interval; Z, standard punctuation; p, statistical significance; Qb, heterogeneity between

DISCUSSION

We performed a systematic review of meta-analyses about the relationship between stroke and AD and a meta-analysis of the longitudinal primary studies included in the three selected meta-analyses that met the inclusion criteria. We pooled the prevalence of AD in patients with stroke. Results reported significant association between IS, HS and MI with the risk of AD. Even though results showed heterogeneous effects between studies, this review did not found differences in the association between any type of stroke and AD according to age, sex, or country.

On the one hand, results showed a significant association between IS and risk of AD. The total effect indicated that participants with an incident of IS had more risk to develop AD than healthy people. In this line, several aging-related changes in the brain have been associated with an increase in vulnerability to IS in the elderly (342). An explanation is that IS and AD, despite being distinct disease entities, share numerous pathophysiological mechanisms such as those mediated by inflammation, immune exhaustion, and neurovascular unit compromise (343) that increase the risk of AD. In this vein, Chi et al. (344) and Vijayan et al. (345) pointed out that IS is the major risk factor for AD.

Furthermore, we found an association between HS and the risk of AD. This finding is consistent with other studies in the literature, where significant associations between HS and AD were demonstrated (346,347). This result could be explained because matter lesions caused by vascular changes in HS may also be associated with AD (348). Moreover, the presence of cerebrovascular injury might cause increased cognitive dysfunction in the presence of a concomitant degenerative process (30).

Finally, we found a significant association between microinfarcts and AD. It is true that MI destruct the integrity of microvascular and microstructural tissue, result in A β deposition and tau phosphorylation that form neurofibrillary tangles and associated with the cause of

AD (349). In the same vein, other studies demonstrated an association between MI and AD (350,351). In fact, MI could alter important cognitive networks and thus explain part of the neurological dysfunction that the brain suffers in AD (302).

On the other hand, results showed that there were no differences in the association between Stroke and AD according to the moderator variables: sex, age, and country. Despite finding no moderating effects, we found in women a significant association between IS and AD which is not significant in men. In contrast, the relationship between MI and AD is significant in men and women. Related to sex, other studies have found contradictory results. For instance, Kawas et al. (352) conclude that women who suffered an incident of stroke have a higher tendency to develop AD than men. In fact, some evidence suggests that conditions related to pregnancy and menopause are female-specific risk factors for AD (353). Likewise, literature reveal that premenopausal women may experience less incidence of stroke than men at the same age, however, stroke rates may increase among postmenopausal women (353,354). Therefore, further analysis should be necessary to understand the relationship between sex, stroke, and AD.

Related to age, in participants older than 65 years and younger than 65 years there are an association between all types of strokes and AD, and MI and AD. Some studies have reached contradictory conclusions. For example, Cook et al. (355) concluded that the incidence of stroke among patients with and without AD dementia was higher for the sample aged 50-69 years, but decreases with increasing age. Also, increasing age in people with stroke was associated with a decreased relative risk and an increased absolute risk of AD. Some authors explain that elderly patients may have a poor initial neurological status which can lead to the development of stroke and AD (316). Age has been related to have comorbid conditions such as hypertension, atrial fibrillation, cancer and AD, and were less likely independent before stroke (350). Consistent with our results, Michalski et al. (356) found, in an

experimental study with mice, that after a stroke, myelin basic protein immunoreactivities were strongly affected throughout the ischemic nucleus, the striatum, the ischemic border zone, and the lateral neocortex of the ischemic hemisphere, regardless of age and genetic background. Although, different studies found that the incidence of stroke increases with age (345,349), Kokmen et al. (357) found that the risk of dementia doubled in the stroke cohort during the whole follow-up, even after 25 years, regardless of age. Similar results were found In the Framingham study, 10 years after stroke, after adjustment for age, sex, education, and exposure to individual stroke risk factors (358). However, in this study also found in participants younger than 65 years a significant association between HS and AD, which is not found in people over 65 years of age. Seizures are a risk factor for AD and stroke in younger age people, which it could be an explanation for this fact (355).

Regarding the country, we also highlight that in North America there is a significant association between all types of stroke and AD, between IS and AD, and between HS and AD, while in Europe this association is not significant. Only the relationship between MI and AD is significant in Europe too. In this line, one study concluded that the incidence rate of dementia in Europe has declined by 13% per decade over the past 25 years since lifestyle, education and health interventions (i.e. blood pressure control and antithrombotic medication) which has been established to prevent vascular diseases (359). In fact, in a recent meta-analysis (360), the incidence of stroke found in Europe (204,5 per 100,000 person-year; IC95%: 159,8-249,2) is lower than that reported for the USA, which was 373 (351-396) per 100,000 person-years in the period 1987-2011, with an incidence of 219 per 100,000 person-years in those under 65 years of age and 529 in those over 64. The main discrepancies between the US and European populations are attributed to lifestyle differences, such as the adoption of the Mediterranean diet, which may be associated with a lower risk of stroke (361). In the study by Román et al. (362), the strict Mediterranean diet was attributed to very low prevalence of cardiovascular disease such as stroke. Therefore, the Mediterranean diet

can be considered as a promising tool that can be used for the prevention of AD. Perhaps the US population suffers from more cardiovascular events, hypertension, diabetes, smoking and obesity, which contribute more to stroke risk, by not adopting this lifestyle (360).

The results of our study must be interpreted in the light of some strengths. First, we used a large and well-established primary-care database, whereby a broad range of articles were considered, a total of 12 primary longitudinal studies were analyzed. Second, AMSTAR were applied in order to fulfill criteria for quantitative data synthesis to avoid publication bias. Third, we include the independent selection of studies performed by more than one author. Fourth, the inclusion in this meta-analysis of only longitudinal studies provides more reliable empirical evidence on the force of stroke as a true risk factor for AD. However, our study had a few other limitations. Significant study heterogeneity is probably related to variability in identification of stroke and high variability of the follow-up periods, as well as in other important sociodemographic variables. For example, the estimation of a causal effect for comparison between a disease group and a non-disease group can be subject to bias because of the issue of self-selection associated with a patient's specific prognostic factors. In large observational studies, cases and controls often display significant differences in several characteristics.

In the line of future research, several questions remain unresolved. On the one hand, the influence of changes in the white matter and the associated Alzheimer pathology, and on the other hand, the influence of the pre-existing cognitive state in stroke and AD. In addition, the possibility of having AD without stroke should be investigated. Another line of research could be the clinical relevance of silent infarcts for all types of dementia. In summary, future research should focus on the incidence and prevalence of Alzheimer's

disease after stroke, predisposing etiologies of stroke, pre-stroke impairment, and imaging factors that determine the state of the brain after suffering a stroke.

CONCLUSION

Our study describes incidence rates of AD in patients with episodes of stroke (IS, HS and MI), and suggests that the risk of AD may be higher in patients that suffer stroke when compared to matched controls without incidence of stroke. Moreover, moderator analysis supports the robustness of our results. The association between the incidence of stroke and AD was consistent through sexes, age groups and countries. Because stroke occurs more frequently than developing AD and stroke is more common than dementia, some AD disease could be prevented by preventing stroke. Our findings are important to plan health care resources for patients with AD. Stroke is a modifiable risk factor and preventive measures could be established to prevent or delay AD. Promoting changes in lifestyle, for example, prevent an unhealthy diet, cardiovascular diseases, hypertension, smoking, diabetes, obesity, metabolic syndrome, depression, and traumatic brain injury could reduce the risk of stroke and AD. Moreover, this study allows clinicians to consider stroke occurrence when predicting prognosis in patients with AD.

CONCLUSIONS SECTION 1

“Gracias a la memoria se da en los hombres lo que se llama experiencia.”

(Aristóteles, 384 AC – 322 AC, Filósofo griego)

CONCLUSIONS SECTION 1

The first section of this research has aimed to determine and analyze some of the risk factors associated with AD. Research on AD is a priority for several reasons, including the fact that the prevalence of this disease is very high in our society. Moreover, it is a growing public health problem which involves functional and cognitive decline in the elderly and generates dependence (363). In addition, there is agreement that the non-health costs associated with AD (informal care costs and indirect costs) are greater than those are greater than health costs associated with AD (364).

We start from the systematic review shown in the first chapter, which elucidates the most important modifiable risk factors in the study of AD, from which four of the factors were selected for further analysis. These factors increase the risk of developing AD. Among these factors are stroke, hypertension, and cholesterol (365,366). There are several strong arguments for studying these variables in-depth. On the one hand, the association of cardiovascular risk factors may be due to shared risk factors between vascular diseases – such as stroke, hypertension, or cholesterol – and AD, but there could also be a direct causal association, since heart disease causes hypoperfusion and micro emboli, which are implicated in the etiology of AD (367). The coexistence of anatomopathological lesions typical of AD and vascular lesions has been demonstrated by autopsy (368). This influence could be due to direct effects of beta-amyloid protein accumulation in the brain. In addition, elevated brain insulin values are known to decrease beta-amyloid protein metabolism (369). On the other hand, depression may confer an increased risk of developing AD in the future. Depression is a disease whose incidence is on the rise (18.4% increase between 2005 and 2015) (370), and it could affect AD through the lifestyle adopted as previous studies have found that this mental disorder is negatively associated with a healthy lifestyle (371,372). A better understanding of the relationship between depression and AD may have important

implications for public health (373). This section will discuss the results of the meta-analyses conducted in Chapters 2, 3, 4, and 5 where the relationship of AD with cholesterol, depression, blood pressure, and stroke has been examined.

CHOLESTEROL AND AD

The first meta-analysis examined the relationship between cholesterol, cholesterol type (high levels of low-density lipoprotein (LDL-C), low levels of high-density lipoprotein (HDL-C), triglycerides (TG), and total cholesterol (TC)) and the risk of developing AD. The literature review allowed us to analyze 5 meta-analyses, from which 100 primary cross-sectional and longitudinal studies including 2,289,511 participants were selected to analyze 12 effect sizes.

Confirming the results from existing literature (8,32,43,83), the overall results revealed that cholesterol level is a risk factor for AD (374). Cholesterol levels in the brain are tightly regulated by physiological brain function, but growing evidence indicates that excess cholesterol accumulates in the brain, where it can cause pathological changes associated with AD(374).

After the analysis of different types of cholesterol, it was concluded that an elevated LDL cholesterol level was an independent risk factor for the development of AD. The pooled effect size showed a significantly increased risk of developing AD for individuals with higher LDL-C levels, which is also in agreement with the literature, where LDL concentration in mid-life is shown to increase the risk of developing AD later in life (105).

However, the results showed no difference in serum HDL levels between healthy and AD subjects. This result remains controversial, and no conclusive evidence was found. Several studies indicated that variations in serum HDL lipid levels are not associated with AD (8,32,43,63); but, in other studies, lower HDL levels have been associated with a higher risk of developing AD (106,109). In addition, another study suggests that elevated HDL levels

are associated with a lower risk of dementia, and that HDL may protect people against cerebrovascular dysfunction in AD (114).

Triglycerides are a type of lipoprotein that accumulate in the arteries and can produce adverse side effects in the human body (375). Triglyceride (TG) levels also did not show a positive association with the development of AD. In retrospective studies, the use of drugs to reduce cholesterol levels could reduce the likelihood of finding an association between TG and AD (117,118).

As for TC (total cholesterol), the results found no significant effect of TC levels on AD. Several studies claim that high cholesterol levels in middle age represent a risk factor for AD, but that there are no detectable differences in cholesterol levels at older ages (31,63,114). Therefore, the non-significant effects of TC on AD in prospective studies (30 years of follow-up) could be explained by variation in TC levels and disease progression.

In summary, this research indicated that there is an association between cholesterol level and AD. Therefore, LDL-C, HDL-C, TG, and TC were analyzed separately as risk factors for AD. This study highlighted the need to analyze other factors related to AD. Indeed, physical activity and the use of drugs could reduce the effects of cholesterol on AD. Overall, this meta-meta-analysis represents a step towards empirical evidence-based knowledge of AD which could have a huge beneficial impact on the incidence and prevalence of the disease.

DEPRESSION AND AD

In the second meta-analysis performed, the main contribution was based on producing precise estimates of the risk of developing AD associated with different criteria of depression, either clinically significant or based on symptomatic scales. Six meta-analyses were included, from which 28 longitudinal studies analyzing 28 effect sizes were selected. The total sample consisted of 101,881 participants. A total of 17 studies were based on

symptomatic rating scales, and 11 studies were based on clinical criteria for assessing depression: CES-D, DSM-III/III-R/IV/V, GMS-AGECAT, GDS, and HAM-D.

The random effect of the relationship between depression and AD was significant. Eleven studies found a more than 3-fold increased risk of developing AD for participants diagnosed with clinically significant depression. Also, based on the results of the 17 studies based on the symptomatic depression rating scales, the risk of developing AD was almost twofold. We found that studies using clinically meaningful criteria for the diagnosis of depression had more consistent and significant results than those using symptomatic scales.

On the other hand, this meta-analysis focused on analyzing the predictive effect of the different cut-off points of the symptomatic CES-D scale, as it was the most widely used in the selected studies. A slightly significant predictive effect was found in studies using CES-D cut-points ≥ 10 and ≥ 16 , but the predictive risk of developing AD was higher for a study using a more restrictive cut-point (≥ 20). These results were consistent with those of Cherbuin et al. (35) who found that studies using a previously validated cutoff point against clinical criteria (≥ 20) demonstrated higher risk estimates than those using a more permissive cutoff point (≥ 16).

Although we cannot yet assert an etiologic basis for the association between AD and depression, our study provides consistent data pointing to an increased risk of developing AD for clinically significant depression. These findings underscore the importance of using more stringent and objective measures of depression in future studies. Depression should be assessed by professionals with standardized and validated measures, and preventive strategies targeting at-risk individuals should be designed. Further studies should evaluate the possibility that treatment of clinically significant depression decreases the risk of developing AD.

BLOOD PRESURE AND AD

In the third meta-analysis, the association between elevated blood pressure (BP) and the risk of developing AD was studied. Information was established on the types of hypertension (Diastolic Blood Pressure (DBP), Systolic Blood Pressure (SBP), and Combined Blood Pressure (CBP)) and AD. Twenty-five meta-analyses were selected in this study, from which fifty-two primary studies, both cross-sectional and longitudinal, and seventy-five effect sizes were extracted. The total sample size was 1,485,392 participants. Overall, the results indicate that hypertension was associated with an increased risk of developing AD.

The results showed that participants with elevated SBP were at an increased risk of developing AD, and supported the findings of previous studies suggesting that there was consistent evidence of an effect of systolic hypertension on AD.

However, this meta-analysis did not find a significant association between elevated DBP and the risk of developing AD. According to previous studies, these results could be explained by confounding variables due to associations between BP and chronic disease or other unknown modifiable risk factors (277–279).

In relation to combined arterial hypertension (CAP) (i.e. high SBP and DBP), only one meta-analysis (278) compared the incidence of AE between subjects with and without hypertension. These studies found that elevated BP was not associated with an increased risk of developing AD. This result is contradictory to those found in other studies such as Guan et al. (15).

To explore the influence of other research parameters on the relationship between elevated SBP and DBP with AD, different moderators were analyzed: type of measurement, sex, age, study design, and world region. This study found no differences in the risk of developing AD according to the type of measurement for SBP (>140 and >160) and DBP (>85, >90).

Total scores revealed significant differences between men and women only in the relationship of elevated DBP and AD. So the data suggested that women with elevated DBP (>90 mmHg) had an increased risk of developing AD compared to men (an increased AD risk level of 86%).

Age at onset (≤ 65 years early onset and ≥ 65 years late onset) did not moderate the relationship between elevated SBP and AD. Total scores showed that age was associated with an increased risk of developing AD at both early (10%) and late (7%) onset. However, this study found that elevated SBP > 160mmHg significantly increased the risk of dementia in participants aged ≤ 65 years (≤ 65), but not in those ≥ 65 .

These results found no differences in the effect size of the association between elevated SBP and DBP and AD risk according to the type of design (cross-sectional and longitudinal). The results found an association between BP and risk of developing AD in both types of studies. Along these lines, the relationship between elevated SBP and AD is significant in longitudinal studies and with SBP > 160mmHg (23% increase), whereas elevated DBP (>85, > 90mmHg) is not related to an increased risk of developing AD.

Finally, the region of the world is the only variable that moderated the relationship between hypertension and AD. An increased risk of developing AD was observed in persons with hypertension from Asian and North American countries, but not in those from European countries. Looking at the BP measurement, people from Asian countries with SBP>140mmHg were more likely to have AD (an increase of 34%) compared to North American and European countries. A significant risk of AS related to SBP>160mmHg was also shown in Europe and Asia and was not found in North America.

In summary, this study found that BP, and particularly SBP, is associated with an 11% increased risk of AE. Neither SBP and DBP effect sizes, gender, age, nor study design moderated the relationship between BP and AD. The only significant moderator was world

region, with Asian and North American countries showing stronger effect sizes between BP and AD risk than European countries. Future work should use other uncontrolled factors (e.g., cardiovascular disease, diabetes, and stroke) to explain the relationship between elevated BP and AD. Some studies have linked hypertension to brain atrophy, white matter lesions, and neurofibrillary tangles (376). Along these lines, explanations linking cardiovascular disease and AD include a shared etiology, as atherosclerosis plays an important role in both cardiovascular pathology and AD (376,377). Thus, there is evidence that pathologies of vascular origin play an important role in the etiology of AD. Although for some of these factors the mechanisms that are linked to AD are clear, for others the association with AD is more complex and needs further investigation to be fully deciphered.

STROKE AND AD

Finally, a systematic review of the available literature and a meta-meta-analysis of the longitudinal primary studies contained in the selected meta-analyses that met the inclusion criteria for analyzing the relationship between stroke and AD were performed. Three meta-analyses were included in this study, from which a total of 13 primary studies (16,547 participants) were selected and 14 effect sizes were extracted. A significant association was found between stroke and an increased risk of developing AD. Although the results showed heterogeneous effects across studies, the review found no difference in the association between any type of stroke and AD.

First, the results showed a significant association between IS (Ischemic Stroke) and risk developing of AD. The total effect indicated that participants who suffered from an IS were at higher risk of developing AD than healthy individuals. Along these lines, several aging-related changes in the brain have been associated with an increased vulnerability to IS in the elderly (342).

In addition, an association was also found between HS (Hemorrhagic Stroke) and the risk of developing AD. This finding is consistent with other studies in the literature, in which significant associations between HS and AD were demonstrated (346,347).

Finally, a significant association was found between MI (microinfarcts) and AD. Previous studies also demonstrated an association between MI and AD. (350,351). In fact, MI may alter important cognitive networks and thus explain part of the neurological dysfunction suffered by the brain in AD (302).

On the other hand, the results showed that there were no differences in the association between stroke and AS according to the moderating variables (sex, age, and world region). Although no moderating effects were found, a significant association was found between IS and AD in women which was not significant in men. In contrast, the relationship between MI and AD is significant in both sexes. Kawas et al. (352) concluded that women who have suffered a stroke have a greater tendency to develop AD than men.

Age was not a factor when studying the association between all types of stroke and AD, and between MI and AD. Michalski et al. (356), in an experimental study, examined the neurobiological changes that occur after stroke, independent of age and genetic load. This helps to understand why a stroke may be associated with an increased risk of developing AD at any age. However, in participants younger than 65 years, we found a significant association between HS and AD that was not found in people older than 65 years.

Although the world region does not moderate the relationship between stroke and AD, in North America there is a significant association between all types of strokes and AD, between IS and AD, and between HS and AD, whereas in Europe this association is not significant. However, the relationship between MI and AD is significant in both regions of the world. One of the main discrepancies between Europe and North America is related to

lifestyle, such as the adoption of the Mediterranean diet, which may be associated with a lower risk of stroke (361).

This chapter describes the incidence rates of AD in patients with stroke episodes (IS, HS, and MI), and concludes that the risk of developing AD may be higher in stroke patients compared with matched controls with no incidence of stroke. Furthermore, moderation analysis supports the robustness of our results. The association between stroke incidence and AD was consistent across sexes, age groups, and regions of the world. Given that stroke occurs more frequently than the development of AD, and that stroke is more common than dementia, some AD could be prevented by preventing stroke. These findings are important for planning health care resources for patients with AD. Stroke is a modifiable risk factor, and preventive measures could be established to avoid or delay AD. Promoting lifestyle changes, e.g., preventing an unhealthy diet, cardiovascular disease, hypertension, smoking, diabetes, obesity, metabolic syndrome, depression, and traumatic brain injury could reduce the risk of stroke and AD. In addition, this study allows clinicians to take stroke occurrence into account when predicting prognosis in patients with AD. Pooling all the effects extracted from the meta-analyses performed with the different risk factors, the largest effect sizes are for: Depression (OR = 2.46) and Stroke (OR = 2.27). The largest effect size is found in the relationship between MI and AD (OR = 4.41). Another of the highest effect sizes is found between the association between LDL cholesterol type and AD (OR = 2.55). Along the same lines, IS is also a variable that is strongly associated with AD, with an effect size of OR = 2.12.

SUMMARY OF POOLED EFFECT SIZES

Tabla 20. Summary of pooled effect sizes

| Variable | Type of variable | OR/RR | LLIC | ULIC | Z | p |
|----------------------------|----------------------|-------------|--------------|--------------|-------------|--------------|
| Cholesterol (OR) | | 1,29 | 1.04 | 1.60 | 2.28 | 0.023 |
| | LDL | 2,55 | 1.25 | 5.22 | 2.57 | 0.010 |
| | HDL | 0,87 | 0.64 | 1.18 | -0.89 | 0.372 |
| | TC | 1,44 | 0.91 | 2.28 | 1.55 | 0.121 |
| | TG | 1.22 | 0.96 | 1.56 | 1.64 | 0.102 |
| Depression (OR) | | 2.46 | 1.81 | 3.35 | 5.72 | 0.001 |
| | Clinic | 1.80 | 1.16 | 2.78 | 2.62 | 0.009 |
| | Sintomatic | 1.68 | 1.24 | 2.27 | 3.36 | 0.001 |
| Blood pressure (RR) | | 1.08 | 1.032 | 1.133 | 3.27 | 0.001 |
| | SBP | 1.09 | 1.013 | 1.181 | 2.28 | 0.022 |
| | DBP | 1.16 | 0.956 | 1.402 | 1.50 | 0.133 |
| | CBP | 1.02 | 0.836 | 1.249 | 0.21 | 0.835 |
| Stroke (OR) | | 2.27 | 1.599 | 3.218 | 4.86 | 0.000 |
| | Ischemic | 2.12 | 1.304 | 3.447 | 3.03 | 0.002 |
| | Hemorrhagic | 1.72 | 1.144 | 2.596 | 2.60 | 0.009 |
| | Microinfarcts | 4.41 | 2.866 | 6.798 | 6.74 | 0.000 |

Note: OR, odds ratio; RR, risk ratio; LLIC, Lower Limit confidence interval; ULIC, Upper Limit confidence interval; Z, standard punctuation; p, statistical significance

FUTURE LINES

Efforts to understand Alzheimer's disease and related disorders from a more holistic point of view are increasing. Several vascular, lifestyle, psychological and genetic risk factors have been recognized as influencing the development of AD and may act independently and potentiate each other in the progression of AD. AD is increasingly being recognized as a

complex multifactorial disease attributable to several interrelated and interacting risk factors (378).

Research on AD has undergone a paradigm shift from viewing it as a disease of old age to adopting a life course perspective. The common factor in this research is the multidomain approach that aims to simultaneously address several modifiable risk factors (vascular and lifestyle-related risks) that are present in young adults. Hence the importance of primary prevention where the risk factors that cause AD for multiple reasons must be determined.

- 1- AD occupies the top rankings for mortality in most countries. It is arguably the most costly disease in the world, with an annual cost of \$200 billion in the United States alone (88). Thus, economists predict that preventing, or at least delaying, the onset of the disease by five years would cut costs by half.
- 2- Without intervention, the number of individuals with AD will skyrocket in the coming decades. By 2050, the number of people with AD dementia in the United States will be 13.5 million, and the costs will increase fivefold (88). The care provided to people with AD has drastically affected our healthcare system, causing caregiver overload, loss of productivity, and out-of-control costs.

Therefore, the study of potential risk factors for AD, as addressed in this section of the thesis, and the implementation of early interventions, could lay the groundwork for long-term solutions to address this growing chronic problem.

In relation to the study of risk factors, vascular factors such as cholesterol, blood pressure, and stroke have been analyzed in some chapters. In this regard, it would be interesting to analyze other cardiovascular risk factors such as diabetes mellitus, existing cardiovascular disease, smoking, and drinking. In recent years, there is increasing evidence that AD and cardiovascular disease may be closely related and the study of these variables could clarify this relationship.

It might also be useful to analyze other variables, such as age, separately. Even though the age variable is not significant as a moderator in some chapters of this section, an analysis of age as an independent variable could be interesting. The vast majority of AD cases have a late onset (usually after the age of 65); the disease is rare among younger individuals(379). The incidence of AD is known to increase with age, and age is the most important risk factor for the development of AD. The number of people with AD approximately doubles every 5 years after age 65. Approximately one third of all people over the age of 85 may have AD.

Finally, it seems feasible to analyze secondary factors such as depression, anxiety, schizophrenia, bipolar disorder, and other risk factors that do not directly affect AD, but whose development would alter otherwise healthy lifestyles and have an impact on the development of AD. In this sense, these pathologies would promote less healthy lifestyles and would have an impact on cardiovascular and cerebral health which are direct risk factors for AD. In Chapter 3 of this section corroborated the association between being diagnosed with depression and developing AD. Within this line of research, other pathologies, such as anxiety or schizophrenia, could be studied.

SECCIÓN 2

**UN ESTUDIO DE
OPTIMIZACIÓN HEURÍSTICA,
MINERÍA DE DATOS Y
ENFOQUE MULTI-OBJETIVO**

CAPÍTULO 6

“No hay olvido que valga, tú guarda los sentimientos que yo guardo los recuerdos.”.

(@EF_Roberto, 2018)

CAPÍTULO 6: DESARROLLO DE UN SISTEMA GENERADOR DE MODELOS PARA DIAGNOSIS MÉDICAS

ABSTRACT

When designing classification models we often find attributes that turn out to be irrelevant or noisy. We can also find attributes with negative interaction with other attributes. All this can impair the performance of the models. Attribute selection is a task that searches for a small subset of relevant attributes from the original set that result in the most efficient models possible. In addition to improving efficiency, variable selection brings other important advantages, such as easier to obtain the necessary data, clearer and better interpretable models, etc. In the case of applications in medicine, the selection of attributes can help to distinguish which characteristics, habits, factors, etc., have the greatest impact on the appearance of diseases. However, feature selection is a complex task due to the large number of possible solutions. In recent years, methods based on different metaheuristic strategies, mainly evolutionary algorithms, have been proposed. In this paper, a simple method based on tabu search and multi-boot techniques is proposed. The proposed method has been analyzed and compared on different known medical databases. These computational tests show the better performance of this method against other recent methods considering different metrics and classifiers. Statistical tests are provided to reinforce these conclusions.

Keywords: classification, feature selection, medical diagnosis, tabu search

INTRODUCCIÓN

El diagnóstico consiste en un proceso en el que se identifica una enfermedad, afección o lesión por sus signos y síntomas. Para ayudar a hacer un diagnóstico, se pueden utilizar los antecedentes de salud o realizar un examen físico y pruebas, como análisis de sangre, pruebas con imágenes y biopsias. La diagnosis es un procedimiento en constante evolución para la mayoría de patologías, que se centran en realizarla lo más rápido y con la mayor predicción posible(380).

El diagnóstico precoz en medicina es vital para algunas patologías. Por una parte, gracias a la detección temprana, se logra mejorar la supervivencia en patologías como el cáncer de páncreas(381), por otra parte, el diagnóstico precoz es crucial para la mejora en el pronóstico de la enfermedad como en tumores o melanomas(382). En otros casos como la diabetes, el diagnóstico precoz se convierte en algo imprescindible para paliar las complicaciones secundarias de la enfermedad: lesiones arteriales, colesterol, hipertensión, obesidad o infarto de miocardio (383). La diagnosis en la EA, es de suma importancia también, ya que ha ayudado a su diagnóstico en las primeras etapas y ha facilitado el diagnóstico diferencial entre la EA y otros trastornos neurodegenerativos con demencia(384).

La minería de datos (385,386) es una técnica que ha permitido el análisis de grandes volúmenes de información dando como resultado patrones o reglas que ayudan a entender el comportamiento de un sistema(387). En medicina se ha convertido en un campo emergente de gran importancia que proporciona un pronóstico y una comprensión más profunda de la clasificación de las enfermedades (388). Con distintos modelos como la Regresión Logística (RL)(389), Análisis Discriminante (ADL) (390), Support Vector Machine (SVM) (391), Redes Neuronales (RN) (392), Árboles de Clasificación (393), vecino más cercano (394), clasificadores bayesianos (395)... se logra realizar un diagnóstico temprano. En la mayoría de los usuarios, para el diagnóstico de EA, es necesario recurrir a

detallados exámenes físicos y pruebas cognitivas que miden distintas funciones cognoscitivas y las actividades cotidianas relacionadas con el funcionamiento del cerebro (5). Es por ello por lo que un diagnóstico precoz y preciso de la EA sería crucial para pacientes y sus familias. Les ayudaría a planificar el futuro y buscar opciones de tratamiento, mientras el paciente puede seguir participando en la toma de decisiones(396).

Uno de los problemas que surgen en la actualidad en referencia a “machine learning” o “aprendizaje automático”, es identificar un conjunto representativo de características a partir de las cuales construir un modelo de clasificación para una tarea en particular (397). La selección de variables, por tanto, se ha convertido en una necesidad urgente para buscar el subconjunto óptimo de características (398). Esta selección es una tarea importante para reducir la dimensionalidad de los datos y aumentar el rendimiento de un algoritmo de clasificación (399,400). Se han explorado muchos enfoques de búsqueda para descubrir un subconjunto significativo de las características que produzca una mayor precisión (401), ya que el objetivo es mantener la cantidad de características lo más pequeña posible para reducir el costo computacional de entrenar un clasificador, así como la complejidad del algoritmo (402,403).

En la práctica, el conjunto óptimo de características generalmente se desconoce y es común por tener características irrelevantes o redundantes al comienzo del proceso de reconocimiento de patrones (402). Por lo tanto, en los distintos modelos de clasificación, surgen además de fortalezas, también debilidades que conduce a un campo inconexo y fragmentado donde en ocasiones es difícil mejorar el rendimiento de modelos y algoritmos y tener éxito en la clasificación (399). Es por ello que cobra importancia la selección de funciones donde se incluya la creación de modelos más simples y comprensibles, la mejora del rendimiento del propio modelo y, además, la preparación de datos limpios y

comprensibles (400,404). Ser capaz de reducir la complejidad del modelo puede ayudar a mejorar la precisión del rendimiento del modelo de clasificación (405).

METODOLOGÍA

I. Métodos de selección de variables

Los métodos de selección de variables se pueden clasificar en tres tipos: filter, wrapper y embedded (400). Los métodos filter seleccionan un cierto número de variables basándose en criterios como la correlación, la similitud, la ganancia de información, etc., sin que intervenga ningún clasificador (400,404). Algunos ejemplos de métodos filter son los siguientes algoritmos: Correlation Feature Selection (CFS) o algoritmo de correlación (385), Mutual Information (MI) (402), ReliefF algorithm (386), Chi-square algorithm (406), Fisher Score algorithm (407) y Fast Correlation-Based Filter (FCBF) (408).

Los métodos wrapper intentan obtener todas las combinaciones de variables para evaluar la utilidad de cada uno de los subconjuntos mediante la eficacia predictiva de un clasificador concreto, teniendo como objetivo encontrar el mejor subconjunto de variables. Normalmente se obtienen mejores resultados con los métodos wrappers que con los métodos filters dado que estos últimos carecen de la evaluación del rendimiento de las variables seleccionadas con un clasificador determinado (409), aunque los métodos wrapper requieren de mayores tiempos de computación que los métodos filter.

Debido a la robustez de las técnicas metaheurísticas en diversas aplicaciones complejas, algunas de ellas se han utilizado para crear métodos wrapper de selección de variables: Algoritmos Genéticos (410,411), Grey Wolf Optimizer (GWO) (410,412), Flower Pollination Algorithm (413,414), Bat Algorithm (415,416), Ant Colony Optimization (403,417), Whale Optimization Algorithm (418,419), Particle Swarm Optimization

(409,420), Harmony Search Algorithm (401,421), Harris Hawks Optimization Algorithm (398,405) y Búsqueda Tabú (422,423).

Finalmente, los métodos embedded integran la selección de variables y el aprendizaje del clasificador en un único proceso. Estos métodos se han usado en trabajos como Queen and Emrich 2021. (424), Liu et al. 2019. (425) y Pacheco et al. 2007. (426).

II. Literatura sobre selección de variables en medicina

Se han utilizado diferentes enfoques dentro de modelos lineales que apoyan el modelo y que además predicen con alta precisión usando características de distintas variables(427); es el caso por ejemplo del estudio realizado por Jothi et al. (428), en el que se extraen diferentes categorías de características de las imágenes de resonancia magnética segmentadas (intensidad y textura) para seleccionar las características imperativas del tumor cerebral. En este mismo sentido y a partir de imágenes de resonancia magnética, Dimitriadis et al.(429). cuantificaron la precisión de predicción de múltiples características morfológicas para diagnosticar la EA y definir biomarcadores únicos y multimodales para la EA. En el estudio realizado por Liu et al. (430), el método FIG brindó el mejor rendimiento de reconocimiento no solo que el conjunto completo de características originales sino también cualquier tipo de características individuales para el reconocimiento de enfermedades pulmonares. Del mismo modo, en el estudio realizado por Chong et al. (431) se construyó un clasificador que le permitió detectar en imágenes de tomografía computarizada, enfermedad pulmonar intersticial fibrótica utilizando características de textura 3D. Shi et al. (432) utiliza un método de segmentación basado en el aprendizaje a través de la selección de características conjuntas y, que incorpora la especificación manual simple del médico, para ayudar a la segmentación precisa, especialmente para hombres con problemas de próstata irregular grande. En esta misma patología, Guinin et al. (433). proporcionaron una herramienta de segmentación automática para la radioterapia prostática y Sharan et al. (434) propusieron un nuevo método

de selección de características que clasificara y diagnosticara imágenes histopatológicas de próstata. También se han realizado estudios para otros tipos de cáncer como Jain et al. (435), que propone un modelo híbrido basado en la optimización mejorada para el diagnóstico y la clasificación del cáncer. Wang et al. (436) utilizan una estrategia de selección de características ponderada e integra algoritmos basados en bacterias para reducir la dimensión de la característica en la clasificación en distintos tipos de cáncer de expresión génica. Kang et al. (437) utilizan un nuevo método (RL-SVM) para la clasificación de tumores en conjuntos de datos de tumores de dos clases y de varias clases. También se han usado algoritmos de selección de características mediante el clasificador Adaboost para detectar Glaucoma (438).

III. Formulación de problema de selección de variables

Para formular el problema que se trata en este trabajo (selección de variables para clasificación) se define conjunto de entrenamiento a los datos con los que vamos a generar los modelos. Así mismo se denota por n al número de individuos de dicho conjunto y a m el número de variables. Al conjunto de variables lo denotamos por V y por v_j a la variable j -ésima, es decir

$$V = \{v_1, v_2, v, \dots, v_j, \dots, v_m\}.$$

Al conjunto de entrenamiento lo denotamos por X . A un individuo genérico (sea del conjunto de entrenamiento o no) lo denotamos por \mathbf{x} . A cada individuo \mathbf{x} se le identifica con el vector de los valores de sus variables, es decir

$$\mathbf{x} = (x_1, x_2, x_3, \dots, x_j, \dots, x_m)'$$

A los individuos del conjunto de entrenamiento los denotamos por $\mathbf{x}_i, i = 1, \dots, n$. De esta forma

$$\mathbf{x}_i = (x_{i1}, x_{i2}, x_{i3}, \dots, x_{ij}, \dots, x_{im})'$$

por tanto x_{ij} es el valor de la variable $v_j, j = 1, \dots, m$.

Vamos a considerar problemas de clasificación binaria, es decir, con 2 clases, ya que lo vamos a aplicar a la diagnosis de enfermedades, y por tanto hay dos clases: tener la enfermedad o no. La clase de cada individuo \mathbf{x} se representa por una variable binaria \mathbf{y} . En el caso de un individuo \mathbf{x}_i del conjunto de entrenamiento la representamos por \mathbf{y}_i . Denotamos por G_k , al conjunto de individuos de la clase $k, k = 0,1$. Por tanto, si $y_i = k$ entonces $\mathbf{x}_i \in G_k, (k = 0,1)$.

Sea p el número de variables que queremos seleccionar ($p < m$), el problema consiste en encontrar el subconjunto $S \subset V$, verificando $|S| = p$, con mayor capacidad clasificatoria. Esta capacidad clasificatoria para un subconjunto $S \subset V$ (que denotamos como $f(S)$) se define como la ratio de casos de X bien clasificados usando las variables de S con el clasificador obtenido con el modelo considerado. En nuestro caso, como se ha comentado antes se consideran 3 modelos lineales: LDA, LRA Y SVM.

IV. Método de solución para el problema de selección de variables

El método de solución es un procedimiento (que denominamos *MultiStartTabu*) que usan combinadas la estrategia multi-arranque y la búsqueda tabú. En cada iteración se ejecutan dos procedimientos: un procedimiento constructivo (que denominamos *Constructive*) que genera una solución inicial y un procedimiento que mejora la solución generada por el constructivo. Este procedimiento de mejora está basado en la estrategia búsqueda tabú (y por tanto lo denominamos *TabuSearch*). El método finaliza cuando transcurren una serie de iteraciones sin mejorar la mejor solución encontrada. El pseudocódigo 1 muestra el método *MultiStart*.

Method *MultiStartTabu*

$iter = 0, iterbest = 0, f^{best} = 0$

Repeat

1. $iter = iter + 1$
2. Execute *Constructive*(α, S)
3. Execute *TabuSearch*(*tenure*, *maxiter*, S, S^*)
4. **if** ($f(S^*) > f^{best}$) **then** $S^{best} = S^*, f^{best} = f(S^*)$ and $iterbest = iter$

until ($iter - iterbest \geq maxiterMS$)

Pseudocode 1. *MultiStart* Method

Como se puede observar, *iter* es una variable auxiliar que indica el número de la iteración actual; *iterbest* indica la iteración donde se ha encontrado la mejor solución; S^{best} y f^{best} son respectivamente la mejor solución y su capacidad clasificatoria; finalmente *maxiterMS* es un parámetro previamente definido que indica cuantas iteraciones deben transcurrir sin mejorar f^{best} para que el método finalice.

A continuación, se explican los procedimientos *Constructive* y *TabuSearch*. El procedimiento *Constructive* comienza con $S = \emptyset$, en cada paso elige un elemento de $v_{j^*} \in V - S$ entre los que mayor capacidad clasificatoria darían lugar si se añadieran a S , i.e. $f(S \cup \{v_{j^*}\})$ y se añade a S . El proceso finaliza cuando $|S| = p$. El pseudocódigo 2 muestra el procedimiento *Constructive*.

Procedure *Constructive*(α ; output: S)

1. Hacer $S = \emptyset$

Repeat

2. $\forall v_j \in V - S$: calcular $g(j) = f(S \cup \{v_j\})$
3. Determinar: $gmin = \min\{g(j) : v_j \in V - S\}$
 $gmax = \max\{g(j) : v_j \in V - S\}$
4. Construir $L = \{j : v_j \in V - S, g(j) \geq \alpha \cdot gmax + (1 - \alpha) \cdot gmin\}$
5. Elegir aleatoriamente $j^* \in L$
6. Hacer $S = S \cup \{v_{j^*}\}$

until $|S| = p$

Pseudocode 2. Constructive procedure

El procedimiento es relativamente sencillo. Inicialmente se hace $S = \emptyset$; en los pasos siguientes para los elementos v_j que no estén en S se calcula la capacidad de clasificatoria de S si se añadiera v_j ($g(j) = f(S \cup \{v_j\})$); a continuación, se forma una lista de candidatos L con los índices con mayor valor $g(j)$ y se elige uno de ellos aleatoriamente (j^*); la correspondiente variable v_{j^*} se añade a S . El parámetro α regula el tamaño de L . Toma valores entre 0 y 1, de forma que si $\alpha = 0$ entonces $L = \{j : v_j \in V - S\}$ y el proceso es totalmente aleatorio; por otro lado, si $\alpha = 1$ entonces L está formado solamente por el índice j correspondiente a $gmax$ y el proceso es determinístico. Es importante elegir un valor adecuado para α que permita obtener soluciones diversas de buena calidad.

La búsqueda tabú (439) es una estrategia metaheurística que en su versión básica consiste en un procedimiento de búsqueda vecinal. En cada paso se analizan todos los movimientos posibles que se pueden realizar desde la solución actual y se ejecuta el mejor. Se consideran movimientos sencillos, de forma que cada movimiento da lugar a una solución relativamente parecida a la solución actual (solución cercana o “vecina”). El procedimiento permite

movimientos a soluciones que no mejoran la solución actual. Por otra parte, para evitar que el algoritmo cicle algunos movimientos se declaran “tabú” e inicialmente no se consideran.

En nuestro caso los movimientos consisten en intercambiar un elemento $v_j \in S$ con un elemento $v_{j'} \in V - S$. Para evitar ciclos se declara “tabú” la salida de S (durante una serie de iteraciones) de los elementos que acaban de entrar en S . De igual forma se declara “tabú” la entrada en S de los elementos que acaban de salir. Para chequear el estatus tabú de la entrada o salida de un elemento $v_j \in V$ se definen

$VectorIn(j)$: Número de la iteración en la que el elemento v_j ha entrado en S

$VectorOut(j)$: Número de la iteración en la que el elemento v_j ha salido de S .

De esta forma el intercambio de un elemento $v_j \in S$ con un elemento $v_{j'} \in V - S$ es tabú si se verifica una de estas dos condiciones

$$iter \leq VectorIn(j) + tenure \quad (1)$$

o bien

$$iter \leq VectorOut(j') + tenure \quad (2)$$

El parámetro $tenure$ indica el número de iteraciones en la que una salida o una entrada es tabú. La variable auxiliar $iter$ es el contador del número de iteraciones. Por otra parte, el estatus tabú de un intercambio puede ser ignorado (y por tanto el intercambio es considerado) si dicho intercambio da lugar a una solución con mayor capacidad clasificatoria que las anteriores soluciones visitadas (es lo que se denomina “criterio de aspiración”). El pseudocódigo 3 muestra el procedimiento *TabuSearch*.

Procedure *TabuSearch*($tenure, maxiterTS, S$; output: S^*)

1. Hacer: $S^* = S, f^* = f(S), iter = 0, iterbest = 0$

2. Hacer: $VectorIn(j) = -tenure$, $VectorOut(j) = -tenure$, $\forall j = 1, \dots, m$

Repeat

3. Hacer $iter = iter + 1$

4. Hacer $f^b = -\infty$

$\forall v_j \in S$ and $v_{j'} \in V - S$:

begin

5. Determinar el estatus tabú del intercambio (condiciones (1) y (2))

6. Determinar si el intercambio cumple el “criterio de aspiración”, i.e., chequear si

$$f(S \cup \{v_{j'}\} - \{v_j\}) > f^*$$

7. Si el intercambio o bien no es tabu, o bien cumple criterio de aspiración y, además

$$f(S \cup \{v_{j'}\} - \{v_j\}) > f^b \text{ entonces hacer:}$$

$$f^b = f(S \cup \{v_{j'}\} - \{v_j\}), j^b = j \text{ and } j'^b = j'$$

end

8. Hacer $S = S \cup \{v_{j'^b}\} - \{v_{j^b}\}$

9. Hacer $VectorIn(j'^b) = iter$ y $Vectorout(j^b) = iter$

10. Si $f(S) > f^*$ entonces: $S^* = S$, $f^* = f(S)$ and $iterbest = iter$

Until $iter > iterbest + maxiterTS$

Pseudocode 3. *TabuSerach* procedure

Como se puede ver en el pseudocódigo 3, en cada iteración se consideran todos los intercambios que o bien no son tabú, o bien cumplen el criterio de aspiración. El mejor intercambio se almacena en las variables auxiliares j^b y j'^b . Una vez determinado el mejor intercambio, este se ejecuta y se actualizan los valores de $VectorIn(j'^b)$ y $VectorOut(j^b)$.

Tras cada iteración se actualiza en su caso S^* y f^* , que son respectivamente la mejor solución

encontrada durante la búsqueda y su capacidad clasificatoria. El procedimiento finaliza cuando transcurren un número prefijado de iteraciones (*maxiterTS*) sin mejorar S^* y f^* . En este procedimiento el parámetro *tenure* juega un papel importante: valores altos dan lugar a que muchos movimientos sean declarados tabú y el proceso sea poco flexible; valores bajos pueden no evitar ciclos. Por tanto, una elección adecuada es crítica.

V. Descripción de los clasificadores usados

El método de selección anterior se puede combinar con diferentes clasificadores. En nuestro caso los clasificadores elegidos son ADL, RL y SVM, todos ellos lineales.

Los clasificadores lineales se basan en funciones lineales y son conceptualmente fáciles de entender. Permiten una interpretación sencilla de los resultados y, además, por lo general, son eficientes. Para su descripción usamos la nomenclatura definida arriba. Un clasificador lineal consiste en una función lineal de los valores de las variables, es decir, una función $f(\mathbf{x})$ de la forma

$$f(\mathbf{x}) = \beta_0 + \beta_1 \cdot x_1 + \beta_2 \cdot x_2 + \beta_3 \cdot x_3 + \dots + \beta_m \cdot x_m.$$

A la función $f(\mathbf{x})$ se le denomina también función discriminante. Para clasificar a un individuo \mathbf{x} se calcula el correspondiente valor $f(\mathbf{x})$ y si este valor es positivo se le clasifica en la clase 1, y si no se le clasifica en la clase 0. Geométricamente esta función define un hiperplano en el espacio de las variables explicativas (un punto si $m = 1$, una recta si $m = 2$, un plano si $m = 3$, etc.) Si los valores de \mathbf{x} quedan por encima del hiperplano se clasifican en la clase 1, y sino en la clase 0. A este hiperplano también se le llama *hiperplano separador*. Por tanto, el clasificador viene definido por el vector de valores

$$\boldsymbol{\beta} = (\beta_0, \beta_1, \beta_2, \beta_3, \dots, \beta_m)'$$

Para calcular este vector β existen diferentes estrategias que dan lugar a diferentes clasificadores. A continuación, se describen los tres que vamos a emplear.

VI. Análisis discriminante

El análisis discriminante usa la idea de la descomposición de la varianza. Geométricamente se basa en buscar un vector (en el espacio de las variables explicativas) de forma que al proyectar los puntos de X se maximice la varianza entre-grupos (la separación entre los puntos medios de cada clase) y se minimice la varianza intra-grupos (la separación entre los elementos de cada clase).

Las siguientes figuras muestran esta idea. Se muestran el conjunto de entrenamiento dividido en las dos clases G_1 en azul y G_0 en marrón. Se consideran $m = 2$. Las proyecciones en el eje horizontal (x_1) y en el vertical (x_2) crean zonas de confusión (marcadas en verde) grandes. Es decir, las variables originales por si solas no sirven para discriminar bien. Sin embargo, eligiendo un nuevo eje de forma adecuada las proyecciones tienen una zona de confusión muy pequeña. La perpendicular a dicho eje en el punto adecuado es la recta de separación que estamos buscando

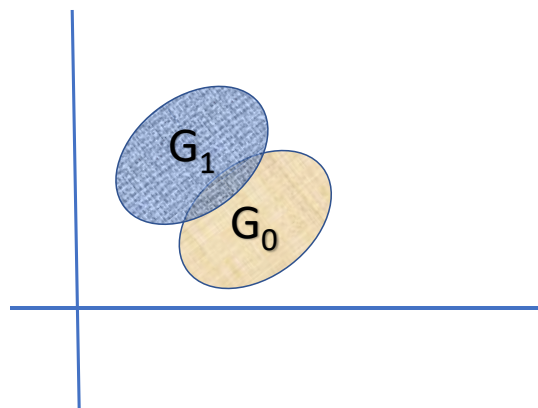


Figura 15. Los individuos del conjunto del entrenamiento divididos en G_1 y G_0

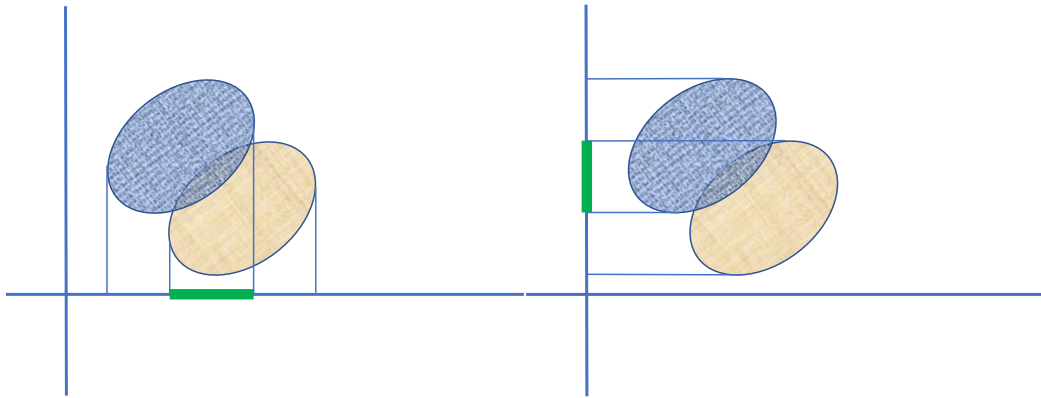


Figura 16. las proyecciones en los ejes originales muestran zonas amplias de confusión (mezcla de puntos de G_1 y G_0)

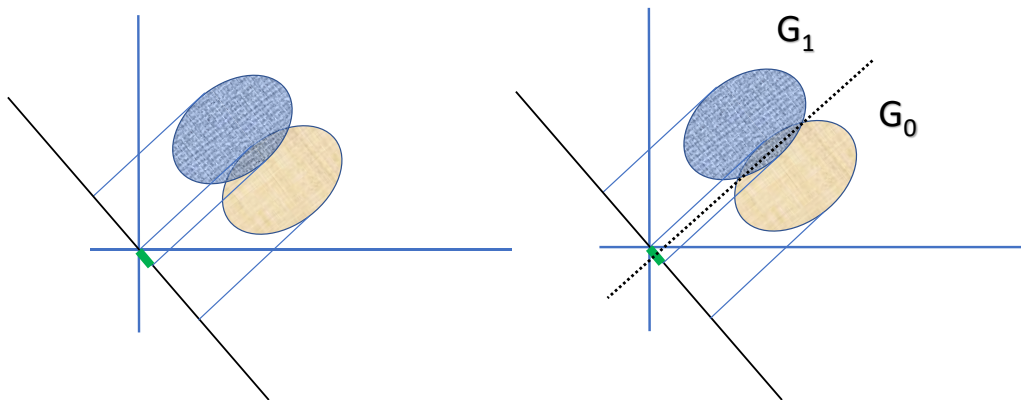


Figura 17. Nuevo eje con zona de confusión pequeña (izquierda). La perpendicular que se muestra en la derecha es el hiperplano separador que estamos buscando.

Sin entrar en un análisis formal y detallado, con este planteamiento se obtiene la siguiente función discriminante:

$$f(x) = (\mu_1 - \mu_0)' \cdot S^{-1} \cdot x - \left(\frac{1}{2}\right) \cdot (\mu_1 - \mu_0)' \cdot S^{-1} \cdot (\mu_1 + \mu_0)$$

donde

- μ_k es el vector columna formado por las medias de las variables en los elementos de la clase G_k del conjunto de entrenamiento X, para $k = 0,1$. A este vector se le llama *centroide* de la clase k ;
- S es la matriz de varianzas-covarianzas de las variables en los elementos de X.

Por tanto

$$(\beta_1, \beta_2, \beta_3, \dots, \beta_m) = (\mu_1 - \mu_0)' \cdot S^{-1}$$

y

$$\beta_0 = -\left(\frac{1}{2}\right) \cdot (\mu_1 - \mu_0)' \cdot S^{-1} \cdot (\mu_1 + \mu_0).$$

VII. Regresión logística

La idea de estos modelos es estimar la probabilidad p de pertenencia de un individuo x al grupo G_1 . Una vez que se estima esta probabilidad p la regla de clasificación es la siguiente:

Si $p > \frac{1}{2}$ entonces a x se le clasifica en la clase G_1 ; en caso contrario se le clasifica en la clase G_0 .

Por otra parte, esta probabilidad se calcula como

$$p = \frac{1}{1 + e^{-z}}$$

donde z es una función lineal de los valores de las variables para x , es decir

$$z = \beta_0 + \beta_1 \cdot x_1 + \beta_2 \cdot x_2 + \beta_3 \cdot x_3 + \dots + \beta_m \cdot x_m.$$

Obsérvese que

$$p > \frac{1}{2} \Leftrightarrow z > 0.$$

En efecto:

$$p > \frac{1}{2} \Leftrightarrow e^{-z} < 1 \Leftrightarrow z > 0.$$

Por tanto, si $z = \beta_0 + \beta_1 \cdot x_1 + \beta_2 \cdot x_2 + \beta_3 \cdot x_3 + \dots + \beta_m \cdot x_m > 0$ entonces a x se le clasifica en la clase 1. Es decir, el clasificador creado de esta forma es lineal.

La función

$$g(z) = \frac{1}{1 + e^{-z}}$$

se le denomina función logística. Es una función creciente y sirve para transformar valores de z en toda la recta real, al intervalo $(0,1)$, según indica la figura 18.

Por tanto, esos valores transformados ya pueden ser considerados como estimaciones de probabilidad. Obsérvese que valores muy bajos de z dan lugar a probabilidades bajas

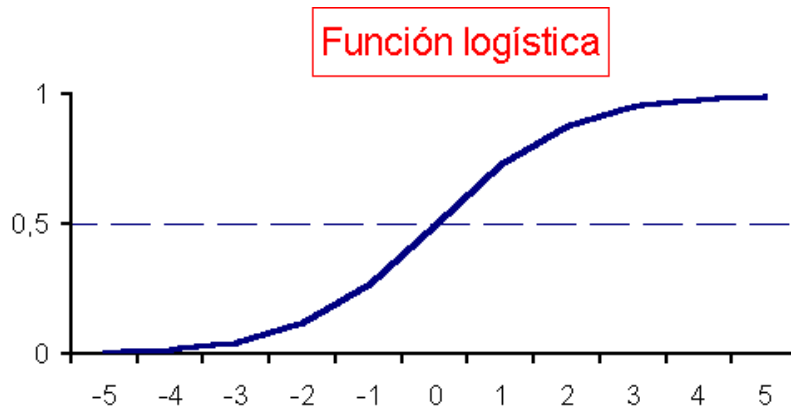


Figura 18. Función logística

Para cada individuo \mathbf{x}_i del conjunto de entrenamiento esta probabilidad de pertenencia al grupo G_1 la denotamos por p_i . Por tanto

$$p_i = \frac{1}{1 + e^{-z_i}}$$

donde

$$z_i = \beta_0 + \beta_1 \cdot x_{i1} + \beta_2 \cdot x_{i2} + \beta_3 \cdot x_{i3} + \dots + \beta_m \cdot x_{im}.$$

Para estimar el vector de coeficientes $\boldsymbol{\beta}$ se hace uso de lo que se denomina el criterio de máxima verosimilitud. Esto equivale en este caso a determinar cuáles son los valores del

vector $\boldsymbol{\beta}$ que hacen que se maximice la probabilidad conjunta de que los individuos de entrenamiento X pertenezcan cada uno a su clase. Si se supone independencia entre los individuos, esta probabilidad conjunta es el producto de las probabilidades individuales. Por otra parte, obsérvese que la probabilidad de que cada individuo x_i pertenezca a su clase se puede expresar como

$$p_i^{y_i} \cdot (1 - p_i)^{1-y_i}.$$

En efecto, si $x_i \in G_1$, entonces $y_i = 1$ y la probabilidad de pertenecer a su grupo es la probabilidad de pertenecer a G_1 , es decir p_i , luego en este caso

$$p_i^{y_i} \cdot (1 - p_i)^{1-y_i} = p_i;$$

de la misma forma si $x_i \in G_0$, entonces $y_i = 0$ y la probabilidad de pertenecer a su grupo es a probabilidad de pertenecer a G_0 , es decir $1 - p_i$, luego en este caso

$$p_i^{y_i} \cdot (1 - p_i)^{1-y_i} = 1 - p_i.$$

Por tanto, la probabilidad conjunta de que los individuos de X pertenezcan cada uno a su clase se puede expresar como

$$L = \prod_{i=1}^n p_i^{y_i} \cdot (1 - p_i)^{1-y_i}$$

A L se le denomina *función de verosimilitud*. Obsérvese que L es función de los valores de p_i , que a su vez son función de los correspondientes z_i y estos a su vez son función del vector $\boldsymbol{\beta}$. Por tanto, L es función de $\boldsymbol{\beta}$. Se trata de buscar los valores de $\boldsymbol{\beta}$ que maximizan L . No obstante, los valores que maximizan L maximizan también su logaritmo neperiano, que es como sigue

$$\ln(L) = \sum_{x_i \in G_1} \ln p_i + \sum_{x_i \in G_0} \ln(1 - p_i).$$

Habitualmente se usa $\ln(L)$ para determinar los valores de β ya que tiene una expresión y unas derivadas más sencillas. Se suelen usar variantes de métodos como el de Newton, gradiente conjugado o descenso coordinado. Aún con todo, su cálculo es mucho más complicado y requiere más recursos computacionales que en el análisis discriminante.

VIII. Máquina de vector soporte

La Máquina de vector soporte es también conocida por su acrónimo en inglés, SVM (Support Vector Machine). Para entender mejor la idea en la que se basan, vamos a suponer, en primer lugar, el caso en el que las clases G_1 y G_0 son separables linealmente en el conjunto de entrenamiento, como en el caso que se muestra la figura 5. La idea no es sólo encontrar un hiperplano (recta en este caso) que separe las dos clases sino encontrar el hiperplano que mejor los separe.

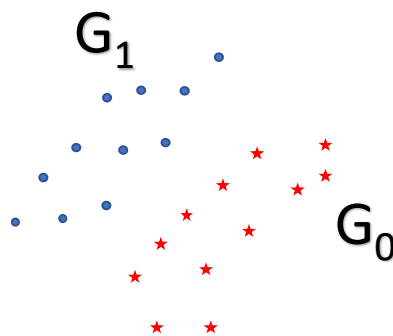


Figura 19. Dos clases que se pueden separar linealmente

Así en la figura 6 se muestra una recta que no consigue separar las dos clases. En la figura 7 se muestra una recta que sí consigue separar ambas clases. Pero se observan casos de ambas clases tocando esa “frontera”. Esto da la sensación de “poca robustez”, es decir, es posible que puedan existir varios casos (ya fuera del conjunto de entrenamiento) con valores próximos a estos puntos “fronterizos”, que traspasen esa recta, y por tanto sean clasificados en la clase incorrecta.

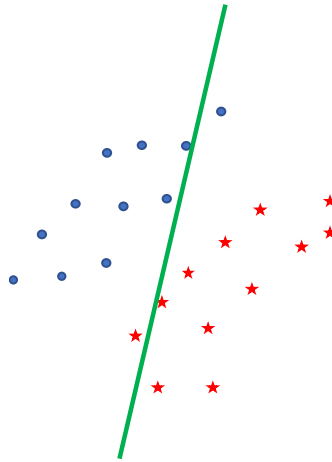


Figura 20. Hiperplano que no separa las dos clases

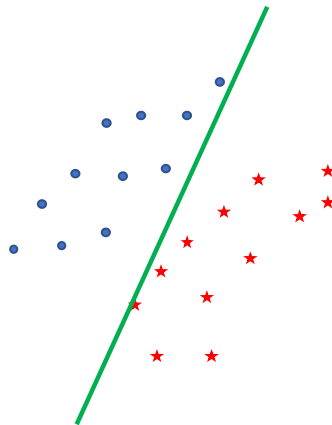


Figura 21. Hiperplano que si separa las dos clases

Por tanto, lo ideal es encontrar una recta que no sólo separe ambas clases, sino que los elementos de cada clase queden lo más alejados posible de esta recta. La figura 8 muestra una recta que cumple este requisito. Además, se puede observar (también en la figura 9) como existe una franja “vacía” alrededor de esta recta.

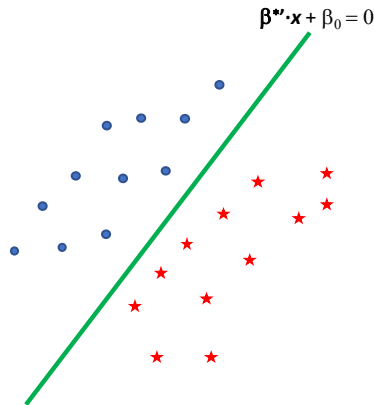


Figura 22. Hiperplano que separa las dos clases de forma más “robusta”

El problema por tanto trata de encontrar un hiperplano separador que maximice el ancho de esta franja como se muestra en la figura 9. Para formalizar este problema denotamos por $\beta^* = (\beta_1, \beta_2, \beta_3 \dots, \beta_m)'$. El hiperplano que buscamos es de la forma $\beta^{*'} \cdot x + \beta_0 = 0$. La franja vacía viene delimitada por los hiperplanos paralelos $\beta^{*'} \cdot x + \beta_0 = +1$ y $\beta^{*'} \cdot x + \beta_0 = -1$. La distancia γ entre estos dos hiperplanos es el ancho de la franja vacía. Se puede demostrar que $\gamma = \frac{2}{\sqrt{\beta^{*'} \cdot \beta^*}}$. Por tanto, maximizar γ equivale a minimizar $\beta^{*'} \cdot \beta^*$. Por otra parte, se exige que los individuos de X no estén en la zona vacía, es decir, que los pertenecientes a la clase G_1 estén por “encima” del hiperplano $\beta^{*'} \cdot x + \beta_0 = +1$, y los pertenecientes a la clase G_0 estén por “debajo” del hiperplano $\beta^{*'} \cdot x + \beta_0 = -1$.

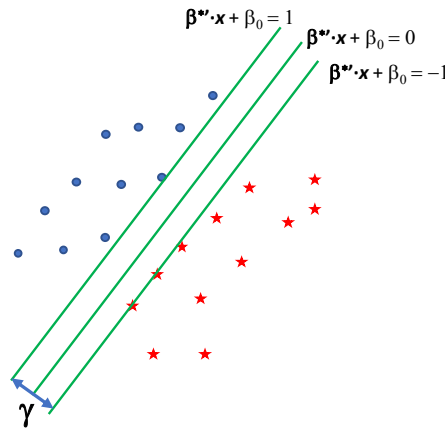


Figura 23. Ilustración gráfica del problema

Por tanto, el problema se puede definir como

$$\min_{\beta^*, \beta_0} \beta^{*'} \cdot \beta^*$$

sujeto a:

$$\beta^{*'} \cdot x + \beta_0 \geq +1, \forall x_i \in G_1$$

$$\beta^{*'} \cdot x + \beta_0 \leq -1, \forall x_i \in G_0$$

Este es la descripción general del fundamento de los modelos SVM cuando las dos clases son separables linealmente. Sin embargo, en la realidad en la mayoría de las aplicaciones esto no es lo habitual. Por tanto, no tiene sentido la búsqueda de un hiperplano que los separe perfectamente. Sin embargo, el planteamiento anterior puede aprovecharse. La idea es modificarlo permitiendo que algunos puntos puedan estar en la zona “vacía”, es decir que algunos puntos de G_1 estén por “debajo” de $\beta^{*'} \cdot x + \beta_0 = +1$, y que algunos puntos de G_0 estén por “encima” de $\beta^{*'} \cdot x + \beta_0 = -1$. Para ello se introducen unas variables $\varepsilon_i, \forall i = 1, \dots, n$. Estas variables ε_i miden este “error” o desviación, en el caso de producirse, para cada punto x_i . La figura 10 ilustra esta situación. Obsérvese como hay 3 puntos que sobrepasan el límite de su hiperplano: 2 de la clase G_1 y 1 de la clase G_0 . Por tanto, las desviaciones correspondientes a estos 3 puntos verifican $\varepsilon_i > 0$. También se observa como

el punto de la clase G_0 sobrepasa incluso el hiperplano $\beta^{*'} \cdot x + \beta_0 = 0$, por tanto, en este caso la correspondiente desviación verifica que $\varepsilon_i > 1$.

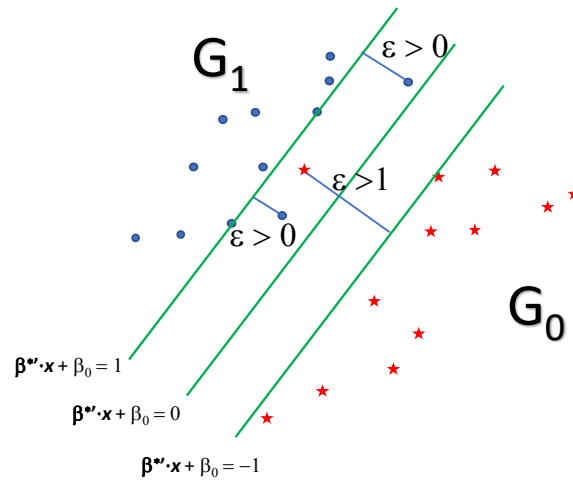


Figura 24. Dos clases que no se pueden separar linealmente

Las variables ε_i se introducen tanto en la función objetivo como en las restricciones. El modelo modificado se plantea como sigue

$$\min_{\beta^*, \beta_0, \varepsilon_i} \beta^{*'} \cdot \beta^* + C \cdot \sum_{i=1}^n \varepsilon_i$$

sujeto a:

$$\beta^{*'} \cdot x + \beta_0 + \varepsilon_i \geq +1, \forall x_i \in G_1$$

$$\beta^{*'} \cdot x + \beta_0 - \varepsilon_i \leq -1, \forall x_i \in G_0$$

$$\varepsilon_i \geq 0, i = 1, \dots, n$$

En la función objetivo C es un parámetro que sirve para penalizar las desviaciones. La elección del valor de C es crítico ya que valores altos evitan errores en el conjunto de entrenamiento pueden dar lugar a sobreajuste. Mientras que valores bajos, permiten muchos errores en el conjunto de entrenamiento, con lo cual el ajuste es malo. Un valor adecuado puede dar lugar a modelos robustos que eviten ambos problemas.

PRUEBAS COMPUTACIONALES

En esta sección se van a realizar diferentes pruebas computacionales. Un primer grupo de pruebas van a servir para realizar el ajuste de parámetros del método propuesto. Un segundo grupo de pruebas van a servir para comparar nuestro método con otros populares métodos de selección de variables en la literatura reciente sobre este tema. Vamos a intentar demostrar que nuestro método, en general, no solo compite, sino que obtiene mejores resultados considerando diferentes parámetros. Para ello se van a usar diferentes bases de datos, todas ellas sobre diagnóstico en medicina

Para realizar estas pruebas se usan 6 bases de datos: 5 de ellas pertenecen al conocido repositorio de la UCI (University of California in Irvine), la sexta es la base datos que se presenta en este trabajo sobre diagnosis de Alzheimer. Al tratarse de bases de datos sobre diagnosis, las clases son 2 (tiene la enfermedad / no la tiene). A continuación, se muestra la descripción de las diferentes bases de datos y sus características.

Tabla 21. Bases de datos usadas

| Nombre | Casos | Variables | Observaciones |
|---|-------|-----------|--|
| Parkinson | 195 | 22 | |
| Quality Assessment of Digital Colposcopies (QADC) | 287 | 67 | |
| SPECTF Heart | 267 | 44 | |
| WISCONSIN BREAST CANCER -Diagnostic (WDBC) | 569 | 30 | |
| WISCONSIN BREAST CANCER -Prognostic (WPBC) | 198 | 31 | Se elimina una variable con 3 casos perdidos |
| Olalla Alzheimer's disease | 1548 | 28 | Vazquez OS. Database Alzheimer 2023. osf.io/b6259 . |

Nota: La información acerca de la base de datos usada se puede consultar en la siguiente página. https://osf.io/b6259/?view_only=8903fdf906b2487b8d69e36371c70919

El ajuste de parámetros se lleva a cabo usando un conjunto de 18 instancias. Estas instancias son el resultado de combinar cada una de las seis bases de datos con los siguientes valores de p (tamaño del subconjunto de variables), $p = 3$ (subconjuntos muy pequeños), 7 (subconjuntos pequeños) y 12 (subconjuntos medianos).

Como ya se ha comentado, nuestro método *MultiStartTabu* (MST) consta de 4 parámetros: α , *tenure*, *maxiterTS* and *maxiterMS*. El parámetro α indica el grado de aleatoriedad del procedimiento constructivo, y el parámetro *tenure* regula el número de movimientos "tabú" en el procedimiento *TabuSearch*. Los parámetros *maxiter* y *maxiterMS* se utilizan como criterios de parada en el procedimiento tabú y en el procedimiento general de EM. Para ajustar los otros dos parámetros (α and *tenure*), se fijan los valores $maxiter = 10 \cdot n$ y $maxiterMS = 20$. Para α , se considera el valor $\alpha = 0, 0.1, 0.5, 0.9, 0.99$ y 1 . El valor de *tenure* considerado fue $tenure = n/2, n, 2 \cdot n$ y $5 \cdot n$. De todas las combinaciones, la que dio mejores resultados fue $\alpha = 0.99$ y $tenure = n/2$. Con estos valores se analizó *maxiter* and *maxiterMS*. Se determinó que no había mejoras significativas con valores superiores a $maxiterTS = 10 \cdot n$ y $maxiterMS = 10$. Por lo tanto, estos valores se utilizaron en el resto de las pruebas.

I. Comparación con otros métodos

Algoritmos frente a los que nos comparamos

En esta subsección vamos a comparar con los siguientes métodos para wrapper feature selection:

- Algoritmos Genéticos (410,411). Los algoritmos genéticos (AG) se han aplicado con éxito a la selección de características. La selección de grupos de características se puede realizar de manera eficiente mediante el uso de AG, ya que exploran el espacio de soluciones y explotan las regiones más prometedoras sin realizar una búsqueda exhaustiva(402).
- Grey Wolf Optimizer (GWO) (412,440). GWO: Se utiliza en el dominio de selección de características para encontrar un subconjunto de características que maximice la precisión de la clasificación mientras minimiza el número de características

- seleccionadas (412). Grey Wolf Optimizer (GWO) es un algoritmo reciente que se ha empleado con éxito para resolver problemas de selección de características (419).
- Particle Swarm Optimization (PSO): Concretamente se usa el método propuesto por Xue et al. (409). Consiste en una técnica de computación evolutiva eficiente. Se utiliza esta técnica con el fin de desarrollar nuevos enfoques de selección de características y de esta manera, maximizar el rendimiento de la clasificación, minimizar el número de características y reducir el tiempo computacional(409).
 - Whale Optimization Algorithm (418,419). Whale optimization: WOA es un algoritmo evolutivo que imita el comportamiento de búsqueda de alimento de las ballenas jorobadas en la naturaleza (419). WOA pertenece a la familia de algoritmos estocásticos basados en población propuestos por Mirjalili y Lewis en 2016 (441).
 - Flower Pollination Algorithm (FPA): Concretamente se usa el método propuesto por Sayed et al. (414) El algoritmo de polinización de flores (FPA) fue desarrollado por Xin-She Yang en 2012 (442). Inspirado en el proceso de polinización de las flores de las plantas con flores(413). Se ha extendido a problemas de optimización multiobjetivo y se ha encontrado que es muy eficiente(414).

Estos métodos, a diferencia del nuestro, no resuelven el problema de selección de variables para un valor de p fijo o predeterminado: lo que buscan es un subconjunto de variables con equilibrio entre el porcentaje de aciertos y su tamaño. Para ello usan como función objetivo la siguiente

$$g(S) = \beta \cdot f(S) + (1 - \beta) \cdot \left(1 - \frac{|S|}{m}\right)$$

la primera parte de la función objetivo hace referencia a la ratio de aciertos, y la segunda al tamaño del subconjunto.

Por tanto, para una mejor comparativa con estos métodos adaptamos nuestro método: resolvemos el mismo para diferentes valores de p , desde 1 hasta un valor máximo $maxp$. Concretamente se toma $maxp = 0.6 \cdot m$, (redondeando al entero más próximo). Una vez obtenidas las $maxp$ soluciones (es decir subconjuntos S , uno por tamaño) elegimos la mejor según la función g .

Diseño de las pruebas

Para poder, no solo comparar los resultados obtenidos por los diferentes métodos, si no realizar tests y poder obtener conclusiones estadísticas, vamos a seguir un diseño de validación cruzada de k pliegues (en inglés k -folder cross-validation). Este diseño consiste en partir cada base de datos en k partes o pliegues, (nosotros usaremos $k = 10$). Se elige un pliegue que denominamos conjunto de test T_1 y con los 9 pliegues restantes unidos se obtiene el que denominamos conjunto de entrenamiento E_1 . A continuación, se elige otro pliegue diferente a T_1 que da lugar al conjunto de test T_2 y con los 9 pliegues restantes unidos se obtiene el conjunto de entrenamiento E_2 , y así sucesivamente. De esta forma con los 10 pliegues iniciales, se obtienen 10 conjuntos de entrenamiento ($E_1, E_2, E_3 \dots$) y 10 conjuntos test ($T_1, T_2, T_3 \dots$). La figura 25 ayuda a explicar este proceso.

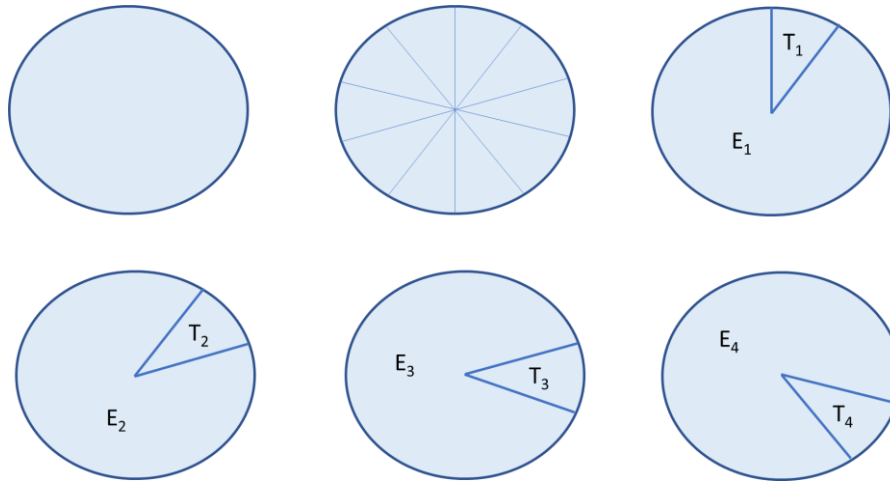


Figura 25. Validación cruzada: obtención de los 10 pares entrenamiento validación

Obsérvese que de esta forma cada conjunto de entrenamiento y su correspondiente conjunto test están formados por casos diferentes (los casos de E_1 y T_1 son diferentes, lo mismo que E_2 y T_2 , etc). De esta forma se puede analizar si los modelos obtenidos por los conjuntos de entrenamiento mantienen la calidad y la precisión cuando tienen que clasificar casos diferentes a aquellos con los que se han creado.

Una vez obtenido estos 10 pares de conjuntos entrenamiento-test ($E_1-T_1, E_2-T_2, E_3-T_3, \dots$) para cada base datos, y para cada clasificador (ADL, RL, SVM) se realizan las pruebas de la siguiente forma:

Para cada método se obtiene un modelo con cada uno de los conjuntos de entrenamiento. Cada uno de estos modelos viene definido por las variables seleccionadas y los correspondientes coeficientes. Con el modelo obtenido, calcular diferentes métricas de desempeño o de calidad de dicho clasificador tanto en el conjunto de entrenamiento como en el correspondiente conjunto test.

- Una vez que se han obtenido los 10 modelos por el método (uno por conjunto de entrenamiento), obtener los estadísticos de las anteriores métricas. En este caso media y varianza.

- Cuando se han ejecutado todos los métodos (cada uno de ellos con los 10 conjuntos de entrenamiento) se pueden realizar diferentes tests (t-tests) para comparar las diferentes métricas entre diferentes pares de métodos.

La figura 26 muestra este proceso.

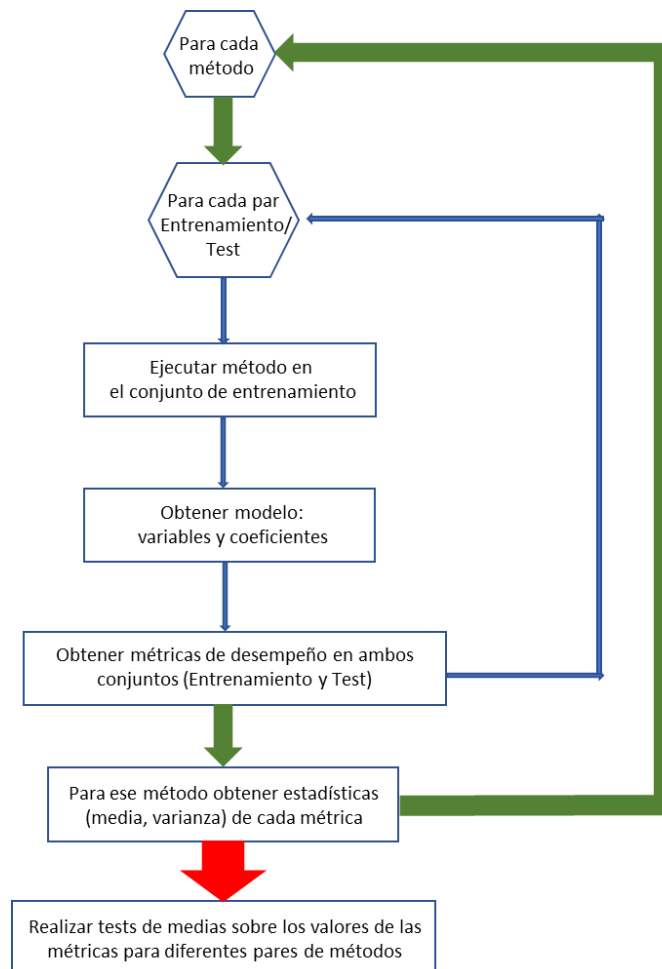


Figura 26. Proceso de las pruebas con el diseño de validación cruzada para cada base de datos y para cada clasificador

Para explicar las métricas que se van a usar, previamente se definen los siguientes parámetros. Sea un modelo de clasificación binario (como en el caso de diagnóstico) aplicado a un conjunto de datos se define

- TP (“*true positives*”): número de casos reales positivos bien clasificados
- TN (“*true negatives*”): número de casos reales negativos bien clasificados

- FP (“*false positives*”): número de casos reales positivos mal clasificados
- FN (“*false negatives*”): número de casos reales negativos mal clasificados

Con estas definiciones las métricas que se van a usar son las siguientes

$$\text{- ACC (Accuracy)} = \frac{TP+TN}{TP+FP+TN+FN}$$

$$\text{- AUC (Area Under Curve)} = \left(1 + \frac{TP}{TP+FN} - \frac{FP}{TN+FP}\right) / 2$$

$$\text{- Gmean (Geometric Mean)} = \sqrt{\frac{TP}{TP+FN} \cdot \frac{TN}{TN+FP}}$$

$$\text{- F1 (F1 Score)} = \frac{2 \cdot TP}{2 \cdot TP + FP + FN}$$

Obsérvese que la métrica ACC cuando el conjunto de datos es el conjunto de entrenamiento X , coincide con la función de fitness f definida anteriormente. Por otra parte, las otras 3 métricas también son muy usadas y son muy útiles cuando los conjuntos de reales positivos y reales negativos están poco “balanceados” o equilibrados.

RESULTADOS

A continuación, se van a mostrar los resultados obtenidos por los diferentes métodos para cada clasificador y cada base de datos. La tabla 22 muestra las estadísticas referidas a la métrica ACC, tanto en los conjuntos de entrenamiento y test usando como clasificador ADL. Los resultados de la métrica se expresan en % (es decir, se han multiplicado x 100). Esta tabla se organiza de la siguiente forma:

- Tiene dos partes, la parte de arriba se refiere a los resultados en los conjuntos de entrenamiento y la de abajo a los resultados en los conjuntos test.
- Cada fila muestra los resultados de los diferentes métodos en cada base de datos. Concretamente se muestra la media y la desviación típica muestral de ACC en los 10

conjuntos (entrenamiento o test según el caso). Así por ejemplo nuestro método MST obtiene en los conjuntos de entrenamiento un ACC medio de 83.82 (%) con una desviación típica de 1.75. Los mejores resultados medios para cada base de datos están marcados en **negrita**

- En base a estas medias y desviaciones de cada método, se realizan tests de medias para cada base de datos. Concretamente se realizan tests de contraste de medias bilaterales (tests de la t de Student es decir t-tests) entre nuestro método MST y cada uno de los otros 5 métodos, con una significación del 5%. Si la diferencia es significativa a favor de nuestro método se muestra un “+” tras los resultados del método con el que se compara; si la diferencia no es significativa se muestra un “=”; si la diferencia es significativa a favor del otro método se muestra un “-”. Así por ejemplo el t-test que compara los resultados de nuestro método MST (media 83.82 y desviación típica 1.75), con el método GA (media 81.02 y desviación típica 2.57) en la base de datos *Parkinson* se concluye que esta diferencia es significativa a favor de nuestro método.

- El resumen de los resultados de los t-tests se muestra en la fila final de cada una de las dos partes (fila “*Resumen tests (W/T/L)*”). En dicha fila se indica el número de “Victorias” (Wins, W), “Empates” (Ties, T) y “Derrotas” (“Loses”, L) de nuestro método con cada uno de los 5 métodos con los que se ha comparado en las 6 bases de datos. (Las “Victorias” son las diferencias significativas a favor de nuestro método y de forma análoga se definen los “Empates” y las “Derrotas”). Así, por ejemplo, en el conjunto de entrenamiento nuestro método ha obtenido 6 “Victorias”, 0 “Empates” y 0 “Derrotas” frente a GA. Es decir que en las 6 bases de datos consideradas las diferencias a favor de nuestro método frente a GA son significativas.

Tabla 22. Resultados de ACC (%) usando como clasificador Análisis Discriminante

| | <i>MST</i> | <i>GA</i> | <i>GWO</i> | <i>PSO</i> | <i>WOA</i> | <i>FPA</i> |
|------------------------------|-------------------|----------------|----------------|-----------------------|----------------|----------------|
| Training Set | | | | | | |
| <i>Parkinson</i> | 83.82±1.75 | 81.02±2.57 (+) | 82.39±2.05 (+) | 80.34±1.87 (+) | 79.37±2.18 (+) | 79.77±2.11 (+) |
| <i>QADC</i> | 80.18±1.52 | 79.37±1.44 (+) | 79.25±1.53 (+) | 78.09±1.50 (+) | 77.86±1.77 (+) | 78.05±1.70 (+) |
| <i>SPECTF Heart</i> | 79.69±1.41 | 77.74±1.03 (+) | 78.90±1.39 (+) | 77.49±1.46 (+) | 76.16±1.99 (+) | 76.61±2.12 (+) |
| <i>WDBC</i> | 96.55±0.23 | 96.02±0.46 (+) | 96.21±0.21 (+) | 95.68±0.37 (+) | 95.37±0.91 (+) | 96.02±0.46 (+) |
| <i>WPBC</i> | 79.07±2.74 | 77.05±2.83 (+) | 77.83±3.07 (=) | 77.55±2.44 (+) | 73.68±3.61 (+) | 73.51±3.81 (+) |
| <i>Olalla Alzheimer</i> | 79.88±3.40 | 76.66±2.03 (+) | 77.88±3.30 (+) | 75.96±2.46 (+) | 75.12±0.86 (+) | 75.57±0.65 (+) |
| <i>Resumen tests (W/T/L)</i> | | 6/0/0 | 5/1/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| Test Set | | | | | | |
| <i>Parkinson</i> | 81.55±2.50 | 78.45±2.24 (+) | 80.50±2.23 (=) | 77.47±2.15 (+) | 76.89±2.90 (+) | 77.95±2.41 (+) |
| <i>QADC</i> | 78.76±2.44 | 77.34±2.55 (+) | 78.07±2.69 (=) | 75.96±1.90 (+) | 76.64±2.49 (+) | 76.65±2.36 (+) |
| <i>SPECTF Heart</i> | 79.02±2.01 | 77.15±1.15 (+) | 78.63±2.00 (=) | 77.14±1.47 (+) | 76.03±2.61 (+) | 76.41±2.41 (+) |
| <i>WDBC</i> | 95.96±0.84 | 95.26±0.84 (+) | 95.43±0.90 (+) | 95.08±1.10 (+) | 94.56±1.28 (+) | 95.26±0.84 (+) |
| <i>WPBC</i> | 77.76±3.59 | 76.26±3.36 (=) | 77.26±4.30 (=) | 77.76±2.71 (=) | 72.74±4.80 (+) | 72.24±4.80 (+) |
| <i>Olalla Alzheimer</i> | 79.01±3.40 | 75.45±2.09 (+) | 76.87±3.27 (+) | 75.00±2.38 (+) | 74.10±0.90 (+) | 74.35±0.57 (+) |
| <i>Resumen tests (W/T/L)</i> | | 5/1/0 | 2/4/0 | 5/1/0 | 6/0/0 | 6/0/0 |

Nota: MST: multistartTabu; GA: Genetic Algorithm; GWO: Grey Wolf optimizier; PSO: particle swarm optimization; WOA: whale optimization algorithm; FPA: flower pollination algorithm

De la tabla 22 se puede observar que:

- En todas las bases de datos y tipos de conjunto (entrenamiento o test) nuestro método obtiene mejores resultados medios. Además, en la mayoría de los casos estas diferencias son significativas.
- Si observamos a los resultados en los conjuntos de entrenamiento solamente en un caso (el test frente a *GW*O en la base de datos WPCB) de las 30 combinaciones posible no hay una diferencia significativa en las medias.
- En lo referido a los conjuntos test sigue habiendo una mayoría de diferencias significativas, aunque hay un mayor número de casos donde estas diferencias no lo son (6 de 30). En concreto en los t-tests frente a *GW*O, nuestro método “empata” en 4 bases de datos y “gana” en 2. En general, parece que el método *GW*O es el que obtiene resultados algo más similares a nuestro *MST*.

De igual forma, se han realizado los mismos cálculos (media, desviación típica y t-tests) para las otras métricas consideradas (*ACU*, *Gmean* y *F1*), así como para la función objetivo *g* (que como se ha comentado es la que guía el proceso de selección de los diferentes métodos). Para abreviar los resultados, la tabla 23 muestra el resumen de los t-tests correspondientes a cada una de las métricas (tanto en los conjuntos de entrenamiento como en los conjuntos tests), así como para la función objetivo *g*. La primera fila muestra los resultados para la función objetivo *g* el resto de filas muestran los resultados para cada una de las métricas. Concretamente se muestran el número de “Victorias”, “Empates” y “Derrotas” de nuestro método frente a cada uno de los otros métodos, para dicha métrica o función (siguiendo el mismo formato de terna *W/T/L*). Tras las filas de las métricas en el conjunto de entrenamiento se añade una fila (“*Total (W/T/L)*”) con el número total de “Victorias”, “Empates” y “Derrotas” de nuestro método frente a cada uno de los otros métodos en el total de las 4 métricas. La misma fila se añade tras las métricas en los conjuntos test.

Tabla 23. Resumen de los t-test para las diferentes métricas con Análisis Discriminante

| | <i>GA</i> | <i>GWO</i> | <i>PSO</i> | <i>WOA</i> | <i>FPA</i> |
|---------------------------------|-----------|------------|------------|------------|------------|
| Función objetivo <i>g</i> | 6/0/0 | 6/0/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| Metrics in Training Sets | | | | | |
| <i>ACC</i> | 6/0/0 | 5/1/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| <i>AUC</i> | 5/1/0 | 4/2/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| <i>Gmean</i> | 5/1/0 | 5/1/0 | 6/0/0 | 5/1/0 | 6/0/0 |
| <i>F1</i> | 6/0/0 | 6/0/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| <i>Total (W/T/L)</i> | 22/2/0 | 20/4/0 | 24/0/0 | 23/1/0 | 24/0/0 |
| Metrics in Test Sets | | | | | |
| <i>ACC</i> | 5/1/0 | 2/4/0 | 5/1/0 | 6/0/0 | 6/0/0 |
| <i>AUC</i> | 4/2/0 | 2/4/0 | 5/1/0 | 5/1/0 | 5/1/0 |
| <i>Gmean</i> | 4/2/0 | 2/4/0 | 5/1/0 | 5/1/0 | 5/1/0 |
| <i>F1</i> | 5/1/0 | 2/4/0 | 5/1/0 | 6/0/0 | 6/0/0 |
| <i>Total (W/T/L)</i> | 18/6/0 | 8/16/0 | 20/4/0 | 22/2/0 | 22/2/0 |

Nota: MST: multistartTabu; GA: Genetic Algorithm; GWO: Grey Wolf optimizier; PSO: particle swarm optimization; WOA: whale optimization algorithm; FPA: flower pollination algorithm. ACC: accuracy; AUC: area under curve; Gmean: geometric mean. W: wis; T: ties; L: loses.

Las conclusiones de la tabla 23 reproducen en gran medida las de la tabla 22:

- En la mayoría de los casos nuestro método obtiene resultados significativamente mejores que el resto de los métodos. No hay ningún caso donde haya diferencias significativas a favor de otro método.

- Esta superioridad es algo menos contundente en los conjuntos test, aunque sigue habiendo un mayor número de casos donde nuestro método es mejor. Solamente frente el método GWO nuestro método obtiene mayor número de empates que de victorias.

A continuación, se muestran las tablas 24 y 25 (con la misma estructura de las tablas 22 y 23) con los resultados usando Regresión Logística como clasificador.

Tabla 24. Resultados de ACC (%) usando como clasificador Regresión Logística

| | <i>MST</i> | <i>GA</i> | <i>GWO</i> | <i>PSO</i> | <i>WOA</i> | <i>FPA</i> |
|-------------------------|-------------------|----------------|-----------------------|----------------|----------------|----------------|
| Training Set | | | | | | |
| <i>Parkinson</i> | 89.18±2.17 | 87.58±1.33 (+) | 87.52±1.07 (+) | 87.12±1.26 (+) | 87.01±1.65(+) | 87.58±1.33 (+) |
| <i>QADC</i> | 84.75±1.22 | 83.51±0.99 (+) | 84.09±1.38 (+) | 83.16±1.09 (+) | 82.42±0.96 (+) | 83.00±1.17 (+) |
| <i>SPECTF Heart</i> | 89.10±2.16 | 87.64±1.80 (+) | 88.51±2.19 (=) | 87.52±2.05 (+) | 85.31±2.12 (+) | 86.31±2.44 (+) |
| <i>WDBC</i> | 98.57±1.00 | 97.70±1.16 (+) | 97.72±1.31 (+) | 97.19±1.27 (+) | 96.47±1.04 (+) | 96.70±0.93 (+) |
| <i>WPBC</i> | 85.80±0.71 | 84.40±1.33 (+) | 85.02±0.71 (=) | 83.56±2.01 (+) | 82.71±1.42 (+) | 82.94±1.48 (+) |
| <i>Olalla Alzheimer</i> | 82.19±2.14 | 80.53±1.30 (+) | 81.07±1.50 (+) | 80.18±1.31 (+) | 79.36±1.07 (+) | 80.00±1.04 (+) |
| <i>Resumen tests</i> | | | | | | |
| <i>(W/T/L)</i> | | <i>6/0/0</i> | <i>5/1/0</i> | <i>6/0/0</i> | <i>6/0/0</i> | <i>6/0/0</i> |
| Training Set | | | | | | |
| <i>Parkinson</i> | 87.16±3.66 | 85.13±1.58 (+) | 85.13±1.58 (+) | 85.13±1.58 (+) | 85.13±1.58 (+) | 85.13±1.58 (+) |
| <i>QADC</i> | 84.68±2.33 | 83.28±2.17 (+) | 84.34±2.33 (=) | 83.28±2.17 (+) | 81.54±1.56 (+) | 82.93±2.51 (+) |
| <i>SPECTF Heart</i> | 87.29±3.50 | 85.80±2.16 (+) | 87.29±3.03 (=) | 85.80±2.16 (+) | 85.03±1.66 (+) | 84.64±2.14 (+) |
| <i>WDBC</i> | 97.71±1.68 | 96.31±1.55 (+) | 96.31±1.55 (+) | 96.31±1.55 (+) | 95.43±1.23 (+) | 95.96±1.45 (+) |
| <i>WPBC</i> | 86.39±2.25 | 85.39±3.55 (=) | 85.89±3.02 (=) | 84.89±3.94 (=) | 83.39±3.93 (+) | 83.39±3.93 (+) |

| | <i>MST</i> | <i>GA</i> | <i>GWO</i> | <i>PSO</i> | <i>WOA</i> | <i>FPA</i> |
|-------------------------|-------------------|------------------|-------------------|-------------------|-------------------|-------------------|
| <i>Olalla Alzheimer</i> | 81.20±2.15 | 79.46±1.22 (+) | 79.97±1.49 (+) | 79.13±1.25 (+) | 78.29±1.17 (+) | 78.94±0.99 (+) |
| <i>Resumen tests</i> | | 5/1/0 | 3/3/0 | 5/1/0 | | |
| <i>(W/T/L)</i> | | | | | 6/0/0 | 6/0/0 |

Nota: MST: multistartTabu; GA: Genetic Algorithm; GWO: Grey Wolf optimizer; PSO: particle swarm optimization; WOA: whale optimization algorithm; FPA: flower pollination algorithm. ACC: accuracy; AUC: area under curve; Gmean: geometric mean. W: wis; T: ties; L: loses.

Tabla 25. Resumen de los t-test para las diferentes métricas con Regresión Logística

| | <i>GA</i> | <i>GWO</i> | <i>PSO</i> | <i>WOA</i> | <i>FPA</i> |
|------------------------|-----------|------------|------------|------------|------------|
| Función objetivo | | | 6/0/0 | 6/0/0 | |
| <i>g</i> | 6/0/0 | 6/0/0 | | | 6/0/0 |
| Metrics in | | | | | |
| Training Sets | | | | | |
| <i>ACC</i> | 6/0/0 | 5/1/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| <i>AUC</i> | 5/1/0 | 4/2/0 | 5/1/0 | 6/0/0 | 6/0/0 |
| <i>Gmean</i> | 5/1/0 | 3/3/0 | 5/1/0 | 6/0/0 | 6/0/0 |
| <i>F1</i> | 6/0/0 | 5/1/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| <i>Total (W/T/L)</i> | 22/2/0 | 17/7/0 | 22/2/0 | 24/0/0 | 24/0/0 |
| Metrics in Test | | | | | |
| Sets | | | | | |
| <i>ACC</i> | 5/1/0 | 3/3/0 | 5/1/0 | 6/0/0 | 6/0/0 |
| <i>AUC</i> | 4/2/0 | 3/3/0 | 5/1/0 | 6/0/0 | 6/0/0 |
| <i>Gmean</i> | 4/2/0 | 3/3/0 | 4/2/0 | 6/0/0 | 6/0/0 |
| <i>F1</i> | 6/0/0 | 3/3/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| <i>Total (W/T/L)</i> | 19/5/0 | 12/12/0 | 20/4/0 | 24/0/0 | 24/0/0 |

Nota: MST: multistartTabu; GA: Genetic Algorithm; GWO: Grey Wolf optimizer; PSO: particle swarm optimization; WOA: whale optimization algorithm; FPA: flower pollination algorithm. ACC: accuracy; AUC: area under curve; Gmean: geometric mean. W: wins; T: ties; L: losses.

Las conclusiones de las tablas 24 y 25 son muy parecidas a las obtenidas de las tablas 22 y 23: en la gran mayoría de los casos (métricas y bases de datos) nuestro método mejora significativamente los resultados de los otros métodos. Parece que en los conjuntos test estas diferencias son muy ligeramente menos significativas. Respecto al método GWO sigue siendo el que “mejor compete” con nuestro método respecto a los otros. No obstante, incluso frente a este método (GWO) en gran parte de los casos hay diferencias significativas a favor de nuestro método.

De forma análoga se muestran las tablas 26 y 27 con los resultados usando Support Vector Machine como clasificador.

Las conclusiones de las tablas 26 y 27, con SVM son prácticamente iguales a las obtenidas para los otros dos clasificadores. En definitiva, de las pruebas realizadas se puede observar que, considerando todos los clasificadores, todas las bases de datos y todas las métricas (tanto en los conjuntos de entrenamiento, como tests, así como en la función g) no hay ningún caso donde haya diferencias significativas a favor de algún de los otros métodos. Es más, en la mayoría de los casos existen diferencias significativas a favor de nuestro método. Estas diferencias significativas se dan algo más en los conjuntos de entrenamiento y algo menos en las métricas de los conjuntos test. Respecto a cada uno de los diferentes métodos con los que hemos comparado nuestro MST, el método GWO parece funcionar algo mejor que GA, PSO, WOA y FPA. En efecto, la proporción de diferencias significativas sobre este método es algo menor que con los otros (especialmente cuando el clasificador ADL). No obstante, incluso con este método todas las diferencias significativas que existen son a favor de nuestro método MST.

Tabla 26. Resultados de ACC (%) usando como clasificador Support Vector Machine

| | <i>MS</i> | <i>GA</i> | <i>GWO</i> | <i>PSO</i> | <i>WOA</i> | <i>FPA</i> |
|-------------------------|-------------------|----------------|----------------|----------------|----------------|----------------|
| Training Set | | | | | | |
| <i>Parkinson</i> | 89.52±1.36 | 87.64±1.34 (+) | 88.21±0.27 (+) | 87.41±1.15 (+) | 87.24±1.58 (+) | 87.64±1.34 (+) |
| <i>QADC</i> | 83.00±1.13 | 81.53±1.10 (+) | 82.42±1.17 (+) | 81.03±1.45 (+) | 80.41±1.09 (+) | 81.07±1.34 (+) |
| <i>SPECTF Heart</i> | 87.97±1.89 | 85.35±1.55 (+) | 85.60±1.79 (+) | 84.73±1.87 (+) | 84.65±1.29 (+) | 84.69±1.41 (+) |
| <i>WDBC</i> | 98.52±0.95 | 97.46±0.96 (+) | 97.75±1.32 (+) | 97.19±1.22 (+) | 96.45±0.98 (+) | 96.54±0.88 (+) |
| <i>WPBC</i> | 86.59±2.08 | 83.89±2.34 (+) | 85.63±2.33 (=) | 84.74±2.93 (+) | 82.94±2.34 (+) | 83.11±1.95 (+) |
| <i>Olalla Alzheimer</i> | 81.83±1.63 | 79.39±1.76 (+) | 80.58±1.87 (+) | 79.14±2.28 (+) | 77.42±2.04 (+) | 78.42±1.93 (+) |
| <i>Resumen tests</i> | | | | | | |
| <i>(W/T/L)</i> | | <i>6/0/0</i> | <i>5/1/0</i> | <i>6/0/0</i> | <i>6/0/0</i> | <i>6/0/0</i> |
| Training Set | | | | | | |
| <i>Parkinson</i> | 86.13±3.49 | 85.13±1.58 (=) | 85.13±1.58 (=) | 85.13±1.58 (=) | 84.61±0.42 (+) | 85.13±1.58 (=) |
| <i>QADC</i> | 82.23±1.07 | 79.78±2.84 (+) | 81.88±1.39 (=) | 79.78±2.84 (+) | 78.04±1.74 (+) | 80.12±2.50 (+) |
| <i>SPECTF Heart</i> | 86.52±1.86 | 85.40±1.10 (+) | 85.78±1.42 (=) | 83.90±3.03 (+) | 84.63±1.38 (+) | 84.27±2.30 (+) |
| <i>WDBC</i> | 97.53±1.50 | 96.13±1.12 (+) | 96.31±1.55 (+) | 96.31±1.55 (+) | 95.43±1.23 (+) | 95.60±1.25 (+) |
| <i>WPBC</i> | 86.39±3.26 | 83.37±3.23 (=) | 84.37±3.60 (+) | 84.37±3.60 (+) | 82.34±2.49 (+) | 82.87±3.34 (+) |

| | MS | GA | GWO | PSO | WOA | FPA |
|-------------------------|-------------------|----------------|----------------|----------------|----------------|----------------|
| <i>Olalla Alzheimer</i> | 80.88±1.64 | 78.36±1.93 (+) | 79.65±1.78 (+) | 78.10±2.17 (+) | 76.42±2.26 (+) | 77.20±2.08 (+) |
| <i>Resumen tests</i> | | 5/1/0 | 3/3/0 | 5/1/0 | | |
| <i>(W/T/L)</i> | | | | | 6/0/0 | 5/1/0 |

Nota: MST: multistartTabu; GA: Genetic Algorithm; GWO: Grey Wolf optimizier; PSO: particle swarm optimization; WOA: whale optimization algorithm; FPA: flower pollination algorithm. ACC: accuracy; AUC: area under curve; Gmean: geometric mean. W: wis; T: ties; L: loses.

Tabla 27. Resumen de los t-test para las diferentes métricas con Support Vector Machine

| | GA | GWO | PSO | WOA | FPA |
|------------------------|---------------|----------------|---------------|---------------|---------------|
| Función objetivo | | | 6/0/0 | 6/0/0 | |
| <i>g</i> | 6/0/0 | 6/0/0 | | | 6/0/0 |
| Metrics in | | | | | |
| Training Sets | | | | | |
| <i>ACC</i> | 6/0/0 | 5/1/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| <i>AUC</i> | 6/0/0 | 4/2/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| <i>Gmean</i> | 6/0/0 | 4/2/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| <i>F1</i> | 6/0/0 | 5/1/0 | 6/0/0 | 6/0/0 | 6/0/0 |
| <i>Total (W/T/L)</i> | <i>24/0/0</i> | <i>18/6/0</i> | <i>24/0/0</i> | <i>24/0/0</i> | <i>24/0/0</i> |
| Metrics in Test | | | | | |
| Sets | | | | | |
| <i>ACC</i> | 5/1/0 | 3/3/0 | 5/1/0 | 6/0/0 | 5/1/0 |
| <i>AUC</i> | 5/1/0 | 4/2/0 | 5/1/0 | 6/0/0 | 5/1/0 |
| <i>Gmean</i> | 5/1/0 | 4/2/0 | 5/1/0 | 6/0/0 | 4/2/0 |
| <i>F1</i> | 5/1/0 | 3/3/0 | 5/1/0 | 6/0/0 | 5/1/0 |
| <i>Total (W/T/L)</i> | <i>20/4/0</i> | <i>14/10/0</i> | <i>20/4/0</i> | <i>24/0/0</i> | <i>19/5/0</i> |

Nota: MST: multistartTabu; GA: Genetic Algorithm; GWO: Grey Wolf optimizier; PSO: particle swarm optimization; WOA: whale optimization algorithm; FPA: flower pollination algorithm. ACC: accuracy; AUC: area under curve; Gmean: geometric mean. W: wis; T: ties; L: loses.

Por otra parte, la programación que se ha realizado de los diferentes métodos permite registrar no solo la mejor solución global con respecto a la función objetivo *g*, sino la mejor solución (sub-conjunto de variables) para cada tamaño (número de variables). Como curiosidad, los 3 gráficos siguientes muestran el ACC en el conjunto test de cada una de las mejores soluciones (una por tamaño) registradas por cada método. Se muestra el ACC medio (en los 10 pliegues) para la base de datos *Parkinson*. Concretamente la figura 13 muestra la evolución para el clasificador ADL, la 13 para RL y la 14 para SVM

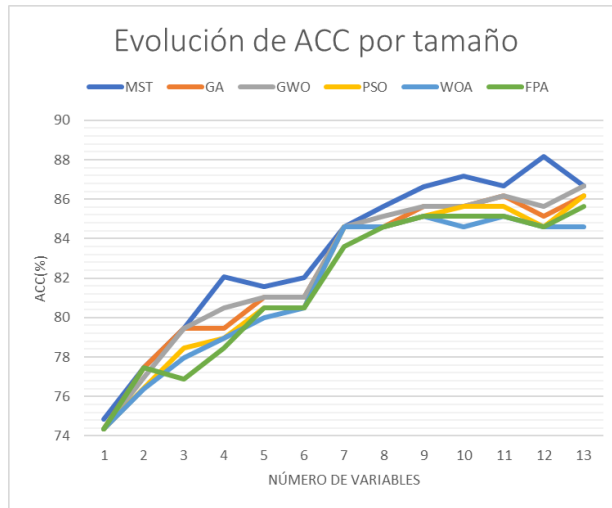


Figura 27. ACC de los diferentes métodos en conjunto test para ADL

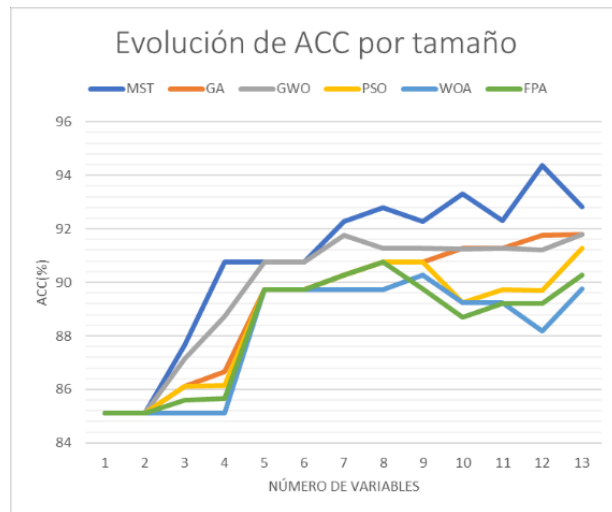


Figura 28. ACC de los diferentes métodos en conjunto test para RL

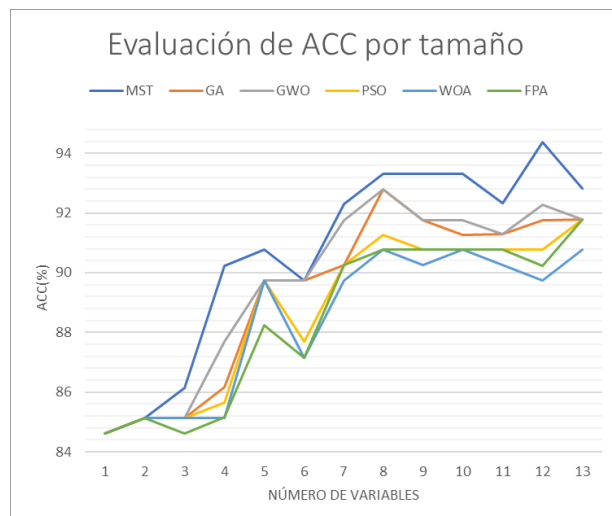


Figura 29. ACC de los diferentes métodos en conjunto test para SVM

Estos gráficos muestran el mejor desempeño de nuestro método también considerando cada tamaño de forma individual. Estas diferencias son muy pequeñas o insignificantes para tamaños muy pequeños ($p \leq 2$) pero a partir de $p = 3$ estas diferencias son más evidentes. También parece que las diferencias son mayores en RL y SVM que en ADL.

Finalmente debemos señalar algunos detalles técnicos: todos los métodos se han programado usando el lenguaje de programación Object Pascal – Delphi con el entorno de desarrollo Rad Studio 11. También con este mismo entorno se han ejecutado las diferentes pruebas. Para una mejor comparativa, para cada conjunto test que se ha considerado para las pruebas, en primer lugar, se ha ejecutado nuestro MST, con los parámetros anteriores, y después se ejecutan el resto de los algoritmos, usando estos como criterio de parada alcanzar el tiempo de computación empleado por nuestro MST. Finalmente, el ordenador usado tiene un procesador Intel Core i9-7940, 3.1 GHz y 64 Gb de RAM.

CAPÍTULO 7

“Porque hay olvidos que queman y hay memorias que engrandecen.”

(Alfredo Zitarrosa)

CAPÍTULO 7: APLICACIÓN DEL ALGORITMO QUE DETECTA LA PROBABLE APARICIÓN DE LA ENFERMEDAD DE ALZHEIMER EN SU PRIMERA FASE, UTILIZANDO TÉCNICAS DE APRENDIZAJE AUTÓNOMO O MACHINE LEARNING

ABSTRACT

La enfermedad de Alzheimer (EA) es un tipo de demencia crónica, irreversible, primaria, con lesiones localizadas en la corteza cerebral y claramente relacionada con la edad. Para el diagnóstico neurológico de las demencias, se debe obtener una historia clínica detallada del usuario, un examen neurológico completo con una buena exploración física y exploración neuropsicológica. Se pretende aplicar modelos de regresión lineal a la diagnosis de enfermedades en personas mayores, a partir de matrices de datos con muchas variables y casos. Esta metodología debe ser capaz de generar modelos de diagnosis robustos y con buena fiabilidad. Tras la aplicación de los modelos, la variable ictus es considerada como la más relevante, apareciendo en todos los clasificadores y prediciendo como única variable en todos los clasificadores un 74.87%. La variable hipertensión arterial constituye una variable importante en el clasificador SVM. En cuanto a la toma de antiarrítmicos, se considera en todos los clasificadores como un factor protector. Por último, la variable ser fumador genera controversia en los distintos modelos, ya que en unos clasifica como factor protector y en otros como factor de riesgo de la EA. El diagnóstico precoz de la EA es muy importante hoy en día. Además, los métodos de diagnóstico no invasivos basados principalmente en el historial del paciente, la observación clínica y la evaluación cognitiva, podrían ayudar a controlar el desarrollo de la EA.

Keywords: Enfermedad de Alzheimer, diagnóstico precoz, variables predictivas, hipertensión arterial, antiarrítmicos, fumador.

INTRODUCCIÓN

I. Definición de la Enfermedad de Alzheimer

La EA es un tipo de demencia crónica, irreversible, primaria, con lesiones localizadas en la corteza cerebral y claramente relacionada con la edad(443). Existe una forma precoz, antes de los 65 años, y una forma tardía, después de los 65 años, que es la más frecuente y que se produce en el 85% de las personas que padecen la EA (443). Consiste en una enfermedad neurodegenerativa primaria, con las propiedades sindrómicas de demencia, que se caracteriza en su forma típica por una pérdida progresiva de la memoria y de una o varias capacidades mentales, con sintomatología clínica tanto a nivel cognitivo como conductual y funcional (444). Los síntomas de la enfermedad fueron identificados por Emil Kraepelin, mientras que la neuropatología característica fue descrita por primera vez por a Alois Alzheimer en 1906.

La EA es la demencia más prevalente y el paradigma de la demencia cortical. La diferencia en el diagnóstico de la EA en referencia a otras demencias es la alteración precoz de la memoria, seguida de la alteración en la denominación y la orientación espacial, presencia de afasia, apraxia y agnosia (444). El inicio es insidioso y su curso progresivo, con presencia de fases de meseta en la evolución.

II. Envejecimiento de la población y demencia

En el siglo XX se produjo una revolución de la longevidad. La esperanza media de vida al nacer ha aumentado 20 años desde el año 1950 y llega ahora a los 83.06 años (443). Se prevé que aumente en los próximos años, sobre todo en los países en desarrollo, en los que se prevé que la población de edad se va a cuadruplicar en los próximos 50 años. En Asia y Latinoamérica, el grupo de personas de tercera edad aumentará del 8% al 15%, en África se contempla que esa proporción aumente del 5% al 6%. En Europa y América del Norte aumentará del 20% al 26% aproximadamente (443).

Según las proyecciones de población (24) publicadas en la página web del Instituto nacional de estadística, en los próximos 15 años España ganaría 2.375.776 habitantes. La esperanza de vida al nacimiento alcanzaría en el año 2033 los 82,9 años en los hombres y los 87,7 años en las mujeres, respecto a los valores actuales. La población residente en España aumentó en 34.110 personas durante el año 2021 y se situó en 47.432.805 habitantes a 1 de enero de 2022 (445).

Por tanto, la sociedad española experimenta actualmente cambios en su demografía, su población tiene un alto porcentaje de personas mayores de 65 años, un 20,09%, se trata por tanto de una población envejecida. Además, las bajas tasas de natalidad (7.1 %) y la amplia esperanza de vida (83.06 años), describe la realidad de la población en España (446). En la Figura 30, extraída de la página web del Instituto nacional de estadística (24), se muestra el este proceso de envejecimiento, donde el grupo más numeroso es el del rango de edad comprendido entre los 45 y los 49 años.

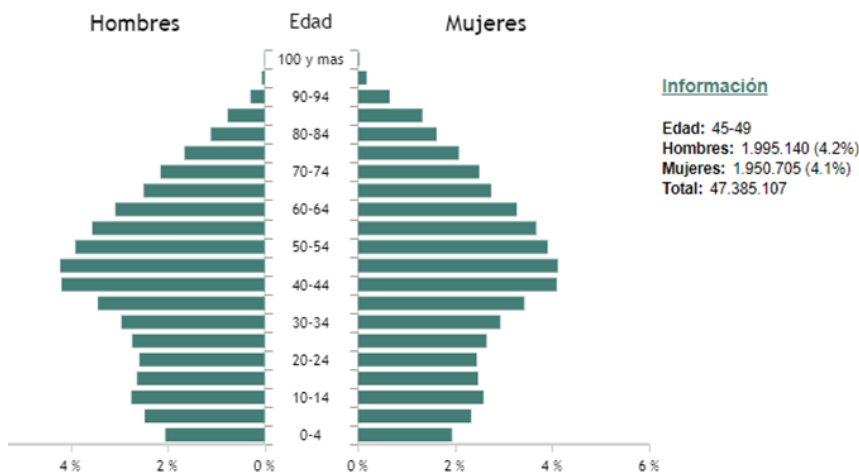


Figura 30. Pirámide de población de España entre los años 2018 y 2033

III. Visión de la enfermedad en España

La primera causa de muerte en España en el año 2020 (exceptuando las defunciones por COVID-19) fue la del grupo de enfermedades isquémicas del corazón, seguida de las enfermedades cerebrovasculares (ictus) y de las enfermedades de cáncer de bronquios y

pulmón (447). La demencia se sitúa como la cuarta causa más frecuente de defunciones en España. La EA se sitúa como la sexta causa más frecuente, con un total de 15.571 personas fallecidas (Figura 31)

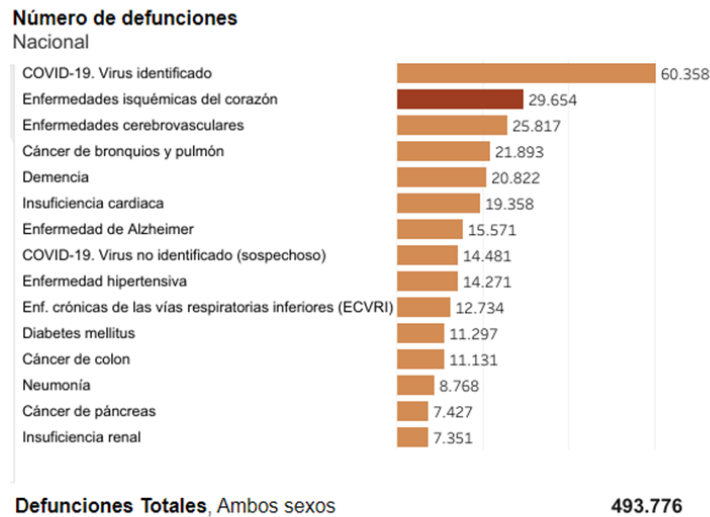


Figura 31. Causas de muerte más frecuentes en España. INE 2022

Más específicamente, si se habla de demencias, en España, el incremento de la esperanza de vida tiene como resultado que el número de personas mayores crezca de forma exponencial. Se estima que actualmente haya cerca de 800.000 personas con EA, más de la mitad en estado de dependencia. Las demencias son el problema sanitario en España que más recursos consume (448). El coste comprende por un lado los gastos directos (gasto de consultas médicas, medicación, a adaptaciones de la vivienda, ocupación de Centro de día o residencia de ancianos...) Y por otro lado los gastos indirectos (cuidadores que dedicar muchas horas de su tiempo y que reducen su productividad en el trabajo y requieren una mayor atención médica por sobrecarga, desgaste emocional de la familia ingreso del enfermo en un centro asistido, coste emocional...) (448).

Debido a todas las pluripatología que sufren las personas mayores, la institucionalización se presenta como un recurso que incluye varias opciones de estancia (centros residenciales,

servicio de estancias temporales y sistemas alternativos de alojamiento como viviendas tuteladas, servicio público de acogimiento familiar o apartamentos residenciales) (443). En este aspecto, los centros residenciales prestan atenciones durante 24 horas al día de forma permanente o temporal a personas mayores.

Las residencias de ancianos constituyen un servicio de 24 horas para personas con discapacidad, personas mayores y personas en situación de dependencia, donde se proporciona una atención individualizada e integral. En estos centros se ofrecen diferentes servicios y programas para distintas áreas de ocupación como actividades de la vida diaria, ocio y tiempo libre... Con fecha de Septiembre de 2020, el número de personas asociadas a los hogares en España supone un 4.24% de la población mayor de 65 años (449). En España hay un número total de hogares de 5.567.

IV. Los estilos de vida en las personas mayores

Para prevenir las distintas patologías que sufren las personas mayores y mejorar su calidad de vida, su estado de salud y su autonomía personal, es importante mantener un estilo de vida saludable. Esta manera, prevenir los factores de riesgo que se asocian a distintas patologías, es prioritario (449).

En primer lugar, la nutrición adecuada se considera preventiva frente a enfermedades y complicaciones patológicas. Por una parte, se hablará del estado nutricional, que se encuentra amenazado en las personas mayores durante enfermedades agudas o crónicas, y de manera especial, durante los ingresos hospitalarios. La desnutrición repercute negativamente en la calidad de vida de la persona y fomenta su dependencia y su vulnerabilidad.

Se sabe también de la importancia de la realización del ejercicio físico y se recomienda mantener una actividad física regular. De los datos ofrecidos por la encuesta europea de salud para España (450) del año 2020, existe un porcentaje bajo de personas que realizan

ejercicio físico regularmente: 31.4% del sexo masculino y 21.9% del femenino. Es un dato alarmante que un casi 37% de la población total española se declare sedentaria, este porcentaje aumenta en el grupo de edad de entre 75 y 84 años (50%) y este porcentaje llega al 75% entre los mayores de 85 años.

En cuanto a hábitos tóxicos (450), sigue disminuyendo el porcentaje y tan sólo el 8.7% de la población mayor de 65 años se considera fumador habitual. Tampoco supone un problema el consumo de alcohol en España, ya que un 38,5% de las personas de entre 65 y 74 años y las personas mayores de 75 años no consume nunca alcohol. Las diferencias son notables entre sexos, ya que los hombres beben más alcohol que las mujeres en los grupos poblacionales entre 65 y 74 años (449).

ANTECEDENTES Y ESTADO ACTUAL DEL TEMA

I. Diagnóstico de la EA

Para el diagnóstico neurológico de las demencias, se debe obtener una historia clínica detallada del usuario, un examen neurológico completo con una buena exploración física y exploración neuropsicológica (451). Para la historia clínica se deben recoger los antecedentes del individuo, la historia educativa, la historia médica, los fármacos que toma, la exposición a tóxicos... De esta manera se reunirá información del usuario que permitirá conocer el perfil evolutivo de la enfermedad.

La evaluación neuropsicológica se constituye de métodos y técnicas que muestran las capacidades mentales de los pacientes. Se pueden realizar test que evalúan de forma global las funciones cognitivas, aunque otros se centran más en la valoración de actividades de la vida diaria, la calidad de vida u otros ámbitos neuropsicológicos (452). Entre estos tres, se pueden incluir la exploración neuropsicológica de Barcelona, el mini mental State Examination (MMSE) o el Miniexamen cognoscitivo (MEC). Este último fue validado en

España y es el test abreviado de mayor validez y difusión internacional; resulta muy efectivo para el rastreo inicial de alteraciones cognitivas (453).

Las pruebas de neuroimagen donde se encuentra la tomografía computarizada (TC) o la resonancia magnética (RM), permiten excluir causas secundarias de demencia como tumores, hidrocefalia o infartos cerebrales... (452) En la tomografía computarizada se puede apreciar la atrofia progresiva de las diferentes áreas cerebrales y observar su evolución tras iniciar el tratamiento.

Los criterios del DSM-V (198) propuestos por la APA en el año 2014, los de la CIE-11 (454) formulados por la OMS en el año 2019 y los del National Institute of Neurologic Communicative Disorders and Stroke/Alzheimer's disease and Related Disorders Association (NINCDS-ADRDA) (228) intentan delimitar o concretar las características clínicas que han de tener las demencias (443). No obstante, estas clasificaciones no reúnen todos los síntomas clínicos que contempla esta enfermedad. Continuamente, se proponen revisiones de estos criterios tanto para la EA como para las demencias vasculares y frontotemporales.

Según la undécima edición de la CIE 11 (455), la última publicada, la demencia es un síndrome debido a una enfermedad cerebral, de naturaleza crónica o progresiva, con déficits de múltiples funciones superiores (memoria, pensamiento, orientación, comprensión, cálculo, capacidad de aprendizaje, lenguaje juicio, entre otras) y conciencia clara. En esta clasificación, el déficit se acompaña de deterioro del control emocional, del comportamiento social o de la motivación, produciendo un deterioro intelectual apreciable que repercute en las actividades de la vida diaria, con una duración del cuadro no inferior a seis meses y habiéndose obtenido la información de la exploración del paciente y de la anamnesis a una tercera persona (456).

El manual diagnóstico y estadístico de las enfermedades mentales (DSM-V) de la asociación americana de psiquiatría (APA) en su quinta edición (198), ha modificado sustancialmente sus criterios con respecto a la versión anterior. En esta versión se contempla un estadio patológico pre-demencia y se ha introducido el concepto de “trastornos neurocognitivos”. Así, estos trastornos se dividen en tres categorías donde la sintomatología estudiada para el diagnóstico será: atención, función ejecutiva, aprendizaje, memoria, lenguaje, funciones viso perceptivas y visuales constructivas y cognición social. Las categorías divididas son: delirium, trastorno neurocognitivo mayor y trastorno neurocognitivo menor, estos últimos se diferencian por la intensidad de los síntomas y su repercusión en la funcionalidad del paciente (457). En estas categorías, por tanto, quedaría incluido el diagnóstico de EA con el nombre trastorno neurocognitivo debido a EA.

El National Institute of Neurologic Communicative Disorders and Stroke/Alzheimer’s disease and Related Disorders Association (NINCDS-ADRDA)(228), estableció los criterios diagnósticos para la EA en el año 1984, en los primeros criterios, se cometieron muchos errores ya que, entre otras cosas, no se había descrito todavía la demencia por cuerpos de Lewy y se desconocía el concepto de deterioro cognitivo leve sin demencia. Tras el paso del tiempo y del avance en los conocimientos de la enfermedad, se establecieron finalmente dos estadios en la enfermedad Alzheimer: un primer estadio preclínico, asintomático y un segundo estadio sintomático, que abarcaba desde que aparecieron las primeras quejas cognitivas hasta las fases más avanzadas de la demencia (456).

En los últimos años se han publicado dos conjuntos de criterios que establecen nuevos criterios de diagnóstico en la demencia y la EA. Por una parte están los criterios de Dubois et al. y por otra parte, la actualización de los criterios NINCDS-ADRDA, que fueron revisados en el año 2011 y constituyen ahora los nuevos NIA-AA (456).

Estos criterios, proponen la siguiente terminología para clasificar a usuarios con demencia causada por EA, de esta manera se distingue entre: probable demencia por EA, posible demencia por EA y posible demencia por EA con evidencia del proceso fisiopatológico de la EA (458).

Aunque se han realizado numerosas investigaciones acerca de la EA, todavía no se ha encontrado la causa que origina todas las lesiones mencionadas (459). Tras muchos estudios, parece claro que no se trata de una única causa, sino que el inicio de la EA es una combinación de diversos factores que desencadenan los cambios cerebrales y neuronales que se producen (460).

En la actualidad, los estudios acerca de la EA continúan efectuándose. Aún no se ha determinado la causa que origina esta enfermedad, aunque se siguen postulando hipótesis para explicar las lesiones neurodegenerativas características de esta patología (461).

II. Tratamiento y prevención de la EA

Actualmente, existen diferentes recursos terapéuticos, farmacológicos y no farmacológicos cuyo objetivo consiste en ralentizar el desarrollo de la enfermedad, mitigar la gravedad de los síntomas y mejorar la calidad de vida de los enfermos y de sus cuidadores (462). Todavía no se dispone de un tratamiento definitivo que sea capaz de detener el curso de la EA, los diferentes tratamientos están encaminados a ralentizar el curso de la enfermedad, de esta manera se siguen desarrollando investigaciones en este campo para conocer aspectos de la enfermedad que todavía no han sido descubiertos y que en un futuro lograrán determinar cómo abordar la enfermedad.

El tratamiento farmacológico persigue dos objetivos, el primero de ellos ralentizar el avance de la enfermedad y de esta manera, mantener y/o mejorar el rendimiento cognitivo de los enfermos; y por otra parte controlar los trastornos y alteraciones de conducta que aparecen en el curso de la enfermedad (463).

Primeramente, se abordará el tratamiento farmacológico de la sintomatología cognitiva, donde son numerosos los ensayos farmacológicos que se han realizado hasta la fecha. En este caso se administra tetrahidroaminoacridina en el cerebro de pacientes que sufren la EA, esta familia de fármacos actúa sobre el sistema colinérgico (464). El objetivo de este tratamiento se basa en aumentar los niveles cerebrales del neurotransmisor de la acetilcolina. Los fármacos que se emplean dentro de esta familia y que realizan esta función son la tacrina (mejora el rendimiento intelectual global en demencia leve o moderada), donecipilo (se recomienda en demencia leve y moderada, su empleo estabiliza el curso de la patología y puede llegar a mejorar las manifestaciones sintomatología), rivastagmina (retrasa su avance en tratamientos prolongados) y galantamina (se aplica desde los estadios iniciales de la patología y facilita un enlentecimiento considerable en etapas leves y moderadas) (465).

La segunda parte del tratamiento farmacológico no es otra que abordar la complejidad de la sintomatología psiquiátrica. Entre estas manifestaciones psiquiátricas pueden aparecer delirios, alucinaciones, depresión, alteraciones del sueño y modificaciones de la personalidad que pueden acarrear agitación, agresividad o desinhibición sexual (464). Para el tratamiento de estas manifestaciones se recomienda el menor número de fármacos posibles, ya que parte de estos síntomas son tratables mediante la readaptación de los hábitos del usuario y mediante estrategias de afrontamiento de los cuidadores. Entre el tratamiento farmacológico para tratar esta sintomatología se encuentran: los antipsicóticos: que se utilizan para tratar la agitación, agresividad y las conductas psicóticas y los más utilizados son los de última generación; los neurolépticos atípicos, que tienen menos efectos secundarios; las benzodiazepinas, para el tratamiento de la ansiedad, aunque por sus efectos secundarios en la actualidad es más recomendable el uso de fármacos como la trazodona. En ocasiones también se utilizan fármacos antidepresivos, los más indicados por su alta efectividad y su bajo rango de síntomas secundarios son los inhibidores selectivos de la recaptación de serotonina. Para el tratamiento de la agitación, se utilizan antiepilépticos (465).

Si se habla de prevención, lo primero que hay que dejar claro es que sólo entre el 1% y el 3% de los casos se debe a un patrón hereditario de herencia autosómica dominante (443). En estos usuarios hay mutaciones genéticas que causan la enfermedad. Estas mutaciones genéticas junto con otros genes actualmente desconocidos, además de factores ambientales y personales incrementan el riesgo de sufrir y padecer la EA. Un 33% de los casos de EA se atribuyen a factores de riesgo potencialmente modificables, con lo que se podría afirmar que podría prevenirse con una intervención multifactorial (466).

Para disminuir la incidencia de demencias y prevenir su posible aparición prematura se debe adoptar un estilo de vida saludable, realizando ejercicio físico e intelectual diario y manteniendo una dieta saludable y equilibrada (443). La actividad física habitual y mantenida durante años se asocia a una menor probabilidad de demencia, también ayuda a reducir el colesterol y la presión arterial y mejora la calidad del sueño. Se recomienda ejercicio aeróbico moderado como andar, correr, nadar o montar en bicicleta, según las posibilidades y limitaciones de cada uno. En cuanto a la alimentación es recomendable la dieta mediterránea. Esta dieta consiste en comer de todo y aumentar el consumo de frutas y verduras. También conviene consumir las legumbres y los frutos secos. Un estudio reciente pone de manifiesto que tanto la dieta específica para reducir la tensión arterial como la dieta mediterránea se asocian a tasas más lentas de deterioro cognitivo (467). La actividad intelectual contribuye a mejorar la reserva cognitiva que se asocia con una mayor resistencia del sujeto al daño cerebral ya que éste tenga una menor funcionalidad cognitiva, es decir, se comporta como un factor protector de demencia (443). Se puede mejorar la reserva cognitiva leyendo libros, escribiendo, con juegos de mesa o incluso, con actividades de nuevas tecnologías.

En cuanto a la prevención secundaria, hasta el momento no existen vios marcadores para el diagnóstico de la EA. En resumen, la prevención actual de la EA se basa en el control de los factores de riesgo, de esta manera se podrían evitar el 30% de los casos (443). Estas

medidas consisten en proporción a la persona un envejecimiento saludable, y para ello, es aconsejable llevar una dieta equilibrada y saludable y realizar a diario ejercicio físico e intelectual.

METODOLOGÍA

I. Objetivo

Aplicar modelos de regresión lineal a la diagnosis de enfermedades en personas mayores, a partir de matrices de datos con muchas variables y casos. Esta metodología debe ser capaz de generar modelos de diagnosis robustos y con buena fiabilidad

II. Población de estudio

Se trata del tipo de muestreo de conveniencia, técnica no probabilística, donde las personas que participaron en el estudio se seleccionaron por la disponibilidad para participar en el mismo.

La muestra está conformada por 508 hombres y 947 mujeres. Un total de 1455 usuarios. La recogida de la muestra se produjo entre las fechas Octubre del 2008 y octubre de 2020 en una residencia de personas mayores de la capital de Burgos.

En cuanto a la edad media del total de la muestra es 85.48 años, para hombres es inferior, situándose en 83.69 y para la mujer es superior a la media, con un valor de 86.44. Los mínimos de edad de participación en el estudio son de 60 (Total de la muestra y hombres) y 62 años para mujeres. Los valores máximos de edad son de 106 años (Total de la muestra y mujeres) y 105 años para los hombres. Los datos en formato de figuras y tablas están recogidos en el anexo 6: Tablas A6-A9; Figuras A1-A8.

- Estadísticos descriptivos de la muestra: signos vitales y de medición corporal

Los signos vitales indican el estado funcional de los usuarios, y se consideran herramientas valiosas para determinar problemas en el organismo, ya que una alteración en cualquiera de ellos supone una patología de base. Los datos en formato de figuras y tablas están recogidos en el anexo 6: Tablas A10-A18.

Es la onda pulsátil de la sangre, originada en la contracción del ventrículo izquierdo del corazón y que resulta en la expansión y contracción regular del calibre de las arterias. La onda pulsátil representa el rendimiento del latido cardiaco, que es la cantidad de sangre que entra en las arterias con cada contracción ventricular y la adaptación de las arterias, o sea, su capacidad de contraerse y dilatarse (468).

El pulso periférico se palpa fácilmente en pies, manos, cara y cuello. Realmente puede palparse en cualquier zona donde una arteria superficial pueda ser fácilmente comprimida contra una superficie ósea (468).

La velocidad del pulso (latidos por minuto) corresponde a la frecuencia cardiaca, la cual varía con la edad, sexo, actividad física, estado emocional, fiebre, medicamentos y hemorragias (468).

Los valores normales para la constante vital de frecuencia cardíaca son: recién nacidos (Entre 120-170 pulsaciones por minuto); niños de 2 a 4 años entre 100 y 120; los niños de 6 a 8 años entre 100 y 115 pulsaciones por minuto y en personas adultas oscilan entre 60 y 80. En el anexo 6 se pueden ver los valores descriptivos recogidos en la muestra con referencia a la frecuencia cardíaca.

El control glucémico de los pacientes diabéticos deberá estar encaminado a tener glucemias de ayuno < 120 mg/dl (< 6.6 mmol/L) y hemoglobina glucosilada $< 7\%$ (469).

La tensión arterial consiste en la presión que ejerce la sangre sobre las paredes arteriales cuando circula por las arterias en el organismo. Como la sangre se mueve por ondas, se pueden dar dos tipos de medidas de tensión o presión arterial: la tensión sistólica, que es la presión de la sangre debida a la contracción de los ventrículos, es decir, la presión máxima; y la tensión diastólica, que es la presión que queda cuando los ventrículos se relajan; ésta es la presión mínima (235,470).

- Estadísticos descriptivos de la muestra: medicamentos

La clasificación Anatómica Terapéutica Química (ATC) consiste en un sistema europeo de codificación de sustancias farmacéuticas y medicamentos en cinco niveles con arreglo al sistema u órgano efecto y al efecto farmacológico, las indicaciones terapéuticas y la estructura química de un fármaco (471). De esta manera a cada medicamento le corresponde un código ATC, y a su vez este código se especifica en una ficha técnica (donde aparecen resumidas las características del producto) del medicamento (472).

La clasificación ATC se estructura en cinco niveles (471):

- 1.er nivel (anatómico): órgano o sistema sobre el que actúa el fármaco (existen 14 grupos en total).
- 2.o nivel: subgrupo terapéutico.
- 3.er nivel: subgrupo terapéutico o farmacológico.
- 4.o nivel: subgrupo terapéutico, farmacológico o químico.
- 5.o nivel: nombre del principio activo (mono fármaco) o de la asociación medicamentosa

En la clasificación cada nivel o categoría se distingue mediante una letra y un número o una serie de letras y números. En este sistema de clasificación, todos los preparados a base de un mismo y único fármaco reciben un código idéntico (471).

Los medicamentos que se recogen en la muestra están divididos conforme al tercer nivel, de manera que se han creado subgrupos farmacológicos de clasificación de los distintos medicamentos atendiendo al tercer nivel de la codificación farmacológica ATC.

La clasificación en España se reguló a partir del Real decreto 1348/2003, del 31 de octubre (473), por el que se adaptó la clasificación anatómica de medicamentos al sistema de clasificación ATC, en el plazo de tres años desde su entrada en vigor, se regulaba que España adaptaría la clasificación de medicamentos a la clasificación de la Unión Europea denominada ATC. Los datos descriptivos están recogidos en el anexo 6: Tabla A23.

- Estadísticos descriptivos de la muestra: patologías

La Clasificación Estadística Internacional de Enfermedades y Problemas Relacionados con la Salud (CIE-11) es la Undécima Revisión de la Clasificación Internacional de Enfermedades (CIE) que desde 1948 está a cargo de la Organización Mundial de la Salud (OMS) (446). Se ha utilizado la clasificación CIE 11 para clasificar las distintas patologías identificadas en la muestra total. Del total de 1117 patologías, se muestran en el anexo 6: tabla A24, donde las patologías se clasifican en 41 grupos atendiendo a la clasificación internacional (CIE-11).

La CIE es una clasificación realizada por la agencia internacional de las Naciones Unidas, un recurso público de libre acceso para servir de herramienta en la salud pública (455). CIE-11 es una clasificación orientada a describir morbilidad y causas de muerte. Con sus más de 14.000 códigos es posible codificar la mayoría de los diagnósticos más frecuentes tanto en ámbitos ambulatorios como de internación (454).

III. Criterios de inclusión y exclusión

En cuanto a los criterios de inclusión que conforman el estudio se presentan a continuación:

- Edad comprendida entre 65- 85 años.

- Haber tenido al menos una valoración cognitiva con una herramienta estandarizada (Pfeiffer, MEC o LOTCA-G)
- Diagnóstico clínico de la EA probable según los criterios NINCDS-ADRDA (1984) o DSM valorado en la Unidad de Diagnóstico
- Usuario de residencia con al menos una permanencia de 3 meses.

En cuanto a los criterios de exclusión que conforman el estudio se presentan a continuación:

- Pacientes con problemas conductuales graves: delirium, alucinaciones.
- Pacientes sometidos a otro cualquier tipo de tratamiento psicosocial.

IV. Instrumentos de valoración

Para la recogida de datos se han utilizado los registros almacenados durante 10 años de manera digital en una residencia de ancianos.

Tabla 28. Variables independientes de la muestra

| Variables independientes | |
|-----------------------------|-----------------------------|
| Variables sociodemográficas | Variables clínicas |
| Edad | Frecuencia cardíaca |
| Sexo | Peso |
| Estado Civil | Índice de Masa Corporal |
| Tipología de plaza | Glucemia |
| | Presión arterial sistólica |
| | Presión arterial diastólica |
| | Medicamentos |
| | Patologías |
| | Bebedor |
| | Fumador |

Las variables recogidas fueron las siguientes:

La variable dependiente o de resultado consiste en el registro de los residentes diagnosticados de EA en la base de datos de origen.

En cuanto a las variables independientes o factores de estudio se incluyen:

V. Procedimiento de recogida de datos

El trabajo de campo (recogida de datos) consistió en la recogida de información en los registros historiales de los usuarios de la residencia. Una vez recogida toda la información se confeccionó la base de datos y se realizó la implementación del análisis estadístico de los datos de la matriz de datos original con el fin de aplicar todas las técnicas mencionadas. Se compararon los resultados obtenidos en la síntesis de meta-análisis con los de este estudio.

VI. Consideraciones éticas

Según Rueda (474) en su estudio, esta tesis se podría considerar como una investigación a través de sujetos humanos, donde el interés está centrado en la dinámica social, los efectos socioeconómicos y en los intereses comunitarios. Los proyectos diseñados como formas de investigaciones sociales usan a las personas como "informantes". No hay beneficios personales acumulados por la investigación, tampoco los productos son las metas, sí los principios y estructuras sociales hacia donde se dirigen (475).

Según el National Research Council of the National Academies (476), la integridad de la investigación puede definirse como una serie de buenas prácticas que incluyen (477), entre otras la protección de las personas que intervienen en las investigaciones o el cumplimiento de las responsabilidades mutuas entre los investigadores y los participantes de una investigación.

Se ha tenido en cuenta la normativa de España con referencia a la protección de datos, regulada por la ley LOPD o Ley Orgánica de protección de datos (478) en la que se regula

la obligación que tiene toda persona que interviene en cualquier fase del tratamiento de datos personales, de garantizar la seguridad de dichos datos, y evitar así la apertura de inspecciones por parte de la Agencia Española de Protección de Datos y en su caso de los correspondientes procedimientos sancionadores.

En la declaración de Helsinki (479) del año 1964, revisada en el año 2013 fue elaborada por la asociación médica mundial (AMM) para la investigación médica en seres humanos, incluyendo la investigación del material humano y de información identificables. En los principios generales de la declaración se concluye como objetivo principal de la investigación en seres humanos comprender las causas, evolución y efectos de las enfermedades y mejorar las intervenciones preventivas, diagnósticas y terapéuticas. El objetivo general de la investigación médica es generar nuevos conocimientos, aunque este objetivo no debe tener nunca primacía sobre los derechos y los intereses de las personas que participan en la investigación. Además, se deben considerar las normas y estándares éticos, legales o jurídicos para la investigación en seres humanos en el propio país, al igual que las normas y estándares internacionales vigentes. Todos los datos tomados, han sido tratados con la máxima precaución para resguardar la intimidad de la persona que participa en la investigación y la confidencialidad de su información personal.

Como se estipula en la declaración de Helsinki, esta tesis ha pasado por un comité de investigación, el Comité de ética de investigación de la Universidad de Burgos, donde se han considerado las leyes y reglamentos vigentes en España donde se realizó la investigación, pero también las normas internacionales vigentes. La Comisión de bioética de la Universidad de Burgos en cumplimiento de lo previsto en los Estatutos de la Universidad de Burgos, el Consejo de Gobierno, con fecha del 6 de noviembre de 2008, aprobó la creación de la Comisión de Bioética, consciente de la significación actual de esta temática y de su responsabilidad como institución con vocación de servicio público en interés social (480).

En la investigación también se han tenido en cuenta los principios éticos básicos del informe de Belmont (481) del año 1979. Estos principios representan los preceptos éticos y las valoraciones particulares de las acciones humanas. Son tres: respeto a las personas, beneficencia y justicia:

También se han seguido las pautas éticas internacionales para la investigación biomédica en seres humanos preparadas por el Consejo de organizaciones internacionales de las ciencias médicas (CIOMS) en colaboración con la organización mundial de la salud a en Ginebra en el año 2002. Se redactan las que conciernen a la investigación (477).

Por último, también se ha tenido en cuenta el código ético de Terapia Ocupacional (474), elaborado por la asociación americana de Terapia Ocupacional, que consiste en una declaración de valores y principios que promueven y mantienen unas normas de comportamiento en la práctica de la profesión de Terapia Ocupacional. El código ético de terapia ocupacional supone unos principios que se aplican en todos los ámbitos de la profesión, incluido el investigador (474).

Se tendrá en cuenta la normativa de España con referencia a la protección de datos, regulada por la ley LOPD o Ley Orgánica de protección de datos (478) en la que se regula la obligación que tiene toda persona que interviene en cualquier fase del tratamiento de datos personales, de garantizar la seguridad de dichos datos, y evitar así la apertura de inspecciones por parte de la Agencia Española de Protección de Datos.

RESULTADOS

El objetivo de este trabajo ha sido aplicar modelos a la diagnosis de enfermedades en personas mayores, a partir de matrices de datos con muchas variables y casos. De esta manera se ha aplicado el método desarrollado en la primera parte de esta segunda sección usando los tres clasificadores lineales: ADL, RL y SVM.

I. Resultados de predicción de los clasificadores

Se aplicaron los clasificadores de ADL, RL y SVM al método diseñado en la primera parte con la muestra de los sujetos que tienen EA, y se seleccionaron un total de 46 variables que se consideraron pertinentes para el modelo después de revisar la literatura científica en base a los factores de riesgo que pueden predecir la EA.

Como se muestra en la tabla 29, para una sola variable todos los clasificadores reflejan un 74.87% de precisión en la clasificación. Lograr una precisión superior al 74% para cada clasificador, se interpreta como un resultado valioso. Con dos variables RL predice mejor con un 79.58% y sigue en esa misma línea si se aumentan las variables, hasta que llega a predecir un 83.20% con 10 variables. ADL con el mismo número de variables (10), predice un 81.07% y SVM un 83.07%.

Tabla 29. Resultados de predicción de los clasificadores

| N°V | ADL | N°V | RL | N°V | SVM |
|-----|----------|-----|----------|-----|----------|
| 1 | 0,748708 | 1 | 0,748708 | 1 | 0,748708 |
| 2 | 0,749354 | 2 | 0,795866 | 2 | 0,77261 |
| 3 | 0,763566 | 3 | 0,807494 | 3 | 0,79522 |
| 4 | 0,781654 | 4 | 0,817829 | 4 | 0,81137 |
| 5 | 0,80168 | 5 | 0,823643 | 5 | 0,822351 |
| 7 | 0,805556 | 6 | 0,826227 | 6 | 0,824935 |
| 8 | 0,810078 | 7 | 0,828165 | 7 | 0,828165 |
| 10 | 0,810724 | 8 | 0,830103 | 8 | 0,829457 |
| | | 9 | 0,831395 | 9 | 0,830103 |
| | | 10 | 0,832041 | 11 | 0,830749 |
| | | | | 15 | 0,831395 |
| | | | | 18 | 0,832687 |
| | | | | 19 | 0,833333 |

Este último clasificador predice hasta con 19 variables, llegando al 83.3% de predicción, solo un 2% más que ADL y con un aumento de 9 variables más, por lo que resulta más complejo y menos pragmático en el diagnóstico de EA. Por lo tanto, como se puede ver en la figura 32, RL predice mejor, con menor número de variables y mayor predicción.

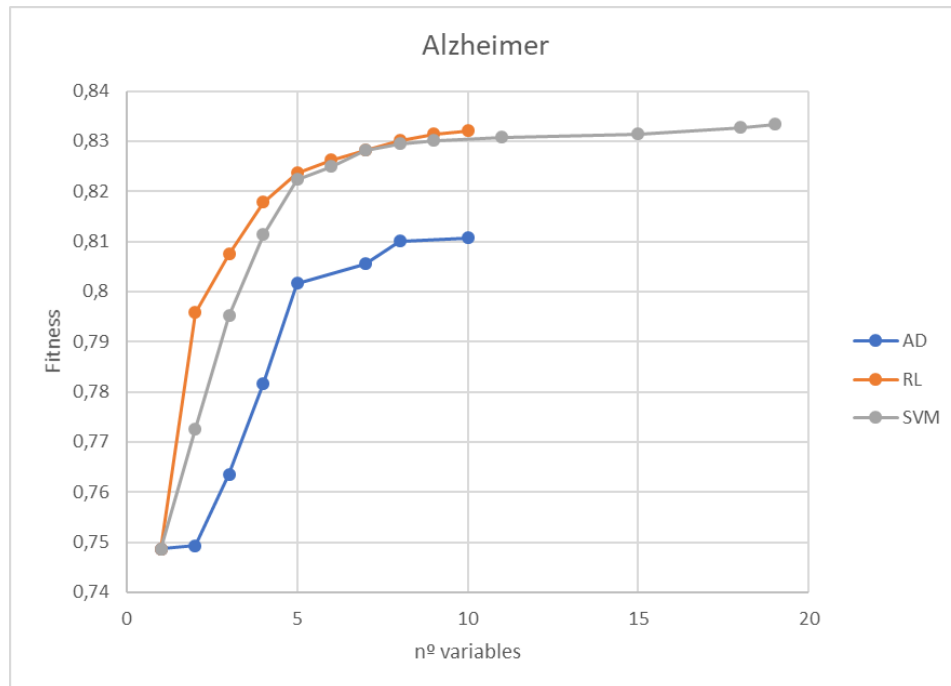


Figura 32. Gráfica comparativa entre el número de variables y el fitness de los clasificadores

II. Elección de modelo entre los distintos clasificadores

- Análisis de los modelos obtenidos con ADL

Basándonos en el conjunto de variables extraídas de la base datos, el método clasificó a los pacientes en sanos o con EA mediante el clasificador de ADL. La precisión de clasificación comenzó en un 74.87% con una variable, y alcanzó un 81% con 10 variables. Como se observa en la tabla 30, ADL indica que haber padecido un ictus predice un 74.87% en la probabilidad de desarrollar EA. Aumenta un 0.6% la probabilidad si se añade la variable edad en la ecuación. Si se combinan las variables no tomar medicamentos antiarrítmicos, ser

fumador y haber tenido un ictus, la probabilidad aumenta un 1.48% con respecto al modelo de una única variable.

Tabla 30. Modelos de clasificación con el clasificador ADL

| Acuraccy | Variable | Coefficiente |
|----------|--------------------------|--------------|
| 0,748708 | Ictus | -0,750361 |
| 0,749354 | Edad | 0,506483 |
| | Ictus | -0,014654 |
| 0,763566 | Antiarrítmicos | -0,02833 |
| | Fumador | -1,354246 |
| | Ictus | 0,689096 |
| 0,781654 | Antipsicóticos | 0,014948 |
| | Fumador | -1,126289 |
| | Enfermedades del corazón | 0,741464 |
| | Ictus | -0,378047 |
| 0,80168 | Antiarrítmicos | -0,127157 |
| | Betabloqueantes | -1,321392 |
| | Fumador | 0,34964 |
| | Bebedor | 0,684717 |
| | Ictus | 0,343325 |

Con 4 variables el modelo llega a predecir un 78.16%, alcanzando su mejor predicción con el menor número de variables con 5 variables: no tomar medicamentos antiarrítmicos y betabloqueantes, ser fumador y bebedor y haber padecido un ictus, el modelo predice un 80.16% la EA.

Así pues, en ADL se repite en cada modelo la variable ictus, determinando que se trata de una patología relacionada directamente con la EA en este caso. También cobra un papel

importante la variable fumador, presente en 3 modelos de los 5 presentados. No tomar antiarrítmicos, también está presente en 2 modelos en ADL.

- Análisis de los modelos obtenidos con RL

Se ha aplicado el algoritmo creado y se ha usado el clasificador del modelo de regresión lineal, la variable final, entonces, fue la EA. Este modelo determinará si un paciente tiene o no, la probabilidad de desarrollar Alzheimer.

Como se observa en la tabla 31, la variable ictus predice un 74.87% la probabilidad de desarrollar EA. En el modelo de 2 variables, no tomar medicamentos antiarrítmicos y padecer un ictus predicen un 4.71% más. Si se cambian las variables por ser fumador, bebedor y haber padecido un ictus, la probabilidad de desarrollar EA es un 80.74%. Con las 4 variables mostradas en la tabla 31, tomar betabloqueantes, ser fumador y padecer enfermedades del corazón y haber tenido un ictus, la probabilidad con respecto al modelo anterior aumenta un 1%. En el modelo 5 de RL, la combinación de estas las 5 variables mostradas, predice un 82.36%. Entendiendo este modelo como un buen modelo con alto nivel de acierto con el menor número de variables.

Los resultados se muestran en la tabla 31. La variable ictus aparece en todas las combinaciones. Las variables “fumador”, “antiarrítmicos”, “betabloqueantes” y “enfermedades del corazón” aparecen en 2 de los modelos, por lo que cobran también especial importancia dentro del método. La variable “bebedor” solo se muestra en uno de los modelos.

Tabla 31. Modelos de clasificación con el clasificador ADL

| Probabilidad | Enfermedad | Coficiente |
|--------------|--------------------------|------------|
| 0,748708 | Ictus | 2.039428 |
| 0,795866 | Antiarrítmicos | -0.014654 |
| | Ictus | 2.020273 |
| 0,807494 | Fumador | -1.354246 |
| | Bebedor | 0.689096 |
| | Ictus | 1.469136 |
| 0,817829 | Betabloqueantes | -1.126289 |
| | Fumador | 0.741464 |
| | Enfermedades del corazón | 0.378047 |
| | Ictus | 1.625869 |
| 0,823643 | Antiarrítmicos | -1.321392 |
| | Betabloqueantes | 0.349640 |
| | Fumador | 0.684717 |
| | Enfermedades del corazón | 0.343325 |
| | Ictus | 1.438381 |

- Análisis de los modelos obtenidos con resultados SVM

Se pretende utilizar para terminar un clasificador basado en un hiper plano que sea más robusto y tenga mayor capacidad predictiva al aplicarlo a nuevas observaciones. SVM es un método de clasificación simple, intuitivo y eficiente utilizado por investigadores y científicos para la clasificación de datos. Este clasificador toma la decisión de comparar una muestra recién etiquetada (datos de prueba) con los datos de referencia (datos de entrenamiento). Según este enfoque y clasificador, un paciente se etiqueta como normal o infectado con EA según el resultado de la clasificación.

De esta manera como se muestra en la tabla 32, se han obtenido los siguientes modelos. Como en los clasificadores anteriores, la variable ictus parece la más determinante por sí misma y también en combinación con el resto de los modelos, ya que se presenta en la mayoría. Del mismo modo, tener la tensión alta y no tomar antiarrítmicos, aparecen en todos los modelos excepto el primero. Otras variables como ser fumador, tomar betabloqueantes o tomar antidiabéticos, se presentan en algunos modelos de manera esporádica.

Tabla 32. Modelos de clasificación con el clasificador SVM

| Probabilidad | Variables | Coefficiente |
|--------------|-----------------------|--------------|
| 0,748708 | Ictus | 1,991592 |
| 0,77261 | Antiarrítmicos | -0,992188 |
| | Hipertensión arterial | 1,993283 |
| 0,79522 | Antiarrítmicos | -1,005837 |
| | Hipertensión arterial | 0,998879 |
| | Ictus | 1,009364 |
| 0,81137 | Antiarrítmicos | -1,003555 |
| | Fumador | -0,994749 |
| | Hipertensión arterial | 0,984955 |
| | Ictus | 1,006583 |
| 0,822351 | Antidiabéticos | -0,987738 |
| | Antiarrítmicos | -0,012114 |
| | Betabloqueantes | -1,005521 |
| | Hipertensión arterial | 0,003837 |
| | Ictus | 0,99922 |

Este clasificador difiere en algunas variables con los otros dos mostrados. Como se puede observar en la tabla 32, en el primer modelo, la variable que predice EA es ictus con una probabilidad del 74.87%, al igual que en el resto de los clasificadores. Si se cambian las

variables por sufrir hipertensión arterial y no tomar antiarrítmicos, la probabilidad aumenta un 2.39%. En el tercer modelo, al juntar las 3 variables mencionadas anteriormente: sufrir un ictus, tener hipertensión arterial y no tomar antiarrítmicos, la probabilidad sigue aumentando en un 2.26%. En el cuarto modelo, si se añade a todas las variables anteriores ser fumador, se sigue aumentando la probabilidad, en este caso un 1.61%. Y, por último, en el modelo con 5 variables en el que se presenta no tomar antiarrítmicos, ni antidiabéticos y betabloqueantes, haber sufrido un ictus y tener hipertensión arterial, mejoraría en la ratio de aciertos con un 82.23%.

DISCUSIÓN

Se puede observar que hay ciertas variables que tienen una mayor probabilidad en el desarrollo del Alzheimer. El objetivo de este estudio fue evaluar la asociación de distintos factores de riesgo con la probabilidad de desarrollar EA.

I. Variables presentes en todos los clasificadores (ADL, RL y SVM)

La contribución del ictus al riesgo de EA de inicio tardío se ha debatido durante mucho tiempo. En nuestro estudio, la variable ictus aparece en los 3 clasificadores y en casi todos los modelos de cada uno de ellos. La ciencia indica que las enfermedades cardíacas y los ictus son dos de las principales causas de muerte y discapacidad en el mundo (482). La evidencia científica muestra una fuerte asociación entre distintos factores de riesgo, incluyendo el ictus. En el meta-análisis realizado por Zhou et al. (483) se concluyó que el ictus aumentó de manera significativa e independiente el riesgo de EA y, a su vez, la EA aumentó el riesgo de ictus. En el estudio de cohorte presentado por Chi et al. (344) también se concluye que el diagnóstico clínico de la EA se asocia con un riesgo considerablemente mayor de desarrollar un accidente cerebrovascular. Por lo tanto, se observa en la literatura que nuestros resultados aparecen relacionados directamente con otros hallazgos y concuerdan de la misma manera.

En general, la literatura indica que el tabaquismo antiguo/activo está relacionado con un riesgo significativamente mayor de EA (50,484,485). Los resultados de esta investigación también apoyan la relación de la variable ser fumador, que está relacionada con alguno de los modelos presentados en los 3 clasificadores. Algunas de las teorías que vinculan esta variable como factor de riesgo de la EA se relacionan con la vinculación de fumar como factor de riesgo de enfermedades vasculares, que influyen directamente en la patogenia de la EA (486,487). En esa misma línea el abandono sostenido del hábito de fumar se asocia con una disminución significativa del riesgo de enfermedades cardiovasculares, enfermedades pulmonares obstructivas crónicas y de EA (488). Otros artículos concluyen que no existe una asociación entre el tabaquismo y el riesgo de EA (489), algunos lo atribuyen a la interacción del genotipo APOE€4 en la etiología de la EA(489), otros concluyen que fumar no afecta al rendimiento cognitivo en personas mayores de 75 años (490).

Otra de las variables directamente relacionada con la probabilidad de desarrollar EA y que en los resultados se presenta en todos los clasificadores (ADL, RL y SVM), es la toma de medicamentos antiarrítmicos como factor protector de la EA. Los efectos del tratamiento con antiarrítmicos sobre la cognición y los marcadores relacionados con la EA se han estudiado en diferentes estudios (491,492), pero la literatura hasta el momento no es muy extensa de la relación existente entre estas dos variables. Aunque a pesar de la escasa información al respecto, los estudios encontrados concluyen que el propranolol (uno de los principales medicamentos que se utilizan como anti arrítmico), refuerzan su potencial como agente terapéutico para la EA (491,493).

En la misma línea que la variable anterior, la toma de medicamentos betabloqueantes, también disminuye la probabilidad de sufrir EA, y así se manifiesta en los resultados presentados, donde esta variable aumenta la ratio de aciertos en todos los clasificadores

presentados. Se sugiere por tanto que la toma de este tipo de medicamentos en personas de edad avanzada está implicada como protector en el desarrollo de la EA. Pero esto es contrario al estudio reciente realizado por Holm et al. (494) donde se observó que el uso de betabloqueantes se asociaba con un riesgo dos veces mayor de EA posterior en la población general de edad avanzada. Esta interacción puede deberse a que los medicamentos betabloqueantes poseen importantes capacidades de regulación para las funciones cognitivas y conductuales (495). También se ha argumentado que el mecanismo detrás del deterioro cognitivo inducido por betabloqueantes es causado por una producción reducida de melatonina (496), en esa línea una reducción en los niveles de melatonina se correlaciona con la EA y se ha demostrado que la suplementación con melatonina retrasa la progresión de los pacientes con deterioro cognitivo leve a la EA(497). Pero otros estudios demuestran beneficios por la toma de betabloqueantes, por ejemplo, el uso de betabloqueantes después de un infarto de miocardio se ha asociado con una mayor disminución funcional en los residentes de hogares de ancianos (498). Otros muchos estudios demuestran la mejora de la insuficiencia cardíaca tras la toma de medicamentos betabloqueantes como el metoprolol (499), enalapril (500) o valsartan (501).

II. Variables presentes solo en dos de los clasificadores (ADL y RL)

ADL y RL comparten algunas de las variables que predicen la EA. La primera de ellas es “bebedor”. El impacto del alcohol en la EA ha sido ampliamente estudiado, actualmente, existe discrepancia con respecto al impacto del alcohol sobre la EA (502). Los resultados de este estudio demuestran que ser bebedor aumenta la probabilidad de desarrollar EA en el futuro. En cuanto a la literatura, se contemplan dos teorías ampliamente respaldadas. Por una parte, el consumo de alcohol como factor protector frente a la EA. Algunos estudios respaldan que el consumo moderado/regular de alcohol tiene mayor efecto protector, entendiéndose como moderado: 0,25 a >1 bebida/día para mujeres; 0,25 a >2 bebidas/día

para hombres (503). Otros estudios analizan otras variables moderadoras en esa misma línea del alcohol como factor protector como sexo o raza, identificando el consumo de alcohol ligero a moderado como protector contra la EA en hombres (504) y el consumo regular de alcohol (>10 tragos/semana) como protector solo para afroamericanos (505). Por otra parte, otros estudios han concluido que el consumo de alcohol está asociado con un mayor riesgo de EA, en ese sentido el principal problema para determinar la relación es la medición de la cantidad de alcohol ingerida, que es muy variable: sí/no consume actualmente y/o consumió alguna vez, volumen consumido durante un período de tiempo específico (p. ej., litros/semana, gramos/día) y solo consumo excesivo de alcohol (>6 tragos por día durante 10 años) (502). Independientemente de los niveles variables de alcohol examinados en los estudios, los hallazgos con respecto a la asociación entre el alcohol y la EA no parecen ser muy concluyentes.

Los factores de riesgo cardiovascular están estrechamente relacionados con el riesgo de EA (506). La importancia de las complicaciones de las enfermedades cardiovasculares en la vejez se ha ampliado con los avances en la medicina cardiovascular en las últimas décadas. El cerebro es un órgano altamente vascularizado, recibe el 15 % del gasto cardíaco y representa alrededor del 20 % del consumo total de oxígeno del cuerpo (507). Esta razón podría explicar los resultados de este estudio donde se han asociado directamente las enfermedades cardiovasculares con la probabilidad de desarrollar EA. Otro de los motivos es que la EA se ha considerado una enfermedad específica del cerebro que se caracteriza por la presencia de placas y ovillos neurales de proteínas, que es lo que produce la sintomatología típica de la EA (508). Nuevas evidencias sugieren que las agregaciones de las proteínas mencionadas anteriormente, también se encuentran en las personas enfermedades cardiovasculares (508). En este sentido existen estudios que vinculan la EA con enfermedades cardiovasculares en distintas poblaciones (509,510). Los factores de riesgo cardiovascular como la cardiopatía

coronaria, la fibrilación auricular y la insuficiencia cardiaca se asocian con la demencia y el deterioro cognitivo (511).

III. Variables importantes en SVM

La hipertensión crónica se ha sugerido como uno de los mayores factores de riesgo modificables para desarrollar EA (208). En los resultados que se han mostrado con el clasificador SVM, la hipertensión aparece en todos los modelos, por lo que su importancia merece distinción. En esta línea existen muchos estudios que relacionan la hipertensión arterial con la EA. De hecho, uno de los capítulos de la primera sección de esta tesis, se encarga de analizar dicha relación. Los estudios epidemiológicos han demostrado que la hipertensión es un factor de riesgo para la demencia y la EA, pero la asociación es compleja. Por una parte, algunos estudios establecen una relación clara entre ambas variables, justificando esta relación en distintas teorías: la probabilidad de manifestar EA aumenta con la presencia de patología cerebrovascular (como se ha mencionado anteriormente), que está fuertemente ligada a la hipertensión (512,513). Además, la hipertensión no controlada parece que aumenta el nivel de ovillos neurofibrilares y placas neurales (que se ha señalado anteriormente como causantes directos de la EA), lo que provocaría una relación directa entre las dos variables (273,514). Otra de las teorías consiste en que algunos estudios también han observado una mayor atrofia cerebral con aumento de la presión arterial tanto en personas con demencia (515,516). Sin embargo, en el meta análisis realizado por Power et al.(517) no proporcionan pruebas claras de una relación entre la presión arterial y la EA, la justificación a estos resultados, podría explicarse por el papel de los medicamentos, si la presión arterial está causalmente relacionada con el riesgo de EA, el tratamiento de la hipertensión puede dificultar la detección de tal efecto en la población, y de esta manera no relacionar ambas variables.

IV. Variables comunes importantes en ADL y RL

Ambos clasificadores comparten varias variables en los distintos modelos. De esta manera, tomar antiarrítmicos, haber sufrido un ictus, haber sufrido enfermedades del corazón o ser fumador son comunes a ambos clasificadores y aparecen en la mayor parte de los modelos de manera combinada.

LA literatura avala estos resultados, estableciendo vínculos entre los factores vasculares y la EA (tanto a nivel clínico como patológico), pero la naturaleza de la relación aún no se ha establecido por completo y faltan estudios de tratamiento de alta calidad que examinen la medida en que la modificación del riesgo vascular altera la enfermedad de EA (518). Son muchas las teorías que avalan la relación existente entre estas variables, por ejemplo, por una parte, el daño cerebrovascular debido a factores de riesgo vascular y que desencadena directamente la demencia vascular (77). Por otra parte, cada vez es más evidente que los factores de riesgo vascular también aumentan el riesgo de EA neurodegenerativa, que se asocia con la acumulación de proteínas neurotóxicas en el cerebro (519).

La variable edad clasifica en ADL con un modelo de dos variables donde se incluye ictus y edad con un 74.93% de clasificación. La edad avanzada no causa la EA, pero es el factor de riesgo conocido más importante para la enfermedad (520). La cantidad de personas con la EA se duplica aproximadamente cada 5 años después de los 65 años (521). Aproximadamente un tercio de todas las personas mayores de 85 años pueden tener la EA (521).

La variable tomar betabloqueantes clasifica en RL en un modelo con 4 variables (Ser fumador, ictus, enfermedades del corazón y tomar betabloqueantes) donde la predicción aumenta hasta en un 6% con respecto al modelo de una única variable. La literatura avala estos datos, destacando el papel beneficioso de los betabloqueantes en la EA, que revierten

significativamente los déficits bioquímicos y de comportamiento inducidos por la enfermedad (522,523).

V. Variables presentes solo en algunos de los modelos

En los resultados, la edad, como se remarcaba anteriormente, también es una variable que se remarca como predictiva en el clasificador de ADL. En realidad, esto concuerda con la literatura científica. Hebert et al. (520) en el año 1995, ya concluían que la incidencia de la EA es aproximadamente 14 veces mayor entre las personas mayores de 85 años en comparación con las que tienen entre 65 y 69 años. En un estudio realizado en España, se concluye que la edad avanzada es el principal factor de riesgo de la EA, por lo que el envejecimiento de la población, debido a la mejora de la esperanza de vida, aumenta la incidencia y prevalencia de la EA, así como los costes económicos, sociales y emocionales asociados a esta enfermedad (208). Algunas de las razones por las que la edad puede influir en el desarrollo de la EA podrían ser varias. Por una parte y más allá del alelo $\epsilon 4$ de la apolipoproteína E (APOE), se sabe poco acerca de los genes asociados con y que aumentan el riesgo de EA (524). Un estudio realizado en 2019 de todo el genoma identificó un locus en el cromosoma 17 asociado con la edad de inicio de la EA (525). Además, las respuestas inflamatorias e inmunitarias tienen un papel importante en el desarrollo y progresión de la EA, y estas son más lentas y peores a medida que se aumenta la edad de la persona (379). Por otra parte, la edad y la EA ejercen patrones independientes de atrofia de la materia gris, pero estos efectos se superponen sustancialmente en el hipocampo y la corteza cerebral y conllevan a la progresión de la enfermedad (311). Sin embargo, otros estudios remarcan que algunos factores genéticos y no genéticos modifican el efecto de la EA en la edad y determinan la relación entre ambas variables (526,527).

En este estudio el uso de antipsicóticos se postula como una variable que influye en el diagnóstico de la EA. El uso de antipsicóticos en EA produce controversia en la literatura,

se ha analizado sobre todo el uso de estos fármacos en el tratamiento de los síntomas y la literatura es prácticamente inexistente para la relación que puede tener el consumo de antipsicóticos y el desarrollo de la EA. En esa línea se señala, que a pesar de todos los efectos adversos descritos y las recomendaciones de precaución en su uso, los antipsicóticos aún se utilizan ampliamente (528). La realidad es que este tipo de fármacos se prescriben de manera regular entre la población mayor y muchos facultativos alertan del peligro que supone usarlas de manera crónica(529). El uso de este tipo de fármacos, se vincula con otro tipo de enfermedades como la diabetes, en el estudio realizado por Chang et al. (530) se concluyó que el riesgo de diabetes fue elevado en pacientes con EA en tratamiento antipsicótico. Se debe tener en cuenta, como sucede en otras patologías, que las personas difieren en su respuesta a los medicamentos (531), se han usado los antipsicóticos para mejorar la sintomatología de la EA, y ha resultado ser eficaz (532), sin embargo para controlar las alteraciones del comportamiento que produce la EA, en otras ocasiones, se ha reducido el uso de antipsicóticos a largo plazo en pacientes diagnosticados de EA (533). Otra de las líneas que relaciona el uso de antipsicóticos con la EA es la psicosis, por ejemplo, en varios estudios las personas diagnosticadas de EA y que usaban medicamentos antipsicóticos, tenían más probabilidades de tener psicosis que los que no consumían esos fármacos (534,535). Los síntomas psicóticos son comunes y persistentes en pacientes con EA, aunque la investigación continua, en particular los estudios longitudinales, pueden revelar asociaciones biológicas y clínicas que informarán de la asociación futura entre estas variables (535).

Los factores de riesgo cardiovasculares y asociados al estilo de vida se reconocen cada vez más como importantes para la patogénesis de la EA. Los resultados que se presentan en este estudio indican que los fármacos antidiabéticos son protectores en la EA, ya que tomarlos disminuye el desarrollo de la EA. Estos resultados son acordes a la literatura científica, donde se ha concluido en otros estudios que los fármacos antidiabéticos pueden representar un

enfoque prometedor para combatir la EA (536). En este sentido, algunas patologías como la diabetes aumentan significativa e independientemente el riesgo de EA (38). La relación de la diabetes con la EA se puede explicar por diversas teorías. Los estudios han demostrado que una vía alterada de la insulina puede interactuar con el depósito de la proteína amiloide- β y la fosforilación de la proteína tau, ambos factores principales para el desarrollo de la EA (536). Además, la insulina actúa como factor de crecimiento en el cerebro y es neuro protectora, activa el brote dendrítico, la regeneración y la proliferación de células madre, por lo que el deterioro de esta importante señal del factor de crecimiento puede facilitar el desarrollo de la EA (537). Todas estas afirmaciones, pueden explicar los resultados obtenidos, si las teorías e hipótesis actuales implican claramente que la señalización defectuosa de la insulina en el cerebro contribuye a la disfunción sináptica y los déficits cognitivos en la EA, la toma de antidiabéticos podría actuar como protector en la EA.

VI. Variables más relevantes en los mejores modelos

Para concluir con los resultados se puede resumir la información de los diferentes modelos de la siguiente manera: La variable ictus es considerada como la más relevante, apareciendo en todos los clasificadores y prediciendo como única variable en todos los clasificadores un 74.87%. La variable hipertensión arterial como se ha visto anteriormente también constituye una variable importante en el clasificador SVM, apareciendo en casi todos sus modelos. En cuanto a la toma de antiarrítmicos, se considera en todos los clasificadores como un factor protector, una afirmación considerada y avalada por la literatura científica que prueba la eficacia de los medicamentos antiarrítmicos para la mejora de patologías cardíacas. Por último, la variable fumador genera controversia en los distintos modelos, ya que en unos clasifica como factor protector y en otros como factor de riesgo de la EA. Resultados que concuerdan también con la literatura, donde en algunos estudios se asocia la nicotina como

factor protector contra la EA (538) y en otros estudios se considera ser fumador como factor de riesgo de la EA (486,490).

CONCLUSIONS SECTION 2

“Miradas perdidas, sueños robados, mentes que olvidan, sentimientos encontrados.”

(Diego Pérez)

CONCLUSIONS SECTION 2

AD is a devastating syndrome that affects an increasing number of people due to the aging worldwide population. According to Alzheimer's Disease International (ADI), 24.3 million people were living with dementia in 14 World Health Organization (WHO) regions in 2001, and this will reach 81.1 million by 2040, with numbers doubling every 20 years (88).

The diagnosis of AD during a patient's lifetime has always been achieved on the basis of clinical criteria and associated diagnostic algorithms, such as those presented by the National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA) (McKhann et al., 1984). These criteria had a high measure of sensitivity, but because they did not reach 100%, the diagnosis was always defined as "probable" or "possible" AD.

The first chapter explains the methodology for developing a system to generate models from medical diagnoses established in a database, considering that these models must be simple and that few variables have been selected from among a big data base. These variables should be really relevant. The tool developed in this first chapter is a variable selection method for diagnostic problems that is combined with different types of classifiers. In our case, we consider linear classifiers, since they are more easily interpretable, specifically Linear Discriminant Analysis (LDA), Logistic Regression (LR), and Support Vector Machine (SVM).

Through the application of different computational tests, the best performance of the generated tool is demonstrated compared to other variable selection methods existing in the literature. The aim of this chapter is to search more efficient and accurate models that establish the diagnosis of AD.

The second chapter describes the approach to a research problem aimed at applying the algorithm described in the previous chapter to detect the probable appearance of AD in its first phase, using autonomous learning or Machine Learning techniques. A database of two groups (subjects diagnosed with AD and healthy subjects) was considered and classified between these two groups. After group separation, a Machine Learning algorithm was implemented for classification that determined different results.

The stroke variable was the most relevant variable. In this case, stroke predict at 74.87% in all classifiers and it is the only variable with these results. Arterial hypertension also constituted an important variable in the SVM classifier, appearing in almost all the models. Antiarrhythmic drugs were considered a protective factor in all classifiers, a statement considered and supported by the scientific literature. Along the same lines as the previous variable, the use of beta-blocking drugs also decreased the probability of suffering from AD, and this is shown in the results presented, where this variable increased the hit ratio in all the classifiers presented. Finally, the smoking variable generates controversy in the different models, since in some it is classified as a protective factor and in others as a risk factor for AD. The results on the relationship between AD and these drugs (antiarrhythmics and beta-blockers) are also consistent with the literature.

Among the contributions of this section, this is the first time that ADL, RL, and SVM models are jointly applied to predict the clinical diagnosis of AD using clinical information (lifestyle, diagnosed pathologies, medication intake, and filial data). The encouraging results of this study suggest the feasibility of a more comprehensive and extensive approach to predicting and assisting clinical diagnosis by Machine Learning methods. Medicine is always advancing and is always trying to optimize processes in the prevention, diagnosis, treatment, and care of diseases of all kinds and, in this case, the project contributes directly to the field of neurology. Thanks to the results of this study, it is possible not only to prevent, but also to

treat, diagnose, and cope with AD even before its onset. Another point to highlight is that the technological field, and specifically Machine Learning enriched this study providing solutions to problems of all kinds, in this case a problem of medical diagnosis, which can be handled through predictive models of Machine Learning, leaving the door open to endless technological possibilities directly in this field and derivatives thereof. Another important contribution is that the algorithm for the early detection of AD is non-invasive – it does not require any examination of or procedure on the patient; it is based exclusively on the patient's medical history. Therefore, it is quite easy to use and implement.

In terms of limitations, it would be advisable to carry out the study with a larger number of cases incorporated in the database, which would allow for the inclusion of cross-validation, with which more generalizable conclusions would be obtained. In this sense, if an investigation were carried out with a larger sample of cases, it is very likely that this new database would make it possible to generate more stable and more generalizable results.

FUTURE LINES

Early diagnosis of AD is very important nowadays. In addition, noninvasive diagnostic methods based mainly on patient history, clinical observation, and cognitive assessment could help to control the development of AD. In this regard, different diagnostic methods have been used where the accuracy of the performance of each classifier in the method is successful. This novel method, at best, has a classification accuracy of approximately 82.36% in a model with 5 variables. Other models need a larger number of variables to achieve improved prediction by a further 2%.

Prevention of AD remains an important goal due to its high prevalence in society. These results suggest the critical importance of interventions targeting modifiable risk factors to improve AD prevention and the complex relationships between different risk factors and AD, as previously shown by another research. Cognitive engagement, diet/nutritional

supplement intake, level of physical activity, type 2 diabetes, level of alcohol consumption, mood disorders, hypertension, hypercholesterolemia, and smoking have been proposed as modifiable risk factors for AD. Further studies with longitudinal assessment and a broader set of variables are currently needed to be able to determine more conclusive results.

Future lines of research are established, such as studying the results of the relationship between AD and smoking. Most studies in the literature show that smoking may not be related to the onset of AD or increase the risk of developing AD, but do not specifically address the possible long-term effects that could be obtained from nicotinic receptor modulation in AD. Cigarette smoke is known to contain many chemicals and substances, making it impossible to assess how nicotinic modulation alone could alter AD risk. In addition, it is proposed to continue with the study of cardiovascular risk factors. In this chapter, risk factors have become particularly relevant in the relationship with AD.

FINAL CONCLUSIONS



CONCLUSIONS

“Yo te quitaré tus ojos y los pondré en lugar de los míos, tú me quitarás mis ojos y los pondrás en lugar de los tuyos, así yo podré verte con tus propios ojos y tú me verás a mí con los míos.”.

(Jesús de la Gándara)

FINAL CONCLUSIONS

In recent years, there have been important advances in scientific research on AD. With the realization that this disease causes brain damage even up to 15 to 20 years after the onset of the first symptoms, research has focused on the prevention of its development. National experts Bermejo-Pareja et al. (336), and international (539) recommend primary and secondary prevention studies aimed at healthy people or those with very mild symptomatology. It is considered essential to intervene before significant neuronal loss occurs which leads to the onset of AD. In the UK, only 5% of research funding between 1990 and 2012 was invested in risk factors and preventive strategies, 11% in dementia diagnosis, 20% in care and support, while nearly 65% was invested in etiology, cure, and treatment development (540). However, this trend is changing in recent years, and resources are being redirected to research on preventive strategies (541).

Disappointing results of drugs tested to-date in clinical trials to modify the course of mild/moderate AD, combined with clear epidemiological evidence of its risk factors, are contributing to the development of primary prevention initiatives (542). As a consequence, many intervention studies are currently focusing on cognitively healthy individuals as the best strategy to reduce the incidence and prevalence of AD. On the other hand, the characterization of the long asymptomatic stage of AD is enabling the development of intervention studies and secondary prevention programs in asymptomatic at-risk individuals, before substantial irreversible neuronal dysfunction and loss occurs, an approach that emerges as highly relevant (542). In this sense, this thesis addresses both primary and secondary prevention of AD.

In the first section, specific estimates of the effect sizes of the association between different modifiable risk factors and AD are provided using meta-analytic techniques that are the basis for the establishment of primary prevention programs, as well as for the review of

current strategies at this level. Using this focus on risk factors, Norton et al. (466) concluded that about one third of new cases of AD worldwide could be attributable to these factors and, consequently, could be prevented. These researchers estimated that decreasing the prevalence of seven risk factors (diabetes mellitus, hypertension and obesity in middle age, physical inactivity, depression, smoking, and low education) by 10% to 20% per decade could reduce the prevalence of AD in 2050 by 8% to 15%, or between 8.8 million and 16.2 million cases. Therefore, the incidence of AD could be reduced with the use of effective measures aimed at reducing the prevalence of some of the cardiovascular risk factors examined in this thesis, such as stroke, hypertension, cholesterol, and depression (528). Observational evidence provides a solid basis for identifying vascular and lifestyle-related risk factors that increase the risk of developing AD. We conclude that even modest reductions in these factors can significantly mitigate the overall risk and delay the age of onset of AD (539).

The use of "health promotion and prevention" techniques to promote a healthy lifestyle with the aim of avoiding the development of risk factors can be particularly effective in terms of reducing the risk of contracting AD. This lifestyle refers to a series of daily attitudes and behaviors that people perform to stay healthy, such as regular physical activity, weight control, avoiding or reducing the consumption of alcohol or other drugs, eating a balanced diet, promoting regular and quality sleep, stimulating the mind, maintaining an active social life, and taking care of mental health. Interventions aimed at promoting these habits to reduce the predisposition to develop modifiable risk factors (such as cardiovascular disease or depression) can play a key role in preventing and delaying functional decline, ultimately reducing the incidence of AD.

In two longitudinal studies (543) with 5.8- and 6-year follow-ups, the hazard ratio for AD in 2 cohorts was 0.73 (95 % CI 0.66-0.80) for each additional healthy lifestyle factor (no

smoking, mild to moderate alcohol consumption, ≥ 150 min/week of moderate/vigorous intensity physical activity, a high-quality Mediterranean diet, and participation in cognitive activities in old age). In the first group, participants with 0 to 1 healthy lifestyle factor, the risk of AD was 37 % lower (combined HR 0.63, 95 % CI 0.47-0.84), than in the group with 2 to 3 healthy lifestyle factors. In the group with 4 to 5 healthy lifestyle factors, it was 60 % lower (pooled HR 0.40, 95 % CI 0.28-0.56). Likewise, large epidemiological cohort studies suggest that the incidence of age-specific dementia is decreasing in high-income countries, probably due to better control of cardiovascular risk factors (541,544,545). It is concluded that promoting a healthy lifestyle is associated with a substantially lower risk of Alzheimer's dementia.

In summary, the results of Section 1 contribute to design guidelines for public health policy since the estimates found in the different studies could support decisions on health education and community planning. Promotion and prevention agendas linking AD with other noncommunicable diseases should be developed to help optimize preventive strategies. It is emphasized that an effective strategy for the primary prevention of AD aimed at the general population should establish, on the one hand, risk factor surveillance programs in different age groups and, on the other hand, focus on how to manage lifestyle and cardiovascular risk factors. Along these lines, if an adequate epidemiological surveillance system is established, it would be useful to cover all the risk factors associated with AD to increase the possibility of preventing AD. In this sense, epidemiological surveillance is understood as the systematic and continuous collection of information to design, monitor, and evaluate different public health interventions (546). Furthermore, with AD being a multifactorial disorder, interventions that promote healthy lifestyles should simultaneously target multiple risk factors. Reliable estimates of the distribution of risk factors by world region and their long-term trends are crucial to better understand the impact of these factors on the health outcomes of future generations of older adults (547).

Section 2 deals with secondary prevention aimed at the early diagnosis of AD. With this objective, first, a specific methodology is described to develop a model generator system from medical diagnoses established in a database. The tool developed is a variable selection method for diagnosis problems that is combined with different types of classifiers. In this case, linear classifiers were considered: Discriminant Analysis (DLA), Logistic Regression (LR), and Support Vector Machine (SVM). In the chapter 7, the algorithm described in the chapter 6 was applied, with results that made it possible to detect the probable occurrence of AD using autonomous learning or Machine Learning techniques. Thus, different variables have been established (stroke, arterial hypertension, and cholesterol as risk factors and antiarrhythmic and beta-blocker drugs for the improvement of different cardiac pathologies as protective factors) that predict a high probability of developing AD and that can lay the foundations for defining a scientific methodology that allows its early diagnosis. In short, once the presence of a series of combined risk and protective factors has been diagnosed, it is possible to intervene early to reduce the risk of developing AD in the future. The preclinical stage may offer the optimal window for therapeutic success and the opportunity to intervene earlier in the continuum, halting or delaying the onset of cognitive decline and, ultimately, AD dementia (542).

As noted, there is increasing evidence that the molecular mechanisms of AD are activated several years before neurons begin to die and cognitive deficits become apparent. Thus, one of the most important challenges today is the need for such early and accurate diagnostics of AD (548). Several reasons reinforce this line of intervention. On the one hand, the diagnosis of AD consists of the evaluation of clinical symptoms; and on the other hand, the diagnosis of AD is based on the evaluation of clinical symptoms (549), so the person is usually diagnosed when dementia is ongoing (550). This is the case for standardized clinical criteria, such as the Diagnostic and Statistical Manual of Mental Disorders and the National Institute of Neurological, Communicative Disorders, and Stroke-AD and Related Disorders

Association (NINCDS-ADRDA) definitions. In addition, neuropsychological tests, such as the cognitive subscale of the AD Assessment Scale (ADAS Cog), are used to monitor the progression of AD symptoms. Although it is unquestionable that these tests reflect an important aspect in the diagnosis and progression of the disease, the ceiling effects in 8 of the 11 items and their low test-retest reliability in some of the items (intraclass correlation coefficients between 0.5 and 0.8) in patients with mild AD make it necessary that other diagnostic options be investigated (551). On the other hand, the use of biomarkers, neuroimaging, and DNA testing (552) to generate an accurate preclinical diagnosis would be too expensive to apply to the entire population of elderly people at risk of dementia. Hence the importance of developing a high accuracy tool that can detect AD before the onset of the first symptoms. In response to the need for early AD diagnosis, this thesis has created an easy-to-use and inexpensive tool by combining different variables to accurately determine the likelihood of developing AD.

Currently, some pharmacological treatments used in AD can only treat the symptoms, but not change its course. Despite advances in the pathophysiology of AD, such as the functioning of amyloid and tau, or the understanding of the causal mechanisms of AD pathology, effective treatment remains elusive (550). Therefore, since there are no effective treatment options for many patients, early diagnosis would help to detect AD early and, in this way, propose a treatment that can delay its progression. Currently, early intervention is the most optimal strategy because the patient's level of function is preserved for a longer period of time (542). In this regard, the efforts of a multitude of researchers to develop effective diagnostic tools and modifying therapies for AD have shown that it is a pathology frequently associated with other variables. Defining the relationships between various co-pathologies and their interdependence remains an active area of research (553).

There is previous experience studying the efficacy of long-term multidomain interventions in individuals identified to be at increased risk of developing AD (e.g., individuals diagnosed with several of the risk factors associated with AD). FINGER (Finnish Geriatric Intervention Study to Prevent Cognitive Impairment and Disability) is a 2-year multicenter randomized controlled trial conducted in Europe that examined the efficacy of 2-year multidomain lifestyle intervention (dietary counseling, vascular risk monitoring, exercise, and cognitive training) in 1260 participants aged 60 to 77 years at increased risk of dementia. Participation in the intervention was associated with neuropsychological improvements and in all cognitive subdomains. Lifestyle improvement was associated with overall neuropsychological improvement and in executive function, but not in other cognitive domains. According to this study, it is important to provide sufficiently intensive lifestyle interventions and to emphasize measures that support healthy lifestyle adherence to promote good cognitive functioning (545). In the ACTIVE (Advanced Cognitive Training for Independent and Vital Elderly) study realized in EE.UU (554) with people over 65 at risk of functional decline, but who had not yet experienced functional decline (at trial entry had no significant cognitive, physical, or functional impairment), showed that, after 10 years of follow-up, rates of dementia in those who received some cognitive intervention (specific training in memory, reasoning, or processing speed) were significantly lower for participants in the processing speed intervention group (555). However, all trials have not shown positive results. The MAPT (Multidomain Alzheimer Preventive Trial) is a three-year randomized controlled trial conducted in France that combines a multidomain lifestyle-based intervention (nutrition counseling, physical activity, and cognitive training) with a nutraceutical compound (omega-3 fatty acid supplements) (7) targeting 1680 people aged 70 years or older who had subjective complaints of memory, limitation in an instrumental activity of daily living, or slow walking speed. The trial was not effective in mitigating cognitive decline (556). PreDIVA (Prevention of Dementia by Intensive Vascular care),

conducted in the Netherlands, is a six-year study of 3526 older adults aged 70 to 78 years who received a nurse-led multidomain intervention consisting of healthy lifestyle and intensive vascular care advice and risk factor management, including initiation or optimization of antithrombotic and pharmacological treatments for hypertension, dyslipidemia, or diabetes, when necessary (8). The main results of the trial showed no difference in the incidence of dementia between the intervention and control groups (557,558).

Having a method that can make an early diagnosis of AD can translate into an improvement for the individual, the caregiver, and society, as well as a reduction in the risk of developing the disease or delaying its progression. In addition, early diagnosis of AD is important to give the individual and their caregivers time to make decisions and plan, and to provide access to treatments that can help control symptoms. In Spain, work is being done on how to diagnose AD earlier, so there is now more diversified research on Alzheimer's that could open the door to a solution to this disease (559). For example, PREDIMED (Prevention with Mediterranean Diet) is a parallel group randomized clinical trial of 447 cognitively healthy volunteers from Barcelona (233 women [52.1 %]; mean age, 66.9 years) at high cardiovascular risk randomized to a nutritional intervention (Mediterranean diet supplemented with extra virgin olive oil, Mediterranean diet supplemented with mixed nuts, or control diet based on advice to reduce dietary fat). The benefit of the Mediterranean diet was independent of sex, age, energy intake, and variables related to cognition, including educational level, APOE ϵ 4 genotype, and vascular risk factors (560).

In summary, Section 2 provided empirical evidence to support a series of recommendations aimed at developing strategies that promote early diagnosis and earlier interventions both to save health care costs and to improve quality of life. On the one hand, epidemiological surveillance focused on the combined risk factors that increase the probability of developing

AD, such as stroke, arterial hypertension, and cholesterol. The surveillance system should allow information to be collected systematically, uniformly, of sufficient quality, and permanently (or with determined periodicities) (561). On the other hand, interventions aimed at improving the cardiovascular risk profile with both pharmacological (e.g., antiarrhythmic drugs or beta-blockers) and non-pharmacological (healthy lifestyle recommendations and information on adherence to lifestyle changes) treatments are required to prevent the development of AD in people at risk of cognitive impairment.

In this thesis, several risk factors have been postulated as determinants for predicting the development of AD. Some of these factors are associated with people's lifestyles. From this perspective, we wanted to highlight occupation as a protective factor that could contribute to new ideas focused on the prevention of these risk factors to avoid or delay the onset of AD. Occupational therapy can play a fundamental role in the promotion of occupations and healthy lifestyles that improve an individual's aging process. Occupational therapy would allow maintaining functionality, improving the quality of life of the person and his or her environment, and slowing the progression of the disease (562). Occupational therapy can represent an important part of the treatment as it increases the autonomy of people, allowing for the development of activities of daily living through cognitive and behavioral exercises (70). The lifestyle habits and occupations that people engage in can reduce or increase the risk of factors that progress to the development of AD. This is why occupational therapy can contribute to the primary and secondary prevention approach to AD (74). Specifically, in the case of secondary prevention, the results of this thesis suggest that, together with encouraging adherence to a healthy lifestyle, people diagnosed with any of the risk factors should follow pharmacological treatment to reduce the probability of developing AS, such as antiarrhythmic drugs to prevent arrhythmias derived from different cardiovascular diseases (such as heart problems or high blood pressure, and even high cholesterol levels).

To conclude, this thesis applies diverse methodologies with different samples to demonstrate the predictive robustness of risk factors associated with the development of AD with the aim of contributing to its prevention. It is hoped that primary and secondary prevention will reduce the number of people suffering from this disease, alleviating an enormous burden on the public health of countries around the world. The costs of neurodegenerative diseases such as AD are high, and it seems likely that these resources and techniques can be used to reduce the incidence and prevalence of AD. Thus, epidemiological evidence of AD risk factors contributes to and encourages the development of prevention initiatives. From this perspective, the results derived from current trials and strategies are needed to improve future designs by performing a post-hoc analysis of the potential benefits of risk factor reduction on disease incidence (542).

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ANEXOS/APPENDIXES



ANEXOS/APPENDIXES

“La memoria puede dormir y no recordar, pero el corazón nunca olvidará lo que ha sentido.”

(Sam)

ANEXO 1/APPENDIX 1

Tabla A 1. Characteristics of studies included in the meta-analysis

| Study (<i>N</i> = 100) | Year | Country | Cases | | | Controls | | |
|---------------------------------------|------|-----------|----------|--------|--------------|----------|--------|---------------|
| | | | <i>n</i> | % F | Age | <i>n</i> | % F | Age |
| Zhou et al. (563) | | | | | | | | |
| 1. Ban et al. (564) * | 2009 | Japan | 197 | 59.90 | 80 ± 1 | 47 | 38.30 | 75 ± 1 |
| 2. Cacabelos et al. (565) | 2003 | Spain | 147 | - | 71.73 ± 9.61 | 109 | - | 50.20 ± 12.06 |
| 3. Caramelli et al.(566) | 1999 | Brazil | 24 | - | 67.2 ± 10.6 | 32 | 59.38 | 68.2 ± 10.6 |
| 4. Chen et al. (567) | 2019 | China | 117 | 52.14 | 67.64 ± 6.65 | 117 | 62.39 | 66.06 ± 6.00 |
| 5. Hoshino, Kamino, Matsumoto (568) * | 2002 | Japan | 82 | 71.95 | 77.0 ± 6.8 | 40 | 67.50 | 84.2 ± 3.1 |
| 6. Kouzuki et al. (569) | 2018 | Japan | 42 | 61.90 | 80.5 ± 5.7 | 18 | 72.22 | 75.6 ± 5.5 |
| 7. Kuo et al. (570) * | 1998 | America | 64 | - | 81.6 ± 0.9 | 36 | - | 78.7 ± 1.3 |
| 8. Lehtonen, Luutonen (571) | 1986 | Finland | 22 | 100.00 | ≥90 | 23 | 100.00 | ≥90 |
| 9. Lesser et al. (572) | 2001 | America | 44 | - | 87.0 ± 8.5 | 22 | - | 82.0 ± 7 |
| 10. Macesic et al. (573) | 2017 | Serbia | 62 | 70.97 | 73.1 ± 5.8 | 40 | 50.00 | 68.4 ± 5.5 |
| 11. Mamo et al. (574) | 2008 | Australia | 10 | - | 79.2 ± 1.8 | 10 | - | 80.5 ± 1.5 |
| 12. Moroney et al. (575) | 1999 | America | 225 | 72.00 | 77.7 ± 6.3 | 764 | 67.54 | 74.1 ± 5.5 |
| 13. Panza et al. (576) | 2003 | Italy | 49 | 69.39 | 71.6 ± 9.3 | 45 | 71.11 | 65.8 ± 11.6 |
| 14. Paragh et al. (577) | 2002 | Hungary | 30 | 66.67 | 64.3 ± 11.7 | 40 | 65.00 | 72.3 ± 9.6 |
| 15. Reitz et al. (578) | 2004 | America | 244 | 77.46 | 82.85 ± 7.3 | 2226 | 65.86 | 76.42 ± 6.3 |
| 16. Ryglewicz et al. (579) | 2002 | Poland | 26 | - | 67 ± 8.4 | 46 | - | 67.5 ± 6.9 |
| 17. Scacchi et al. (580) | 1998 | Italy | 80 | 71.25 | 83.5 ± 5.9 | 155 | 76.77 | 78.3 ± 7.0 |
| 18. Shafagoj et al. (581) | 2018 | Jordan | 38 | 63.16 | 74.2 ± 5.4 | 33 | 66.67 | 72.4 ± 6.3 |

| Study (N = 100) | Year | Country | Cases | | | Controls | | |
|--------------------------------------|------|---------|-------|--------|--------------|----------|--------|--------------|
| | | | n | % F | Age | n | % F | Age |
| 19. Solfrizzi et al. (582) * | 2002 | Italy | 49 | 75.51 | 71.6 ± 9.3 | 45 | 71.11 | 65.8 ± 11.6 |
| 20. Tang et al. (583) | 2019 | China | 143 | 45.45 | 62.89 ± 8.38 | 140 | 46.43 | 64.10 ± 9.49 |
| 21. Warren, Hynan, Weiner (584) | 2012 | America | 150 | 70.00 | 79.5 ± 6.17 | 197 | 69.04 | 70 ± 6.33 |
| 22. Watanabe et al. (585) * | 2005 | Japan | 106 | - | 79 ± 7 | 227 | - | 76 ± 10 |
| 23. Werh et al. (586) | 2006 | Sweden | 97 | 90.72 | 77.9 ± 3.0 | 139 | 94.24 | 78.5 ± 3.0 |
| 24. Wolf et al. (587) | 2004 | Japan | 25 | 4.00 | 80 ± 6 | 26 | 34.62 | 77 ± 5 |
| 25. Yamamoto et al. (588) | 2005 | Turkey | 61 | 19.67 | 74.1 ± 7.4 | 32 | 38.46 | 74.5 ± 6.3 |
| 26. Yavuz et al. (589) | 2008 | Poland | 132 | 75.00 | 71.8 ± 7.9 | 158 | 58.86 | 70.5 ± 8.8 |
| Liu et al. (590) | | | | | | | | |
| Lehtonen, Luutonen (571)* | 1986 | Finland | 22 | - | >90 | 23 | - | >90 |
| Kuo et al. (570) | 1998 | USA | 64 | - | 81.6 | 36 | - | 78.7 |
| 27. Kalman et al. (591) | 1999 | USA | 24 | 75.00 | 70.2 | 15 | 73.00 | 64.8 |
| 28. Merched et al. (592) | 2000 | France | 98 | 71.4 | 77.56 | 59 | 52.5 | 75.37 |
| Solfrizzi et al. (582) | 2002 | Italy | 18 | 0.00 | 74.2 | 30 | 0.00 | 68.4 |
| Solfrizzi et al. (582) | 2002 | Italy | 43 | 100.00 | 70.2 | 33 | 100.00 | 67 |
| 29. Lesser et al. (593) ¹ | 2009 | USA | 144 | - | - | 151 | - | - |
| 30. Sun et al. (594) | 2010 | China | 45 | 64.00 | 59-92 | 44 | 68.00 | 58-87 |
| 31. Preseki et al. (595) | 2011 | Croatia | 50 | - | 79.1 | 58 | - | 71.6 |
| 32. Parnowski, Kaluza # (596) | 2013 | Poland | 39 | 66.6 | 80.12 | 44 | 75.00 | 72.95 |
| 33. R V ~ | 2016 | India | 167 | 0.00 | 69.8 | 984 | 0.00 | 63.1 |
| R V ~ | 2016 | India | 137 | 100.00 | 67.8 | 882 | 100.00 | 62.4 |
| 34. Grossi et al. (597) | 2018 | Brazi | 40 | 30.00 | 78(7) | 40 | 31.00 | 76.5 |

| Study (<i>N</i> = 100) | Year | Country | Cases | | | Controls | | |
|----------------------------------|------|----------|----------|-----|-------|----------|-----|-------|
| | | | <i>n</i> | % F | Age | <i>n</i> | % F | Age |
| Wu et al. (598) | | | | | | | | |
| 35. Agarwal et al. (599) | 2015 | Indian | 39 | 69 | 67±9 | 42 | 52 | 62±8 |
| 36. Alam et al. (600) | 2014 | Indian | 75 | 68 | 66±9 | 120 | 63 | 63±8 |
| Ban et al. (564) | 2009 | Japanese | 197 | 40 | 80±1 | 47 | 62 | 75±1 |
| 37. Cankurtaran et al. (601) | 2005 | Turks | 120 | 34 | 74±8 | 803 | 37 | 71±6 |
| 38. Chang et al. (602) | 2014 | Chinese | 44 | / | 80±9 | 62 | NA | 80±8 |
| 39. Duan, Ling, Zhou (603) | 2006 | Chinese | 62 | 47 | 65±3 | 50 | 60 | 56±3 |
| 40. Han (604) | 2005 | Chinese | 27 | 33 | 72±11 | 27 | NA | NA |
| Hoshino, Kamino, Matsumoto (568) | 2002 | Japanese | 82 | 28 | 84±3 | 40 | 33 | /77±7 |
| 41. Li (605) | 2014 | Chinese | 45 | 36 | 64±2 | 45 | 36 | 64±3 |
| 42. Liu, Chen (606) | 2006 | Chinese | 31 | 45 | 69±7 | 40 | 43 | 69±8 |
| 43. Liu (607) | 2005 | Chinese | 268 | 47 | 74±3 | 325 | 46 | 74±2 |
| 44. Raygani et al. (608) | 2006 | Iranians | 94 | 44 | 74±10 | 111 | 37 | 72±11 |
| 45. Shim (609) | 2010 | Korean | 78 | 40 | 72±9 | 58 | 36 | 63±7 |
| 46. Singh et al. (610) | 2012 | Indian | 70 | 76 | NA | 75 | NA | NA |
| 47. Sun (611) | 2006 | Chinese | 82 | 55 | 81±3 | 46 | 83 | 78±4 |
| 48. Vasantharekha et al. (612) | 2016 | Indian | 304 | 47 | 69±5 | 1868 | 53 | 63±2 |
| 49. Wada (613) | 2000 | Japanese | 36 | 29 | 77±5 | 15 | 13 | 72±6 |
| 50. Wang (614) | 2005 | Chinese | 35 | 35 | 69±8 | 16 | 44 | 70±7 |
| 51. Wang (615) | 2006 | Chinese | 124 | 47 | NA | 80 | 50 | NA |
| 52. Wang (616) | 2017 | Chinese | 43 | 44 | 67±10 | 45 | 36 | 64±6 |
| 53. Wang (617) | 2016 | Chinese | 39 | 41 | 68±7 | 40 | 45 | 71±7 |

| Study (N = 100) | Year | Country | Cases | | | Controls | | |
|------------------------------------|------|----------|-------|-------|------------|----------|-------|-------------|
| | | | n | % F | Age | n | % F | Age |
| Wang (617) | 2016 | Chinese | 34 | / | 74±8 | 40 | 45 | 71±7 |
| 54. Watanabe et al. (618) | 2004 | Japanese | 34 | 76 | 76±9 | 63 | NA | 72±11 |
| Watanabe et al. (585) | 2005 | Japanese | 106 | 55 | 79±7 | 227 | 70 | 76±10 |
| 55. Xiao et al. (619) | 2012 | Chinese | 104 | 36 | 78±7 | 104 | 54 | 77±6 |
| 56. Xiaohong et al. (620) | 2010 | Chinese | 45 | 39 | 59 ~ 92 | 44 | 32 | 58 ~ 87 |
| Yamamoto et al. (588) | 2005 | Japanese | 61 | 33 | 80±6 | 32 | 53 | 77±5 |
| 57. Yang, Tian, Zhong (621) | 2007 | Chinese | 15 | 45 | 73±8 | 29 | 56 | 60±7 |
| 58. Yu et al. (622) | 2014 | Chinese | 201 | 33 | 77±6 | 257 | 47 | 76±7 |
| 59. Yuan et al. (623) | 2006 | Chinese | 30 | 38 | 71±7 | 60 | 30 | 65±6 |
| 60. Yue (624) | 2009 | Chinese | 111 | 48 | 69±9 | 117 | 38 | 66±9 |
| 61. Zengi et al. (625) | 2012 | Turks | 21 | 50 | 76±8 | 20 | 55 | 81±7 |
| 62. Zhao et al. (626) | 2014 | Chinese | 48 | 33 | 69±6 | 37 | 51 | 71±6 |
| 63. Zheng et al. (627) | 2016 | Chinese | 207 | 50 | 81±8 | 256 | 35 | 82±6 |
| 64. Zhong et al. (628) | 2016 | Chinese | 54 | 55 | 70±8 | 54 | 54 | 71±7 |
| 65. Zhou (629) | 2015 | Chinese | 40 | 45 | 68±2 | 40 | 58 | 68±3 |
| 66. Zhu (630) | 2007 | Chinese | 31 | 43 | 69±7 | 40 | 43 | 69±7 |
| Wang et al. (631) | | | | | | | | |
| 67. Papassotiropoulos et al. (632) | 2002 | | 32 | 62.00 | 69 ± 8 | 7 | 29.00 | 55 ± 10 |
| 68. Martínez-Morillo et al. (633) | 2014 | | 38 | 64.00 | (60-94) | 37 | 53.00 | (43-80) |
| 69. Kölsch et al. (634) | 2006 | | 75 | 63.40 | 68.4 ± 7.9 | 39 | 53.80 | 65.9 ± 11.4 |
| 70. Kölsch et al. a (635) | 2009 | | 118 | 61.90 | 68.5 ± 7.9 | 62 | 57.90 | 70.4 ± 7.1 |
| 71. Kölsch et al. b (636) | 2009 | | 149 | 69.10 | 74.1 ± 7.9 | 86 | 53.50 | 72.8 ± 7.6 |

| Study (<i>N</i> = 100) | Year | Country | Cases | | | Controls | | |
|------------------------------|-------|---------|----------|-------|--------------|----------|-------|--------------|
| | | | <i>n</i> | % F | Age | <i>n</i> | % F | Age |
| 72. Kölsch et al. (637) | 2010 | | 90 | 63.90 | 70.6 ± 8.3 | 57 | 51.60 | 69.3 ± 6.8 |
| 73. Qureischie et al. (638) | 2008 | | 104 | 68.00 | 72.5 ± 8.8 | 49 | 72.40 | 72.4 ± 7.9 |
| 74. Mateos et al. (639) | 2011 | | 21 | 66.70 | 67.3 ± 1.70 | 28 | 67.90 | 57.8 ± 1.27 |
| 75. Wollmer et al. (640) | 2003 | | 24 | 58.00 | 73.5 ± 5.5 | 22 | 49.40 | 70.1 ± 6.3 |
| 76. Wollmer et al. (641) | 2003 | | 24 | - | 71.7 ± 7.8 | 22 | - | 65.6 |
| 77. Shafaati et al. (642) | 2007 | | 17 | 41.20 | (62–83) | 43 | 65.10 | (18–85) |
| 78. Schönknecht et al. (643) | 2002 | | 17 | 42.90 | 75.4 ± 10.3 | 55 | 40.00 | 69.0 ± 5.8 |
| 79. Popp et al. (644) | 2012 | | 53 | 62.30 | 71.23 ± 8.29 | 43 | 51.20 | 67.33 ± 9.04 |
| 80. Popp et al. (645) | 2013 | | 106 | 64.20 | 71.1 ± 7.87 | 87 | 49.40 | 67.7 ± 9.13 |
| 81. Vanmierlo et al. (646) | 2011 | | 67 | 44.80 | 71.8 ± 7.5 | 29 | 62.70 | 69.0 ± 6.9 |
| 82. Leoni, Caccia (647) | 2013 | | 24 | 70.80 | 66.8 ± 8.0 | 28 | 65.80 | 68.6 ± 2.85 |
| Xu et al. (648) | | | | | | | | |
| 83. Tan et al. (649) | 2003 | USA | 77 | - | - | 1026 | 62.96 | 76.1 |
| 84. Li et al. (650) | 2005 | USA | 152 | - | - | 2123 | 59.20 | 74.9 |
| 85. Solomon et al. (651) | 2009 | USA | 469 | 59.91 | 69.90 | 9844 | 0 | - |
| 86. Mielke et al. (652) | 2010 | Sweden | 46 | - | - | 648 | 0 | - |
| 87. Huang et al. (653) | 20s14 | Taiwan | 612 | - | - | 142744 | 48.20 | - |
| 88. Kivipelto et al. (654) | 2005 | Karelia | 48 | - | - | 1449 | 63.14 | - |
| 89. Reitz et al. (655) | 2010 | USA | 101 | 65.34 | 79.70 | 1130 | 65.66 | 75.7 |
| 90. Kimm et al. (656) | 2011 | Korea | 821 | - | - | 490445 | 0 | 51.9 |

| Study (N = 100) | Year | Country | Cases | | | Controls | | |
|----------------------------|------|---------|-------|-------|-------|----------|-------|------|
| | | | n | % F | Age | n | % F | Age |
| Kimm et al. (656) | 2011 | Korea | 1030 | 100 | - | 358060 | 100 | 53.6 |
| 91. Kivipelto et al. (657) | 2002 | Finland | 48 | - | - | 1449 | 66.04 | - |
| 92. Hayden et al. (658) | 2006 | UK | 104 | 73.07 | 81.50 | 3264 | 58.24 | 74 |
| 93. Notkola et al. (659) | 1998 | Finland | 27 | - | - | 444 | 0 | - |
| 94. Rönnemaa et al. (660) | 2012 | Sweden | 127 | - | - | 2268 | - | 49.6 |
| 95. Wang et al. (661) | 2012 | Taiwan | 8488 | - | - | 1230400 | 51.95 | - |
| 96. Lieb et al. (662) | 2012 | USA | 18 | 100 | 73.97 | 99 | 100 | - |
| 97. Dal Forno et al. (663) | 2005 | USA | 40 | - | - | 576 | 0 | 66.8 |
| Dal Forno et al. (663) | 2005 | USA | 67 | 100 | - | 781 | 100 | 64 |
| Singh et al. (610) | 2012 | India | 0 | - | - | 0 | - | - |
| 98. Forti et al. (664) | 2010 | Italy | 18 | - | - | 466 | 51.28 | 69.3 |
| Forti et al. (664) | 2010 | Italy | 30 | - | - | 238 | 67.64 | 79.8 |
| 99. Raffaitin et al. (665) | 2009 | France | 134 | - | - | 7087 | 60.99 | - |
| 100. Muller et al. (666) | 2007 | USA | 147 | - | - | 1833 | 67.32 | 76.1 |
| Singh et al. (610) | 2012 | India | 0 | - | - | 145 | - | - |

*K=6 articles were duplicated in LDL-C. The number of participants were deleted for main analysis.

¹ Total N Cases = 144; n/LDL-C=41; n/HDL-C=41; n/TC=62; Total N Control = 151; n/LDL-C=48; n/HDL-C=48; n/TC=55

Tabla A 2. Factors included in primary meta-analysis that showed positive and negative association with AD.

| Study | LDL_C | | TC | HDL_C | TG |
|-------------------|-------|-----------------|-----|-------|----|
| | SMD | IC (95%) | | | |
| Ban Y (564) | 0.80 | (0.47 ~ 1.12) | 4.1 | - | - |
| Cacabelos R (565) | 0.01 | (-0.24 ~ 0.26) | 4.2 | - | - |
| Caramelli P (566) | 0.14 | (-0.39 ~ 0.67) | 3.6 | - | - |
| Chen H (567) | 1.19 | (0.91 ~ 1.47) | 4.2 | - | - |
| Hoshino T (568) | 0.34 | (-0.04 ~ 0.72) | 3.9 | - | - |
| Kouzuki M (569) | -0.22 | (-0.77 ~ 0.34) | 3.5 | - | - |
| Kuo YM (570) | 4.45 | (3.70 ~ 5.20) | 3.0 | - | - |
| Lehtonen A (571) | 0.57 | (-0.03 ~ 1.17) | 3.4 | - | - |
| Lesser G (572) | 0.32 | (-0.19 ~ 0.84) | 3.6 | - | - |
| Macesic M (573) | 1.07 | (0.65 ~ 1.50) | 3.8 | - | - |
| Mamo JC (574) | -0.16 | (-1.04 ~ 0.72) | 2.7 | - | - |
| Moroney JT (575) | -0.25 | (-0.40 ~ -0.10) | 4.3 | - | - |
| Panza F (576) | -0.63 | (-1.04 ~ -0.21) | 3.9 | - | - |
| Paragh G (577) | 2.04 | (1.46 ~ 2.63) | 3.4 | - | - |
| Reitz C (578) | -0.00 | (-0.13 ~ 0.13) | 4.4 | - | - |
| Ryglewicz D (579) | 0.29 | (-0.20 ~ 0.77) | 3.7 | - | - |
| Scacchi R (580) | -0.45 | (-0.73 ~ -0.18) | 4.2 | - | - |
| Shafagoj YA (581) | -0.32 | (-0.79 ~ 0.15) | 3.7 | - | - |
| Solfrizzi V (582) | -0.69 | (-1.11 ~ -0.28) | 3.9 | - | - |
| Tang Y (583) | 0.39 | (0.15 ~ 0.62) | 4.2 | - | - |
| Warren MW (584) | 0.50 | (0.28 ~ 0.72) | 4.3 | - | - |

| Study | LDL_C | TC | HDL_C | TG | | | | |
|---------------------|-----------------------|---------------|-----------------------|---------------|-----------------------|---------------|-----------------------|---------------|
| Watanabe T (585) | 0.17 (-0.06 ~ 0.40) | 4.2 | - | - | | | | |
| Werh H (586) | 0.36 (0.10 ~ 0.62) | 4.2 | - | - | | | | |
| Wolf H (587) | 0.20 (-0.35 ~ 0.75) | 3.50 | - | - | | | | |
| Yamamoto H (588) | 0.08 (-0.35 ~ 0.51) | 3.80 | - | - | | | | |
| Yavuz BB (589) | -0.02 (-0.25 ~ -0.21) | 4.20 | - | - | | | | |
| | OR IC (95%) | Weight | SMD IC (95%) | Weight | SMD IC (95%) | Weight | SMD IC (95%) | Weight |
| Lehtomen (667) | 0.58 (-0.02 ~ 1.18) | 10.86 | 0.43 (-0.16 ~ 1.02) | 7.53 | -0.02 (-0.61-0.56) | 8.77 | 0.19 (-0.40 ~ 0.77) | 6.32 |
| Kuo YM (570) | 4.49 (3.74 ~ 5.24) | 10.36 | 0.00 (-0.65 ~ 0.65) | 7.54 | -2.76 (-3.33 ~ -2.20) | 8.82 | | - |
| Kalman J (591) | -0.19 (-0.83 ~ 0.46) | 10.71 | -0.40 (-0.72 ~ -0.07) | 7.44 | 0.75 (0.08 ~ 1.42) | 8.54 | -0.31 (-0.96 ~ 0.34) | 5.77 |
| Merched A (592) | - | - | -1.15 (-1.78 ~ -0.52) | 7.89 | -1 (-1.34 ~ -0.66) | 1.29 | -0.26 (-0.5 ~ 0.07) | 8.95 |
| Solfrizzi V (582) | - | - | -0.17 (-0.62 ~ 0.28) | 7.46 | - | - | - | - |
| Solfrizzi V (582) | - | - | 4.83 (4.11 ~ 5.55) | 7.73 | - | - | - | - |
| Lesser GT (593) | 3.26 (2.62 ~ 3.90) | 10.73 | 0.57 (0.15 ~ 1) | 7.29 | 1.38 (0.92-1.85) | 9.05 | - | - |
| Sun X (594) | - | - | 0.45 (0.07 ~ 0.84) | 7.77 | -0.29 (-0.71 ~ 0.13) | 9.15 | - | - |
| Preseki P (595) | 0.43 (0.05 ~ 0.81) | 11.39 | -0.31 (-0.74 ~ 0.13) | 7.82 | 0.48 (0.10 ~ 0.87) | 9.21 | 0.54 (0.15 ~ 0.92) | 8.32 |
| Parnowski T # (596) | 0.45 (0.01 ~ 0.89) | 11.27 | 1.23 (1.05 ~ 1.40) | 7.76 | -1.04 (-1.50 ~ -0.58) | 9.06 | 0.06 (-0.50 ~ 0.62) | 6.57 |
| R V | 1.30 (1.12 ~ 1.47) | 11.71 | 1.87 (1.67 ~ 2.07) | 8.01 | -1.50 (-1.67 ~ -1.32) | 9.51 | - | - |
| R V | 2.26 (2.05 ~ 2.46) | 11.68 | -0.28 (-0.72 ~ 0.16) | 7.99 | -1.51 (-1.70 ~ -1.32) | 9.49 | - | - |
| Grossi MF (597) | 0.25 (-0.19-0.69) | 11.27 | 0.76 (0.13 ~ 1.40) | 7.75 | -0.16 (-0.60 ~ 0.28) | 9.11 | -0.75(-1.20 ~ -0.30) | 7.61 |
| | OR IC (95%) | | OR IC (95%) | | | | | - |
| Agarwal R (599) | 0.13 (-0.31 ~ 0.56) | - | 0.28 (-0.16 ~ 0.72) | - | 0.13 (-0.31 ~ 0.56) | - | 0.18 (-0.11 ~ 0.46) | - |
| Alam R (600) | 0.15 (-0.14 ~ 0.44) | - | 0.12 (-0.17 ~ 0.41) | - | -0.22 (-0.51 ~ 0.07) | - | - | - |
| Ban Y (564) | 0.80 (0.47 ~ 1.12) | - | - | - | 1.26 (0.92 ~ 1.60) | - | -1.24 (-1.58 ~ -0.91) | - |

| Study | LDL_C | | TC | | HDL_C | | TG | |
|-----------------------|----------------------|---|----------------------|---|-----------------------|---|-----------------------|---|
| Cankurtaran M (601) | -0.02 (-0.21 ~ 0.17) | - | 0.04 (-0.15 ~ 0.23) | - | 0.08 (-0.11 ~ 0.27) | - | 0.03 (-0.16 ~ 0.22) | - |
| Chang L (602) | - | - | 0.15 (-0.23 ~ 0.54) | - | 0.11 (-0.27 ~ 0.50) | - | -0.08 (-0.46 ~ 0.31) | - |
| Duan D (603) | - | - | 0.82 (0.43 ~ 1.21) | - | - | - | 0.78 (0.40 ~ 1.17) | - |
| Han JF (604) | 0.00 (-0.53 ~ 0.53) | - | 0.00 (-0.53 ~ 0.53) | - | 0.00 (-0.53 ~ 0.53) | - | 0.00 (-0.53 ~ 0.53) | - |
| Hoshino T (568) | 0.34 (-0.04 ~ 0.72) | - | - | - | -0.08 (-0.46 ~ 0.30) | - | - | - |
| Li W (605) | -0.01 (-0.42 ~ 0.40) | - | 0.00 (-0.41 ~ 0.41) | - | -0.07 (-0.48 ~ 0.34) | - | - | - |
| Liu JH (606) | 0.85 (0.36 ~ 0.69) | - | 0.79 (0.30 ~ 1.28) | - | 0.05 (-0.42 ~ 0.52) | - | 0.91 (0.42 ~ 1.40) | - |
| Liu ZS (607) | 0.52 (0.36 ~ 1.34) | - | 0.33 (0.16 ~ 0.49) | - | -0.09 (-0.25 ~ 0.08) | - | 0.06 (-0.10 ~ 0.23) | - |
| Raygani AV (608) | 0.52 (0.24 ~ 0.80) | - | 0.38 (0.10 ~ 0.65) | - | -0.74 (-1.02 ~ -0.45) | - | 0.17 (-0.11 ~ 0.44) | - |
| Shim YS (609) | -0.29 (-0.64 ~ 0.05) | - | -0.28 (-0.62 ~ 0.06) | - | 0.16 (-0.18 ~ 0.50) | - | - | - |
| Singh NK (610) | 0.95 (0.61 ~ 1.30) | - | 1.38 (1.02 ~ 1.74) | - | -0.54 (-0.87 ~ -0.21) | - | 0.00 (-0.33 ~ 0.32) | - |
| Sun YL (611) | -0.29 (-0.65 ~ 0.07) | - | -0.11 (-0.47 ~ 0.26) | - | 0.03 (-0.33 ~ 0.39) | - | 0.14 (-0.22 ~ 0.50) | - |
| Vasantharekha R (612) | 1.72 (1.59 ~ 1.85) | - | 1.50 (1.37 ~ 1.63) | - | -1.48 (-1.61 ~ -1.36) | - | 0.17 (0.05 ~ 0.29) | - |
| Wada H (613) | -0.14 (-0.74 ~ 0.47) | - | -0.37 (-0.98 ~ 0.24) | - | 0.24 (-0.36 ~ 0.85) | - | -0.58 (-1.19 ~ 0.04) | - |
| Wang CY (614) | 0.54 (-0.06 ~ 1.14) | - | 0.61 (0.01 ~ 1.22) | - | 0.14 (-0.45 ~ 0.73) | - | 0.82 (0.21 ~ 1.43) | - |
| Wang H (615) | 1.62 (1.29 ~ 1.94) | - | -0.20 (-0.49 ~ 0.08) | - | -0.23 (-0.51 ~ 0.06) | - | 1.36 (1.05 ~ 1.67) | - |
| Wang R (616) | - | - | - | - | -0.94 (-1.38 ~ -0.50) | - | - | - |
| Wang XH a (617) | 0.00 (-0.44 ~ 0.44) | - | 0.00 (-0.44 ~ 0.44) | - | 0.00 (-0.44 ~ 0.44) | - | - | - |
| Wang XH b (617) | 0.25 (-0.21 ~ 0.71) | - | 0.15 (-0.31 ~ 0.61) | - | 0.00 (-0.46 ~ 0.46) | - | - | - |
| Watanabe T (618) | 0.03 (-0.39 ~ 0.45) | - | -0.27 (-0.69 ~ 0.15) | - | 0.00 (-0.42 ~ 0.42) | - | -0.34 (-0.75 ~ 0.08) | - |
| Watanabe T (585) | 0.17 (-0.06 ~ 0.40) | - | -0.08 (-0.31 ~ 0.16) | - | 0.32 (0.09 ~ 0.55) | - | -0.27 (-0.50 ~ -0.04) | - |
| Xiao Z (619) | 0.08 (-0.19 ~ 0.35) | - | 0.39 (0.12 ~ 0.67) | - | -0.35 (-0.63 ~ 0.08) | - | 0.06 (-0.21 ~ 0.33) | - |
| Xiaohong W (620) | - | - | 0.57 (0.15 ~ 1.00) | - | -0.73 (-1.16 ~ -0.30) | - | - | - |

| Study | LDL_C | | TC | | HDL_C | | TG | |
|---------------------------|-----------------------|---|-----------------------|---|-----------------------|---|-----------------------|---|
| Yamamoto H (588) | 0.08 (-0.35 ~ 0.51) | - | -0.07 (-0.50 ~ 0.35) | - | 0.06 (-0.37 ~ 0.49) | - | -0.22 (-0.64 ~ 0.21) | - |
| Yang CZ (621) | -0.40 (-1.03 ~ 0.23) | - | -0.08 (-0.71 ~ 0.54) | - | 0.55 (-0.09 ~ 1.18) | - | -0.19 (-0.81 ~ 0.44) | - |
| Yu ZL (622) | 0.13 (-0.06 ~ 0.31) | - | 0.30 (0.12 ~ 0.49) | - | -0.02 (-0.20 ~ 0.17) | - | -0.10 (-0.29 ~ 0.08) | - |
| Yuan YG (623) | -1.06 (-1.53 ~ -0.60) | - | 0.81 (0.35 ~ 1.26) | - | -0.06 (-0.50 ~ 0.38) | - | 0.66 (0.22 ~ 1.11) | - |
| Yue YH (624) | 0.14 (-0.12 ~ 0.40) | - | 0.31 (0.05 ~ 0.57) | - | -0.11 (-0.37 ~ 0.15) | - | 0.46 (0.19 ~ 0.72) | - |
| Zengi Q (625) | -0.57 (-1.19 ~ 0.06) | - | -0.87 (-1.51 ~ -0.23) | - | -0.26 (-0.88 ~ 0.35) | - | -1.48 (-2.17 ~ -0.79) | - |
| Zhao Z (626) | 0.05 (-0.38 ~ 0.48) | - | -0.26 (-0.69 ~ 0.18) | - | -0.96 (-1.42 ~ -0.51) | - | 0.85 (0.40 ~ 1.30) | - |
| Zheng JQ (627) | 0.08 (-0.10 ~ 0.27) | - | 0.16 (-0.02 ~ 0.35) | - | -0.21 (-0.39 ~ -0.03) | - | 0.33 (0.15 ~ 0.52) | - |
| Zhong X (628) | 0.58 (0.19 ~ 1.30) | - | | - | | - | 0.79 (0.40 ~ 1.18) | - |
| Zhou TT (629) | 0.84 (0.39 ~ 1.30) | - | 0.78 (0.32 ~ 1.23) | - | 0.10 (-0.34 ~ 0.54) | - | - | - |
| Zhu JY (630) | 0.85 (0.36 ~ 1.34) | - | 0.79 (0.30 ~ 1.28) | - | 0.05 (-0.42 ~ 0.52) | - | 0.91 (0.42 ~ 1.40) | - |
| | | | SMD IC (95%) | | | | | - |
| Papassotiropoulos A (632) | - | - | 0.68 (-0.15 ~ 1.52) | - | - | - | - | - |
| Martínez-Morillo E (633) | - | - | 0.06 (-0.40 ~ 0.51) | - | - | - | - | - |
| Kölsch H (634) | - | - | -2.78 (-3.31 ~ -2.25) | - | - | - | - | - |
| Kölsch H (635) | - | - | -0.91 (-1.23 ~ -0.58) | - | - | - | - | - |
| Kölsch H (636) | - | - | -0.62 (-0.89 ~ -0.35) | - | - | - | - | - |
| Kölsch H (637) | - | - | -0.45 (-0.78 ~ -0.11) | - | - | - | - | - |
| Qureischie H (638) | - | - | -1.28 (-1.65 ~ -0.91) | - | - | - | - | - |
| Mateos L (639) | - | - | 0.40 (-0.17 ~ 0.97) | - | - | - | - | - |
| Wollmer MA (640) | - | - | -0.72 (-1.31 ~ -0.12) | - | - | - | - | - |
| Wollmer MA (641) | - | - | -0.67 (-1.26 ~ -0.07) | - | - | - | - | - |
| Shafaati M (642) | - | - | 2.68 (1.93 ~ 3.43) | - | - | - | - | - |

| Study | LDL_C | TC | HDL_C | TG | |
|---------------------|-------|-----------------------|---------------|--------------------|---------------|
| Schönknecht P (643) | - | 0.44 (-0.11 ~ 0.99) | - | - | |
| Popp J (644) | - | -0.37 (-0.77 ~ 0.04) | - | - | |
| Popp J (645) | - | -0.42 (-0.71 ~ -0.13) | - | - | |
| Vanmierlo T (646) | - | -0.30 (-0.74 ~ 0.14) | - | - | |
| Leoni (668) | - | 1.10 (0.52 ~ 1.69) | - | - | |
| | | RR IC (95%) | Weight | RR IC (95%) | Weight |
| Tan ZS (649) | - | 0.97 (0.90 ~ 1.05) | 16.01 | - | - |
| Li G (650) | - | 1.00 (0.61 ~ 1.62) | 5.4 | 1.23 (0.71 ~ 2.15) | 3.68 |
| Solomon A (651) | - | 1.58 (1.22 ~ 2.06) | 6.83 | - | - |
| Mielke MM (652) | - | 2.82 (0.94 ~ 8.43) | 0.14 | - | - |
| Huang C-C (653) | - | 1.06 (0.75 ~ 1.51) | 7.65 | - | - |
| Kivipelto M (654) | - | 2.12 (1.05 ~ 4.30) | 0.74 | - | - |
| Reitz C (655) | - | 0.80 (0.40 ~ 1.50) | 4.79 | - | - |
| Kimm H (656) | - | 1.20 (1.00 ~ 1.50) | 11.06 | - | - |
| Kimm H (656) | - | 1.10 (0.90 ~ 1.30) | 12.6 | - | - |
| Kivipelto M (657) | - | 2.80 (1.20 ~ 6.70) | 0.26 | - | - |
| Hayden KM (658) | - | 0.47 (0.19 ~ 0.98) | 7.33 | - | - |
| Notkola IL (659) | - | 3.10 (1.20 ~ 8.50) | 0.15 | - | - |
| Ronnemaa E (660) | - | 1.00 (0.90 ~ 1.20) | 14.13 | - | - |
| Wang K-C (661) | - | 0.69 (0.66 ~ 1.32) | 10.80 | - | - |
| Lieb W (662) | - | 0.80 (0.20 ~ 2.50) | 1.41 | 1.60 (0.50 ~ 5.50) | 0.3 |
| Dal Forno G (663) | - | 0.59 (0.23 ~ 1.53) | 3.74 | - | - |
| Dal Forno G (663) | - | 0.35 (0.14 ~ 0.89) | 7.76 | - | - |

| Study | LDL_C | | TC | | HDL_C | | TG | |
|-------------------|-------|---|--------------------|-------|--------------------|-------|----|---|
| Singh NK (610) | - | - | 1.15 (1.01 ~ 1.32) | 15.70 | - | - | - | - |
| Forti P (664) | - | - | - | - | 0.56 (0.12 ~ 2.71) | 1.14 | - | - |
| Forti P (664) | - | - | - | - | 0.83 (0.27 ~ 2.49) | 1.55 | - | - |
| Raffaitin C (665) | - | - | - | - | 0.80 (0.27 ~ 2.49) | 6.91 | - | - |
| Muller M (666) | - | - | - | - | 1.00 (0.70 ~ 1.40) | 15.56 | - | - |
| Singh NK (610) | - | - | 1.15 (1.01 ~ 1.32) | 9.79 | 1.02 (0.86 ~ 1.19) | 1 | - | - |

ANEXO 2/APPENDIX 2

Tabla A 3. Characteristics of studies included in the meta-analysis

| | Year ¹ | Country ² | Age ³ | Women ⁴ | n AD ⁵ | SBP ⁶ | DBP ⁷ | SBP and DBP ⁸ |
|------------------------|-------------------|----------------------|------------------|--------------------|-------------------|--------------------|------------------|--------------------------|
| Gabin et al.(669) | 2017 | Norway | 61,8 | 53 | 383 | 1,11 (1,00 - 1,23) | * | * |
| McGrath et al.(230) | 2017 | USA | 55 | 53 | 81 | 1,11 (0,97 - 1,27) | * | * |
| Chiang et al.(231) | 2007 | Taiwan | 57,9 | 41 | 64 | 1,26 (0,64-2,49) | * | * |
| Kivipelto et al. (120) | 2001 | Finland | 50,4 | 61 | 48 | 2,80 (1,09-7,15) | * | * |
| Morris et al. (212) | 2001 | USA | 63 | 63 | 41 | 1,03 (0,80-1,32) | 1.56 (0.6-4.07) | * |
| Kimm et al. (232) | 2011 | Korea | 52,6 | 0 | 821 | 1,40 (1,09-1,79) | * | * |
| Ninomiya et al. (233) | 2011 | Japan | 57,4 | 60 | 1153 | 0,84 (0,42-1,67) | * | * |
| Qiu et al. a(265) | 2010 | Suecia | 81.5±5 | 75 | 150 | 1.84 (1.06-3.18) | 0.78 (0.54-1.12) | * |
| | * | * | * | * | 124 | 1.47 (1.02-2.12) | * | * |
| Li et al. (266) | 2007 | USA | 65-74 | 59 | 14 | 1.38 (0.71-2.70) | 0.82 (0.29-2.35) | * |
| | * | * | * | * | 19 | 1.47 (0.80-2.71) | * | * |
| Li et al. (266) | 2007 | USA | 75-84 | 59 | 37 | 0.94 (0.62-1.42) | 0.73 (0.34-1.59) | * |
| | * | * | * | * | 31 | 0.60 (0.38-0.92) | * | * |
| Launer et al.(62) | 2000 | USA | * | 0 | 87 | 1,22 (0,37-4,04) | 1,86 (1,01-3,46) | * |
| Verghese et al.(234) | 2003 | USA | * | 32 | 65 | 0,68 (0,35-1,32) | 1,91 (1,05-3,48) | * |
| Posner et al.(211) | 2002 | USA | * | 55 | 257 | 0,80 (0,42-1,60) | * | * |
| Bermejo et al.(235) | 2010 | Spain | * | 28 | 113 | 2,07 (0,98-4,37) | * | * |

| | Year¹ | Country² | Age³ | Women⁴ | n AD⁵ | SBP⁶ | DBP⁷ | SBP and DBP⁸ |
|------------------------|-------------------------|----------------------------|------------------------|--------------------------|-------------------------|------------------------|------------------------|--------------------------------|
| Huang et al.(236) | 2014 | China-Taiwan | * | 24 | 612 | 1,3 (1,07-1,59) | * | * |
| Chu et al.(237) | 2010 | China-Hongkong | 75,1 | 0 | 10 | 1,044 (1,00-1,07) | * | * |
| Luchsinger et al.(166) | 2005 | USA | 76,2 | 35 | 246 | 1,4 (0,9-2,1) | * | * |
| Forti et al.(238) | 2010 | Italy | 69,3 | 26 | 18 | 0,77 (0,17-3,52) | * | * |
| Forti et al.(238) | 2010 | Italy | 79,8 | 34 | 30 | 0,97 (0,39-2,4) | * | * |
| Song et al.(239) | 2011 | Canada | * | * | 416 | 1 (0,75-1,32) | * | * |
| Raffaitin et al.(240) | 2009 | Franca | * | 30 | 134 | 1,1 (0,6-2,01) | * | * |
| Muller et al.(241) | 2007 | USA | 76,1 | 34 | 147 | 1,5 (0,9-2,4) | * | * |
| Lindsay et al.(242) | 2002 | Canada | * | 63 | 194 | 0,88 (0,62-1,27) | * | * |
| Tyas et al.(170) | 2001 | Canada | * | * | 35 | 1,14 (0,53-2,45) | * | * |
| Kimm et al.(232) | 2011 | Korea | 53,6 | 100 | 1030 | 1,2 (0,38-4,04) | * | * |
| Kivipelto et al.(243) | 2002 | Finland | * | 33 | 48 | 2,6 (1,1-6,6) | * | * |
| Borenstein et al.(77) | 2005 | Japan | * | * | 90 | 1,79 (0,82-3,89) | * | * |
| Hayden et al.(244) | 2006 | UK | 77,75 | 66 | 104 | 0,66 (0,43-1,02) | * | * |
| Kuller et al.(245) | 2003 | USA | * | * | 330 | 0,9 (0,57-1,48) | * | * |
| Ronnemaa et al.(246) | 2011 | Sweden | 49,6 | * | 127 | 1 (0,99-1,01) | * | * |
| Annweiler et al.(247) | 2012 | France | 79,84 | * | 70 | 0,7 (0,38-1,29) | * | * |

| | Year¹ | Country² | Age³ | Women⁴ | n AD⁵ | SBP⁶ | DBP⁷ | SBP and DBP⁸ |
|-----------------------|-------------------------|----------------------------|------------------------|--------------------------|-------------------------|------------------------|------------------------|--------------------------------|
| Wang et al.(248) | 2012 | China-Taiwan | * | 26 | 8488 | 1,38 (1,07-1,75) | * | * |
| Qiu et al. (249) | 2006 | Sweden | * | 37 | 333 | 1,32 (0,97-1,81) | 1,9 (1,36-2,68) | * |
| Olazaran et al.(250) | 2013 | Spain | * | * | 68 | 0,64 (0,39-1,06) | * | * |
| Becker et al.(143) | 2009 | USA | 77,52 | 31 | 48 | 0,9 (0,48-1,67) | * | * |
| Dal et al.(152) | 2005 | USA | 66,8 | 0 | 40 | 0,870 (0,46-1,64) | * | * |
| Dal et al.(152) | 2005 | USA | 64 | 100 | 67 | 0,58 (0,32-1,04) | * | * |
| Harwood et al.(251) | 1999 | USA | 77,8 | 64 | 392 | 1.500 (1-2,3) | * | * |
| Harwood et al.(251) | 1999 | USA | 73,75 | 67 | 188 | 0,70 (0,37-1,26) | * | * |
| Wu et al.(252) | 2003 | China | * | * | 301 | 1,971 (1,09-3,5) | * | * |
| Brayne et al.(253) | 1998 | UK | * | 68 | 18 | 0,78 (0,38-1,65) | * | * |
| Mendez et al.(254) | 1992 | USA | * | 55 | 407 | 0,94 (0,02-54,26) | * | * |
| French et al.(255) | 1985 | USA | * | 0 | 78 | 0,93 (0,41-2,09) | * | * |
| Kokmen et al.(256) | 1991 | USA | * | * | 415 | 0,7 (0,38-1,3) | * | * |
| Li et al.(670) | 1992 | China | 65,3 | 53 | 70 | 0,7 (0,35-1,4) | * | * |
| Ruitenberget al.(267) | 2001 | USA | 69,7 | 30 | 107 | * | 0,9 (0,72-1,13) | * |
| Shah et al.(268) | 2006 | Sweden | 75 | * | 151 | * | 1 (0,99-1,01) | * |
| Foroughan et al.(257) | 2008 | Iran | 70 | 43 | 115 | 1,712 (1,08-2,7) | * | * |
| Roberts et al.(258) | 2006 | USA | * | 100 | 264 | 1,07 (0,71-1,63) | * | * |

| | Year¹ | Country² | Age³ | Women⁴ | n AD⁵ | SBP⁶ | DBP⁷ | SBP and DBP⁸ |
|-----------------------|-------------------------|----------------------------|------------------------|--------------------------|-------------------------|------------------------|------------------------|--------------------------------|
| Kondo et al.(259) | 1994 | Japan | * | * | 60 | 1,5 (1,10-2,1) | * | * |
| Suhanov et al.(260) | 2006 | Russia | 69,25 | 72 | 260 | 1,8 (1-10,3) | * | * |
| Kivipelto et al.(671) | 2005 | Karelia | * | 963 | 1497 | 1.57 (0.77-0.17) | * | * |
| Joas et al.(264) | 2012 | Sweden | 45 | 100 | 79 | 4,92 (1,35-8,48) | * | * |
| Stewart et al.(269) | 2009 | Japanese | 40-44 | * | 0 | * | * | 0,89 (0,57-1,40) |
| Qiu et al.(672) | 2003 | Sweden | >75 | * | 75 | * | * | 1,24 (0,85-1,83) |
| Treiber et al.(270) | 2008 | USA | >60 | * | 65 | * | * | 1,18 (0,90-1,54) |
| Hassing et al.(271) | 2009 | Sweden | >45-65 | * | 36 | * | * | 0,84 (0,64-1,09) |
| Tsolaki et al.(262) | 1997 | Greek | * | 54 | 65 | 0,46 (0,31-0,67) | * | * |
| Graves et al.(261) | 1990 | USA | * | 68 | 18 | 0,5 (0,23-1,07) | * | * |
| Imfeld et al.(263) | 2012 | UK | * | 69 | 7086 | 0,68 (0,63-0,73) | * | * |

Note:

1 Year

2 Country

3 Age

4 % Women

5 Sample: AD: AD cases

6 SBP: Systolic Blood Pressure. CI: 95% confidence interval; RR: Risk Ratio

7 DBP: Diastolic Blood pressure. CI: 95% confidence interval; RR: Risk Ratio

8 SBP: Systolic Blood Pressure; DBP: Diastolic Blood pressure. CI: 95% confidence interval; RR: Risk Ratio

ANEXO 3/APPENDIX 3

PRISMA-SChecklist

Tabla A 4. PRISMA Checklist of included studies

| Section/topic | # | Checklist item | Location(s) Reported |
|--|---|--|--|
| INFORMATION SOURCES AND METHODS | | | |
| Database name | 1 | Name each individual database searched, stating the platform for each. | Web of Science, Scopus, PubMed, Elsevier Science Direct, and Google Scholar |
| Multi-database searching | 2 | If databases were searched simultaneously on a single platform, state the name of the platform, listing all of the databases searched. | - |
| Study registries | 3 | List any study registries searched. | 448 |
| Online resources and browsing | 4 | Describe any online or print source purposefully searched or browsed (e.g., tables of contents, print conference proceedings, web sites), and how this was done. | - |
| Citation searching | 5 | Indicate whether cited references or citing references were examined, and describe any methods used for locating cited/citing references (e.g., browsing reference lists, using a citation index, setting up email alerts for references citing included studies). | - |
| Contacts | 6 | Indicate whether additional studies or data were sought by contacting authors, experts, manufacturers, or others. | - |
| Other methods | 7 | Describe any additional information sources or search methods used. | - |
| SEARCH STRATEGIES | | | |
| Full search strategies | 8 | Include the search strategies for each database and information source, copied and pasted exactly as run. | We used some key search terms including “stroke”, “microvascular infarcts”, “dementia”, “meta-analysis”, “Alzheimer’s disease” and “AD”. |

| Section/topic | # | Checklist item | Location(s) Reported |
|-------------------------|----|---|--|
| Limits and restrictions | 9 | Specify that no limits were used, or describe any limits or restrictions applied to a search (e.g., date or time period, language, study design) and provide justification for their use. | No initial publication date was set. |
| Search filters | 10 | Indicate whether published search filters were used (as originally designed or modified), and if so, cite the filter(s) used. | - |
| Prior work | 11 | Indicate when search strategies from other literature reviews were adapted or reused for a substantive part or all of the search, citing the previous review(s). | - |
| Updates | 12 | Report the methods used to update the search(es) (e.g., rerunning searches, email alerts). | Email alerts |
| Dates of searches | 13 | For each search strategy, provide the date when the last search occurred. | 03/22 |
| PEER REVIEW | | | |
| Peer review | 14 | Describe any search peer review process. | Independent researchers in the relevant research area assess submitted manuscripts for originality, validity and significance to help editors determine whether a manuscript should be published in their journal. |
| MANAGING RECORDS | | | |
| Total Records | 15 | Document the total number of records identified from each database and other information sources. | A total of 448 studies were identified in the search: 68 in ISI Web of Science, 135 in Scopus, 49 in PubMed, 194 in Elsevier Science Direct, and 2 in Google Scholar |
| Deduplication | 16 | Describe the processes and any software used to deduplicate records from multiple database searches and other information sources. | Data deduplication software analyzes data to identify duplicate byte patterns. Data Deduplication compilación del SO 14393.1532 |

Note: PRISMA-S: An Extension to the PRISMA Statement for Reporting Literature Searches in Systematic Reviews

Rethlefsen ML, Kirtley S, Waffenschmidt S, Ayala AP, Moher D, Page MJ, Koffel JB, PRISMA-S Group.

Last updated February 27, 2020.

Tabla A 5. Key characteristics of included studies investigating the association between prevalent stroke and incident of AD

| Study | Year | Country | Mean age ad | Female % | n ad | N | Effect | | | | Stroke type |
|-------------------------|------|---------|-------------|----------|------|-------|--------------|-------|--------|-------------|-------------|
| | | | | | | | Measure (OR) | LLIC | ULIC | MA | |
| Brayne et al.(333) | 2009 | England | 90.7 | 21.2 | 51 | 100 | 1.90 | 0.869 | 4.153 | Cao 2017 | IS |
| Strozyk et al.(334) | 2011 | USA | 84.4 | 65.3 | 47 | 258 | 1.10 | 0.409 | 2.962 | Cao 2017 | IS |
| Strozyk et al.(334) | 2011 | USA | 84.4 | 65.3 | 84 | 143 | 1.50 | 0.621 | 3.623 | Cao 2017 | IS |
| Troncoso et al.(335) | 2008 | USA | 86.9 | 68 | 79 | 179 | 4.00 | 2.025 | 7.899 | Cao 2017 | IS |
| Qiu et al.(265) | 2010 | Sweden | 81.5 | 75 | 303 | 2.212 | 0.82 | 0.506 | 1.316 | Zhou 2015 | IS |
| Pareja et al.(336) | 2008 | Spain | 65 | 65 | 184 | 3.864 | 4.47 | 2.784 | 7.184 | Zhou 2015 | IS |
| Hayden et al.(244) | 2006 | USA | 74 | 65 | 121 | 3.215 | 6.44 | 3.679 | 11.266 | Zhou 2015 | IS |
| Lindsay et al.(242) | 2002 | Canada | 65 | 65 | 83 | 4.236 | 1.54 | 0.884 | 2.682 | Zhou 2015 | IS |
| Epstein et al.(337) | 2012 | USA | 75.4 | 47.8 | 186 | 435 | 1.39 | 0.418 | 4.597 | Pinho, 2021 | HS |
| Brayne et al.(333) | 2009 | England | 90.7 | 21.2 | 51 | 201 | 1.50 | 0.500 | 4.500 | Cao 2017 | HS |
| Honig et al. (338) | 2003 | USA | 79 | 32 | 98 | 1.766 | 1.83 | 1.140 | 2.950 | Pinho, 2021 | HS |
| Suter et al.(339) | 2002 | England | 78 | 41 | 79 | 105 | 4.83 | 1.135 | 20.560 | Pinho, 2021 | MI |
| Arvanitakis et al.(340) | 2011 | USA | 88.7 | 35 | 192 | 233 | 4.01 | 2.689 | 5.979 | Cao 2017 | MI |
| Sonnen et al.(341) | 2007 | USA | 88.7 | 44 | 47 | 258 | 1.13 | 0.410 | 3.115 | Cao 2017 | MI |

Note: AD: Alzheimer’s disease; OR: odds ratio; LLIC, Lower Limit confidence interval; ULIC, Upper Llimit confidence interval; MA: meta-analysis

ANEXO 4/APPENDIX 4

ESTADÍSTICOS DESCRIPTIVOS DE DATOS GENERALES

Se trata del tipo de muestreo de conveniencia, técnica no probabilística, donde las personas que participaron en el estudio se seleccionaron por la disponibilidad para participar en el mismo.

La muestra está conformada por 508 hombres y 947 mujeres (Figura A1). Un total de 1.455 usuarios. La recogida de la muestra se produjo entre las fechas octubre del 2008 y octubre de 2020 en una residencia de personas mayores de la capital de Burgos.

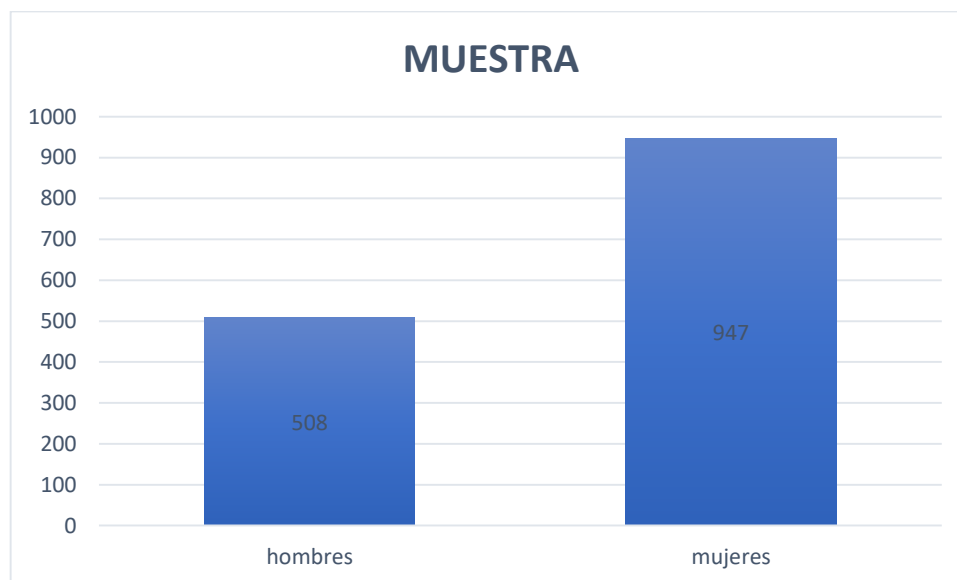


Figura A 1. Muestra por sexo (Fuente: Elaboración propia)

En cuanto a la edad media del total de la muestra son 85.48 años, (Tabla A6) para hombres es inferior, situándose en 83.69 y para la mujer es superior a la media, con un valor de 86.44 (Tabla A6). Los mínimos de edad de participación en el estudio son de 60 (Total de la muestra y hombres) y 62 años para mujeres. Los valores máximos de edad son de 106 años (Total de la muestra y mujeres) y 105 años para los hombres (Tabla A6).

Tabla A 6. Estadísticos descriptivos en relación con la edad (Fuente: Elaboración propia)

| Edad | Hombres | Mujeres | Total |
|---------------------------|---------|---------|-------|
| Media | 83,69 | 86,44 | 85,48 |
| Error típico | 0,39 | 0,24 | 0,21 |
| Mediana | 85 | 87 | 86 |
| Moda | 89 | 89 | 89 |
| Desviación estándar | 9,00 | 7,39 | 8,09 |
| Varianza de la muestra | 81,09 | 54,63 | 65,54 |
| Curtosis | -0,33 | 0,59 | 0,28 |
| Coefficiente de asimetría | -0,48 | -0,55 | -0,60 |
| Rango | 45 | 44 | 46 |
| Mínimo | 60 | 62 | 60 |
| Máximo | 105 | 106 | 106 |
| Total muestra | 508 | 947 | 1455 |

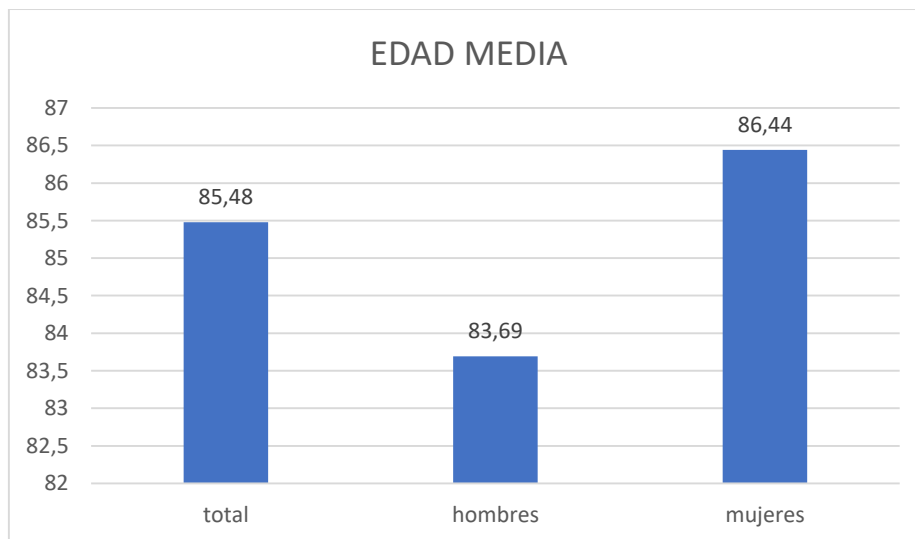


Figura A 2. Edad media de la muestra (Fuente: Elaboración propia)

En la siguiente tabla (Figura A2) se muestran los datos referentes a la muestra agrupados por sexo, tipología de la plaza y estado civil de manera conjunta.

Tabla A 7. Estadísticos descriptivos en relación con la edad (Fuente: Elaboración propia)

| Estado civil y tipología plaza | Hombre | Mujer | Total |
|---------------------------------------|---------------|--------------|--------------|
| Casado/a | 257 | 202 | 459 |
| Centro de Día | 47 | 29 | 76 |
| I Asistido Grave | 44 | 39 | 83 |
| I Asistido Leve | 38 | 37 | 75 |
| I Asistido Moderado | 59 | 38 | 97 |
| II Asistido | 26 | 19 | 45 |
| Válido | 43 | 40 | 83 |
| Divorciado/a | 13 | 13 | 26 |
| Centro de Día | 1 | 1 | 2 |
| I Asistido Grave | | 2 | 2 |
| I Asistido Leve | 5 | 1 | 6 |
| I Asistido Moderado | 2 | 4 | 6 |
| Válido | 5 | 5 | 10 |
| Separado/a | 3 | 1 | 4 |
| I Asistido Leve | 1 | | 1 |
| Válido | 2 | 1 | 3 |
| Soltero/a | 74 | 151 | 225 |
| Centro de Día | 4 | 7 | 11 |
| I Asistido Grave | 12 | 22 | 34 |
| I Asistido Leve | 13 | 38 | 51 |
| I Asistido Moderado | 16 | 39 | 55 |
| II Asistido | 1 | 12 | 13 |
| Válido | 28 | 33 | 61 |
| Viudo/a | 161 | 580 | 741 |
| Centro de Día | 17 | 75 | 92 |
| I Asistido Grave | 25 | 104 | 129 |
| I Asistido Leve | 37 | 117 | 154 |
| I Asistido Moderado | 35 | 154 | 189 |
| II Asistido | 11 | 32 | 43 |
| Válido | 36 | 98 | 134 |
| Total general | 508 | 947 | 1455 |

En la Tabla A8 se pueden observar los valores correspondientes al estado civil agrupados por sexo. La Figura A3 muestra el estado civil de la población total, la Figura A4 el estado civil de la población femenina y la Figura A5, de la población masculina.

Tabla A 8. Estadísticos descriptivos en relación con el estado civil (Fuente: Elaboración propia)

| Estado civil | Hombre | Mujer | Total |
|----------------------|------------|------------|-------------|
| Casado/a | 257 | 202 | 459 |
| Divorciado/a | 13 | 13 | 26 |
| Separado/a | 3 | 1 | 4 |
| Soltero/a | 74 | 151 | 225 |
| Viudo/a | 161 | 580 | 741 |
| Total general | 508 | 947 | 1455 |

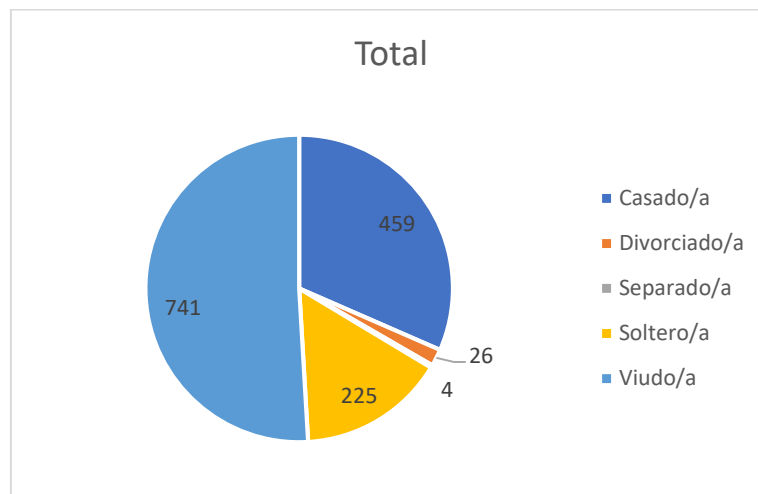


Figura A 3. Muestra total en relación con el estado civil (Fuente: Elaboración propia)

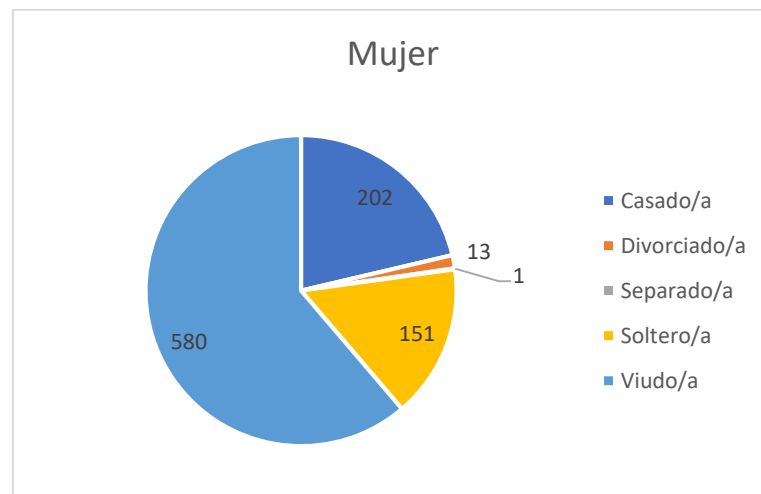


Figura A 4. Estado civil de la población femenina (Fuente: Elaboración propia)

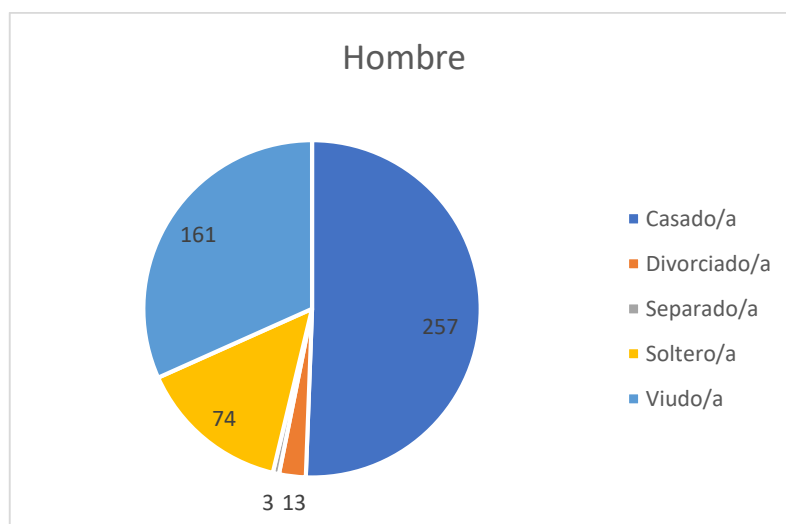


Figura A 5. Estado civil de la población masculina (Fuente: Elaboración propia)

En la Tabla A9 se pueden observar los valores correspondientes a la tipología de la plaza agrupado por sexo.

La Figura A6 muestra la tipología de la plaza de la población total, Figura A7, la tipología de la plaza de la población femenina y la Figura A8, la tipología de la plaza de la población masculina.

Tabla A 9. Estadísticos descriptivos en relación con la tipología de la plaza (Fuente: Elaboración propia)

| Tipología plaza | Hombre | Mujer | Total |
|----------------------|------------|------------|-------------|
| Centro de Día | 69 | 112 | 181 |
| I Asistido Grave | 81 | 167 | 248 |
| I Asistido Leve | 94 | 193 | 287 |
| I Asistido Moderado | 112 | 235 | 347 |
| II Asistido | 38 | 63 | 101 |
| Válido | 114 | 177 | 291 |
| Total general | 508 | 947 | 1455 |

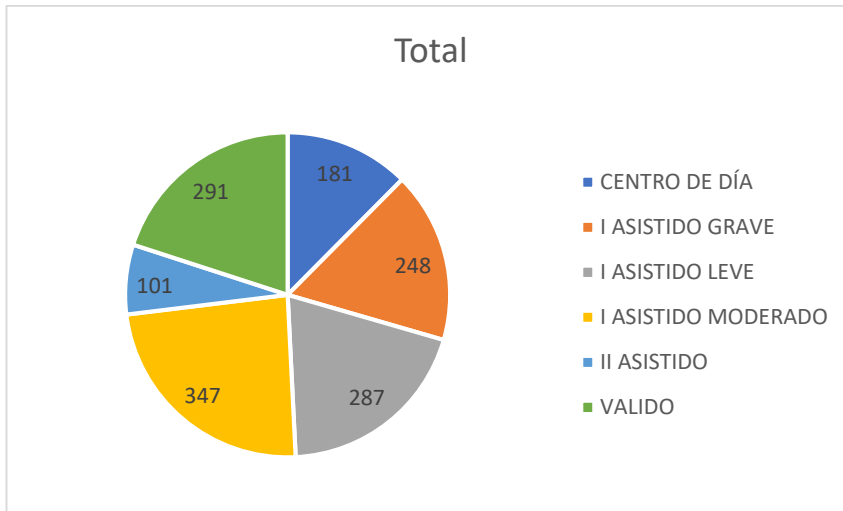


Figura A 6. Tipología de la plaza en la muestra total (Fuente: Elaboración propia)

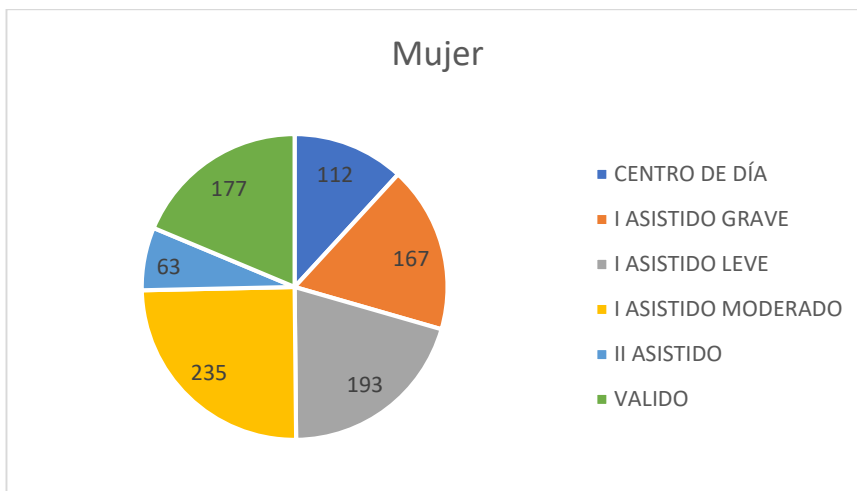


Figura A 7. Tipología de la plaza en la muestra femenina (Fuente: Elaboración propia)

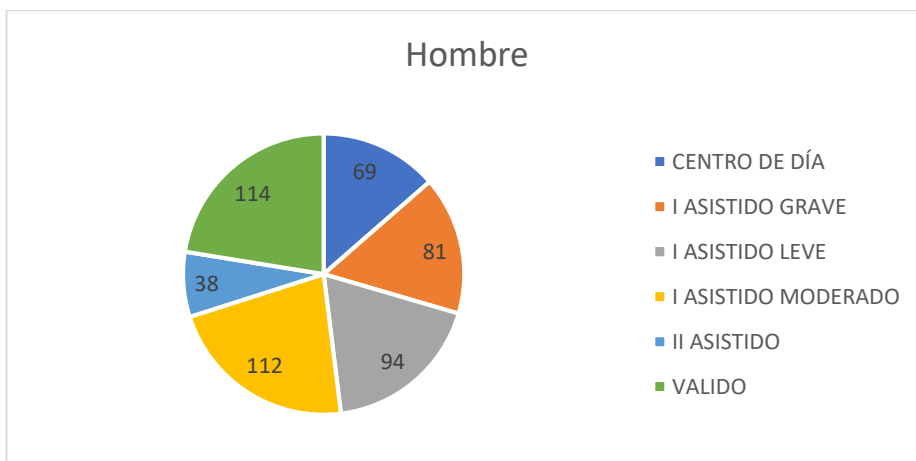


Figura A 8. Tipología de la plaza en la muestra masculina (Fuente: Elaboración propia)

ESTADÍSTICOS DESCRIPTIVOS DE LA MUESTRA EN SIGNOS VITALES Y DE MEDICIÓN CORPORAL

Los signos vitales indican el estado funcional de los usuarios, y se consideran herramientas valiosas para determinar problemas en el organismo, ya que una alteración en cualquiera de ellos supone una patología de base.

Es la onda pulsátil de la sangre, originada en la contracción del ventrículo izquierdo del corazón y que resulta en la expansión y contracción regular del calibre de las arterias. La onda pulsátil representa el rendimiento del latido cardiaco, que es la cantidad de sangre que entra en las arterias con cada contracción ventricular y la adaptación de las arterias, o sea, su capacidad de contraerse y dilatarse (673).

El pulso periférico se palpa fácilmente en pies, manos, cara y cuello. Realmente puede palparse en cualquier zona donde una arteria superficial pueda ser fácilmente comprimida contra una superficie ósea (673).

La velocidad del pulso (latidos por minuto) corresponde a la frecuencia cardiaca, la cual varía con la edad, sexo, actividad física, estado emocional, fiebre, medicamentos y hemorragias (673).

Tabla A 10. Valores descriptivos recogidos en la muestra con referencia a la frecuencia cardíaca (Fuente: elaboración propia)

| Frecuencia cardíaca | |
|----------------------------|--------|
| Media | 76,1 |
| Error típico | 0,33 |
| Mediana | 76 |
| Moda | 70 |
| Desviación estándar | 12,77 |
| Varianza de la muestra | 163,27 |
| Curtosis | -0,30 |
| Coefficiente de asimetría | 0,11 |
| Rango | 82 |
| Mínimo | 41 |
| Máximo | 123 |
| Suma | 110812 |
| Cuenta | 1455 |

Los valores normales para la constante vital de frecuencia cardíaca son: recién nacidos (Entre 120-170 pulsaciones por minuto); niños de 2 a 4 años entre 100 y 120; los niños de 6 a 8 años entre 100 y 115 pulsaciones por minuto y en personas adultas oscilan entre 60 y 80. En la Tabla A10 se pueden ver los valores descriptivos recogidos en la muestra con referencia a la frecuencia cardíaca.

El índice de masa corporal se deriva de las medidas de peso corporal y estatura, se calcula con la fórmula $\text{Peso}/\text{Talla}^2$ (674).

Se plantea que en un Índice de masa corporal (IMC) de 18,5Kg/metros cuadrados o menos, define deficiencia energética crónica; de 25 a 29, 9 Kg /metros cuadrados, indica sobrepeso y mayor o igual a 30 obesidad (675).

En la Tabla A11 se muestran los valores con referencia al peso de la muestra y en la Tabla A12, los valores en relación con la talla. En la Tabla A13, se muestran los valores que corresponden al IMC de la población estudiada.

Tabla A 11. Valores descriptivos recogidos en la muestra con referencia al peso (Elaboración propia)

| Peso | |
|-------------------------|------------|
| Media | 61,7718694 |
| Error típico | 0,33710196 |
| Mediana | 60,7 |
| Moda | 55 |
| Desviación estándar | 12,8585731 |
| Varianza de la muestra | 165,342903 |
| Curtosis | 0,58013839 |
| Coficiente de asimetría | 0,57419096 |
| Rango | 79,3 |
| Mínimo | 31,7 |
| Máximo | 111 |
| Suma | 89878,07 |
| Cuenta | 1455 |

Tabla A 12. Valores descriptivos recogidos en la muestra con referencia a la talla (Fuente: elaboración propia)

| Talla | |
|-------------------------|--------|
| Media | 162,60 |
| Error típico | 0,21 |
| Mediana | 165 |
| Moda | 165 |
| Desviación estándar | 8,28 |
| Varianza de la muestra | 68,65 |
| Curtosis | -0,25 |
| Coficiente de asimetría | -0,61 |
| Rango | 39 |
| Mínimo | 141 |
| Máximo | 180 |
| Suma | 236591 |
| Cuenta | 1455 |

El estudio que analizó la prevalencia de obesidad en España (676) mostró que en la población mayor de 65 años esta prevalencia experimenta un incremento significativo en relación con edades más jóvenes hasta el umbral de los 75 años. La prevalencia de obesidad (IMC igual o mayor de 30 kg/m²) en la población mayor de 65 años se estima en un 35% (un 30,9% en varones y un 39,8% en mujeres).

Tabla A 13. Valores descriptivos recogidos en la muestra con referencia al Índice de Masa Corporal (IMC) (Fuente: elaboración propia)

| IMC | |
|-------------------------|----------|
| Media | 23,53 |
| Error típico | 0,14 |
| Mediana | 22,99 |
| Moda | 25,96 |
| Desviación estándar | 5,40 |
| Varianza de la muestra | 29,17 |
| Curtosis | 0,74 |
| Coficiente de asimetría | 0,65 |
| Rango | 34,60 |
| Mínimo | 11,53 |
| Máximo | 46,12 |
| Suma | 34236,23 |
| Cuenta | 1455,00 |

En ancianos institucionalizados se estimó una prevalencia de obesidad del 21% (un 20,5% en varones y un 21,7% en mujeres). El control glucémico de los pacientes diabéticos deberá estar encaminado a tener glucemias de ayuno < 120 mg/dl (< 6.6 mmol/L) y hemoglobina glucosilada $< 7\%$ (677). En la Tabla A14 se muestran las pruebas de glucemia basal registradas en la muestra.

Tabla A 14. Valores descriptivos recogidos en la muestra con referencia a la glucemia basal (Fuente: elaboración propia)

| Glucemia Basal | |
|-------------------------|---------|
| Media | 132,04 |
| Error típico | 1,32 |
| Mediana | 122 |
| Moda | 118 |
| Desviación estándar | 45,34 |
| Varianza de la muestra | 2056,53 |
| Curtosis | 9,44 |
| Coficiente de asimetría | 2,35 |
| Rango | 422 |
| Mínimo | 45 |
| Máximo | 467 |
| Suma | 154494 |
| Cuenta | 1170 |

El cuerpo humano necesita consumir una determinada cantidad de oxígeno para el metabolismo de sus células, ese oxígeno se obtiene directamente de la atmósfera durante la inspiración (264).

Los valores típicos de Saturación de oxígeno oscilan entre el 95% y 97% con un rango de variación del 2%. Valores por debajo del 90% se asocian con situaciones patológicas e insuficiencia respiratoria (642) (Tabla A15).

Tabla A 15. Valores descriptivos recogidos en la muestra con referencia a la saturación (Fuente: elaboración propia)

| Saturación | |
|---------------------------|--------|
| Media | 94,41 |
| Error típico | 0,06 |
| Mediana | 95 |
| Moda | 94 |
| Desviación estándar | 2,61 |
| Varianza de la muestra | 6,83 |
| Curtosis | 13,22 |
| Coefficiente de asimetría | -2,30 |
| Rango | 29 |
| Mínimo | 71 |
| Máximo | 100 |
| Suma | 137377 |
| Cuenta | 1455 |

La tensión arterial consiste en la presión que ejerce la sangre sobre las paredes arteriales cuando circula por las arterias en el organismo. Como la sangre se mueve por ondas, se pueden dar dos tipos de medidas de tensión o presión arterial: la tensión sistólica, que es la presión de la sangre debida a la contracción de los ventrículos, es decir, la presión máxima; y la tensión diastólica, que es la presión que queda cuando los ventrículos se relajan; ésta es la presión mínima(673). En la Tabla A16 se muestran los valores normales de tensión arterial (673) que pueden ser medidos y en la siguiente tabla (Tabla A17) se muestran los valores de tensión sistólica y diastólica recogidos en la muestra poblacional medida.

Tabla A 16. Valores normales de tensión arterial

| Edad | Presión Sistólica (mmHg) | Presión Diastólica (mmHg) |
|-------------|---------------------------------|----------------------------------|
| Lactante | 60-90 | 30-62 |
| 2 años | 78-112 | 48-78 |
| 8 años | 85-114 | 52-85 |
| 12 años | 95-135 | 58-88 |
| Adulto | 100-140 | 60-90 |

Tabla A 17. Valores descriptivos recogidos en la muestra con referencia a la tensión arterial (Fuente: elaboración propia)

| Tensión arterial | | | |
|---------------------------|--------|---------------------------|--------|
| Sistólica | | Diastólica | |
| Media | 130,76 | Media | 74,37 |
| Error típico | 0,58 | Error típico | 0,31 |
| Mediana | 130 | Mediana | 74 |
| Moda | 140 | Moda | 70 |
| Desviación estándar | 22,26 | Desviación estándar | 11,94 |
| Varianza de la muestra | 495,55 | Varianza de la muestra | 142,79 |
| Curtosis | 0,80 | Curtosis | 0,37 |
| Coefficiente de asimetría | 0,53 | Coefficiente de asimetría | 0,05 |
| Rango | 161 | Rango | 92 |
| Mínimo | 69 | Mínimo | 30 |
| Máximo | 230 | Máximo | 122 |
| Suma | 190256 | Suma | 108220 |
| Cuenta | 1455 | Cuenta | 1455 |

La temperatura consiste en el equilibrio entre la producción de calor por el cuerpo y su pérdida. Cuando la temperatura sobrepasa el nivel normal se activan mecanismos como vasodilatación, hiperventilación y sudoración que promueven la pérdida de calor. Si por el contrario, la temperatura cae por debajo del nivel normal se activan mecanismos como aumento del metabolismo y contracciones espasmódicas que producen los escalofríos(673).

Los valores normales de temperatura son: recién nacidos entre 36.1°C y 37.7°C; lactante 37.2°C; niños de 2 a 8 años 37°C y adultos entre 36°C y 37°C. En la Tabla A18 se muestran los valores descriptivos correspondientes a la medición de la temperatura de los usuarios.

Tabla A 18. Valores descriptivos recogidos en la muestra con referencia a la temperatura (Fuente: elaboración propia)

| Temperatura | |
|-------------------------|---------|
| Media | 35,91 |
| Error típico | 0,01 |
| Mediana | 36 |
| Moda | 36 |
| Desviación estándar | 0,60 |
| Varianza de la muestra | 0,36 |
| Curtosis | 0,85 |
| Coficiente de asimetría | -0,07 |
| Rango | 4,6 |
| Mínimo | 34,1 |
| Máximo | 38,7 |
| Suma | 52260,1 |
| Cuenta | 1455 |

ESTADÍSTICOS DESCRIPTIVOS DE LA MUESTRA: HÁBITOS

El tabaquismo constituye un importante problema de salud pública, siendo una de las principales causas de morbilidad evitable y prematura(678). En España, el 24% de la población fuma diariamente (679). En la Tabla A19 se muestran los datos de tabaquismo en la muestra analizada. En la Figura A9 se muestran los porcentajes correspondientes al hábito de tabaquismo.

Tabla A 19. Valores correspondientes al hábito de tabaquismo (Fuente: elaboración propia)

| Tabaco | Hombre | Mujer | Total |
|----------------------|---------------|--------------|--------------|
| Sí que fuma | 46 | 37 | 83 |
| No fuma | 462 | 910 | 1372 |
| Total general | 508 | 947 | 1455 |

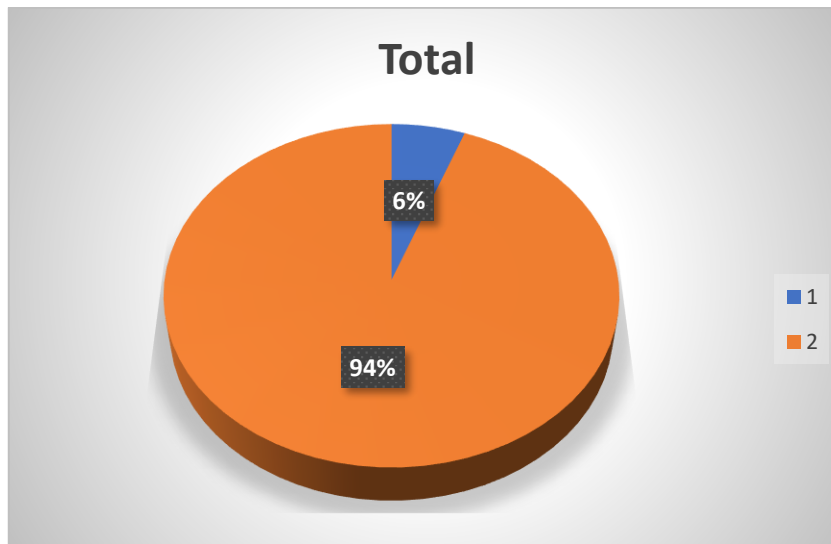


Figura A 9. Porcentajes correspondientes al hábito de tabaquismo (Fuente: elaboración propia)

La prevalencia de bebedores diarios en España es menor que la de fumadores (12,9%), y es una de las más bajas de la Unión Europea (679). En relación con los estilos de vida, los resultados del estudio realizado por Zaragoza et al. (680) mostraron un mayor consumo de alcohol y de tabaco en hombres que en mujeres. En la muestra analizada es mayor el consumo de tabaco en hombres, pero no el consumo de alcohol, ya que como se observa en la siguiente Tabla A20, es mayor en las mujeres. En Figura A10 se muestran los porcentajes correspondientes al hábito de consumo de alcohol.

Tabla A 20. Valores correspondientes al hábito de consumo de alcohol (Fuente: elaboración propia)

| Alcohol | Hombre | Mujer | Total |
|----------------------|------------|------------|-------------|
| Sí que bebe | 47 | 109 | 156 |
| No bebe | 461 | 838 | 1299 |
| Total general | 508 | 947 | 1455 |

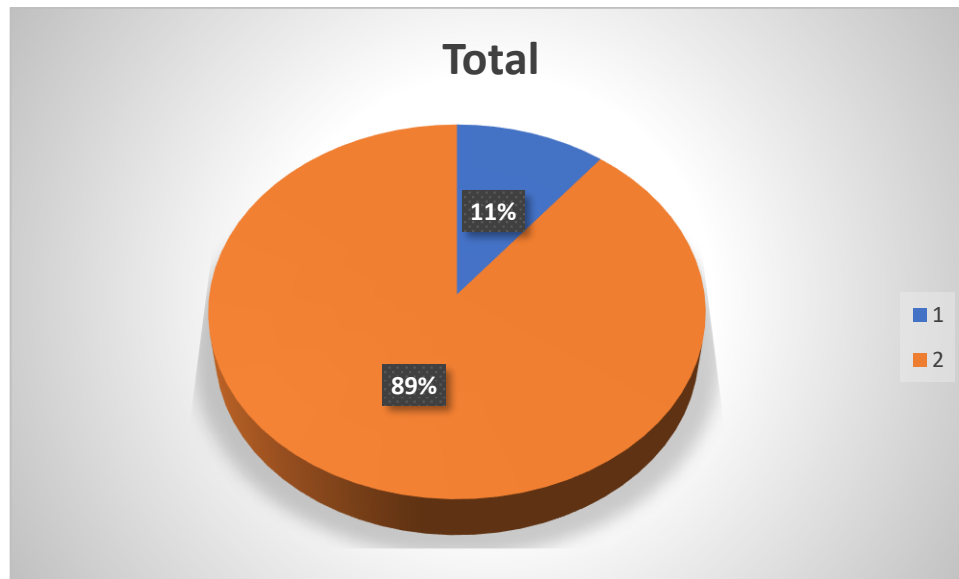


Figura A 10. Porcentajes correspondientes al hábito de consumo de alcohol (Fuente: elaboración propia)

En el estudio realizado por Matilla-Mora et al. (70) se demuestra la eficacia y efectividad de la terapia ocupacional en el retraso de la progresión de las distintas disfunciones, especialmente cuando se utilizan programas estructurados de terapia ocupacional domiciliaria.

En la siguiente Tabla A21 se muestra la asistencia a terapias cognitivas en el programa de intervención de Terapia Ocupacional y en la Figura A11 los porcentajes relativos a la asistencia diaria a terapias cognitivas.

Tabla A 21. Valores correspondientes a la asistencia diaria a terapias cognitivas (Fuente: elaboración propia)

| Terapias Cognitivas | Hombre | Mujer | Total |
|----------------------|------------|------------|-------------|
| Sí que asiste | 397 | 754 | 1151 |
| No asiste | 111 | 193 | 304 |
| Total general | 508 | 947 | 1455 |

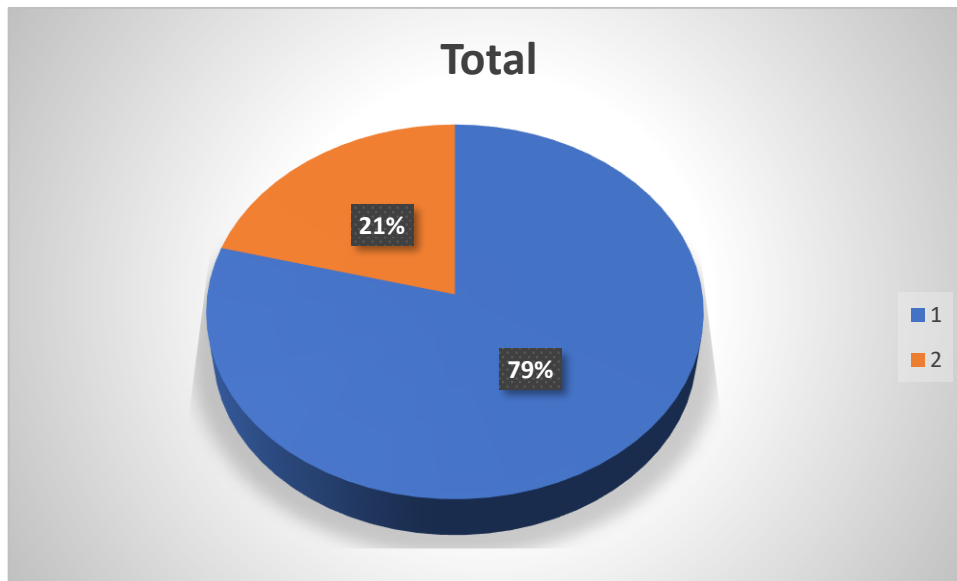


Figura A 11. Porcentajes correspondientes a la asistencia diaria a terapias cognitivas (Fuente: elaboración propia)

Para mejorar aspectos como el desempeño de las actividades de la vida diaria, se deben realizar programas que incluyan ejercicios aeróbicos y de fortalecimiento, estimulación cognitiva y sensorial y entrenamiento de memoria (70). En la siguiente Tabla A22, se muestra la asistencia por sexo a las terapias físicas realizadas en el departamento de Terapia Ocupacional. En la Figura A12 se muestran los porcentajes relativos a la asistencia diaria a terapias físicas.

Tabla A 22. Valores correspondientes a la asistencia diaria a terapias físicas (Fuente: elaboración propia)

| Terapias Físicas (TO) | Hombre | Mujer | Total |
|-----------------------|------------|------------|-------------|
| Sí que asiste | 453 | 855 | 1308 |
| No asiste | 55 | 92 | 147 |
| Total general | 508 | 947 | 1455 |

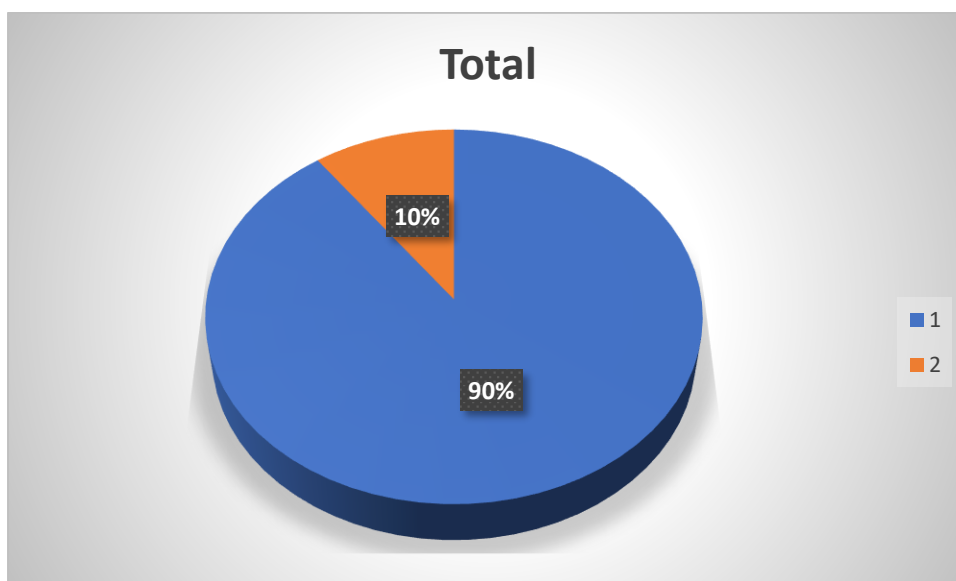


Figura A 12. Porcentajes correspondientes a la asistencia diaria a terapias físicas (Fuente: elaboración propia)

ESTADÍSTICOS DESCRIPTIVOS DE LA MUESTRA: MEDICAMENTOS

La clasificación Anatómica Terapéutica Química (ATC) consiste en un sistema europeo de codificación de sustancias farmacéuticas y medicamentos en cinco niveles con arreglo al sistema u órgano efecto y al efecto farmacológico, las indicaciones terapéuticas y la estructura química de un fármaco(471). De esta manera a cada medicamento le corresponde un código ATC, y a su vez este código se especifica en una ficha técnica (donde aparecen resumidas las características del producto) del medicamento(472).

La clasificación ATC se estructura en cinco niveles(471):

- **1.er nivel (anatómico):** órgano o sistema sobre el que actúa el fármaco (existen 14 grupos en total).
- **2.o nivel:** subgrupo terapéutico.
- **3.er nivel:** subgrupo terapéutico o farmacológico.
- **4.o nivel:** subgrupo terapéutico, farmacológico o químico.

- **5.0 nivel:** nombre del principio activo (mono fármaco) o de la asociación medicamentosa

En la clasificación cada nivel o categoría se distingue mediante una letra y un número o una serie de letras y números. En este sistema de clasificación, todos los preparados a base de un mismo y único fármaco reciben un código idéntico(471).

Los medicamentos que se recogen en la muestra están divididos conforme al tercer nivel, de manera que se han creado subgrupos farmacológicos de clasificación de los distintos medicamentos atendiendo al tercer nivel de la codificación farmacológica ATC. En la Tabla A23, se pueden observar la clasificación de los medicamentos.

Tabla A 23. Clasificación ATC de medicamentos. Fuente: Elaboración propia

| Clasificación ATC de Medicamentos | Número |
|---|---------------|
| Clasificación ATC | ATC |
| Agentes antitrombóticos | 13 |
| Agentes contra el estreñimiento | 7 |
| Agentes contra las úlceras pépticas y el RGE | 2 |
| Agentes contra padecimientos funcionales del estómago e intestino | 4 |
| Agentes contra padecimientos obstructivos de las vías respiratorias | 60 |
| Agentes modificadores de los lípidos | 27 |
| Alimentos y dietéticos | 66 |
| Analgésicos | 45 |
| Anestésicos | 44 |
| Ansiolíticos | 49 |
| Antiácidos | 3 |
| Antiarrítmicos | 18 |
| Antibacterianos | 33 |
| Antidepresivos | 51 |
| Antidiabéticos | 11 |
| Antidiarreicos, antiinfecciosos y antiinflamatorios intestinales | 8 |
| Antieméticos y antinauseosos | 5 |
| Antiepilépticos | 46 |
| Antígotosos | 42 |
| Antihemorrágicos | 14 |
| Antihipertensivos | 21 |
| Antihistamínicos | 62 |
| Antiinflamatorios y antirreumáticos | 40 |
| Antimicobacterias | 35 |
| Antimióticos | 34 |

| Clasificación ATC de Medicamentos | Número |
|---|---------------|
| Clasificación ATC | ATC |
| Antineoplásicos e inmunomoduladores | 39 |
| Antiparasitarios, insecticidas y repelentes | 57 |
| Antiparkinsonianos | 47 |
| Antipsicóticos | 48 |
| Antivirales | 36 |
| Betabloqueantes | 25 |
| Bloqueantes de canales del calcio/Agentes activos sobre el sistema renina angiotensina | 26 |
| Corticoesteroides | 31 |
| Dermatológicos | 28 |
| Digestivos, incluidos enzimas | 10 |
| Diuréticos | 22 |
| Estimulantes cardíacos | 19 |
| Fármacos antidemencia | 53 |
| Fármacos usados en desórdenes adictivos | 55 |
| Glucosálidos digitálicos | 17 |
| Hipnóticos/Sedantes | 50 |
| Hormonas hipofisarias, hipotalámicas, pancreáticas y homeostásis del calcio | 32 |
| Oftalmológicos | 63 |
| Otológicos | 64 |
| Parasimpático miméticos | 56 |
| Preparaciones nasales | 58 |
| Preparados antianémicos | 15 |
| Preparados antiobesidad | 9 |
| Preparados antivértigo | 54 |
| Preparados estomatológicos | 1 |
| Preparados para el tratamiento de enfermedades óseas | 43 |
| Preparados para la garganta | 59 |
| Preparados para la tos y el resfriado | 61 |
| Psicoestimulantes | 52 |
| Relajantes musculares | 41 |
| Sistema genitourinario y hormonas sexuales | 29 |
| Sueros inmunes e inmunoglobulinas | 37 |
| Sustitutos de sangre y solución para perfusión | 16 |
| Terapia biliar y hepática | 6 |
| Terapia tiroidea | 30 |
| Vacunas | 38 |
| Varios/Cosméticos | 65 |
| Vasodilatadores periféricos | 23 |
| Vasodilatadores usados en enfermedades cardíacas | 20 |
| Vasoprotectores | 24 |
| Vitaminas/Suplementos minerales | 12 |

La clasificación en España se reguló a partir del Real decreto 1348/2003, del 31 de octubre, (681) por el que se adaptó la clasificación anatómica de medicamentos al sistema de clasificación ATC, en el plazo de tres años desde su entrada en vigor, se regulaba que España adaptaría la clasificación de medicamentos a la clasificación de la Unión Europea denominada ATC.

ESTADÍSTICOS DESCRIPTIVOS DE LA MUESTRA: PATOLOGÍAS

La Clasificación Estadística Internacional de Enfermedades y Problemas Relacionados con la Salud (CIE-10) es la Décima Revisión de la Clasificación Internacional de Enfermedades (CIE) que desde 1948 está a cargo de la Organización Mundial de la Salud (OMS) (454). Fue aprobada el 1989 por la OMS con la recomendación de que entrara en vigor el 1 de enero de 1993 (455).

Se ha utilizado la clasificación CIE 10 para clasificar las distintas patologías identificadas en la muestra total. Del total de 1117 patologías, se muestran en la siguiente Tabla A24 las patologías clasificadas en 41 grupos atendiendo a la clasificación internacional (CIE-10).

Tabla A 24. Patologías clasificadas en base a los criterios CIE-10 de la muestra

| Patología Clasificadas (41 Grupos) | |
|--|-----------|
| Grupo CIE 10 | Nº |
| Ciertas enfermedades infecciosas y parasitarias | 1 |
| Tumores (neoplasias) | 2 |
| Enfermedades de la sangre y de los órganos hemotopoyéticos y ciertos Trastornos que afectan al mecanismo de la inmunidad | 3 |
| Enfermedades endocrinas, nutricionales y metabólicas | 4 |
| Trastornos mentales y del comportamiento | 5 |
| Enfermedades inflamatorias del sistema nervioso central | 6 |
| Atrofias sistémicas que afectan principalmente al sistema nervioso central | 7 |
| Trastornos extrapiramidales y del movimiento | 8 |
| Otras enfermedades degenerativas del sistema nervioso | 9 |
| Enfermedades desmielinizantes del sistema nervioso central | 10 |
| Trastornos episódicos y parosícticos | 11 |
| Trastornos de los nervios, de las raíces y de los plexos nerviosos | 12 |
| Polineuropatías y otros trastornos del sistema nervioso periférico | 13 |
| Enfermedades neuromusculares y de la unión neuromuscular | 14 |
| Parálisis cerebral y otros síndromes paralíticos | 15 |
| Otros trastornos del sistema nervioso | 16 |

Patología Clasificadas (41 Grupos)

| Grupo CIE 10 | N° |
|--|-----------|
| Enfermedades del ojo y sus anexos | 17 |
| Enfermedades del oído y de la apófisis mastoideas | 18 |
| Fiebre reumática aguda | 19 |
| Enfermedades cardíacas reumáticas crónicas | 20 |
| Enfermedades hipertensivas | 21 |
| Enfermedades isquémicas del corazón | 22 |
| Enfermedad cardiopulmonar y enfermedades de la circulación pulmonar | 23 |
| Otras formas de enfermedad del corazón | 24 |
| Enfermedades cerebrovasculares | 25 |
| Enfermedades de las arterias y de los vasos capilares | 26 |
| Enfermedades de las venas y de los vasos y ganglios linfáticos | 27 |
| Otros trastornos del sistema circulatorio | 28 |
| Enfermedades del sistema respiratorio | 29 |
| Enfermedades del sistema digestivo | 30 |
| Enfermedades de la piel y el tejido subcutáneo | 31 |
| Enfermedades del sistema osteomuscular y del tejido conjuntivo | 32 |
| Enfermedades del sistema genitourinario | 33 |
| Embarazo, parto y puerperio | 34 |
| Ciertas afecciones originadas en el período neonatal | 35 |
| Malformaciones congénitas, deformidades y anomalías cromosómicas | 36 |
| Síntomas, signos y hallazgos anormales clínicos y de laboratorio no clasificados en otra parte | 37 |
| Traumatismo de la cabeza | 38 |
| Otros traumatismos, envenenamientos y algunas otras consecuencias de causa externa | 39 |
| Causas externas de morbilidad y mortalidad | 40 |
| Factores que influyen en el estado de salud y contacto con los servicios de salud | 41 |


La CIE es una clasificación realizada por una agencia internacional de las Naciones Unidas, un recurso público de libre acceso para servir de herramienta en la salud pública(682,683). CIE-10 es una clasificación orientada a describir morbilidad y causas de muerte. Con sus más de 14.000 códigos es posible codificar la mayoría de los diagnósticos más frecuentes tanto en ámbitos ambulatorios como de internación(138).

ANEXO 5/APPENDIX 5

ARTÍCULOS PUBLICADOS

Review

Cholesterol and Alzheimer's Disease Risk: A Meta-Meta-Analysis

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Abstract: Background: Alzheimer's disease (AD) is the most common subtype of dementia. In the last ten years, the relationship between cholesterol and AD has been investigated. Evidence suggests that cholesterol is associated with AD and represents promising targets for intervention. However, the causality of these associations is unclear. Therefore, we sought to conduct a meta-meta-analysis to determine the effect of cholesterol on the development AD. Then, we assessed the effect of serum levels of low-density lipoprotein cholesterol (LDL-C) and high-density lipoprotein cholesterol (HDL-C), total cholesterol (TC) and triglycerides (TG), on AD risk. Methods: A systematic search of meta-analyses was conducted. Scopus, Web of Science, Science direct, PubMed and Google academic system databases were reviewed. Results: We found 100 primary studies and five meta-analyses to analyze the relationships between cholesterol and AD. The total effect of cholesterol on risk of AD was significant and heterogeneous. Subgroup analysis shows that LDL-C levels influence the development of AD. However, non-significant effects of HDL-C, TC and TG levels on AD were found. Conclusions: These results strengthen the evidence that LDL-C cholesterol levels increase risk for AD. More initiatives to investigate the relationship between cholesterol and AD are needed.

Keywords: Alzheimer's disease; etiology; cholesterol; risk factors; meta-analysis

1. Introduction

Alzheimer's disease (AD) is the most common neurodegenerative disorder resulting in cognitive impairment. AD is characterized by a gradual decline in memory and other cognitive and executive functions, and the progressive development of affective and behavioral disorders [1]. The onset of AD is insidious, and its progression is gradual. As it progresses, various patterns of deficits are seen, but the disorder most commonly begins with deficits in recent memory, which are followed by aphasia, apraxia and agnosia after several years [2]. AD also may cause psychiatric symptoms and personality changes [3]. At the beginning, it affects some abilities, but in the most severe stages, people may depend entirely on others for basic activities of daily living [2].

The etiology of AD is unknown [4]. With the global population aging, AD has increased considerably and become a primary concern for governments and the scientific and medical communities [5]. In Europe, the AD rate is around 5.05% (3.31% for men and 7.13% for women). The AD increase by age reaches 4% of prevalence worldwide, and it increases to 4.02% in people over 60 years old [6,7]. A recent study indicated that the prevalence of AD in individuals aged 60 to 69 years was 1.9 times higher in females than in males (108 cases versus 56 cases per 10,000 persons) [7]. In Spain, around 400,000 people suffer from AD, with the highest prevalence in central and north-eastern Spain [8].

Disorders of lipid homeostasis are common risk factors for cardiovascular disease, which is linked to AD [9]. Dyslipidemia has been identified as a risk factor for AD [1]. This concept refers to abnormal levels of lipids or lipoproteins in the blood, which include high levels of low-density lipoprotein (LDL-C), low levels of high-density lipoprotein (HDL-C), total cholesterol (TC) and triglycerides (TG) [1]. According to previous results, the overall performance of four independent test results should be considered indexes for the prediction of AD, and provide accurate information on an individual's lipid metabolism status or serum lipid and cholesterol levels [10–12].

In the last ten years, the relationship between cholesterol and AD has been extensively investigated, especially in longitudinal epidemiological studies [10]. Evidence suggests that there is a relationship between having high cholesterol levels in blood in mid- and late-life and the development of dementia [1,13]. Specifically, some studies have demonstrated that dyslipidemia, mainly a high level of LDL-C, has vascular and neurotoxic effects, and is implicated in the pathogenesis of AD [10,14–16]. Additionally, another study indicates that if the TC in the brain membrane increases, synapses are not performed normally and, therefore, affect cognitive degeneration in AD [17]. Nevertheless, other studies did not find an association between hypercholesterolemia (high levels of LDL-C, TC, and TG) and AD [18,19]. Regarding HDL-C levels, Tynkkynen et al. [20] found that high levels of HDL-C were inversely associated with the risk of AD. Other studies share the same finding [21,22]. However, some studies did not find an association between high triglycerides levels and high levels of HDL-C proteins and AD [1,12,18].

The study of the disorders of lipid homeostasis is essential, because it may reduce the consequences of vascular diseases and neurodegenerative diseases, among others, in a cost-effective way [1]. First, this study aimed to conduct a meta-meta-analysis to determine the global effect of cholesterol on AD risk. Second, as there was no consensus in the previous literature about the impact of different types of cholesterol on AD, the effects of serum levels of LDL-C, HDL-C, TCTG on the development of AD were analyzed.

2. Materials and Methods

2.1. Data Collection

We applied the Preferred Reporting Items for Systematic reviews and Meta-Analyses (PRISMA) guidelines for systematic reviews and meta-analyses [23]. For data collection, we searched meta-analyses reporting outcomes in individuals with diagnoses of AD. To locate potentially suitable studies, we conducted several searches using 5 electronic databases (last search completed in January 2020), including the Web of Science, Scopus, Pubmed, Science Direct and Google Scholar. No publication date was imposed. The electronic search adopted several combinations of the following keywords: “cholesterol” AND Alzheimer's disease AND meta-analysis. The same search strategy was used in academic Google, but limited to the title. Articles were also searched manually and, if required and when feasible, authors were contacted directly for additional information. The search was also done in the Spanish language.

The study selection included previous meta-analyses that met the following criteria: (1) meta-analysis studies that included measures for cholesterol (LDL-C, HDL-C, TC and TG) and AD diagnosis; (2) they should be written in English or Spanish; (3) quantitative studies that reported effect sizes or data that enabled effect size calculation or estimation; (4) meta-analyses that included human samples.

All abstracts were independently analyzed by 2 researchers. Then, after the exclusion of irrelevant abstracts, all remaining articles were critically inspected to check data accuracy. For meta-analyses that met the inclusion criteria, a third investigator independently extracted the salient data. Data were collected directly from the text, correlation matrixes or other statistical tables from the included studies (see supplemental material).

The primary variable (type of cholesterol), design (cross-sectional or longitudinal studies), country of origin of the study, sample size, gender, mean age, main results and an effect size of the relationships between cholesterol and AD were extracted. Information on all the collected data from the selected studies is presented in Table 1.

2.2. Quality Assessment

Quality of the meta-analyses was independently coded by two co-authors using the 11-item Assessment of Multiple Systematic Reviews (AMSTAR) tool [24], which has shown to have good inter-rater agreement, reliability, and content validity [24,25]. Total scores for each meta-analysis were calculated as the sum of the 11 items on a binary scale. Quality classifications were established as low quality (0–4), moderate quality (5–8), and high quality (9–11).

2.3. Statistical Analysis

We conducted meta-meta-analysis, combining standard mean difference (SMD), odds ratio (OR), and risk ratio (RR) for AD reported in the selected meta-analyses [26]. We report separate meta-analytic results for each meta-analysis in Table 1. Additionally, we identified separate effect sizes for LDL-C, HDL-C, TC and TG cholesterol levels and their relationship with AD risk. The most frequently reported measure of the associations with cholesterol was SMD and OR. Hence, the results of this meta-meta-analysis are reported in OR format. For each meta-analysis, we calculated (see Tables 2–5): (a) the 95% confidence interval of the effect; (b) the Z-value and p (two-tailed significance); and (c) k or number of studies [27]. RRs and ORs were considered as equivalent, as deemed appropriate when the outcome condition is relatively rare (incidence < 15%) [28]. Adjusted effect measures were used in the analysis when they were included in the source studies, under the assumption that adjustment was performed to remove bias in the estimate of the association between cholesterol and AD. We conducted a random-effect model that allowed SMD and ORs to be incorporated into the same input. Random-effect models are more appropriate than fixed-effect models when the number of studies included in the meta-analysis is low (< 10) [29].

Initially, we performed an analysis summarizing all the available data into a single pooled estimate [30]. Then, to assess the heterogeneity of our results, subgroup analyses were performed to examine the differential effects of type of cholesterol: (1) LDL-C, (2) HDL-C, (3) TC and (4) TG. We did not assume a common among-study variance component across subgroups.

We calculated summary estimates and plotted the effects, using Comprehensive Meta-Analysis software [31]. The heterogeneity of the results obtained from the different meta-analysis was calculated using the Q statistic. Additionally, the presence of heterogeneity was evaluated by calculating the I^2 . The I^2 statistic explains the percentage of variance in the observed effects due to variance in the true effects. I^2 values of 25% are considered as low-heterogeneity, 50% as moderate-heterogeneity, and 75% as high-heterogeneity [30]. Statistical significance was set at $p \leq 0.05$. The effect sizes of the mean differences were estimated using Cohen's criteria [32]. A small effect was conceptualized as $d = 0.20$, medium $d = 0.50$, and large $d = 0.80$.

Regarding the risk of AD and the cholesterol component, the direction of the reported effect size coefficient was reversed wherever necessary, such that all included effect sizes represented the association between cholesterol and an increase in the risk of suffering from AD, instead of a decrease in the AD risk.

3. Results

A total of 331 studies were identified from major databases: 64 in ISI Web of Science (WOS), 141 in Scopus, 45 in PubMed, 79 in the Elsevier Science Direct and two in Google Scholar.

Twenty-two meta-analyses were eligible for inclusion in this meta-meta-analysis. Of these, 17 were excluded because: (a) $k = 2$ did not report an effect size; (b) $k = 2$ did not provide information on the relationship between cholesterol and AD; (c) $k = 6$ were duplicated; (d) $k = 5$ were systematic reviews about other issues; (e) $k = 1$ aimed to study the effect of medication on AD; and (f) one meta-analysis that included the same primary studies as another study (see Figure 1). Finally, a total of $K = 5$ meta-analyses were analyzed in this meta-meta-analysis ($k = 12$ pooled effect sizes), including data from $n = 100$ primary studies ($n = 236$ effect sizes) (see Supplementary Table S1).

Twelve effect sizes were extracted from a total of five meta-analyses. $K = 3$ effect sizes informed about LDL-C and risk of AD (25%); $k = 3$ about HDL-C (25%); $k = 4$ about TC (33.3%), and $k = 2$ of TG (16.7%). Table 1 summarizes the key features of the included primary diagnosis, design, number of primary studies, country of origin of the study, sample size, gender, mean age, results, total scores of quality of included meta-analyses (MAs) (AMSTAR) and effect sizes of the relationships between cholesterol and AD that were extracted.

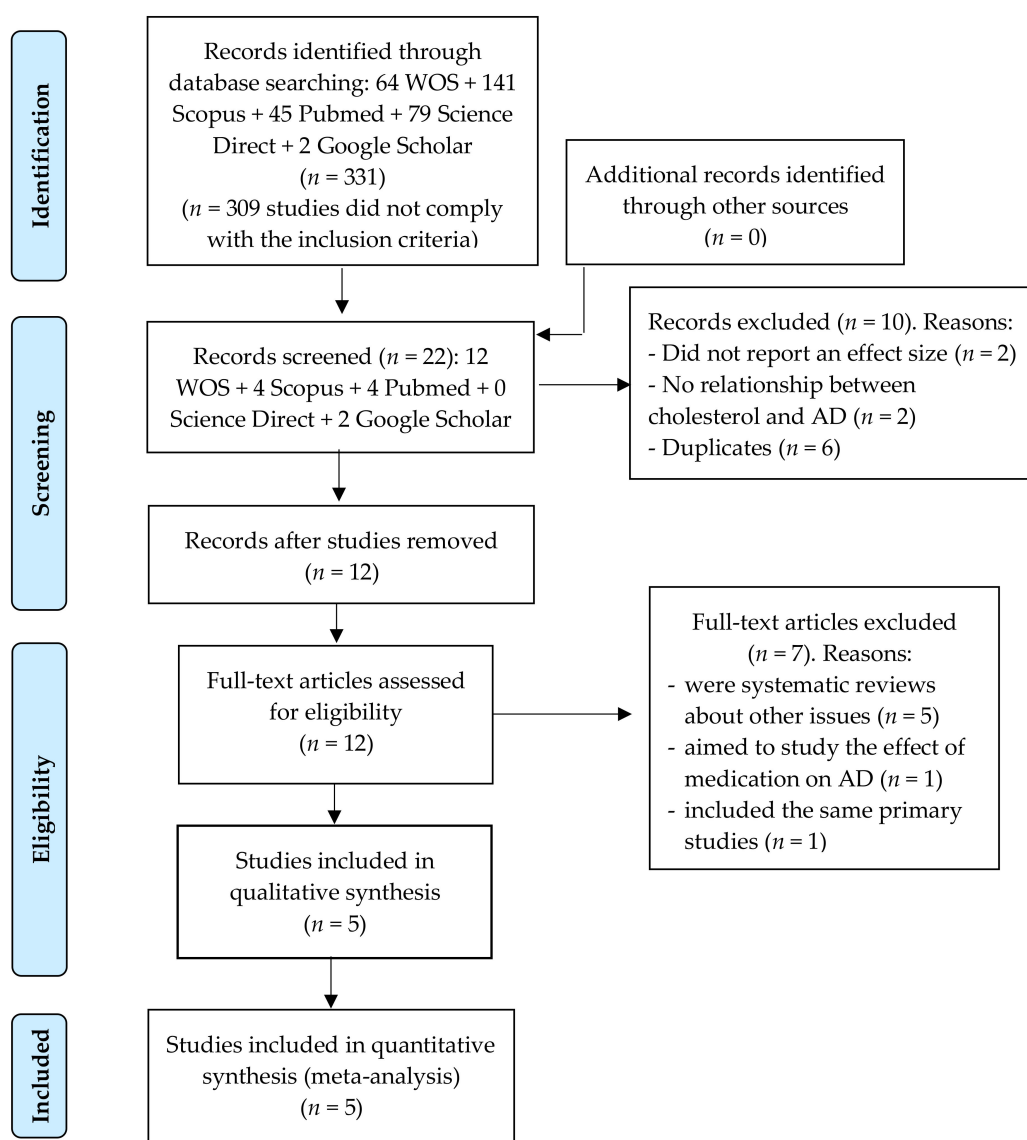


Figure 1. Flow chart depicting the selection of articles for our meta-meta-analysis.

Table 1. Population characteristics in studies of Alzheimer’s disease (AD) and cholesterol.

| Study | Variable | Total n | Design | K | Country (N) | Sample | % F | Age | Result | Effect Size | | | AMSTAR Scores |
|------------------|----------|----------------------------------|-----------|----|--|----------------------------------|-------|------------------|----------------|-------------|-----------------|-------|---------------|
| | | | | | | | | | | Effect Size | 95% CI LL~UL | p | |
| Zhou et al. [33] | LDL-C | AD n = 2266 HC n = 4767 | C | 20 | EU (7), USA (6), AS (4), AF (2), OC (1) | AD n = 2266 HC n = 4767 | 69.50 | 50-87 | > LDL-C > AD | SMD = 0.35 | 0.12~0.58 | <0.01 | 10 |
| Liu et al. [1] | LDL-C | AD n = 891 HC n = 2399 | C | 9 | EU (3), USA (4), AS (2) | AD n = 584 HC n = 2130 | 70 | 59-92 | > LDL-C > AD | SMD = 1.40 | 0.70~2.10 | 0.000 | 11 |
| | HDL-C | | | 11 | EU (4), USA (4), AS (3) | AD n = 727 HC n = 2233 | | | HDL-C = AD | SMD = -0.53 | -1.12~0.07 | 0.082 | |
| | TC | | | 13 | EU (6), USA (4), AS (3) | AD n = 809 HC n = 2303 | | | > TC > AD | SMD = 0.76 | 0.13~1.40 | 0.019 | |
| | TG | | | 6 | EU (4), USA (2) | AD n = 273 HC n = 239 | | | > TG = AD ns. | SMD = -0.02 | -0.25~0.21 | 0.859 | |
| Wu et al. [12] | LDL-C | AD n = 3037 HC n = 5375 | C | 33 | AS (33) | AD n = 2843 HC n = 5174 | 53.87 | 56-84 | > LDL-C > AD | OR = 1.64 | 1.07~2.51 | | 10 |
| | HDL-C | | | 33 | | AD n = 2921 HC n = 5271 | | | < HDL = AD ns. | OR = 0.81 | 0.55~1.19 | | |
| | TC | | | 33 | | AD n = 2661 HC n = 5189 | | | > TC > AD | OR = 1.58 | 1.10~2.92 | | |
| | TG | | | 28 | | AD n = 2556 HC n = 4903 | | | > TG = AD ns. | OR = 1.33 | 0.99~1.79 | | |
| Wang et al. [18] | TC | AD n = 959 HC n = 694 | C | 16 | - | AD n = 959 HC n = 694 | 60.21 | 60-94, M = 71.38 | > TC = AD | SMD = -0.23 | 0.65~0.19 | 0.29 | 10 |
| Xu et al. [13] | HDL-C | AD n = 12604 HC n = 2,256,519 | L(2-9) | 6 | USA (2), EU (4) | AD n = 499 HC n = 11,991 | 56.3 | M = 71.21 | > HDL = AD | RR = 1.00 | 0.86~1.14 | 0.942 | 11 |
| | TC | | L(3.2-32) | 16 | USA (8), EU (4), AS (4) | AD n = 12275 HC n = 2,246,750 | 49.5 | M = 68.5 | > TC = AD | RR = 0.96 | 0.81~1.11 | 0.000 | |

Note: Variables: AD: Alzheimer’s disease; LDL-C: low-density level cholesterol; HDL-C: high-density level cholesterol; TC: total cholesterol; TG: triglycerides; Total N of each study; Design: C: cross-sectional; L: longitudinal (year); K: number of studies; Country: N: number of independent studies. EU: European Union; USA: United States of America; AS: Asia; AF: Africa; OC: Oceania;⁶ Independent Sample: AD: Alzheimer’s disease cases; HC: healthy control participants for each type of cholesterol; F: females; M: mean; CI: 95% confidence interval; SMD: standard mean difference; OR: odds ratio; RR: risk ratio.

First, we investigated the relationship between overall cholesterol components and risk of AD in five meta-analyses, with a total of 2,289,511 participants, most of whom were female (N cases, AD = 19,757; N controls, HCs = 2,269,754). We identified a total of 12 estimates for cholesterol serum lipids (LDL-C, HDL-C, TC, and TG). The distribution of these estimates is shown in Figure 2.

The total random effect of cholesterol on risk of AD was significant with $OR = 1.29$, 95% confidence interval (CI) [1.04, 1.60], $Z = 2.28$, $p = 0.023$, $d = 0.14$. When calculating the overall effect of lipid parameters, evidence of significant heterogeneity was found ($Q = 45.49$, $df = 11$, $p = 0.0001$, $I^2 = 75.82\%$). Therefore, we examined whether subgroup analysis changed the results, as cholesterol levels at onset were significantly associated with AD. Heterogeneity could be explained, due to the different types of cholesterol: LDL-C, HDL-C, TG and TC. The results indicated that there were differences between the types of cholesterol: $Qb = 9.04$, $df = 3$, $p = 0.029$. Hence, independent analyses for each type of cholesterol were performed.

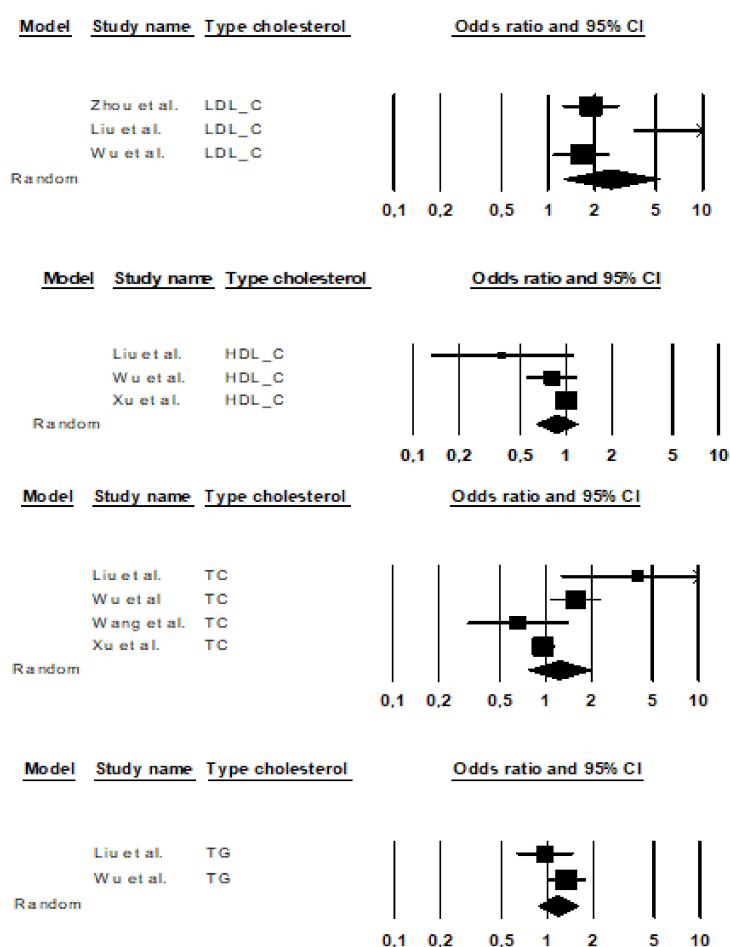


Figure 2. Forest plot of the effects of dyslipidemia on Alzheimer's disease (AD): low-density lipoprotein cholesterol (LDL-C), high-density lipoprotein cholesterol (HDL-C), total cholesterol (TC), triglycerides (TG).

3.1. Studies of LDL-C Levels

The total random effect of LDL-C levels on AD was significant for $k = 3$ meta-analysis ($n = 17,764$, $n = 5693$ AD, and $n = 12,071$ HCs): $OR = 2.55$, 95% CI [1.25, 5.22], $Z = 2.57$, $p = 0.010$, $d = 0.52$.

The first study conducted by Zhou et al. [33] provided information of $K = 20$ studies that compared serum LDL-C levels in AD and HC subjects ($N = 7033$ participants: 2266 AD and 4767 HCs). Liu et al. [1] also compared AD ($n = 584$ AD) and HC participants ($n = 2130$), examining $K = 9$ independent studies with an $N = 2714$. Finally, Wu et al. [12] informed about LDL-C, comparing $K = 33$ studies ($N = 8017$)

with $n = 2843$ AD and $n = 5174$ HC participants. Results indicated that the LDL-C serum levels were significantly higher in AD patients than in HC subjects. Heterogeneity was significant ($Q = 9.05$, $df = 2$; $I^2 = 77.89\%$, $p = 0.011$, $I^2 = 77.89\%$). (See Table 2).

Table 2. Summary effect sizes for low-density lipid cholesterol (LDL-C) serum levels and Alzheimer's disease (AD).

| Model | Study | Statistics | | | | | | |
|---------------|------------------|------------|-------------|-------------|------|-------|-----------------|--------------|
| | | OR | Lower Limit | Upper Limit | Z | p | Weight (Random) | Std Residual |
| | Zhou et al. [33] | 1.89 | 1.24 | 2.86 | 2.98 | 0.003 | 40.64 | -0.69 |
| | Liu et al. [1] | 12.67 | 3.56 | 45.08 | 3.92 | 0.000 | 18.96 | 2.13 |
| | Wu et al. [12] | 1.64 | 1.07 | 2.50 | 2.25 | 0.024 | 40.39 | -1.01 |
| Random effect | | 2.55 | 1.25 | 5.22 | 2.57 | 0.010 | | |

3.2. Studies on HDL-C Levels

Three meta-analyses $K = 3$ ($N = 23,642$, $n = 4147$ AD and $n = 19,495$ HCs) showed a non-significant effect of HDL-C levels on the risk of AD: $OR = 0.87$, $CI\ 95\% [0.64, 1.18]$, $Z = -0.89$, $p = 0.372$, $d = 0.08$

Liu et al. [1] included 11 studies that analyzed HDL-C serum levels in AD patients and HCs. The combined sample size consisted of 2960 participants: 727 AD and 2233 HCs. They found non-significant differences between AD and HC subjects in HDL-C serum levels. Likewise, no differences were found between AD and HCs ($K = 33$ studies; $N = 8192$, $n = 2921$ AD and $n = 5271$ HCs) in the meta-analysis conducted by Wu et al. [12]. Finally, Xu et al. did not find any association between a lower level of HDL-C and AD ($K = 6$ studies; $N = 12,490$, $n = 499$ AD and $n = 11,991$ HCs). Heterogeneity was non-significant ($Q = 3.85$, $df = 2$; $I^2 = 47.98\%$, $p = 0.146$). (See Table 3).

Table 3. Summary effect sizes for high-density lipid cholesterol (HDL-C) serum levels and AD.

| Model | Study | Statistics | | | | | | |
|---------------|----------------|------------|-------------|-------------|-------|-------|-----------------|--------------|
| | | OR | Lower Limit | Upper Limit | Z | p | Weight (Random) | Std Residual |
| | Liu et al. [1] | 0.38 | 0.13 | 1.13 | -1.75 | 0.081 | 7.35 | -1.46 |
| | Wu et al. [12] | 0.81 | 0.55 | 1.19 | -1.07 | 0.285 | 33.30 | -0.31 |
| | Xu et al. [13] | 1.00 | 0.87 | 1.16 | 0.00 | 1.000 | 59.35 | 1.08 |
| Random effect | | 0.87 | 0.64 | 1.18 | -0.89 | 0.374 | | |

3.3. Studies on TC Levels

Results indicated that $K = 4$ meta-analyses ($N = 2,271,785$, $n = 16,704$ AD and $n = 2,255,081$ HCs) informed about the TC and AD risk. The combined effect size showed that TC levels increased by 44% the risk of AD, but this effect did not reach statistical significance: $OR = 1.44$ $CI\ 95\% [0.91, 2.28]$, $Z = 1.55$, $p = 0.121$, $d = 0.20$.

Liu et al. [1] included $k = 13$ primary studies ($N = 3112$) that compared the TC serum levels in AD ($n = 809$) and HC subjects ($n = 2303$), showing that TC levels were significantly higher in AD patients than in HC participants. Likewise, Wu et al. [12] reviewed $K = 33$ studies ($N = 7850$, $n = 2661$ AD patients and $n = 5189$ HCs), finding significant effects. However, Wang et al. [18] evaluated total of $K = 16$ studies ($N = 1653$), including 959 subjects with AD and 694 controls, finding non-significant differences between AD and HDs. In this study, the authors analyzed the markers of cholesterol in subjects with AD with age-matched controls. Finally, Xu et al. [13], in a longitudinal study, also reported non-significant differences between AD and HCs in TC levels ($K = 16$ studies; $N = 2,259,170$, $n = 12,275$ AD and $n = 2,246,895$ HCs). Heterogeneity was significant ($Q = 11.83$, $df = 3$; $I^2 = 74.77\%$, $p = 0.008$). (See Table 4).

Table 4. Summary effect sizes for total cholesterol (TC) serum levels and Alzheimer’s disease (AD).

| Model | Study | Statistics | | | | | Weight (Random) | Std Residual |
|-------|------------------|------------|-------------|-------------|---------------|-------|-----------------|--------------|
| | | OR | Lower Limit | Upper Limit | Z | p | | |
| | Liu et al. [1] | 3.97 | 1.25 | 12.55 | 2.35 | 0.019 | 11.41 | 1.55 |
| | Wu et al. [12] | 1.57 | 1.09 | 2.28 | 2.39 | 0.017 | 31.52 | 0.26 |
| | Wang et al. [18] | 1.52 | 0.70 | 3.25 | 1.07 | 0.283 | 19.04 | 0.11 |
| | Xu et al. [13] | 0.96 | 0.83 | 1.12 | -0.4 -0.53 | 0.597 | 37.25 | -1.35 |
| | Random effect | 1.44 | 0.91 | 2.28 | 1.55 | 0.121 | | |

3.4. Studies of TG Levels

The combined effect size of studies of TG levels $K = 2$ ($N = 8085$, $n = 2865$ AD and $n = 5220$ HCs), $OR = 1.22$, $CI\ 95\% [0.96, 1.56]$, $Z = 1.64$, $p = 0.102$, $d = 0.11$, indicates that there was no significant association between overall TG and the risk of AD. Liu et al. [1] ($K = 6$; $N = 512$, $n = 273$ AD, and $n = 239$ HCs) and Wu et al. [12] ($K = 28$; $N = 7573$, $n = 2592$ AD and $n = 4981$ HCs) showed that there were no differences in TG serum levels between patients and controls. Heterogeneity analysis was non-significant ($Q = 0.91$, $df = 1$; $I^2 = 0\%$, $p = 0.340$). (See Table 5).

Table 5. Summary effect sizes for triglycerides (TG) serum levels and Alzheimer’s disease (AD).

| Model | Study | Statistics | | | | | Weight (Random) | Std Residual |
|-------|----------------|------------|-------------|-------------|------|-------|-----------------|--------------|
| | | OR | Lower Limit | Upper Limit | Z | p | | |
| | Liu et al. [1] | 1.04 | 0.68 | 1.57 | 0.17 | 0.864 | 33.61 | -0.96 |
| | Wu et al. [12] | 1.33 | 0.99 | 1.79 | 1.89 | 0.059 | 66.39 | 0.96 |
| | Random effect | 1.22 | 0.96 | 1.56 | 1.64 | 0.102 | | |

4. Discussion

This study analyzes the association between cholesterol levels and the risk of developing AD. This is the first attempt to evaluate this relation by identifying previous meta-analyses and their primary studies analyzed worldwide. The present meta-meta-analysis summarizes the information of 100 primary studies and expands the findings of individual studies.

Global results revealed that the level of cholesterol is a risk factor for AD. This finding is consistent with those from several prior studies, in which high cholesterol levels were associated with a higher likelihood of developing AD [1,4,12,13]. However, sensitivity analysis yielded several interesting and informative results. Even though the studies revealed that AD is involved in lipid metabolism, the results indicated that the effect of LDL-C, HDL-C, TC and TG on the development of dementia was different. We found that, compared with HC subjects, LDL-C levels were higher in AD participants, whereas HDL-C, TC and TG levels were not sensitive hallmarks of AD.

An elevated LDL cholesterol level was an independent risk factor for the development of AD. The pooled effect size exhibited a significant increase in the risk of AD for individuals with higher levels of LDL-C. Other prospective studies also support these results, showing that LDL concentration in mid-life increases the risk of developing AD in later life [34]. Nevertheless, in this study, the pathways through which elevated LDL cholesterol levels influence the development of dementia are unclear [35].

First, previous research indicated that the senile plaques theories may provide a link between high LDL-C and AD [36]. In this theory, elevated levels of LDL-C and TC cause the extracellular deposition of amyloid protein ($A\beta$), hindering neuronal synaptic connections in the brain and increasing the risk of AD [37].

Second, the Tau protein may play an important role in proper axonal transport and overall neural integrity [38] and correlates with cognitive decline in the AD. In this case, cognitive loss is associated with an excess of the Tau protein, which causes neurofibrillary tangles and prevents the synaptic connection of neurons in the brain [39].

In addition, risk factors for vascular disease may also be risk factors for AD, and high blood LDL-C levels are vascular risk factors [40]. Indeed, various studies have demonstrated that high concentrations of LDL cholesterol are associated with coronary heart disease and carotid artery atherosclerosis, which, in turn, may lead to cognitive decline through cerebral embolism or hypoperfusion [41–44]. The study conducted by Moroney et al. [45] also demonstrated that the level of LDL cholesterol is a potential risk factor for dementia with stroke. Therefore, it is necessary to analyze the influence of other factors related to LDL-C in the development of AD. This result could explain the heterogeneity between LDL-C studies found in this meta-meta-analysis.

The results showed no difference in HDL-C serum levels between AD and HC subjects. However, this result remains controversial, and no conclusive evidence was found. Various studies indicated that variations in HDL serum lipid levels are not associated with AD [1,12,13,35]. In other studies, lower levels of HDL have been associated with a high risk of AD [37,40]. Conversely, evidence suggests that high HDL-C levels are associated with a reduced risk of dementia, and that HDL may protect people against cerebrovascular dysfunction in AD [46]. In fact, cholesterol is an essential molecule for many physiologic processes and has multiple beneficial effects. Cholesterol is a precursor of steroid hormones (estrogens, androgens, vitamin D), it provides structural integrity and modulates the fluidity of cell membranes and is a main component of basic synaptic integrity and neurotransmission [47]. Moreover, HDL is known to have antioxidant and anti-inflammatory properties, which can affect neuroinflammatory responses in the brain and improve cognitive functions [48].

Whereas TC (total cholesterol) has been identified as a lipid marker for hyperlipemia [1,12,18], the summarized results did not find significant effects of TC levels on AD. Four meta-analyses assessing the effects of mid-life serum cholesterol on late-life risk of dementia and AD have yielded conflicting results. Several studies state that high cholesterol levels in middle age represent a risk factor for AD, but that there are no detectable differences in cholesterol levels at advanced ages [33,35,46]. Therefore, the non-significant effects of TC on AD in prospective studies (30 years to follow-up) could be explained by the variations in TC levels and the disease progression. Along these lines, Lepara et al. [44] indicated that cholesterol may be associated with AD cross-sectionally. In the same vein, Reitz [13] concluded that there is an association between higher cholesterol levels and a lower risk of AD, because of the nutritional status of elderly patients. In the early stages of AD, patients show alterations in the energy profile (weight loss, reduced caloric intake and increased energy requirements), and low cholesterol levels may reflect malnutrition [47]. Similarly, experimental studies and retrospective analyses in cohort studies indicate that statins could also affect the natural progress of the AD and reduce its prevalence over time [48]. Finally, even though Wang et al. [18] used a cross-sectional design, they did not find significant effects of TC on AD. In this study, the authors explained that cholesterol homeostasis could be altered in preclinical AD, whereas cholesterol dysregulation occurs throughout the disease's process. This evidence could make it more difficult to find a significant relationship between TC and AD during the disease's progress [18]. Hence, additional analysis is necessary.

The triglyceride serum level did not show a positive association with the development of AD in this meta-meta-analysis. This result also may be explained because of the retrospective design of some of the studies included herein. As we noted before, the use of cholesterol-lowering drugs could have suppressed the development of AD in participants, decreasing the likelihood of finding an association between TC and AD [49,50]. For instance, Wolozin [49] concluded that the use of statins, including lovastatin and pravastatin, decreased the development of AD. Other studies did not find that high triglyceride levels were associated with AD [1,12] and with potential changes in cognitive performance [51]. However, the results are not robust. Many studies associate hypercholesterolemia with the risk of dementia. Kivipelto [52] concluded that hypercholesterolemia could increase the risk of dementia, because arteriosclerosis occurs in the blood vessels, and this can alter blood flow, and directly induce neurodegeneration of AD [53]. Likewise, a recent study that investigated the association between diet and the level of triglycerides in the blood concluded that TG was associated with cognitive decline [54]. This result highlighted that a healthy diet and a good lifestyle for controlling

the serum lipid levels was beneficial for preventing AD, which seems to counteract the scientific literature, where TG level is not associated with AD [55].

Our summary results showed no statistically significant differences between serum HDL-C, TC and TG levels in patients with AD compared with HC participants. Based on all available information, this study reveals that it is important to identify early risk factors for AD, because the neurodegenerative processes of AD can begin at an early age, and pharmacological and non-pharmacological therapies that delay the neurodegenerative progress of AD may be performed. Moreover, it may be necessary for future studies to investigate in more detail the neural regions that exhibit different cholesterol content regarding the pathological processes related to AD [56], and the influence of other potential moderators that could explain the heterogeneity between the primary results. Hence, the relevance of our findings for the pathophysiology of AD needs to be further explored in future research.

The limitations of this study include the possibility of misclassification bias when using single baseline measurements of cholesterol and the lack of verification of the clinical diagnosis of dementia subtype. We were also unable to investigate the effect of other moderator variables, such as country or cohort. Perhaps the relationship between lipid levels and the risk of probable AD would change if the same cohort were analyzed. Moreover, we could not assess the possible association between dietary and exercise levels and LDL-C, HDL-C, TC and TG serum levels. In addition, other variables have been associated with AD, but the meta-analysis included lacked a description of these factors, so the results could not be further adjusted. Body mass index, smoking status, stroke, hypertension, Type 2 diabetes and heart disease are also closely related to blood lipid levels, and could affect the risk of AD.

However, this meta-meta-analysis represents a step toward evidence-based of AD and its relationship with dyslipidemia. First, this meta-meta-analysis provides an update and complete summary of the association of LDL-C, HDL-C, TC and TG with the prevalence of AD. Second, the effect sizes of one of the most studied risk factors for AD are provided to all healthcare professionals. Cholesterol is a modifiable risk factor, so if professionals know the relationship between cholesterol and AD, they could try to modify cholesterol levels to help to reduce AD risk. This study provides empirical evidence for the reduction of LDL-C levels through the promotion of healthy lifestyles (such as diet, weight control or physical activity) and/or the prescription of different medical treatments.

5. Conclusions

To sum up, the association of cholesterol and AD was evaluated. This meta-meta-analysis indicates that there is an association between the effect of cholesterol and AD. LDL-C, HDL-C, TC and TG were analyzed separately. LDL-C has a significant impact on the development of AD. Overall, this meta-meta-analysis represents a step toward evidence-based knowledge of AD.

The understanding of risk factors and protective factors of AD would require more long-term studies, conducting exhaustive follow-ups of each patient. Furthermore, this study highlighted the need to analyze other factors related to AD. Indeed, physical activity and the use of drugs could reduce the effects of cholesterol on AD; hence, more research is necessary. This meta-meta-analysis provides more knowledge about the relationship between cholesterol and AD, which could have a huge beneficial impact on AD incidence and prevalence.

Supplementary Materials: The following are available online at <http://www.mdpi.com/2076-3425/10/6/386/s1>. Table S1 showed the available primary studies of cholesterol and AD ($K = 100$ studies) and the main characteristics. It is worth noting that the search for suitable meta-analyses was systematic. To carry out the main analysis, cholesterol studies were divided into groups based on the type of lipid serum at which cholesterol was placed in each meta-analysis: LDL-C, HDL-C, TC, and TG. Table S2 illustrates the individual effect sizes obtained from the meta-analysis of the 100 primary studies to facilitate the replicability of this study and further analysis.

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Review

Depression as a Risk Factor for Alzheimer's Disease: A Systematic Review of Longitudinal Meta-Analyses

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Abstract: Alzheimer's disease (AD) is the most frequent cause of dementia, linked to morbidity and mortality among elderly patients. Recently, several clinical studies suggested that depression is a potential risk factor for cognitive decline and AD. A review of meta-analyses was performed, calculating pooled odds ratios to estimate the risk of AD in people with a prior diagnosis (or clinically significant symptoms) of depression. A total of six meta-analyses which represented 28 individual studies were analyzed. A significant association between depression and AD was found (OR = 1.54, 95% CI [1.02–2.31]; $p = 0.038$). The results showed that heterogeneity across studies was substantial. We found a significant positive effect size for clinical measures of depression, but not for symptomatic rating scales, in the association of depression with risk of AD. The type of rating scale used to assess depression and the cut-off criteria selected also moderated the relationship between depression and AD risk. We found that studies that used clinically significant criteria for diagnosis of depression had more consistent and significant results than studies that used symptomatic scales.

Keywords: depression; Alzheimer's disease; clinical and symptomatic criteria; meta-meta-analysis

1. Introduction

Alzheimer's disease (AD) is the most frequent cause of dementia and is considered one of the main causes of morbidity and mortality among elderly people [1]. The World Alzheimer's Report revealed that 46.8 million people worldwide were living with dementia in 2015, and the total global social cost of dementia was estimated to be \$818 billion [2]. Estimates of dementia incidence in population-based studies range from 5 to 10 cases per 1000 person-years in people aged 64 to 69, and up to 40 to 60 cases per 1000 person-years in people aged 80 to 84 [3]. In 2017 in Europe, prevalence rates of AD were reported to be 5.05%, with 3.31% in men and 7.13% in women [4]. Given the personal and social consequences of dementia and AD demand, we accelerate the global effort to understand this complex disorder [5].

Decades of research revealed that the pathophysiological mechanisms underlying this neurodegenerative disease include accumulation of amyloid-beta peptide (A β) in brain tissues and cytoskeletal changes related to the hyperphosphorylation of microtubule-associated Tau protein in neurons. As a consequence, neuritic plaques and neurofibrillary tangles are accumulated, mostly in the medial temporal lobe and associative neocortical areas [6], and resulting in several cognitive deficits. The clinical manifestation of AD is progressive, from unnoticeable brain changes to brain changes that cause cognitive deterioration and eventually physical disability [7]. AD usually begins with memory difficulties followed by other cognitive problems such as visuospatial abnormalities, navigation difficulties, executive problems, and language disturbance [2].

Evidence seems to suggest that the etiology of AD is multifactorial, with genetics, older age, and a family history of AD being the greatest contributors to a higher risk of AD [7]. Furthermore, AD is often associated with other chronic diseases (diabetes, cholesterol, cardiovascular diseases, obesity, and hypertension) [8]. Although these risk factors are unchangeable, other risk factors can be modified to reduce the risk of dementia and cognitive decline. This is particularly important, since there is no currently available way to stop the damage and destruction of neurons linked to AD.

Depressive symptoms are common in AD and occur in approximately 20–30% of patients [9]. Depression is a serious medical illness that affects about 300 million people worldwide and which might aggravate existing medical conditions and increase functional disability [9,10]. Clinical evidence suggests a relationship between depression and AD [11–14]. However, it remains unclear whether depression represents a risk factor for AD, is an early symptom of neurodegeneration, or is a reaction to early cognitive deficits [14,15]. Some studies have suggested that depressive symptoms immediately follow the onset of AD rather than precede it [16]. Moreover, evidence from other studies indicates that depression has only a mild effect on dementia [17] and does not increase the risk for developing AD [18]. However, other authors suggest that the presence of depression in patients with AD increases the risk of behavioral disturbance and accelerates functional decline [12]. Hudon et al. [19], for example, found that depression was the most consistent risk factor associated with behavioral or psychological symptoms and cognitive decline in patients with AD. In addition, several studies concluded that late-life depression is related to an increased risk for all-cause dementia, vascular dementia, and Alzheimer's disease [20–22], and late-life depression was shown to be associated consistently with a two-fold increased risk of dementia [23,24].

In order to clarify the role of depression as a risk factor of AD, several meta-analyses were conducted [19,20,22,23]. However, some limitations were pointed out. Cherbuin et al. [24], for example, indicated that, in general, results from previous studies that focused on depression as a risk factor of AD might be biased due to the type of instrument used to assess depression. Results are frequently based on different tools. Some of these studies are based on symptomatic rating scales with cut-off points (e.g., CESD), while others are based on clinical criteria (e.g., DSM). Thus, the pooled estimates of the risk for AD in depressed people might be unreliable, because these meta-analyses combined effect sizes from studies using different instruments to assess depression (i.e., symptomatic rating scales and clinical diagnoses). Additionally, these previous meta-analyses did not pool findings separately for studies using clinical criteria and studies using depressive symptom rating scales with specified cut-off points.

Based on these limitations and the inconclusive evidence, we aimed to perform a meta-meta-analysis of longitudinal studies to assess the effect of depression on the risk of a subsequent diagnosis of AD. Given the expected heterogeneity among studies, we also aimed to pool findings separately from studies using clinical criteria and those using depression symptom rating scales, and to test the association between depression and risk of AD according to the different instruments used.

2. Materials and Methods

2.1. Data Collection

This meta-meta-analysis was performed in accordance with the Preferred Reporting for Systematic Reviews and Meta-analysis (PRISMA) Statement [25]. For data collection, we searched meta-analyses that measured depression at baseline and reported outcomes in individuals with diagnoses of AD at follow-up. ISI Web of Science (WOS), Scopus, Pubmed, Elsevier Science Direct, and Google scholar were searched from inception up to 31 July 2020. Combinations of the following search terms were used: “depression” AND “Alzheimer’s disease” AND “meta-analysis”. The data search was done in English (four studies) and Spanish (one study). When necessary, corresponding authors were contacted to provide full text details of the study outcome measures.

2.2. Inclusion Criteria

By consensus of the authors, studies were included if they met the following criteria:

1. Longitudinal studies that investigated the effect of depression or depressive symptoms (at baseline) as an antecedent to AD (follow-up).
2. Studies including patients with a diagnosis of AD according to diagnosis criteria (e.g., Related Disorders Association criteria, N-ADRDA, the Diagnostic and Statistical Manual of mental Disorders, DSM-III or the National Institute of Neurological and Communication Disorders-Alzheimer’s Disease).
3. Studies that clinically assessed levels of depression by means of a clinical diagnosis (e.g., DSM-IV, ICD-10), or a symptomatic diagnostic tool with a cut-off score (e.g., Geriatric Mental State Schedule, GMS) that identifies clinically significant levels of depression.
4. Studies reporting sufficient information to calculate common effect size statistics (i.e., mean and SD, exact P-, t-, or z-values).
5. Original, peer-reviewed meta-analyses that were published in English and Spanish.

2.3. Exclusion Criteria

By consensus of the authors, the following were excluded:

1. Studies investigating the association of depression and risk of AD using a sample of patients with AD and other dementia (non-independent or overlapping data for AD).
2. Studies not reporting quantitative data to calculate the association between depression and AD, or not published as meta-analyses in peer-reviewed journals (i.e., conference abstracts, book chapters).
3. Meta-analyses about other topics or those that included the same primary studies.

2.4. Data Extraction and Quality Assessment

Titles and abstracts of potential meta-analyses about depression and incident AD were independently analyzed by three researchers (OS, SU, PG). After exclusion of irrelevant articles, the remaining meta-analyses were critically inspected to check data accuracy. Then, full texts of all primary studies included in each meta-analysis were screened according to the inclusion criteria. In the event of ambiguity, two authors (SU, JS) additionally reviewed the study to reach a consensus regarding its eligibility.

Data related to the diagnosis/assessment of depression and AD were collected directly from the text or from statistical tables. The lead author and either the third or fourth author independently extracted data from each study, including study characteristics (year, country, total sample size, and length of follow-up period), sample characteristics (mean age, % of women), measures of depression and AD, and the cut-off point used for depression in each individual study.

Diagnoses of AD were based on the following accepted clinical criteria: Revised criteria and the National Institute of Neurological and Communication Disorders-Alzheimer’s Disease and Related Disorders Association criteria (N-ADRDA), the Diagnostic and Sta-

tistical Manual of Mental Disorders in different editions (DSM-III, DSM-III-R, DSM-IV, DSM-V), and the International Classification of diseases (ICD-10). Additionally, studies established different cut-off scores on neuropsychological tests for the purposes of screening out cognitive impairment and dementia at baseline (see Table 1). Participants with scores above the cut-off on cognitive domains were excluded on the basis that this level of test performance indicates the presence of dementia or cognitive impairment. The most frequently used measures to describe the cognitive characterization of the participants at baseline were the Mini Mental State Examination (MMSE) ($n = 14$) and the Clinical Rating Scale (CRS) ($n = 6$). Diagnoses of depression were based on either symptomatic rating scales or clinical diagnoses. Clinical criteria for depression included the DSM-III, DSM-III-R, DSM-IV, DSM-V, and the Automated Geriatric Examination for Computer Assisted Taxonomy (GMS-AGECAT). Diagnoses of depression were based on symptomatic rating scales on valid cut-off points (SGDS/15/30, CES-D/10/11/20, HRSD-17).

In addition, the quality of the included studies was reported using the Assessment of Multiple Systematic Reviews (AMSTAR) tool [26], which was previously shown to have good inter-rater agreement, reliability, and content validity [26,27].

2.5. Statistical Analysis

Crude odds ratios (ORs) (and 95% confidence intervals (CIs)) were used to calculate the risk of developing AD associated with previous depression. When the number of cases of depression and AD were not provided, the effect sizes were calculated using reported data in the meta-analysis according to Lipsey and Wilson [28]. We considered HRs and ORs as equivalent, since it was previously shown that for rare events, they can be considered equivalent (incidence < 15%) [29]. Seventeen studies provided data that could be used in calculating crude ORs (odds of an outcome in the intervention arm divided by the odds of an outcome in the control). Eleven additional studies provided data on AD risk in samples as HR or ORs with 95% confidence intervals that could be used in pooling estimates.

Summary statistics were calculated using Comprehensive Meta-Analysis software (CMA; Version 3) (Biostat Inc., Englewood, NJ, USA) [30,31]. Initially, we performed an analysis summarizing all data available, including all studies with validated cut-offs or clinical diagnoses in a single pooled estimate [31]. For each study, we calculated: (a) 95% CI of the effect, (b) Z value and p (two-tailed significance), and (c) k or number of studies [32]. Presence of publication bias was assessed through visual inspection of funnel plots and with Egger's test [16].

The level of heterogeneity was assessed with the I² statistic, which describes the percentage of total variation across studies due to heterogeneity rather than chance alone. An I² value of 25% indicates low heterogeneity, 50% moderate heterogeneity, and 75% high heterogeneity [31]. Random-effect models were used to determine statistically significant heterogeneity. Additionally, the Cochran Q test was applied to assess significant heterogeneity (p -value < 0.05). Moderating variables were selected on the basis of substantive considerations and the availability of data across studies included in the meta-analysis. Subgroup analyses were performed according to how depression was assessed: by clinical diagnosis (e.g., DSM-V) or by symptomatic rating scales (e.g., CES-D). Additionally, because the studies included different symptomatic rating scales, we also considered the instrument and the specific cut-off criteria as moderating variables. Therefore, we calculated the effect sizes of the association between depression and risk of AD separately for studies using different cut-off points. Finally, meta-regression analyses were conducted to obtain the proportion of variance explained for each moderator (the R-square analog). The scatter plot represents the mean effect for each level of covariate.

Table 1. Summary of demographic and study information.

| Study | Year | Country | AD Measure ¹ | Cognitive Measure ² | Cut-Off Criteria Cognition | Depression Measure ³ | Cut-Off Criteria Depression ⁴ | n ⁵ | Follow-Up Length (Years) M (SD) | Age M (SD) | Female (%) (Total) | AMSTAR2 ⁶ |
|----------------------------------|------|--------------|-------------------------|--------------------------------|----------------------------|---------------------------------|--|----------------|---------------------------------|-------------|--------------------|----------------------|
| Bae et al. [33] | 2015 | AS | N-ADRDA | CERAD-K | ≥60 | GDS15 | ≥8 | 540 | 3.5 (0.3) | 71.7 (5.1) | 55.2 | HIGH |
| Bartolini et al. [34] | 2005 | EU | N-ADRDA | MMSE | >26 | DSM-III-R | - | 222 | 1 | 69.2 (4.8) | 63.5 | HIGH |
| Becker et al. [18] | 2009 | USA | N-ADRDA | MMSE | >26 | CES-D20 | ≥10 | 729 | 7.1 (NR) | 70 | 69 | HIGH |
| Blasko et al. [35] | 2010 | Austria | N-ADRDA | CERAD | ≥60 | DSM-IV | - | 648 | 2.5 (NR) | 78.3 (0.5) | 56.5 | HIGH |
| Burke et al. [36] | 2018 | USA | N-ADRDA | CRS | ≤3 | DSM-V | - | 12,083 | 4.2 (-) | 63.9 | 83 | HIGH |
| Chen et al. [37] | 1999 | USA | DSM-III-R | MMSE | >26 | CES-D20 | ≥16 | 803 | 4.5 (NR) | 73.7 (5.0) | 60 | MODERATE |
| Dal Forno et al. [38] | 2005 | USA | N-ADRDA | BIMC | | CES-D20 | ≥20 | 1357 | 6.1 (-) | 65.5 (12.0) | 45.5 | HIGH |
| Devanand et al. [39] | 1996 | USA | N-ADRDA | CRS | ≤3 | DSM-III R | - | 456 | 2.54 | 72 | 70 | HIGH |
| Dotson, Beydoun & Zonderman [40] | 2010 | USA | DSM-III R | BIMC | | CES-D20 | ≥16 | 2177 | 23.6 (NR) | 52.7 (18.8) | 42.3 | HIGH |
| Fuhrer, Dufouil & Dartigues [41] | 2003 | France | N-ADRDA/DSM-III-R | MMSE | >26 | CES-D20 | ≥16 | 1576 | 8.0 (NR) | 75.2 (6.9) | 58.3 | HIGH |
| Gatz et al. [16] | 2005 | Canada | DSM-III R | MMSE | >26 | CES-D20 | ≥16 | 766 | 5 | 74.5 (6.0) | 61.7 | HIGH |
| Geerlings et al. [42] | 2000 | Paises Bajos | DSM-III-R | MMSE | >26 | GMS-AGECAT | - | 1911 | 5.9 (1.6) | 73.5 (7.9) | 49 | MODERATE |
| Geerlings et al. [43] | 2008 | Netherlands | N-ADRDA | MMSE | >26 | CES-D20 | ≥16 | 393 | 5.9 (1.6) | 73.5 (7.9) | 49 | MODERATE |
| Gracia-García et al. [44] | 2015 | EU | DSM-IV | MMSE | >26 | GMS-AGECAT | ≥3 | 3626 | 4.5 | 71.9 (9.0) | 54.4 | HIGH |
| Heser et al. [45] | 2013 | Germany | DSM-IV/ICD-10 | MMSE | >26 | DSM-IV | - | 2969 | 4 | 81 | 64.8 | HIGH |
| Irie et al. [46] | 2008 | USA | N-ADRDA | CRS | ≤3 | CES-D11 | ≥9 | 1585 | 5.1 | 76.3 (3.6) | 0 | HIGH |
| Kim et al. [47] | 2010 | South Korea | N-ADRDA | CRS | ≤3 | GDS30 | 13/14 | 473 | 2.4 (0.3) | 71.8 (5.1) | 54.4 | HIGH |
| Kim et al. [48] | 2011 | South Korea | DSM-IV | CRS | ≤3 | GMS-AGECAT | ≥3 | 563 | 2.4 (0.3) | 71.8 (5.0) | 54.4 | MODERATE |
| Lauriola et al. [49] | 2018 | EU | DSM-V | MMSE | >26 | DSM-V | - | 181 | 4 | 74.5 (7.5) | 59.7 | HIGH |
| Lenoir et al. [50] | 2011 | France | N-ADRDA | MMSE | >26 | CES-D20 | M ≥ 16 W ≥ 22 | 7989 | 4 (NR) | 74.0 (5.4) | 61.3 | HIGH |
| Li et al. [51] | 2011 | USA | N-ADRDA | CASI | ≥78 | CES-D11 | ≥10/ | 3410 | 7.1 (NR) | 74.9 (6.2) | 59.9 | HIGH |
| Luchsinger et al. [52] | 2008 | USA | N-ADRDA | CRS | ≤3 | HRS17 | ≥10 | 1138 | 5.1 (3.3) | 75.1 (6.4) | 67.7 | HIGH |
| Reding, Haycox & Blass [53] | 1985 | USA | ICD-10 | MSQ | 0–2 errors | DSM-III | - | 60 | 3 | - | - | MODERATE |
| Richard et al. [54] | 2013 | USA | DSM-III R | MMSE | >26 | CES-D10 | ≥4 | 2160 | - | 76.9 (7.1) | 75 | MODERATE |
| Saczynski et al. [55] | 2010 | USA | N-ADRDA | MMSE | >26 | CES-D20 | ≥16 | 949 | 8 (NR) | 79.3 (5.0) | 63.6 | MODERATE |

Table 1. Cont.

| Study | Year | Country | AD Measure ¹ | Cognitive Measure ² | Cut-Off Criteria Cognition | Depression Measure ³ | Cut-Off Criteria Depression ⁴ | n ⁵ | Follow-Up Length (Years) M (SD) | Age M (SD) | Female (%) (Total) | AMSTAR2 ⁶ |
|----------------------------|------|---------|-------------------------|--------------------------------|----------------------------|---------------------------------|--|----------------|---------------------------------|------------|--------------------|----------------------|
| Tyas et al. [56] | 2001 | Canada | N-ADRDA | MMSE | >26 | CES-D20 | ≥16 | 694 | 3 to 5 | 65 | 67 | MODERATE |
| Vilalta-Franch et al. [57] | 2013 | EU | DSM-IV | CAMCOG | ≥79 | DSM-IV | - | 451 | 5 | 76.7 (5.4) | 63.7 | HIGH |
| Wilson et al. [58] | 2003 | USA | N-ADRDA | VARIOUS | - | CES-D10 | ≥4 | 142 | 3.9 (NR) | 81.0 (6.6) | 52.3 | HIGH |

Note: Meta-analyses analyzed were: Cherbuin et al. [24], Diniz et al. [22], Gao et al. [23], Kuring et al. [20], Kuring et al. [59], Santabárbara et al. [21]. ¹ AD: Alzheimer’s disease. DSM-III-R, DSM-IV, DSM-V = Diagnostic and Statistical Manual of Mental Disorders; N-ADRDA = National Institute of Neurological and Communicative Disorders and Stroke–Alzheimer’s Disease and Related Disorders Association; N-AIREN = National Institute of Neurological and Communicative Disorders and Stroke–Association Internationale pour la Recherche et l’ Enseignement en Neurosciences; ICD-10 = International Classification of Diseases. Total of diagnoses are $k = 30$. ² Cognitive measures: CERAD/K: Consortium to Establish a Registry for Alzheimer’s Disease; MMSE: Mini-Mental State Examination; CRS: Clinical Rating Scale; BIMC: Blessed Information-Memory-Concentration; CASI: Cognitive Abilities Screening Instrument; MSQ: Mental Status Questionnaire; CAMCOG: Cambridge Cognitive Examination. ³ Depression. DSM-III, DSM-III-R, DSM-IV, DSM-V: Diagnostic and Statistical Manual of Mental Disorders; HRSD17: Hamilton M. Rating Scale for DP; GMS-AGECAT: Geriatric Mental State-Automated Geriatric Examination for Computer Assisted Taxonomy; GDS-15/30: Geriatric Depression Scale; CES/-D10 (10 items)/- D11 (11 items)/-D20 (20 items) = Center for Epidemiologic Studies–DP Scale. ⁴ Cut-off criteria for categorial depression measures: HRSD-17, Hamilton et al. [60]; Williams et al. [61]; GMS-AGECAT, Copeland et al. [62]; GDS 15/30, Jung et al. [63]; Yesavage et al. [64]; SGDS, Kim et al. [65]; CES-D/D20, Radloff [66]; CES-D10/11, Kohout et al. [67]. ⁵ Follow-up: Total sample size for controls and healthy indicated; separate sample sizes for those with AD and depression and healthy controls were not reported. Study based on registry data. ⁶ AMSTAR 2 identifies quality of randomized controlled clinical trials. Rating overall confidence in the results: High = Zero or one non-critical weakness; Moderate = More than one non-critical weakness; Low = One critical flaw with or without non-critical weaknesses; Critically low = More than one critical flaw with or without non-critical weaknesses, Shea et al. [27] (https://amstar.ca/Amstar_Checklist.php accessed on 19 April 2021).

3. Results

The search strategy produced a total of 443 meta-analyses (see Table 1). Initially, 37 meta-analyses were eligible for inclusion. Of these, 31 were excluded: (a) 3 did not report an effect size; (b) 6 did not provide information on the relationship between depression and AD; (c) 8 were duplicates; (d) 9 were systematic reviews about other topics; (e) 4 aimed to study the effect of medication on AD; and (f) 1 included the same primary studies as another. Finally, a total of six meta-analyses were analyzed (k = 28 pooled effect sizes), representing data from $n = 28$ individual studies (see Figure 1).

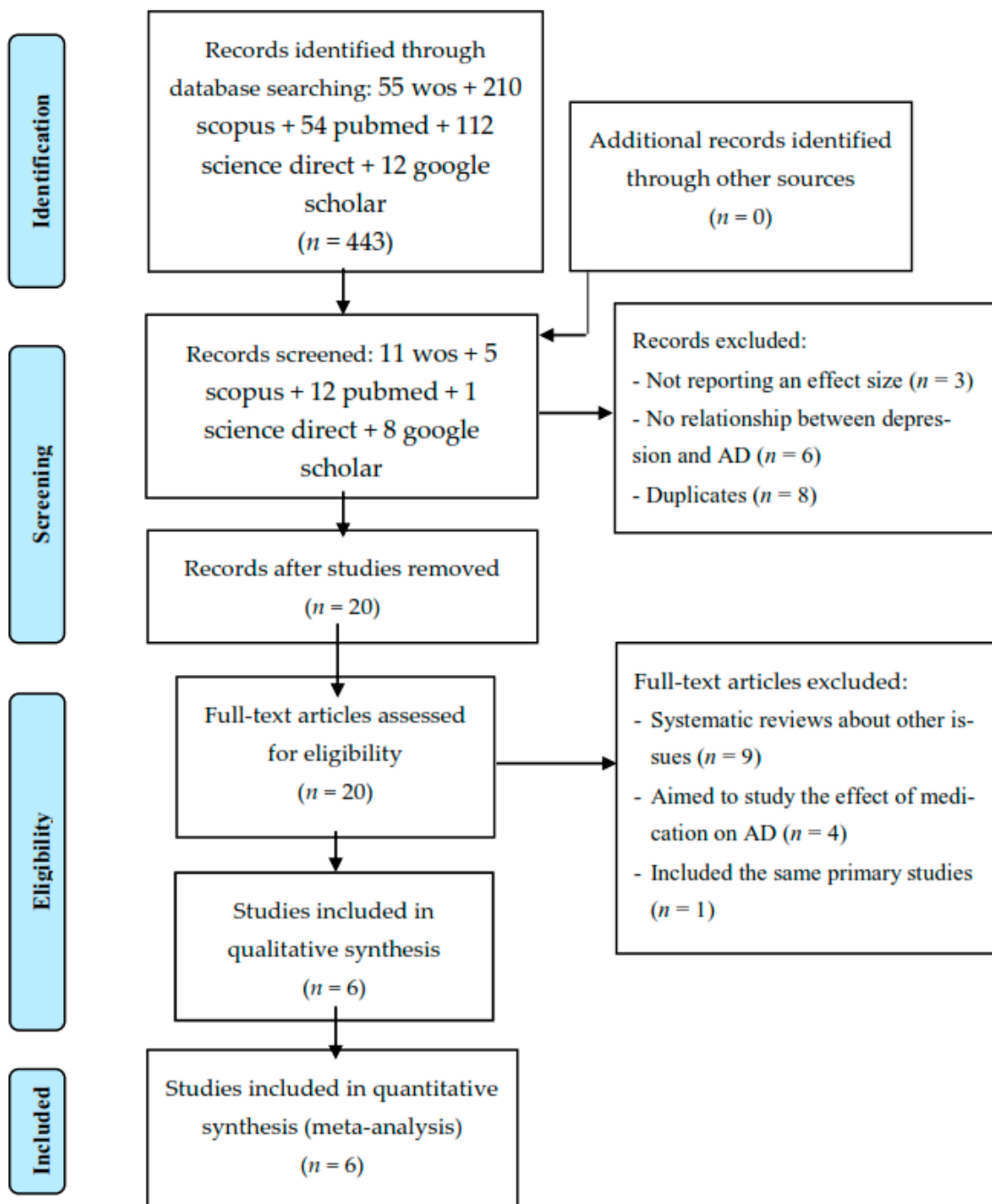


Figure 1. Flow chart depicting the selection of articles for our meta-analysis. Note: AD: alzheimer’s disease; n: number of studies.

Since the effect estimated from a biased collection of studies might overestimate the true effect, we assessed the likely extent of this bias and its potential impact on the

conclusions. The result of Egger’s test was not significant: The intercept (B0) was 0.53, 95% CI (−1.88 to 2.95), with $t = 0.45$, $df = 26$, $p = 0.65$, indicating no publication bias.

3.1. Overall Results from the Meta-Analysis

A total of 28 individual studies reported the association between depression at baseline and AD at follow-up with a total of 101,881 participants ($N_{baseline} = 51,830$; $N_{follow-up} = 50,051$). Individual sample sizes ranged from 60 to 12,083. The majority of subjects was female. The mean age was 71.95, ranging from 52.7 to 81 years. One study did not report gender and age [53]. The mean follow-up length was 4.90 years (range from 1 to 23.6), with one study not reporting the number of years [54]. Characteristics of the 28 individual studies are presented in Table 1.

A total of 17 and 11 studies were based on symptomatic rating scales and clinical criteria to assess depression, respectively: CES-D ($n = 14$) (50%), DSM-III/III-R/IV/V ($n = 8$) (28.6%), GMS-AGECAT ($n = 3$) (10.7%), GDS ($n = 2$) (7.1%), and HAM-D ($n = 1$) (3.6%). AD diagnosis was established based on the N-AD/DA ($n = 17$) (56.7%) or DSMIII-R/IV/V ($n = 10$) (33.3%), ICD10 ($n = 2$) (6.7%), and N-AIREN ($n = 1$) (3.3%) scales.

Risk estimates were pooled across the 28 studies. The random effect of the relationship between depression and AD was significant (OR = 2.46, 95% CI [1.81–3.35], $Z = 5.72$, $p < 0.001$). Figure 2 shows the forest plot of the effect sizes and their 95% CI. Heterogeneity across studies was substantial (Q-value = 284.53, $df = 27$, $I^2 = 90.51$, $p < 0.001$), suggesting the presence of potential moderators (Table 2).

Table 2. Summary details for individual studies that examined the risk of dementia (OR) associated with depression.

| Study Name | Statistics for Each Study | | | | | Exposed (AD)/Total | Exposed (AD)/Total |
|----------------------------|---------------------------|-------------|-------------|---------|---------|--------------------------|--------------------------|
| | Odds Ratio | Lower Limit | Upper Limit | Z-Value | p-Value | Cases (Depression) | Controls (No Depression) |
| Bae et al. [33] | 9.84 | 0.57 | 170.00 | 1.57 | 0.116 | 9/359 | 0/181 |
| Bartolini et al. [34] | 16.00 | 3.72 | 68.76 | 3.73 | <0.001 | 31/124 | 2/98 |
| Becker et al. [18] | 1.33 | 0.49 | 3.65 | 0.56 | 0.578 | HR = 1.33 (0.49–3.65) | |
| Blasko et al. [35] | 1.09 | 0.77 | 1.53 | 0.47 | 0.637 | 77/242 | 122/406 |
| Burke et al. [36] | 4.15 | 3.49 | 4.94 | 15.98 | <0.001 | 205/1214 | 507/10,869 |
| Chen et al. [37] | 3.37 | 1.33 | 8.54 | 2.56 | 0.011 | 6/52 | 28/751 |
| Dal Forno et al. [38] | 2.63 | 1.28 | 5.40 | 2.63 | 0.008 | HR = 2.63 (1.28–5.40) | |
| Devanand et al. [39] | 5.07 | 3.02 | 8.52 | 6.13 | <0.001 | 57/173 | 25/283 |
| Dotson et al. [40] | 1.02 | 0.77 | 1.35 | 0.11 | 0.911 | 96/938 | 125/1239 |
| Fuhrer et al. [41] | 1.04 | 0.69 | 1.58 | 0.19 | 0.849 | 30/203 | 196/1373 |
| Gatz et al. [16] | 3.49 | 1.08 | 11.28 | 2.09 | 0.037 | OR = 3.49 (1.08–11.28) | |
| Geerlings et al. [42] | 2.21 | 1.09 | 4.48 | 2.20 | 0.028 | OR = 2.21 (1.09–4.48) | |
| Geerlings et al. [43] | 1.41 | 0.55 | 3.58 | 0.71 | 0.475 | 6/35 | 44/343 |
| Gracia-García et al. [44] | 1.81 | 0.98 | 3.36 | 1.89 | 0.059 | 13/452 | 51/3174 |
| Heser et al. [45] | 2.70 | 1.80 | 4.03 | 4.84 | <0.001 | 34/306 | 118/2663 |
| Irie et al. [46] | 9.94 | 3.16 | 31.22 | 3.93 | <0.001 | 6/146 | 6/1397 |
| Kim et al. [47] | 1.33 | 0.62 | 2.85 | 0.74 | 0.463 | HR = 1.33(0.62–2.85) | |
| Kim et al. [48] | 2.33 | 0.97 | 5.56 | 1.90 | 0.057 | 7/45 | 38/518 |
| Lauriola et al. [49] | 130.73 | 7.90 | 2162.50 | 3.40 | 0.001 | 57/115 | 0/66 |
| Lenoir et al. [50] | 1.01 | 0.69 | 1.49 | 0.05 | 0.960 | HR = 1.0 (0.7–1.6) | |
| Li et al. [51] | 1.43 | 1.05 | 1.94 | 2.28 | 0.022 | HR = 1.43 (1.05–1.94) | |
| Luchsinger et al. [52] | 3.40 | 1.46 | 7.90 | 2.85 | 0.004 | HR = 3.4 (1.5–8.1) | |
| Reding et al. [53] | 19.00 | 12.42 | 29.06 | 13.59 | <0.001 | HR = 19.00 (12.40–27.90) | |
| Richard et al. [54] | 2.03 | 1.44 | 2.86 | 4.06 | <0.001 | 55/452 | 109/1708 |
| Saczynski et al. [55] | 1.76 | 1.03 | 3.01 | 2.07 | 0.039 | HR = 1.76 (1.03–3.01) | |
| Tyas et al. [56] | 2.00 | 1.01 | 3.95 | 2.00 | 0.046 | 21/36 | 271/658 |
| Vilalta-Franch et al. [57] | 2.36 | 1.11 | 5.03 | 2.23 | 0.026 | 13/116 | 17/335 |
| Wilson et al. [58] | 1.33 | 1.01 | 1.76 | 2.01 | 0.044 | OR = 1.33 (1.01–1.76) | |
| Random effects | 2.46 | 1.81 | 3.35 | 5.72 | <0.001 | | |

Note: AD: Alzheimer’s disease; NO-AD: No Alzheimer’s disease. Ns are based on total participant data available for depression or AD (not entire sample). Some data (N at baseline and follow-up) were not available for the depression and control groups, because studies did not provide them. In those cases, we reported the effect given in primary studies.

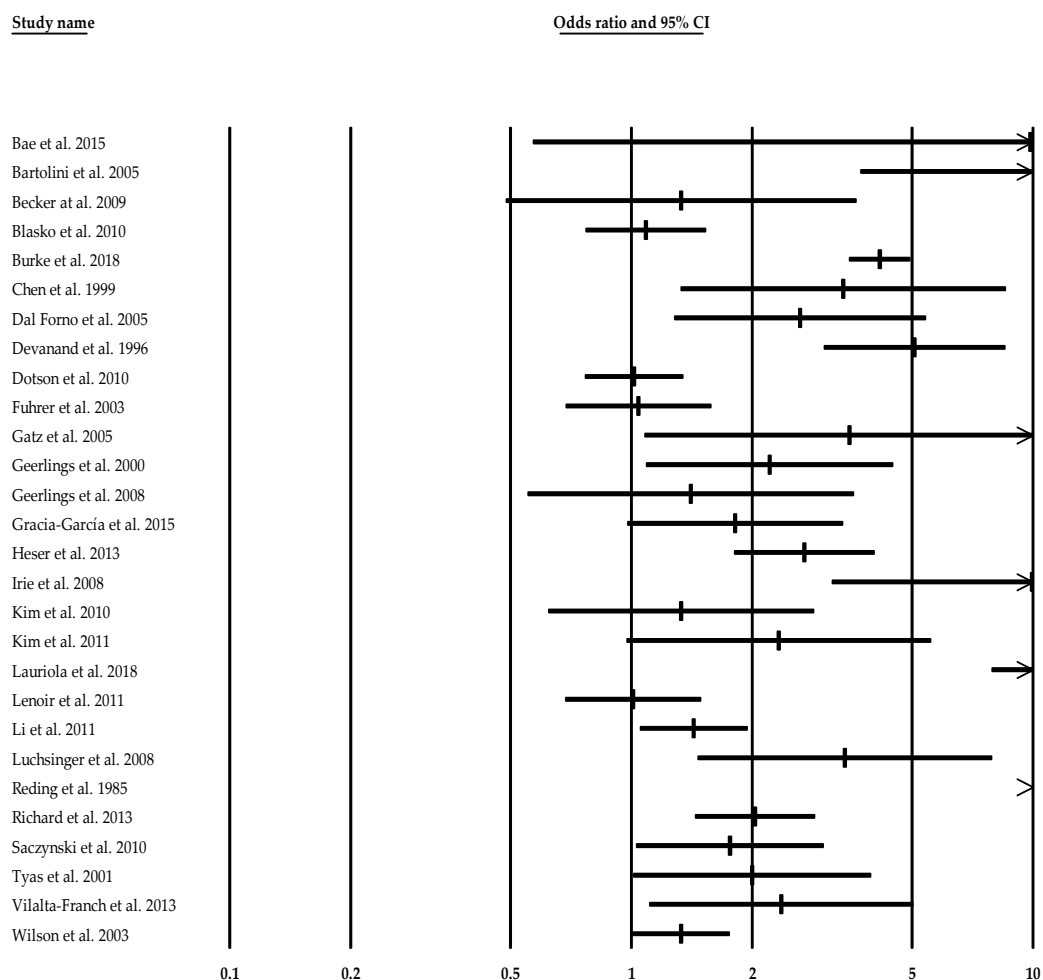


Figure 2. Forest plot of studies investigating the risk of Alzheimer's disease (Time2) associated with depression (including all instruments).

3.2. Clinical Criteria and Symptomatic Rating Scales to Assess Depression

We tested three different models that reflected a combination of methodological moderators (see Table 3). Random effect models revealed a significant positive effect size of the association between depression and risk of AD for clinical ($k = 11$) and symptomatic ($k = 17$) measures of depression. Heterogeneity was substantial for the depression criteria ($I^2 = 90.51$), indicating that the OR was greater for clinical than symptomatic measures.

Then, we performed an additional sub-group analysis distinguishing between types of symptomatic rating scale used to assess depression. The total effect (OR) was significant (1.80, 95% CI: 1.16–2.78, $Z = 2.62$, $p = 0.009$), and heterogeneity was moderate ($I^2 = 61.84$). Sub-group analysis yielded a significant effect of depression on the development of AD for studies using the CES-D scales and HSRD, although this effect was non-significant when studies used the GDS scale. Only one study included the HSRD scale, and no additional subsample analyses were conducted. However, sufficient data were available for the CES-D ($k = 14$). We conducted further sub-analyses according to different cut-off points of the CES-D scale to define presence of depression. ORs were pooled across 14 studies (OR = 1.68, IC95% 1.24–2.27, $Z = 3.36$, $p = 0.001$). Heterogeneity was moderate across these studies ($I^2 = 63.95$), indicating that the effect of depression on the risk of AD may differ according to the cut-off points used. Estimates were significant for ≥ 10 and ≥ 16 cut-offs, whereas the effect of depression on AD was not significant when studies used a cut-off of ≥ 4 and ≥ 20 (Table 3).

Table 3. Summary effect sizes.

| | | Model Statistics | | | | | | |
|-------------------------------|----------|------------------|-----------|-----------|----------|----------|----------------------|----------------------|
| | <i>k</i> | <i>OR</i> | <i>LL</i> | <i>UL</i> | <i>Z</i> | <i>p</i> | <i>Q_w</i> | <i>Q_b</i> |
| Depression criteria (model 1) | | | | | | | | |
| Clinic | 11 | 3.68 | 2.44 | 5.55 | 6.20 | 0.0001 | 172.78 *** | 6.86 ** |
| Symptomatic | 17 | 1.81 | 1.30 | 2.53 | 3.51 | 0.0001 | | |
| Depression scale (model 2) | | | | | | | | |
| GDS | 2 | 1.63 | 0.64 | 4.15 | 1.03 | 0.303 | 37.83 *** | 1.87 |
| CES-D | 14 | 1.60 | 1.28 | 2.02 | 4.07 | 0.0001 | | |
| HSRD | 1 | 3.40 | 1.19 | 9.71 | 2.29 | 0.022 | | |
| Cut-off (CES-D) (model 3) | | | | | | | | |
| ≥4 | 2 | 1.63 | 0.97 | 2.78 | 1.80 | 0.072 | 28.63 ** | 1.97 |
| ≥10 | 3 | 2.02 | 1.14 | 3.60 | 2.39 | 0.017 | | |
| ≥16 | 8 | 1.44 | 1.04 | 2.00 | 2.19 | 0.028 | | |
| ≥20 | 1 | 2.63 | 0.97 | 7.11 | 1.91 | 0.057 | | |

Note: *** $p \leq 0.001$, ** $p \leq 0.01$, *k*: number of studies; *OR*: Odds ratio; *LL*: Lower limit; *UL*: Upper limit; *Q_w*: heterogeneity within; *Q_b*: heterogeneity between.

3.3. Meta Regression Analysis

We conducted a meta-regression analysis to determine whether the criteria used to measure depression might explain differences across studies in reporting effect size and might also cause heterogeneity. A significant negative effect of the use of symptomatic rating scales on the prediction of AD was found ($b = -0.71$, $Se = 0.27$, 95% CI: $-1.24 / -0.17$, $Z = -2.59$, $p = 0.009$) compared to clinical criteria ($k = 28$, intercept: $b = 1.30$, $se = 0.21$, CI: $0.89 / 1.72$, $Z = 6.14$, $p \leq 0.001$) ($Q = 6.71$, $df = 1$, $p = 0.009$). Together, these explained 26% of the variance. That is, the use of symptomatic rating scales to assess depression was associated with a decreased likelihood of developing AD in the follow-up compared to the use of clinical criteria.

No significant moderating effects were found in meta-regression analyses conducted for the various symptomatic rating scales of depression ($k = 17$) (1 = GDS, intercept: $b = 0.47$, $Se = 0.45$ ($-0.41 / 1.36$), $Z = 1.04$, $p = 0.296$; 2. CES-D: $b = -0.02$, $Se = 0.46$ ($-0.93 / 0.89$), $Z = -0.04$, $p = 0.97$; 3. HSRD: $b = 0.75$, $Se = 0.68$ ($-0.59 / 2.09$), $Z = 1.10$, $p = 0.270$) ($Q = 2.18$, $df = 2$, $p = 0.336$). Differences explained the 28% of variation observed in the association between depression and AD.

When analyzing the differential effect of the CES-D cut-offs on the development of AD ($k = 14$), results showed a greater predictive effect for studies using more restrictive cut-off points (≥ 20) (intercept: $b = 0.97$, $SE = 0.37$, 95% CI: $0.25 / 1.69$, $Z = 2.63$, $p = 0.008$) (≥ 4 : $b = -0.51$, $Se = 0.38$ ($-1.26 / 0.24$), $Z = 1.34$, $p = 0.180$; ≥ 10 : $b = -0.50$, $SE = 0.39$ ($-1.27 / 0.28$), $Z = -1.26$, $p = 0.209$; ≥ 16 : $b = -0.77$, $SE = 0.38$ ($-1.51 / -0.01$), $Z = -2.04$, $p = 0.041$) ($Q = 7.43$, $df = 3$, $p = 0.050$). The different cut-off points of the CES-D explained the 53% of variation in the diagnosis of AD (Figure 3).

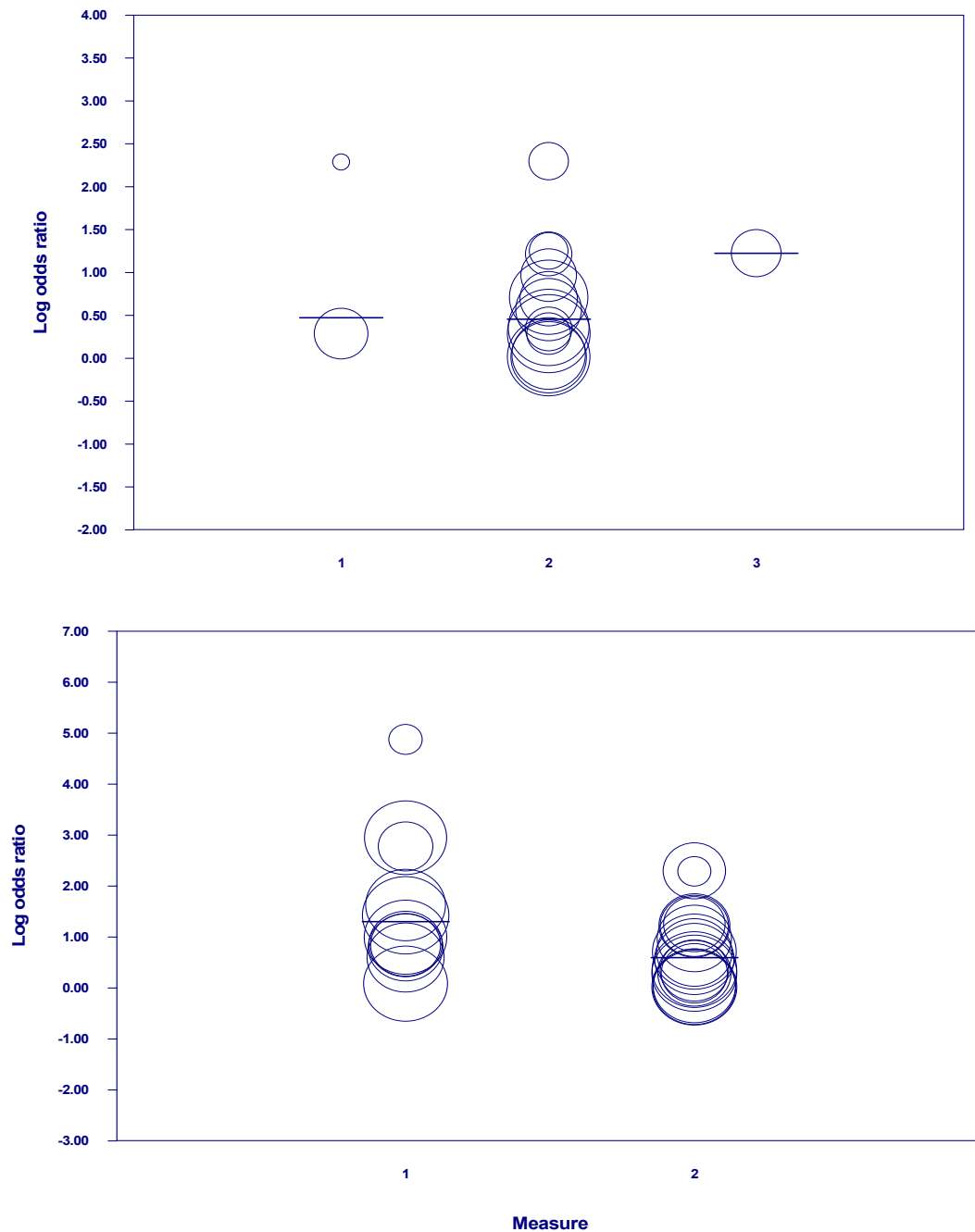


Figure 3. Meta-regression of log odds ratio on type of measure, symptomatic tool, and CES-D cut-offs (95% intervals are simultaneous and based on Z distribution). Scatterplots show the relationship between each covariate and AD.

4. Discussion

The main contribution of this study was to produce precise AD risk estimates associated with different depression criteria, either clinically significant or based on symptomatic scales. Based on the results of 11 cohorts, we found a more than three-fold increased risk of AD for clinically significant depression. Likewise, based on findings of 17 cohort studies, the risk of AD increased almost two-fold in participants diagnosed with symptomatic measures of depression. We found that studies that used clinically significant criteria for diagnosis of depression had more consistent and significant results than those that used symptomatic scales.

However, most included studies used self-reported symptomatic scales for diagnosis of depression, specifically the CES-D. We further analyzed the differential effect of CES-D

cut-off points on AD risk and found that they explained 53% of the variability of results. We found a slightly significant predictive effect in meta-analyzed data of studies using the cut-off CES-D point ≥ 10 and ≥ 16 , but predictive risk of AD was greater for one study using a more restrictive cut-off point (≥ 20). Our results are consistent with those of Cherbuin et al. [24] who found that the meta-analysis of studies using a cut-off previously validated against clinical criteria (≥ 20) demonstrated higher risk estimates than those using a more lenient cut-off (≥ 16).

We found a greater effect of clinically significant depression on AD risk than the MA of Santabábara et al. [21], probably because that meta-study included only three studies with homogeneous criteria for the diagnosis of depression (GMS-AGECAT). We also included eight studies using DSM criteria for depression; all of them but one, Blasko et al. [35], found consistently higher risk of AD compared to any other criteria. However, some of them found relatively large [34,53] or even extreme values of OR [49].

Furthermore, our study includes recent references [20], and it did not analyze data from studies of patient groups with mixed psychiatric histories or all types of dementia. Even though the meta-analysis of Kuring et al. [20] analyzed 36 independent studies for all types of dementia, they only pooled $k = 8$ studies for AD (OR = 2.23). This inclusion criterion may explain why our results show a greater OR risk from depression to AD than previous meta-analyses [20–24]. Furthermore, they did not analyze variability arising from the type of measure (clinical or symptomatic criteria) and from cut-off points used to assess depression across studies. Another strength of our study is that it includes a selection of prospective cohort studies to provide more evidence in establishing the cause and effect, and the relationship between depression and AD [21]. We analyzed a long follow-up period (4.9 years, range 1–23.6 years) to observe the potential association between depression (as an antecedent) and risk of AD, avoiding cross-sectional studies [20,24]. This analysis covers a gap in the previous literature, adding new information about the association between depression and AD. Finally, previous meta-analyses limited the literature search to biomedical databases. In our study, we included five databases in order to provide coverage of publications from different countries, reducing the likelihood of publication bias [22,23].

Overall, our study is the first to review all previously available meta-analyses of depression as a risk factor of incident AD systematically. Moreover, we included individual studies when they assessed clinically significant depression or a validated cut-off score in a symptomatic depression scale, and we conducted differential meta-analysis of specific AD risk estimates according to depression criteria. Our study demonstrates how depression criteria can explain variability between studies in the association between depression and incident AD. We agree with Cherbuin et al. [24] about the importance of using objective and specific measures of risk in evidence-based clinical practice.

A number of different hypotheses on the association between depression and dementia were suggested, yet the ways in which depression influences AD are as yet unclear. For instance, antidepressant use (i.e., anticholinergic drugs) was shown to be associated with an increased risk of dementia [68–71]. Furthermore, the $\epsilon 4$ allele of apolipoprotein E (APOE) was associated with the development of AD [46,47]. However, the idea that $\epsilon 4$ and dementia may be linked has little support [72–75]. In this vein, some risk factors, such as brain-vascular [76], cortisol, hippocampal atrophy [77], and neuroinflammation, could involve a possible common pathway to explain the association between depression and AD [78].

We should also recognize some limitations of our study. Firstly, as the studies included in the meta-analyses reported either the odds ratio or the hazard ratio for the association between late-life depression and dementia, we calculated the pooled OR for the association between depression and AD separately. Odds ratio is a measure of association between two conditions (such as in logistic regression models), whereas the hazard ratio is a measure of the strength of the association between two conditions in time-to-event statistical analysis. Given this, we should interpret the results from the pooled risk analysis with caution, as

we included studies that reported hazard ratios and odds ratios together. Nonetheless, the results are very consistent across all analyses for AD. Secondly, individual studies assessing depressive symptoms by self-rating scales used pre-established cut-off scores, and no structured interviews were conducted for the diagnosis of depressive disorders, which may have introduced significant heterogeneity into the classification of cases and non-cases, in particular in individuals with mild depression; according our results, this may explain a good deal of the variability in results between studies. In addition, some of the studies included in this meta-analysis were not representative of the entire population (such as studies including only men) [46]. Although we did not find a moderator effect of observation time (results not shown), and the results support the hypothesis that clinical depression is a risk factor for later development of Alzheimer's disease, the influence of prodromal symptoms should not be discounted, and it remains to be determined. Furthermore, we did not examine the influence of any single study on the overall risk estimates with sensitive analysis that omitted them one by one. Moreover, by choosing to include studies that allowed us to calculate crude ORs, we implicitly included studies that provided estimates of the relation between depression and AD risk in the form of unadjusted ORs, so other study-related factors may have affected the outcomes of these studies (age or sex). Inclusion of these studies may have biased our results. Another possible limitation of this meta-analysis is that our search was limited to certain databases. We did a careful review of all references in potentially relevant publications, previous meta-analyses, and systematic reviews published on depression and AD. Nevertheless, a search of other international databases (such as EMBASE and PsycINFO) might have led to the identification of additional studies that could have been included in this meta-analysis.

5. Conclusions

Although we cannot yet assert an etiological basis of the association, our study provides consistent data pointing to an increased risk of AD for clinically significant depression. Our findings highlight the importance of using more stringent and objective measures of depression in future studies. Depression should be assessed by clinicians with standardized, validated measures, and preventive strategies targeting at-risk individuals should be designed. Further studies need to assess the potential for treatment of clinically significant depression to decrease the risk of AD.

Author Contributions: All authors take full responsibility for the data, analysis, and interpretation, and the conducting of the research, and had full access to all of the data and the right to publish any and all data. The contributions of each author are as follows: O.S.-V. conceived and designed the analysis, collected the data, contributed data or analysis tools, performed analysis, and wrote the paper; P.G.-G. helped write the paper; S.U.-L. contributed data and analysis tools, performed analysis, and helped write the paper; A.P.-M. conceived and designed the analysis, collected the data, contributed data and analysis tools, performed analysis, and helped write the paper; S.C.-Y., B.O., and J.S. helped write the paper. All authors have read and agreed to the published version of the manuscript.

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Blood pressure and Alzheimer's disease: A review of meta-analysis

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Background: Alzheimer's disease (AD) is a neurological disorder of unknown cause, resulting in the death of brain cells. Identifying some of the modifiable risk factors for AD could be crucial for primary prevention and could lead to a reduction in the incidence of AD.

Objective: This study aimed to perform a meta-meta-analysis of studies in order to assess the effect of blood pressure (BP) on the diagnosis of AD.

Method: The search was restricted to meta-analyses assessing high systolic BP (SBP) and diastolic BP (DBP) and AD. We applied the PRISMA guidelines.

Results: A total of 214 studies were identified from major databases. Finally, five meta-analyses (52 studies) were analyzed in this review. Results confirm that high SBP is associated with AD. The exploration of parameters (sex, age, study design, region, and BP measurements) shows that only region significantly moderates the relationship between BP and AD. Asian people are those whose SBP levels >140 mmHg are associated with AD. BP is associated with AD in both people aged ≤65 years and those aged ≥65 years and in cross-sectional and longitudinal studies. In the case of DBP, only women are at a higher risk of AD, particularly when its levels are >90.

Conclusion: SBP is associated with both cerebrovascular disease and AD. Therefore, future studies should use other uncontrolled factors, such as cardiovascular diseases, diabetes, and stroke, to explain the relationship between SBP and AD.

KEYWORDS

Alzheimer's disease, blood pressure, systo-diastolic hypertension, risk factor, meta-analysis

1. Introduction

There are 55 million people affected by dementia worldwide (1). Alzheimer's disease (AD) is the most common cause of dementia, accounting for up to 75% of all dementia cases (2). The prevalence of AD increases every year in individuals between the ages of 65 and 85 years (3), and by the year 2050, the worldwide prevalence of AD will grow four-folds,

to 106.8 million (range 47.2–221.2) (4). While between the ages of 65 and 74 years, about 10% of people have AD, and in those over 85 years old, the risk increases by 50% (3). According to estimates by the World Health Organization (WHO), the projected global prevalence of AD by 2050 will increase by 110% from 2010 (5).

Alzheimer's disease is a neurological disorder of unknown cause, resulting in the death of brain cells (3). AD is the most common cause of cognitive impairment (6). AD is characterized by hallmark pathological changes such as extracellular A β plaques and intracellular neurofibrillary pathology, which selectively affect specific subclasses of neurons and brain circuits. While dementia is a general term, Alzheimer's disease is a specific brain disease. It is marked by symptoms of dementia that gradually get worse over time (7). Dementia is a rather broad syndrome of global cognitive decline. However, AD first affects the part of the brain associated with specific cognitive functions, such as language (aphasia), motor skills (apraxia), and perception (agnosia) (8, 9). Moreover, in AD, early symptoms often include changes in memory, thinking, and reasoning skills (10).

Some of the first symptoms that occur with AD (neuropsychiatric) are a direct cause of early institutionalization (11). In AD, there is an identity loss (12) and worsening in the physical and social areas (11), along with the progressive deterioration of basic cognitive (episodic memory, linguistic, and spatial orienting) and executive functions (inhibitory abilities and the visuospatial functions) (13). Emotional and mental health problems (e.g., delusions and hallucinations, abnormal behaviors, or physical violence and hitting) are common, cause distress to caregivers, and may be amenable to treatment (14, 15). All these symptoms affect the quality of life and activities of daily living in individuals diagnosed with this disease (15).

The most important non-modifiable risk factor for developing AD is age. Many cardiovascular risk factors increase with age, such as high blood pressure (BP), which, in turn, could affect the mechanisms that lead to impairment in the brain (16).

According to Ballard et al. (17), the development of dementia is associated with not only genetic factors but also acquired factors (i.e., hypertension) that could predict a higher risk of AD. In this study, we particularly focused on analyzing high BP as a risk factor for the development of AD (18, 19). The overall prevalence of high BP in adults is 25%, with more than 50% of those individuals over 60 years (20). Vascular risk factors like BP could change the anatomy of the human body by modifying vascular walls or causing ischemia and cerebral hypoxia, which may consequently lead to the development of AD (21). Furthermore, BP could generate dysfunction in the blood–brain barrier, which has been associated with the genesis of AD (22). Studies on the relationship between BP and AD have yielded inconsistent results, showing an association between AD and high BP, or no significant association between

these variables (23–25). For example, Mielke found that systolic hypertension was associated with an increased risk of AD. However, the authors did not find an association between diastolic hypertension and AD (22).

Findings also established that the association between AD and hypertension was determined by age of onset (early-onset AD \leq 65 years and late-onset AD \geq 65 years). In fact, AD has been classified as presenile or early onset (\leq 65 years) and as senile or late onset (\geq 65 years) that tend to be sporadic and slow moving (26). However, it is still not clear in the current literature whether age moderates the relationship between BP and AD. Indeed, some researchers have indicated that elevated BP occurring in either middle age or late life may be involved in the development of AD (23, 27, 28). Also, one study concluded that high systolic BP (SBP) and diastolic BP (DBP) were related to worse cognitive function for persons aged 65–74 years. However, in older age (\geq 75), higher SBP and DBP were related to adequate cognitive function (29).

Other studies have studied the relationship between hypertension and gender. Gillis and Sullivan (30) concluded that women are more likely to be prehypertensive than men. Furthermore, Anstey et al. (31) concluded that hypertension in middle-aged women was associated with greater cognitive impairment and AD. However, recent studies have shown that the prevalence of hypertension is higher in men before the sixth decade of life, although it increases in women after menopause (32).

Related to regions due to the high incidence of hypertension in developed countries, studies are aimed at prevention strategies (33, 34). In addition, the earlier onset and more aggressive development of AD in the young population have been identified as risk factors for hypertension in these countries (35).

The literature refers to various degrees of hypertension. This study was based on the cutoff points established by the International Society of Hypertension (ISH) (36). On the one hand, the ISH establishes the following measures for SBP: elevated (130–139 mmHg), grade 1 (140–159 mmHg), and grade 2 (160–179 mmHg). On the other hand, there are also three cutoff measurements for DBP: elevated (85–89 mmHg), grade 1 (90–99 mmHg), and grade 2 (100–109 mmHg) (36, 37). Mielke et al. (38) concluded that SBP measurements greater than 160 mmHg were associated with greater cognitive impairment in the elderly, which may lead to AD. Similarly, according to Launer et al. (23), elevated midlife SBP $>$ 160 mmHg and DBP \geq 90 mmHg were particularly associated with an increased risk of AD.

Furthermore, longitudinal (39, 40) and cross-sectional (41, 42) studies have been used to identify risk factors and elucidate some characteristics of AD. To this end, we aggregated data from longitudinal and cross-sectional studies and used meta-analytic equation modeling to test for causal relationships. One major advantage of meta-analytic equations is that it allows an integration of the given data from all studies into one model

and specify models that have not been tested in the primary studies (43).

Based on the results and evidence of other articles and meta-analyses, we aimed to perform a meta-meta-analysis of longitudinal and cross-sectional studies to test the association between BP (high SBP and high DBP) and the risk of AD. We also aimed to pool findings separately from cross-sectional and longitudinal studies and assess the effect of BP on the risk of subsequent diagnosis of AD.

2. Materials and methods

2.1. Data collection

The search was restricted to meta-analyses assessing high SBP and DBP and AD. We applied the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines (44). The literature searches were carried out in five electronic databases, including ISI Web of Science, Scopus, PubMed, Elsevier Science Direct, and Google Scholar. No publication date was set. The list of keywords was generated through a system of successive approximations: “blood pressure” and “Alzheimer’s disease” and “meta-analysis.” A Google Scholar search was also performed but was limited to the title. The literature search was carried out in English and Spanish.

2.2. Inclusion criteria

The procedures applied to carry out this meta-meta-analysis were as follows: (1) search and selection of meta-analyses assessing high SBP and DBP and AD and (2) selection of primary studies contained in the meta-analyses and the deletion of duplicates.

Meta-analyses and primary studies that met each of the following criteria were selected: (1) meta-analysis and primary studies that measured the relationship between hypertension (high SBP and DBP) and the risk of AD; (2) meta-analysis and primary studies reported data that allowed the estimation of a pooled effect size; (3) meta-analysis and primary studies that diagnosed AD through clinical examination, using defined diagnostic criteria, DSMV (9) and NINCDS-ADRDA (45); (4) meta-analysis and primary studies that reported the sample size; and (5) meta-analysis and primary studies written in English or Spanish.

To avoid bias in eligible studies, all abstracts were independently reviewed by two investigators (O.S. and A.P.). After excluding all irrelevant abstracts, the remaining articles were analyzed, and data precision was examined in detail. In meta-analysis where relevant data were lacking ($k = 1$), the authors were contacted to request additional data to be subsequently added to the meta-analysis. Then, duplicate

reports were excluded to pool the primary studies. After all meta-analyses and primary studies were selected, a third researcher independently extracted the highlighted data (S.U.). Information on all data collected from the primary studies included in the meta-analysis is presented in the [Supplementary Table 1](#).

2.3. Quality assessment

The qualities of the meta-analyses were independently coded by two co-authors using the 11-item Assessment of Multiple Systematic Reviews (AMSTAR) tool (46), which has been shown to have a good inter-rater agreement, reliability, and content validity (46, 47). Total scores for the meta-analyses were calculated as the sum of the 11 items on a binary scale. Quality classifications were set as low quality (0–4), moderate quality (5–8), and high quality (9–11).

2.4. Statistical analysis

Initially, we reported the associations between hypertension and AD for each primary study included in the previous meta-analysis (see [Supplementary material](#)).

Then, for this review of meta-analyses, first, we calculated the cumulative incidence ratio [or log risk ratio (LnRR)] of AD for both SBP and DBP for each primary study. Second, we identified separate effect sizes for SBP and DBP measurements and their relationships with the risk of AD. Third, study outcomes were grouped according to the definition of BP (SBP or DBP) and the measurement of hypertension established by the ISH: (1) SBP: elevated (130–139 mmHg), grade 1 (140–159 mmHg), and grade 2 (160–179 mmHg), and (2) DBP: elevated (85–89 mmHg), grade 1 (90–99 mmHg), and grade 2 (100–109 mmHg) (36, 37). Heterogeneity between study samples was assessed using Cochran’s Q statistic (48). The I^2 statistic was calculated to express the fraction of variation between studies that was due to heterogeneity. The I^2 statistic explains the percentage of variance in the observed effects due to variance in the true effects. An I^2 value <25% was considered low heterogeneity, between 25 and 50% was considered moderate heterogeneity, and >50% was considered high heterogeneity (48). Statistical significance was set at $p \leq 0.05$. Data were analyzed using Comprehensive Meta-Analysis version 3.1 (Biostat Inc, NJ, USA) (49). Additionally, to test for the possibility of publication bias, we computed the Egger regression test. Results revealed no evidence for a publication bias (50).

For each primary study included in the meta-analysis, we calculated the following (see [Table 1](#)): (a) k or number of studies, (b) effect size, (c) 95% confidence interval (95%CI)

TABLE 1 Characteristics of the population of the AD and BP studies.

| References | Variable ^a | Design ^b | K ^c | Regions (N) ^d | Sample ^e | % F ^f | Age ^g | SBP/DBP ^h measure/mmHg | Results | Effect size ⁱ | | | AMSTAR ^j scores |
|--------------------|-----------------------|---------------------|----------------|-----------------------------------|---------------------|------------------|------------------|-----------------------------------|-------------------------|--------------------------|-------------------|-------|----------------------------|
| | | | | | | | | | | Effect size (RR) | 95 % CI LLIC~ULIC | p | |
| Lennon et al. (22) | SBP | L (13–22) | 6 | EU (2), NA (2), AS (2) | AD n = 2,208 | 47.3 | M = 56.87 | >140 mmHg | > SBP > AD | 1.18 | 1.02–1.35 | 0.021 | 10 |
| | | | | | HC n = 852,683 | | | >160 mmHg | > SBP > AD | 1.25 | 1.06–1.47 | 0.006 | |
| | | | | | | | | >90 mmHg | > DBP > AD ^k | | | | |
| Xu et al. (51) | SBP | L (1–21) | 39 | EU (15), NA (20), AS (8), AF (1), | AD n = 21,359 | 50.5 | M = 71.8 | >140 mmHg | > SBP > AD | 0.87 | 0.70–1.0 | 0.000 | 10 |
| | | | | | HC n = 1,421,593 | | | | | | | | |
| | DBP | | 5 | | AD n = 743 | | | >90 mmHg | > DBP = AD | 1.14 | 0.89–1.39 | 0.028 | |
| | | | | | HC n = 11,653 | | | | | | | | |
| Meng et al. (52) | SBP | L (10) | 1 | EU (1) | AD n = 79 | 100 | M = 45 | >140 mmHg | >SBP > AD | 1.77 | 0.93–3.37 | 0.082 | 10 |
| | | | | | HC n = 707 | | | | | | | | |
| Guan et al. (53) | SBP | L (2–27) | 4 | EU (2), NA (1), AS (1) | AD n = 176 | 56.3 | 40–92 | >160 mmHg | >SBP and DBP =AD | 1.01 | 0.87–1.18 | 0.850 | 9 |
| | DBP | | | | HC n = 7,283 | | | >85 mmHg | | | | | |
| Wang et al. (54) | SBP | T | 2 | EU (1), NA (1) | AD n = 385 | 39 | <65 | >140 mmHg | >SBP = AD | 1.50 | 0.56–4.04 | 0.036 | 10 |
| | | | | | HC n = 3,626 | | | >160 mmHg | | | | | |
| | | | | | | | ≥65 | >160 mmHg | >SBP = AD | 1.00 | 0.79–1.25 | 0.180 | |
| | | | | | | | 65–75 | >160 mmHg | >SBP = AD | 1.01 | 0.66–1.53 | 0.215 | |
| | | | | | | | 75–85 | >160 mmHg | >SBP > AD | 1.07 | 0.63–1.82 | 0.052 | |
| | DBP | | 2 | EU (1), NA (1) | AD n = 385 | | <65 | >90 mmHg | – | 1.70 | 0.80–3.60 | – | |

(Continued)

TABLE 1 (Continued)

| References | Variable ^a | Design ^b | K ^c | Regions (N) ^d | Sample ^e | % F ^f | Age ^g | SBP/DBP ^h measure/mmHg | Results | Effect size ⁱ | | | AMSTAR ^j scores |
|------------|-----------------------|---------------------|----------------|--------------------------|---------------------|------------------|------------------|-----------------------------------|-----------|--------------------------|------------------|-------|----------------------------|
| | | | | | | | | | | Effect size (RR) | 95% CI LLIC~ULIC | P | |
| | | | | | HC n = 3626 | | ≥65 | >90 mmHg | >DBP = AD | 0.75 | 0.43–1.32 | 0.066 | |
| | | | | | | | 65–75 | >85 mmHg | >DBP = AD | 0.71 | 0.30–1.67 | 0.616 | |
| | | | | | | | 75–85 | >90 mmHg | >DBP = AD | 0.52 | 0.32–0.85 | 0.267 | |

^aVariable: SBP, systolic blood pressure; DBP, diastolic blood pressure.

^bDesign: T, cross-sectional; L, longitudinal.

^cK: Number of studies.

^dRegions: N, number of independent studies; EU, European Union; NA, North America; AS, Asia; AF, Africa.

^eSample: AD, participants with Alzheimer's disease; HC, health control participants.

^f%F: percentage of women.

^gM, mean of age.

^hStudy outcomes were grouped according to the measurement of hypertension: (1) SBP > 140 mmHg and >160 mmHg, (2) DBP > 85 mmHg and 90 mmHg [reference guides: (36, 37)].

ⁱCI: 95% confidence interval; RR: risk ratio.

^jAMSTAR. Assessing the Methodological Quality of Systematic Reviews. https://amstar.ca/Amstar_Checklist.php.

^k Given that two studies used odds ratios and the others hazard ratios, the authors could not compute summary estimates.

of the effect, and (d) *p* (two-tailed significance) (55). We used a random-effect model for the calculation of pooled effect estimates. Then, to assess the heterogeneity of our results, subgroup analyses were performed to examine the differential effects of type of BP: (1) SBP, (2) DBP, and (3) BP (total) on the risk of AD. We did not assume a common among-study variance component across subgroups. High-resolution forest plots were also developed separately with random effects.

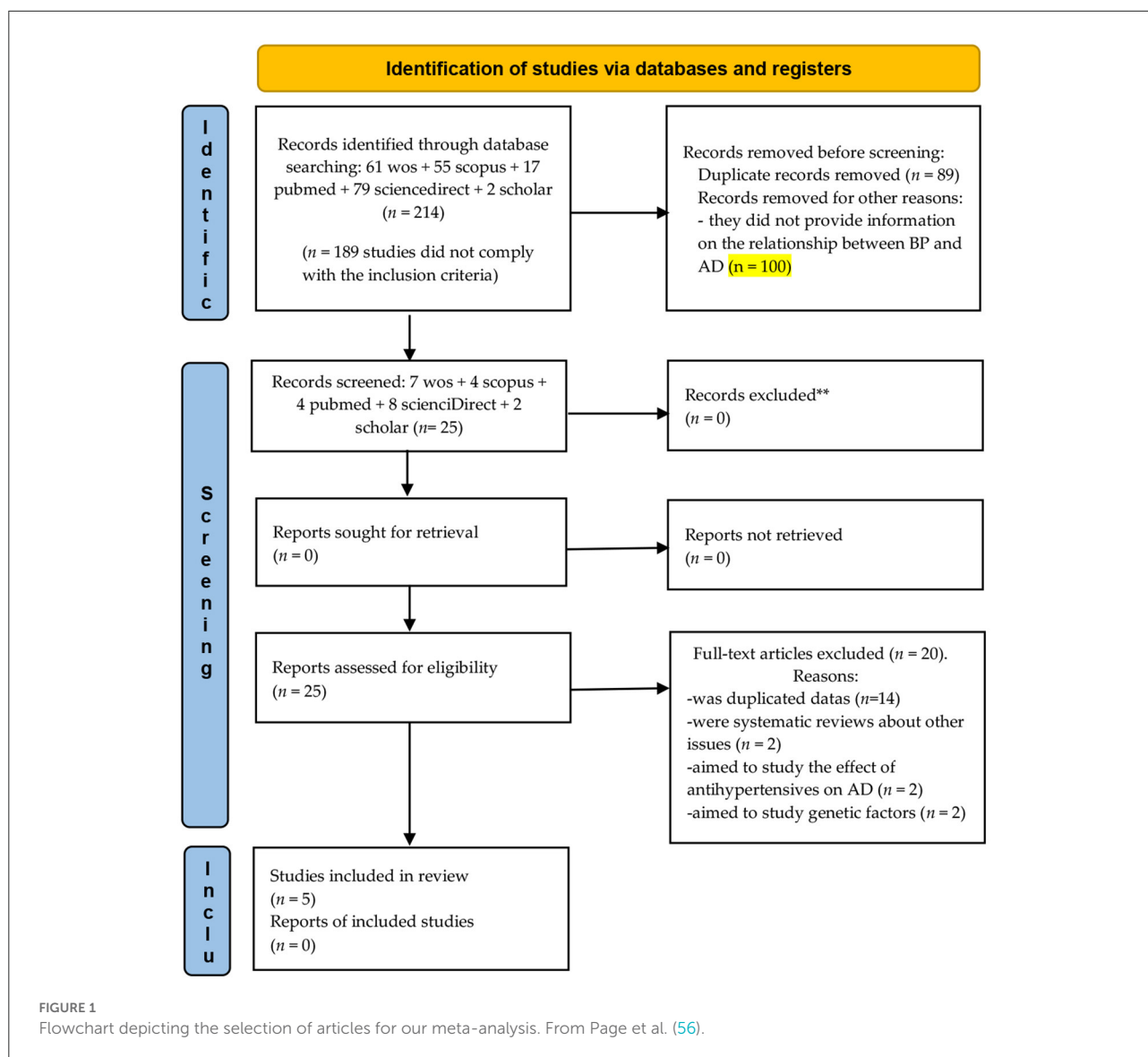
Additionally, moderating variables were selected based on substantive considerations and the availability of data across studies included in the meta-analysis. We anticipated interstudy heterogeneity as there was some variation between studies according to the study design (longitudinal *k effect size* = 29 vs. cross-sectional *k effect size* = 46) and the measures of SBP (>140 mmHg *k effect size* = 52 and >160 mmHg *k effect size* = 8) and DBP (>85 mmHg *k effect size* = 2 and >90 mmHg *k effect size* = 9). Finally, we also considered whether age at exposure assessment (early age of onset ≤65 *k effect size* = 39 vs. late age of onset or ≥65 *k effect size* = 36) could account for heterogeneity in associations. When possible, we used separate summary measures for early- and late-life measures of BP. Otherwise, BP in early life or late life was defined according to the mean of age. Moreover, we also analyzed the sex (male or female) in the different BP measurements. In the same line, we also analyzed the continent where the sample was recruited (Europe, Asia, and North America) in the different BP measurements.

3. Results

A total of 214 studies were identified from major databases: 61 in ISI Web of Science, 55 in Scopus, 17 in PubMed, 79 in Elsevier Science Direct, and 2 in Google Scholar. In total, 189 articles were excluded from this review for various reasons: (a) *k* = 89 were duplicates and (b) *k* = 100, in which no information was provided on the relationship between BP and AD.

A total of 25 meta-analyses were eligible for inclusion in this review of meta-analyses. Of these meta-analyses, 20 were excluded: (a) *k* = 14 studies were duplicated data; (b) *k* = 2 were systematic reviews about other issues; (c) *k* = 2 aimed to study the effect of antihypertensives on AD; and (d) *k* = 2 aimed to study genetic factors (Figure 1).

Table 1 summarizes key features of the included primary diagnosis, design, number of primary studies, regions of origin of the study, sample size, gender, mean age, results, effect sizes of the relationships between BP and AD, and AMSTAR scores. Although the meta-meta-analyses were based on the criteria established by ISH, the studies only showed values for the following cutoff points: SBP (>140 mmHg and >160 mmHg) and DBP (>85 mmHg and >90 mmHg). Eggers' test was not



significant: the intercept (B0) is 0.47, Se = 0.28, 95%CI (-0.09, 1.04), with $t = 1.65$, $df = 73$, indicating no publication bias.

3.1. BP and AD: Heterogeneity analysis

A total of 75 effect sizes were extracted from a total of five meta-analyses that included $k = 52$ primary studies. Also, 60 effect sizes provided information about high SBP and risk of AD (80%); $k = 11$ about high DBP (14.7%); and $k = 4$ about the combined effect (5.3%) (Supplementary Table 1).

For the pooling LnRR analysis, we analyzed primary studies. The total effect size was $\text{LnRR} = 0.07$, $\text{Se} = 0.02$ (0.031, 0.125), $Z = 3.27$, $p = 0.001$, and heterogeneity was high ($Q_b = 415.56$, $df = 74$, $p = 0.0000$; $I^2 = 82.19$). These findings suggest that heterogeneity of effect may be present in some analyses.

3.2. Systolic blood pressure and AD

Four meta-analyses examined the relationship between high SBP and AD. The meta-analyses carried out by Lennon et al. (22) ($k = 11$ effect sizes; $N = 7,666$; $n = 1,520$ participants with AD and high SBP; $n_{\text{HC}} = 6,146$ HC participants), Xu et al. (51) ($k = 40$ effect sizes; $N = 1,443,213$; $n = 17,113$ participants with AD and high SBP; $n = 1,426,100$ HC participants), Meng et al. (52) ($k = 1$ effect size; $N = 786$; $n = 79$ participants with AD and high SBP; $n = 707$ HC participants), and Wang et al. (54) ($k = 8$ effect sizes; $N = 5,885$; $n = 385$ participants with AD and high SBP; $n = 5,500$ HC participants) compared HC and AD subjects with high SBP. Only two of them (22, 52) found significant associations between high SBP and the risk of AD (Figures 2–4).

The total random effect of the high SBP value was $k = 60$ effect sizes; $N = 1,457,550$ participants; $n_{\text{AD}} = 19,097$

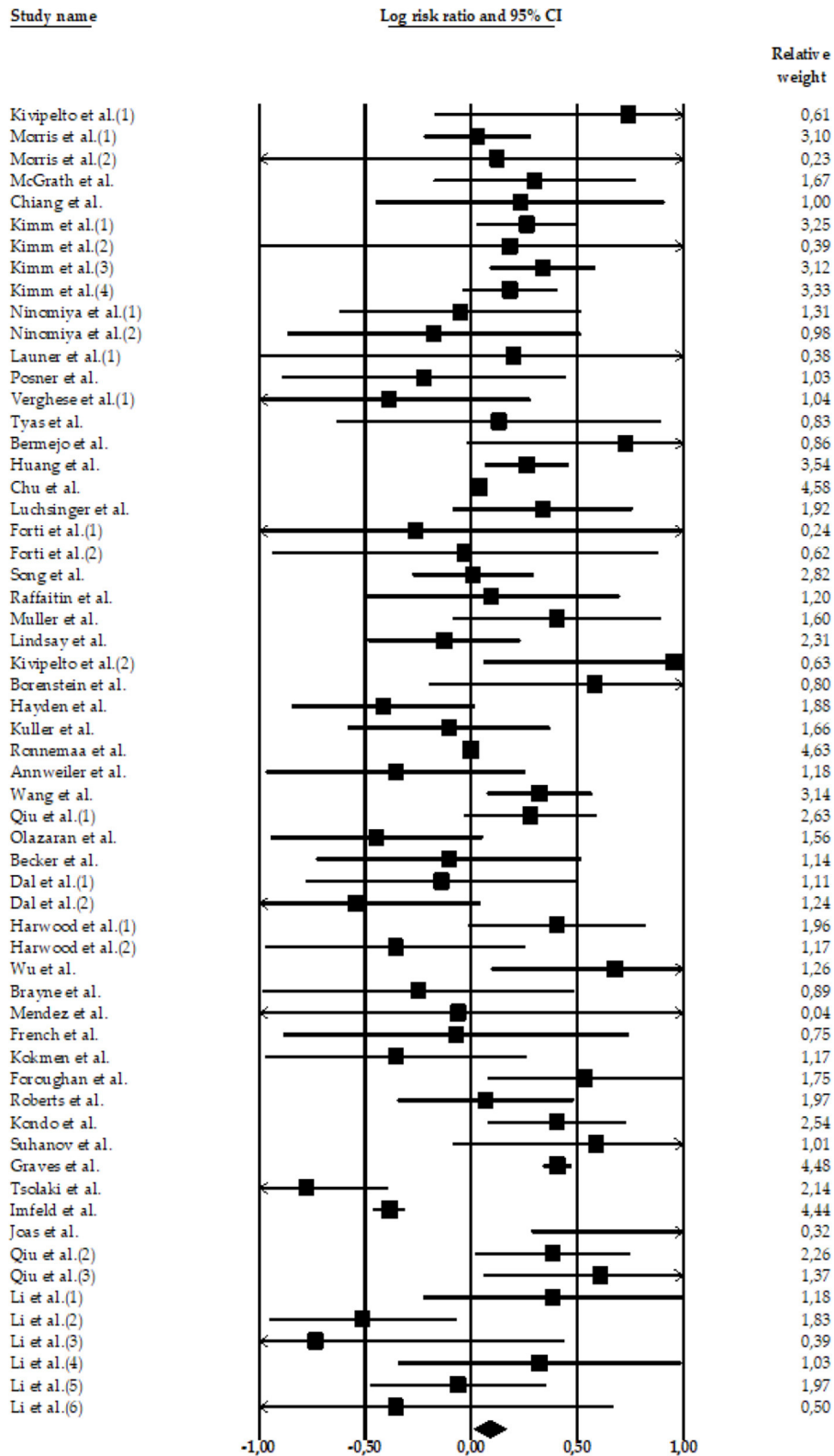
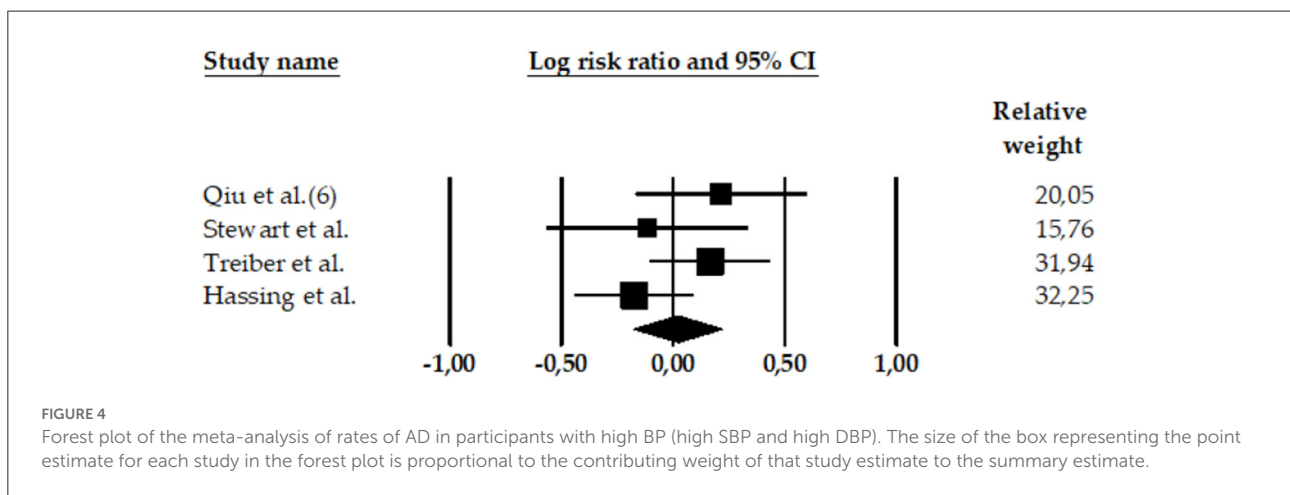
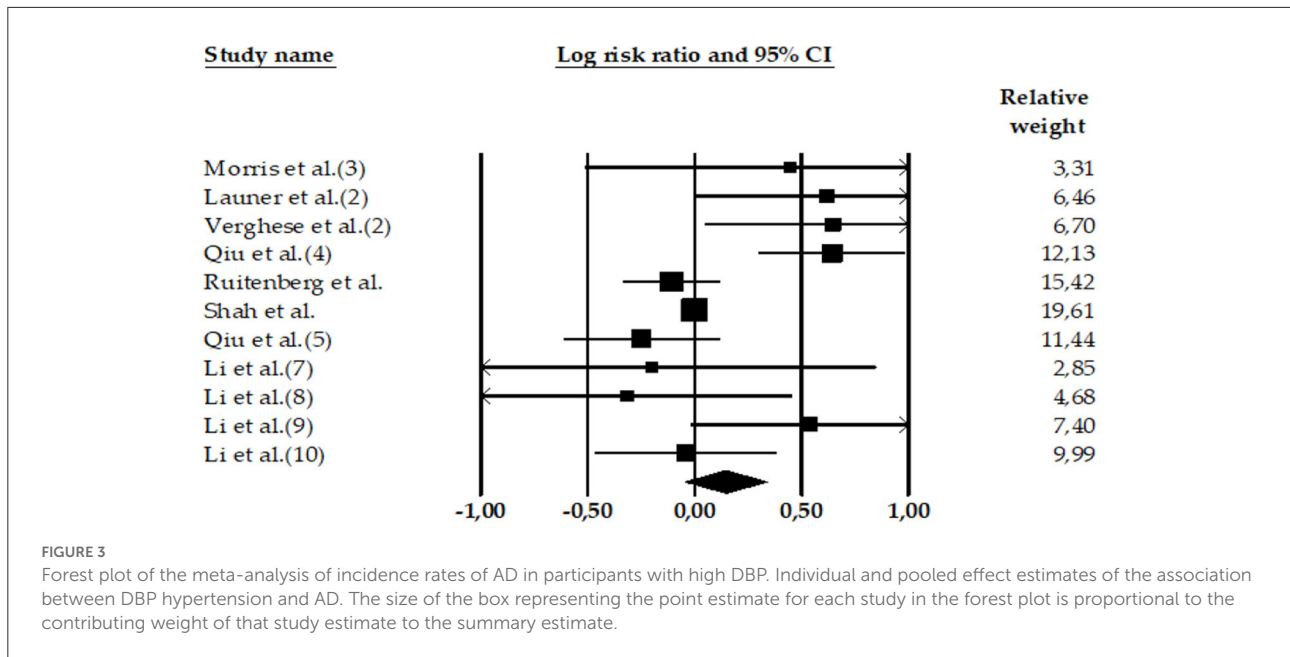


FIGURE 2 Forest plot of the meta-analysis of incidence rates of AD in participants with high SBP. Individual and pooled estimates of the association between measures of hypertension and AD. The size of the box representing the point estimate for each study in the forest plot is proportional to the contributing weight of that study estimate to the summary estimate.



participants; $n_{HC} = 1,438,453$ ($\text{LnRR} = 0.09$, $95\% \text{CI} = 0.013-0.166$, $Z = 2.28$, $p = 0.022$) (see Table 2). The heterogeneity was high: $Q\text{-value} = 380.08$, $df = 59$, and $I^2 = 84$.

Consistently, our results ($k = 11$ effect sizes; $N = 20,348$; $n_{AD} = 881$; $HC = 19,467$) did not find an association between high DBP and the risk of AD ($\text{LnRR} = 0.15$, $95\% \text{CI} = -0.045$ to 0.338 , $Z = 1.50$, $p = 0.133$) (see Table 3). The heterogeneity was high: $Q\text{-value} = 29.99$, $df = 10$, and $I^2 = 66.65$.

3.3. Diastolic blood pressure and AD

Three meta-analyses showed the relationship between DBP and AD: Lennon et al. (22) ($k = 1$ effect size; $N = 378$; $n = 78$ with AD and high DBP; $n = 300$ HC participants), Xu et al. (51) ($k = 5$ effect sizes; $N = 12,225$; $n = 497$ with AD and high DBP; $n = 11,728$ HC participants), and Wang et al. (54) ($k = 5$ effect sizes; $N = 7,745$; $n = 306$ with AD and high DBP; $n = 7,439$ HC participants). None of the three meta-analyses show significant associations between high DBP and AD.

3.4. High SBP and high DBP studies: Combined effect sizes

A meta-analysis reported a combined effect size for high SBP and high DBP (97). This study ($k = 4$ effect sizes; $N = 7,494$; $n = 211$ with AD and high SBP/DBP; $n = 7,283$ HC participants) found a non-significant association between high SBP and high DBP and AD ($\text{LnRR} = 0.02$, $95\% \text{CI} = -0.179$ to 0.222 , $Z =$

TABLE 2 Individual and pooled estimates of the association between high SBP and AD.

| References | Sample | Statistics for each study | | | | | | |
|---------------------------------------|---------------------|---------------------------|-------------|-------------|--------------|--------------|-------------|--------------|
| | | <i>LnRR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> |
| Lennon et al. (22) | | | | | | | | |
| Kivipelto et al. (1) (18) | AD <i>n</i> = 48 | 0.74 | 0.47 | 0.22 | -0.174 | 1.658 | 1.59 | 0.113 |
| | HC <i>n</i> = 1,400 | | | | | | | |
| Morris et al. (1) (25) | AD <i>n</i> = 324 | 0.03 | 0.13 | 0.02 | -0.221 | 0.280 | 0.23 | 0.817 |
| | HC <i>n</i> = 378 | | | | | | | |
| Morris et al. (2) (25) ^a | AD <i>n</i> = 54 | 0.12 | 0.79 | 0.63 | -1.430 | 1.674 | 0.15 | 0.877 |
| | HC <i>n</i> = 378 | | | | | | | |
| McGrath et al. (57) | AD <i>n</i> = 81 | 0.30 | 0.24 | 0.06 | -0.174 | 0.775 | 1.24 | 0.215 |
| | HC <i>n</i> = 1,440 | | | | | | | |
| Chiang et al. (58) | AD <i>n</i> = 64 | 0.23 | 0.35 | 0.12 | -0.448 | 0.910 | 0.67 | 0.505 |
| | HC <i>n</i> = 292 | | | | | | | |
| Kimm et al. (1) (59) | AD <i>n</i> = 282 | 0.26 | 0.12 | 0.01 | 0.030 | 0.495 | 2.21 | 0.027 |
| | HC <i>n</i> = 821 | | | | | | | |
| Kimm et al. (2) (59) | AD <i>n</i> = 164 | 0.18 | 0.60 | 0.36 | -1.000 | 1.364 | 0.30 | 0.762 |
| | HC <i>n</i> = 821 | | | | | | | |
| Kimm et al. (3) (59) ^a | AD <i>n</i> = 274 | 0.34 | 0.13 | 0.02 | 0.088 | 0.584 | 2.66 | 0.008 |
| | HC <i>n</i> = 821 | | | | | | | |
| Kimm et al. (4) (59) ^a | AD <i>n</i> = 206 | 0.18 | 0.11 | 0.01 | -0.041 | 0.405 | 1.60 | 0.109 |
| | HC <i>n</i> = 821 | | | | | | | |
| Ninomiya et al. (1) (60) | AD <i>n</i> = 6 | -0.05 | 0.29 | 0.08 | -0.619 | 0.516 | -0.18 | 0.859 |
| | HC <i>n</i> = 149 | | | | | | | |
| Ninomiya et al. (2) (60) ^a | AD <i>n</i> = 17 | -0.17 | 0.35 | 0.12 | -0.865 | 0.516 | -0.50 | 0.621 |
| | HC <i>n</i> = 177 | | | | | | | |
| Total (22) | | 0.20 | 0.06 | 0.00 | 0.090 | 0.307 | 3.58 | 0.000 |
| Xu et al. (51) | | | | | | | | |
| Launer et al. (1) (27) | AD <i>n</i> = 81 | 0.20 | 0.61 | 0.37 | -0.996 | 1.394 | 0.33 | 0.744 |
| | HC <i>n</i> = 2,137 | | | | | | | |
| Posner et al. (24) | AD <i>n</i> = 257 | -0.22 | 0.34 | 0.12 | -0.892 | 0.446 | -0.65 | 0.513 |
| | HC <i>n</i> = 1,259 | | | | | | | |
| Verghese et al. (1) (61) | AD <i>n</i> = 65 | -0.39 | 0.34 | 0.11 | -1.049 | 0.278 | -1.14 | 0.255 |
| | HC <i>n</i> = 406 | | | | | | | |
| Tyas et al. (39) | AD <i>n</i> = 35 | 0.13 | 0.39 | 0.15 | -0.634 | 0.897 | 0.34 | 0.737 |
| | HC <i>n</i> = 685 | | | | | | | |

(Continued)

TABLE 2 (Continued)

| References | Sample | Statistics for each study | | | | | | |
|---------------------------|-------------------------|---------------------------|-----------|-----------|-------------|-------------|----------|----------|
| | | <i>LnRR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> |
| Bermejo et al. (62) | AD <i>n</i> = 113 | 0.73 | 0.38 | 0.15 | -0.020 | 1.475 | 1.91 | 0.056 |
| | HC <i>n</i> = 3.824 | | | | | | | |
| Huang et al. (63) | AD <i>n</i> = 612 | 0.26 | 0.10 | 0.01 | 0.064 | 0.460 | 2.60 | 0.009 |
| | HC <i>n</i> = 142.744 | | | | | | | |
| Chu et al. (64) | AD <i>n</i> = 10 | 0.04 | 0.02 | 0.00 | 0.009 | 0.069 | 2.54 | 0.011 |
| | HC <i>n</i> = 153 | | | | | | | |
| Luchsinger et al. (65) | AD <i>n</i> = 246 | 0.34 | 0.22 | 0.05 | -0.087 | 0.760 | 1.56 | 0.120 |
| | HC <i>n</i> = 1.138 | | | | | | | |
| Forti et al. (1) (66) | AD <i>n</i> = 18 | -0.26 | 0.77 | 0.60 | -1.777 | 1.254 | -0.34 | 0.735 |
| | HC <i>n</i> = 466 | | | | | | | |
| Forti et al. (2) (66) | AD <i>n</i> = 30 | -0.03 | 0.46 | 0.21 | -0.939 | 0.878 | -0.07 | 0.948 |
| | HC <i>n</i> = 238 | | | | | | | |
| Song et al. (67) | AD <i>n</i> = 416 | 0.01 | 0.15 | 0.02 | -0.276 | 0.296 | 0.07 | 0.946 |
| | HC <i>n</i> = 2.790 | | | | | | | |
| Raffaitin et al. (68) | AD <i>n</i> = 134 | 0.10 | 0.31 | 0.10 | -0.509 | 0.700 | 0.31 | 0.757 |
| | HC <i>n</i> = 7.087 | | | | | | | |
| Muller et al. (69) | AD <i>n</i> = 147 | 0.41 | 0.25 | 0.06 | -0.085 | 0.896 | 1.62 | 0.105 |
| | HC <i>n</i> = 1833 | | | | | | | |
| Lindsay et al. (70) | AD <i>n</i> = 194 | -0.13 | 0.18 | 0.03 | -0.486 | 0.231 | -0.70 | 0.485 |
| | HC <i>n</i> = 4.088 | | | | | | | |
| Kivipelto et al. (1) (71) | AD <i>n</i> = 48 | 0.96 | 0.46 | 0.21 | 0.060 | 1.851 | 2.09 | 0.037 |
| | HC <i>n</i> = 1.449 | | | | | | | |
| Borenstein et al. (72) | AD <i>n</i> = 90 | 0.58 | 0.40 | 0.16 | -0.196 | 1.361 | 1.47 | 0.143 |
| | HC <i>n</i> = 1.859 | | | | | | | |
| Hayden et al. (73) | AD <i>n</i> = 104 | -0.42 | 0.22 | 0.05 | -0.847 | 0.016 | -1.89 | 0.059 |
| | HC <i>n</i> = 3.264 | | | | | | | |
| Kuller et al. (74) | AD <i>n</i> = 330 | -0.11 | 0.24 | 0.06 | -0.582 | 0.372 | -0.43 | 0.665 |
| | HC <i>n</i> = 2.807 | | | | | | | |
| Ronnemaa et al. (75) | AD <i>n</i> = 127 | 0.00 | 0.09 | 0.01 | -0.182 | 0.182 | 0.00 | 1.000 |
| | HC <i>n</i> = 2.268 | | | | | | | |
| Annweiler et al. (76) | AD <i>n</i> = 70 | -0.36 | 0.31 | 0.10 | -0.968 | 0.254 | -1.14 | 0.253 |
| | HC <i>n</i> = 498 | | | | | | | |
| Wang et al. (77) | AD <i>n</i> = 8.488 | 0.32 | 0.13 | 0.02 | 0.076 | 0.568 | 2.57 | 0.010 |
| | HC <i>n</i> = 1.230.400 | | | | | | | |

(Continued)

TABLE 2 (Continued)

| References | Statistics for each study | | | | | | | |
|-------------------------|---------------------------|-------------|-----------|-----------|-------------|-------------|----------|----------|
| | Sample | <i>LnRR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> |
| Qiu et al. (1) (78) | AD <i>n</i> = 333 | 0.28 | 0.16 | 0.03 | -0.034 | 0.590 | 1.74 | 0.081 |
| | HC <i>n</i> = 1.301 | | | | | | | |
| Olazaran et al. (79) | AD <i>n</i> = 68 | -0.45 | 0.26 | 0.07 | -0.946 | 0.054 | -1.75 | 0.080 |
| | HC <i>n</i> = 1.376 | | | | | | | |
| Becker et al. (80) | AD <i>n</i> = 48 | -0.11 | 0.32 | 0.10 | -0.729 | 0.518 | -0.33 | 0.740 |
| | HC <i>n</i> = 288 | | | | | | | |
| Dal et al. (1) (81) | AD <i>n</i> = 40 | -0.14 | 0.32 | 0.11 | -0.775 | 0.496 | -0.43 | 0.668 |
| | HC <i>n</i> = 576 | | | | | | | |
| Dal et al. (2) (81) | AD <i>n</i> = 67 | -0.54 | 0.30 | 0.09 | -1.134 | 0.045 | -1.81 | 0.070 |
| | HC <i>n</i> = 781 | | | | | | | |
| Harwood et al. (1) (82) | AD <i>n</i> = 202 | 0.41 | 0.21 | 0.05 | -0.011 | 0.822 | 1.91 | 0.056 |
| | HC <i>n</i> = 392 | | | | | | | |
| Harwood et al. (2) (82) | AD <i>n</i> = 188 | -0.36 | 0.31 | 0.10 | -0.969 | 0.256 | -1.14 | 0.254 |
| | HC <i>n</i> = 84 | | | | | | | |
| Wu et al. (83) | AD <i>n</i> = 201 | 0.68 | 0.30 | 0.09 | 0.095 | 1.261 | 2.28 | 0.023 |
| | HC <i>n</i> = 391 | | | | | | | |
| Brayne et al. (84) | AD <i>n</i> = 18 | -0.25 | 0.37 | 0.14 | -0.983 | 0.486 | -0.66 | 0.507 |
| | HC <i>n</i> = 340 | | | | | | | |
| Mendez et al. (85) | AD <i>n</i> = 50 | -0.06 | 2.02 | 4.07 | -4.015 | 3.891 | -0.03 | 0.976 |
| | HC <i>n</i> = 407 | | | | | | | |
| French et al. (86) | AD <i>n</i> = 76 | -0.07 | 0.42 | 0.17 | -0.887 | 0.742 | -0.17 | 0.861 |
| | HC <i>n</i> = 102 | | | | | | | |
| Kokmen et al. (87) | AD <i>n</i> = 203 | -0.36 | 0.31 | 0.10 | -0.972 | 0.258 | -1.14 | 0.256 |
| | HC <i>n</i> = 415 | | | | | | | |
| Foroughan et al. (88) | AD <i>n</i> = 42 | 0.54 | 0.23 | 0.05 | 0.078 | 0.995 | 2.30 | 0.022 |
| | HC <i>n</i> = 115 | | | | | | | |
| Roberts et al. (89) | AD <i>n</i> = 151 | 0.07 | 0.21 | 0.04 | -0.348 | 0.483 | 0.32 | 0.750 |
| | HC <i>n</i> = 264 | | | | | | | |
| Kondo et al. (90) | AD <i>n</i> = 60 | 0.41 | 0.16 | 0.03 | 0.082 | 0.729 | 2.46 | 0.014 |
| | HC <i>n</i> = 120 | | | | | | | |
| Suhanov et al. (91) | AD <i>n</i> = 127 | 0.59 | 0.34 | 0.12 | -0.086 | 1.262 | 1.71 | 0.087 |
| | HC <i>n</i> = 260 | | | | | | | |
| Graves et al. (92) | AD <i>n</i> = 18 | 0.43 | 0.03 | 0.01 | 0.339 | 0.472 | 11.90 | 0.000 |
| | HC <i>n</i> = 340 | | | | | | | |
| Tsolaki et al. (93) | AD <i>n</i> = 65 | -0.77 | 0.19 | 3.86 | -1.161 | -0.391 | -3.94 | 7.829 |
| | HC <i>n</i> = 69 | | | | | | | |

(Continued)

TABLE 2 (Continued)

| References | Statistics for each study | | | | | | | |
|----------------------------------|---------------------------|-------------|-------------|-------------|---------------|--------------|-------------|--------------|
| | Sample | <i>LnRR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> |
| Imfeld et al. (94) | AD <i>n</i> = 3,541 | −0.38 | 3.75 | 1.41 | −0.459 | −0.312 | −10.26 | 0.000 |
| | HC <i>n</i> = 7,086 | | | | | | | |
| Total (52) | | 0.05 | 0.05 | 0.00 | −0.038 | 0.146 | 1.16 | 0.246 |
| Meng et al. (52) | | | | | | | | |
| Joas et al. (95) | AD <i>n</i> = 79 | 1.59 | 0.67 | 0.45 | 0.285 | 2.902 | 2.39 | 0.017 |
| | HC <i>n</i> = 707 | | | | | | | |
| Wang et al. (54) | | | | | | | | |
| Qiu et al. (2) (96) | AD <i>n</i> = 150 | 0.61 | 0.28 | 0.08 | 0.060 | 1.159 | 2.18 | 0.030 |
| | HC <i>n</i> = 1,270 | | | | | | | |
| Qiu et al. (3) (96) ^a | AD <i>n</i> = 124 | 0.39 | 0.19 | 0.03 | 0.019 | 0.751 | 2.06 | 0.039 |
| | HC <i>n</i> = 441 | | | | | | | |
| Li et al. (1) (97) | AD <i>n</i> = 14 | 0.39 | 0.31 | 0.10 | −0.225 | 0.995 | 1.24 | 0.216 |
| | HC <i>n</i> = 530 | | | | | | | |
| Li et al. (2) (97) | AD <i>n</i> = 19 | −0.51 | 0.23 | 0.05 | −0.953 | −0.069 | −2.26 | 0.024 |
| | HC <i>n</i> = 733 | | | | | | | |
| Li et al. (3) (97) | AD <i>n</i> = 37 | −0.73 | 0.60 | 0.36 | −1.908 | 0.440 | −1.23 | 0.220 |
| | HC <i>n</i> = 530 | | | | | | | |
| Li et al. (4) (97) ^a | AD <i>n</i> = 31 | 0.32 | 0.34 | 0.12 | −0.346 | 0.990 | 0.95 | 0.345 |
| | HC <i>n</i> = 733 | | | | | | | |
| Li et al. (5) (97) ^a | AD <i>n</i> = 4 | −0.06 | 0.21 | 0.04 | −0.476 | 0.352 | −0.29 | 0.770 |
| | HC <i>n</i> = 733 | | | | | | | |
| Li et al. (6) (97) ^a | AD <i>n</i> = 6 | −0.36 | 0.52 | 0.27 | −1.384 | 0.670 | −0.68 | 0.496 |
| | HC <i>n</i> = 530 | | | | | | | |
| Total (55) | | 0.08 | 0.16 | 0.03 | −0.241 | 0.399 | 0.48 | 0.629 |
| Total random | | 0.09 | 0.04 | 0.00 | 0.013 | 0.166 | 2.28 | 0.022 |

^aMeasures SBP > 160.

0.21, $p = 0.835$) (see Table 4). The heterogeneity was medium: Q -value = 4.52, $df = 3$, and $I^2 = 33.69$.

3.5. Subgroup analyses

Results of the subgroup analysis on the primary outcomes are presented in Table 5. Study outcomes were grouped by definition of hypertension and measures of BP (e.g., SBP, DBP, or total BP). Notably, 60 effect sizes examined SBP at both grades (22): 52 effect sizes examined only grade 1 (>140 mmHg) (51, 54) and 8 effect sizes examined only grade 2 (>160 mmHg) (53). Eleven effect sizes examined DBP at both grades: 2 effect sizes examined DBP using a cutoff point of >85 mmHg (51, 54) and 9 effect sizes >90 mmHg. Four effect sizes

combined both types of hypertension (53). Moderator analyses were performed comparing effect sizes according to sex (men and women), age (≤ 65 and ≥ 66), study design (cross-sectional or C and longitudinal or L), and regions (Europe, Asia, and North America).

The results of pooling studies that reported RRs for a total score of BP showed that sex, age, and design did not moderate the relationship between hypertension and AD risk ($Qb: p \leq 0.50$). These results indicate that the risk of AD in participants with hypertension did not change significantly according to sex, age, and study design groups. However, it can be observed that there are significant relationships between different categories of the variables such as sex, age, study design, and AD ($Z: p \leq 0.50$). Findings revealed a significant relationship only between being women and a greater risk of AD ($p = 0.008$). Age was

TABLE 3 Individual and pooled estimates of the association between high DBP and AD.

| References | Sample | Statistics for each study | | | | | | |
|----------------------------------|---------------------|---------------------------|-------------|-------------|---------------|--------------|--------------|--------------|
| | | <i>LnRR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> |
| Lennon et al. (22) | | | | | | | | |
| Morris et al. (3) (25) | AD <i>n</i> = 78 | 0.44 | 0.49 | 0.24 | -0.513 | 1.402 | 0.91 | 0.363 |
| | HC <i>n</i> = 300 | | | | | | | |
| Xu et al. (51) | | | | | | | | |
| Launer et al. (2) (27) | AD <i>n</i> = 87 | 0.62 | 0.31 | 0.10 | 0.005 | 1.236 | 1.98 | 0.048 |
| | HC <i>n</i> = 2,137 | | | | | | | |
| Verghese et al. (2) (61) | AD <i>n</i> = 65 | 0.65 | 0.31 | 0.09 | 0.048 | 1.246 | 2.12 | 0.034 |
| | HC <i>n</i> = 406 | | | | | | | |
| Qiu et al. (4) (78) | AD <i>n</i> = 87 | 0.64 | 0.17 | 0.03 | 0.303 | 0.981 | 3.71 | 0.000 |
| | HC <i>n</i> = 1,301 | | | | | | | |
| Ruitenberget al. (98) | AD <i>n</i> = 107 | -0.11 | 0.11 | 0.01 | -0.331 | 0.120 | -0.92 | 0.359 |
| | HC <i>n</i> = 6,985 | | | | | | | |
| Shah et al. (99) | AD <i>n</i> = 151 | 0.00 | 0.01 | 0.00 | -0.010 | 0.010 | 0.00 | 1.000 |
| | HC <i>n</i> = 899 | | | | | | | |
| Total (52) | | 0.27 | 0.15 | 0.02 | -0.019 | 0.554 | 1.83 | 0.068 |
| Wang et al. (54) | | | | | | | | |
| Qiu et al. (5) (96) | AD <i>n</i> = 245 | -0.25 | 0.19 | 0.03 | -0.613 | 0.116 | -1.34 | 0.182 |
| | HC <i>n</i> = 2,249 | | | | | | | |
| Li et al. (7) (97) | AD <i>n</i> = 22 | -0.20 | 0.53 | 0.28 | -1.245 | 0.848 | -0.37 | 0.710 |
| | HC <i>n</i> = 2,605 | | | | | | | |
| Li et al. (8) (97) | AD <i>n</i> = 28 | -0.31 | 0.39 | 0.15 | -1.086 | 0.457 | -0.80 | 0.424 |
| | HC <i>n</i> = 1,321 | | | | | | | |
| Li et al. (9) (97) ^a | AD <i>n</i> = 4 | 0.54 | 0.28 | 0.08 | -0.018 | 1.091 | 1.90 | 0.058 |
| | HC <i>n</i> = 905 | | | | | | | |
| Li et al. (10) (97) ^a | AD <i>n</i> = 7 | -0.04 | 0.22 | 0.05 | -0.464 | 0.383 | -0.19 | 0.850 |
| | HC <i>n</i> = 359 | | | | | | | |
| Total (54) | | -0.04 | 0.15 | 0.02 | -0.339 | 0.263 | -0.25 | 0.805 |
| Total random | | 0.15 | 0.10 | 0.01 | -0.045 | 0.338 | 1.50 | 0.133 |

^aMeasures DBP > 90.

also associated with increased risk of AD in early ($p = 0.008$) and late ($p = 0.047$) age of onset, and this association was also significant in cross-sectional ($p = 0.021$) and longitudinal ($p = 0.013$) studies. Regions moderated the association between BP and AD. The risk of AD was greater in studies that used samples from Asia and North America than those performed in Europe.

Results did not find significant differences in the risk of AD according to the measures of SBP (>140 and >160 mmHg) and DBP (>85 and >90 mmHg). Similarly, sex, age, design, and region did not moderate the relationship between SBP and DBP and the risk of AD, except sex in the case of DBP. Results found that women showed a stronger risk of developing AD than

TABLE 4 Individual and pooled estimates of the association between high BP and AD.

| References | Sample | Statistics for each study | | | | | | |
|-------------------------|---------------------|---------------------------|-------------|-------------|---------------|--------------|-------------|--------------|
| | | <i>LnRR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> |
| Guan et al. (53) | | | | | | | | |
| Qiu et al. (6) (19) | AD <i>n</i> = 75 | 0.22 | 0.20 | 0.04 | -0.168 | 0.599 | 1.10 | 0.272 |
| | HC <i>n</i> = 719 | | | | | | | |
| Stewart et al. (100) | AD <i>n</i> = 35 | -0.12 | 0.23 | 0.05 | -0.566 | 0.333 | -0.51 | 0.611 |
| | HC <i>n</i> = 1.778 | | | | | | | |
| Treiber et al. (101) | AD <i>n</i> = 65 | 0.17 | 0.14 | 0.02 | -0.103 | 0.434 | 1.21 | 0.227 |
| | HC <i>n</i> = 3.634 | | | | | | | |
| Hassing et al. (102) | AD <i>n</i> = 36 | -0.17 | 0.14 | 0.02 | -0.441 | 0.092 | -1.28 | 0.199 |
| | HC <i>n</i> = 1.152 | | | | | | | |
| Total random | | 0.02 | 0.10 | 0.01 | -0.179 | 0.222 | 0.21 | 0.835 |

men. It is also observed that only in longitudinal studies and Asia regions, significant associations were found between SBP and AD.

According to measures of SBP (>140 and >160 mmHg), results indicated that SBP had no significant differences in effect sizes on the risk of AD at different sexes, ages, and designs. However, for SBP > 140 mmHg, there was evidence of heterogeneity between regions in RRs of AD. Asian countries showed stronger effect sizes between SBP and risk of AD than European and North American countries. Also, results found that elevated SBP (>160 mmHg) was significantly associated with AD risk in the young elderly (≤ 65), longitudinal studies, and in Europa and Asia.

For DBP (>85 and >90 mmHg), there was evidence of heterogeneity between the sexes. Women with elevated DBP (>90 mmHg) showed a greater risk of AD than men. Furthermore, there were no significant differences in AD risk according to age, design, and region.

Finally, age and region did not moderate the relationship between the combined effects of BP and the risk of AD.

4. Discussion

This study analyzes the association between high BP and the risk of AD. This is the first study to evaluate this relationship by identifying previous meta-analyses and analyzing primary studies worldwide. The present study summarized the information on meta-analyses of hypertension (DBP and SBP) and AD and expanded the findings from individual studies. In this study, 52 primary studies and 75 effect sizes were extracted. Furthermore, we included some moderator

variables between high DBP and high SBP and AD, such as sex, age, study design, regions, and measures of SBP and DBP.

Overall, results suggest that hypertension is associated with an increased risk of AD ($RR = 1.08$, 95%CI: 1.032, 1.13, $Z = 3.273$, $p = 0.001$). It indicates that the risk of AD increases by 8% for patients with SBP.

In this study, 46 primary studies and 60 effect sizes extracted from four meta-analyses (22, 51–53) confirm the relationship between high SBP and AD ($RR = 1.09$, 95%CI: 1.013, 1.181, $Z = 2.285$, $p = 0.022$). These results indicate that participants with high SBP increase the rate risk of AD by 9% and support findings of previous studies, suggesting that there were consistent demonstrations of a relationship between SBP and the risk of developing AD. In this vein, research demonstrated that high SBP could increase the risk of AD since it could cause neurobiological alterations (deposits of beta-amyloid protein), which lead to lesions in the brain, such as cerebral atrophy, senile plaques, and neurofibrillary tangles, which could be explanatory factors of the development of AD (103, 104). Other studies also suggest that high SBP could cause brain vascular injury, leading to increased flow of blood, cerebral patency, and cerebral amyloid angiopathy which were also associated with a higher risk of AD (105–107). However, our analysis cannot underlie the pathophysiology of AD and could only define SBP as a risk factor.

The relationship between high DBP and AD was studied through $k = 8$ primary studies and eleven effect sizes (three meta-analyses) (22, 51, 54). Findings did not find a significant association between high DBP and the risk of AD. Nevertheless, according to previous studies, these results could be explained by confounding due to associations between BP and advanced disease or other unknown modifiable risk factors (108–110).

TABLE 5 Effects of sex, age, design, and regions in different types of SBP (>140 and >160 mmHg) and DBP (>85 and >90 mmHg).

| Group by | Statistics for each study | | | | | | | | | | |
|-----------------------|---------------------------|------|-------|------|------|--------|-------|--------|----------------|-------|----------------------|
| | Effect sizes | LnRR | Se | Ve | LLIC | ULIC | Z | p | I ² | Qb | |
| BP (all types) | | | | | | | | | | | |
| | Sex | | | | | | | | | | |
| | Men | 54 | 0.06 | 0.04 | 0.00 | -0.023 | 0.140 | 1.407 | 0.159 | 72.01 | 1.867, p = 0.172 |
| | Women | 21 | 0.16 | 0.06 | 0.00 | 0.041 | 0.274 | 2.657 | 0.008 | 88.38 | |
| | Age | | | | | | | | | | |
| | ≤65 | 36 | 0.09 | 0.03 | 0.00 | 0.024 | 0.160 | 2.645 | 0.008 | 58.70 | 0.280, p = 0.596 |
| | ≥65 | 39 | 0.07 | 0.03 | 0.00 | 0.001 | 0.132 | 1.984 | 0.047 | 88.11 | |
| | Design | | | | | | | | | | |
| | C | 46 | 0.06 | 0.03 | 0.00 | 0.010 | 0.120 | 2.303 | 0.021 | 87.61 | 0.744, p = 0.389 |
| | L | 29 | 0.11 | 0.04 | 0.00 | 0.023 | 0.197 | 2.484 | 0.013 | 36.48 | |
| | Regions | | | | | | | | | | |
| | Europe | 23 | -0.05 | 0.03 | 0.00 | -0.113 | 0.025 | -1.244 | 0.214 | 87.66 | 20.65, p = 0.0001 |
| | Asia | 15 | 0.19 | 0.04 | 0.00 | 0.115 | 0.284 | 4.627 | 0.000 | 58.27 | |
| | North America | 37 | 0.11 | 0.04 | 0.00 | 0.038 | 0.190 | 2.939 | 0.003 | 62.02 | |
| SBP | | | | | | | | | | | |
| >140 | | 52 | 0.08 | 0.04 | 0.01 | -0.007 | 0.158 | 1.786 | 0.074 | 86.01 | 0.948, p = 0.330 |
| >160 | | 8 | 0.19 | 0.11 | 0.01 | -0.027 | 0.407 | 1.720 | 0.085 | 3.14 | |
| | Sex | | | | | | | | | | |
| | Men | 42 | 0.08 | 0.05 | 0.01 | -0.015 | 0.174 | 1.649 | 0.099 | 67.99 | 0.107, p = 0.744 |

(Continued)

TABLE 5 (Continued)

| Group by | Statistics for each study | | | | | | | | | | |
|----------|---------------------------|--------------|-------|------|------|--------|-------|-------|-------|----------------|---------------------|
| | | Effect sizes | LnRR | Se | Ve | LLIC | ULIC | Z | p | I ² | Qb |
| >140 | Women | 18 | 0.11 | 0.06 | 0.01 | -0.012 | 0.221 | 1.158 | 0.079 | 88.94 | |
| | Men | 35 | 0.06 | 0.05 | 0.01 | -0.045 | 0.162 | 1.11 | 0.267 | 71.87 | 0.237, p = 0.626 |
| >160 | Women | 17 | 0.09 | 0.06 | 0.00 | -0.025 | 0.222 | 1.565 | 0.118 | 89.81 | |
| | Men | 7 | 0.21 | 0.11 | 0.01 | -0.009 | 0.426 | 1.880 | 0.060 | 15.65 | 0.018, p = 0.895 |
| >140 | Women | 1 | 0.18 | 0.11 | 0.01 | -0.041 | 0.405 | 1.601 | 0.109 | 0.000 | |
| | Age | | | | | | | | | | |
| | ≤65 | 29 | 0.101 | 0.07 | 0.01 | -0.034 | 0.250 | 1.495 | 0.135 | 54.50 | 0.133, p = 0.715 |
| | ≥65 | 31 | 0.07 | 0.07 | 0.01 | -0.063 | 0.207 | 1.040 | 0.298 | 90.29 | |
| >160 | ≤65 | 25 | 0.08 | 0.08 | 0.01 | -0.084 | 0.234 | 0.927 | 0.354 | 49.01 | 0.000, p = 0.987 |
| | ≥65 | 27 | 0.08 | 0.07 | 0.01 | -0.067 | 0.221 | 1.048 | 0.295 | 91.54 | |
| >160 | ≤65 | 4 | 0.26 | 0.10 | 0.01 | 0.070 | 0.455 | 2.667 | 0.008 | 23.26 | 1.854, p = 0.173 |
| | ≥65 | 4 | 0.01 | 0.17 | 0.03 | -0.318 | 0.334 | 0.047 | 0.962 | 0.00 | |
| >140 | Design | | | | | | | | | | |
| | C | 41 | 0.06 | 0.05 | 0.01 | -0.031 | 0.152 | 1.294 | 0.196 | 88.23 | 1.336, p = 0.248 |
| | L | 19 | 0.16 | 0.07 | 0.01 | 0.018 | 0.302 | 2.206 | 0.027 | 35.78 | |
| | C | 41 | 0.06 | 0.05 | 0.00 | -0.032 | 0.152 | 1.290 | 0.198 | 88.23 | 0.517, p = 0.472 |
| >160 | L | 11 | 0.14 | 0.10 | 0.01 | -0.052 | 0.327 | 1.425 | 0.154 | 50.73 | |
| | C | - | - | - | - | - | - | - | - | - | - |
| | L | 8 | 0.21 | 0.07 | 0.01 | 0.065 | 0.356 | 2.834 | 0.005 | 3.14 | |

(Continued)

TABLE 5 (Continued)

| Group by | Statistics for each study | | | | | | | | | | | |
|---------------|---------------------------|--------------|-------|------|--------|--------|-------|--------|--------|----------------|---------------------------|-------------------------|
| | | Effect sizes | LnRR | Se | Ve | LLIC | ULIC | Z | p | I ² | Qb | |
| >140 | Regions | | | | | | | | | | | |
| | Europe | 18 | 0.03 | 0.09 | 0.01 | -0.148 | 0.198 | 0.284 | 0.777 | 89.30 | 5.785, <i>p</i> = 0.055 | |
| | Asia | 14 | 0.27 | 0.09 | 0.01 | 0.095 | 0.436 | 3.044 | 0.002 | 60.41 | | |
| | North America | 28 | 0.01 | 0.07 | 0.01 | -0.130 | 0.152 | 0.156 | 0.876 | 64.11 | | |
| | Europe | 17 | 0.00 | 0.09 | 0.01 | -0.187 | 0.176 | 0.057 | 0.955 | 89.62 | 5.985, <i>p</i> = 0.050 | |
| | Asia | 11 | 0.29 | 0.10 | 0.01 | 0.091 | 0.493 | 2.854 | 0.004 | 63.14 | | |
| | North America | 24 | 0.01 | 0.08 | 0.01 | -0.143 | 0.160 | 0.109 | 0.913 | 67.66 | | |
| | >160 | Europe | 1 | 0.61 | 0.28 | 0.08 | 0.060 | 1.159 | 2.176 | 0.030 | 0.00 | 3.562, <i>p</i> = 0.169 |
| | Asia | 3 | 0.23 | 0.08 | 0.01 | 0.067 | 0.389 | 2.771 | 0.006 | 9.15 | | |
| North America | 4 | 0.01 | 0.17 | 0.03 | -0.318 | 0.334 | 0.047 | 0.962 | 0.00 | | | |
| DBP | | | | | | | | | | | | |
| >85 | | 2 | 0.21 | 0.24 | 0.06 | -0.266 | 0.680 | 0.859 | 0.390 | 61.98 | 0.067, <i>p</i> = 0.795 | |
| >90 | | 9 | 0.14 | 0.11 | 0.01 | -0.081 | 0.358 | 1.236 | 0.217 | 69.65 | | |
| | Sex | | | | | | | | | | | |
| | Men | 8 | -0.01 | 0.06 | 0.01 | -0.13 | 0.118 | -0.109 | 0.913 | 39.20 | 13.37, <i>p</i> = 0.0001 | |
| | Women | 3 | 0.62 | 0.15 | 0.03 | 0.307 | 0.927 | 3.897 | 0.0001 | 0.00 | | |
| >85 | Men | 2 | 0.22 | 0.29 | 0.08 | -0.344 | 0.782 | 0.763 | 0.446 | 61.98 | - | |
| | Women | - | - | - | - | - | - | - | - | - | | |
| >90 | Men | 6 | -0.02 | 0.05 | 0.01 | -0.126 | 0.079 | -0.452 | 0.641 | 35.53 | 16.052, <i>p</i> = 0.0001 | |
| | Women | 3 | 0.62 | 0.15 | 0.02 | 0.321 | 0.915 | 4.081 | 0.0001 | 0.00 | | |

(Continued)

TABLE 5 (Continued)

| Group by | | Statistics for each study | | | | | | | | | |
|----------|----------------|---------------------------|-------|------|-------|--------|-------|--------|-------|----------------|---------------------|
| | | Effect sizes | LnRR | Se | Ve | LLIC | ULIC | Z | p | I ² | Qb |
| >85 | Age | | | | | | | | | | |
| | ≤65 | 4 | 0.21 | 0.18 | 0.03 | -0.133 | 0.552 | 1.198 | 0.231 | 85.01 | 0.131, p = 0.717 |
| | ≥65 | 7 | 0.12 | 0.16 | 0.03 | -0.196 | 0.442 | 0.756 | 0.449 | 39.41 | |
| >90 | ≤65 | - | - | - | - | - | - | - | - | - | - |
| | ≥65 | 2 | 0.22 | 0.29 | 0.08 | -0.344 | 0.782 | 0.763 | 0.446 | 61.98 | |
| | ≤65 | 4 | 0.21 | 0.18 | 0.03 | -0.147 | 0.574 | 1.160 | 0.246 | 85.01 | 0.245, p = 0.621 |
| >85 | ≥65 | 5 | 0.08 | 0.21 | 0.04 | -0.334 | 0.485 | 0.363 | 0.716 | 36.35 | |
| | Design | | | | | | | | | | |
| | C | 5 | 0.26 | 0.14 | 0.02 | -0.015 | 0.537 | 1.854 | 0.064 | 82.58 | 1.345, p = 0.246 |
| >90 | L | 6 | 0.01 | 0.17 | 0.023 | -0.317 | 0.334 | 0.052 | 0.958 | 28.15 | |
| | C | - | - | - | - | - | - | - | - | - | - |
| | L | 2 | 0.22 | 0.29 | 0.08 | -0.344 | 0.782 | 0.763 | 0.446 | 61.98 | |
| >85 | C | 5 | 0.26 | 0.14 | 0.02 | -0.013 | 0.530 | 1.864 | 0.062 | 82.58 | 2.450, p = 0.118 |
| | L | 4 | -0.15 | 0.21 | 0.05 | -0.575 | 0.282 | -0.671 | 0.502 | 0.00 | |
| | Regions | | | | | | | | | | |
| >90 | Europe | 3 | 0.12 | 0.19 | 0.04 | -0.253 | 0.498 | 0.638 | 0.523 | 87.13 | 0.074, p = 0.786 |
| | Asia | - | - | - | - | - | - | - | - | - | - |
| | North America | 8 | 0.19 | 0.15 | 0.02 | -0.109 | 0.487 | 1.241 | 0.215 | 49.06 | |
| >85 | Europe | - | - | - | - | - | - | - | - | - | - |
| | Asia | - | - | - | - | - | - | - | - | - | - |
| | North America | 2 | 0.22 | 0.29 | 0.08 | -0.344 | 0.782 | 0.763 | 0.446 | 61.98 | |
| >90 | Europa | 3 | 0.12 | 0.21 | 0.04 | -0.278 | 0.525 | 0.604 | 0.546 | 87.13 | 0.041, p = 0.840 |

(Continued)

TABLE 5 (Continued)

| Group by | Statistics for each study | | | | | | | | | | |
|------------------------------|---------------------------|-------------|-----------|-----------|-------------|-------------|----------|----------|-----------------------|-----------|----------------------------|
| | Effect sizes | <i>LnRR</i> | <i>Se</i> | <i>Ve</i> | <i>LLIC</i> | <i>ULIC</i> | <i>Z</i> | <i>p</i> | <i>I</i> ² | <i>Qb</i> | |
| Asia | - | - | - | - | - | - | - | - | - | - | |
| North America | 6 | 0.18 | 0.19 | 0.04 | -0.193 | 0.554 | 0.946 | 0.344 | 53.09 | | |
| BP (combined effects) | | | | | | | | | | | |
| Sex | | | | | | | | | | | |
| Men | 4 | 0.02 | 0.10 | 0.01 | -0.179 | 0.222 | 0.209 | 0.835 | 33.68 | | - |
| Women | - | - | - | - | - | - | - | - | - | - | |
| Age | | | | | | | | | | | |
| ≤65 | 3 | -0.05 | 0.12 | 0.02 | -0.289 | 0.192 | -0.387 | 0.669 | 27.19 | | 0.978, <i>p</i> = 0.323 |
| ≥65 | 1 | 0.17 | 0.18 | 0.03 | -0.182 | 0.513 | 0.934 | 0.350 | 0.00 | | |
| Design | | | | | | | | | | | |
| C | | | | | | | | | | | - |
| L | 2 | 0.02 | 0.10 | 0.01 | -0.179 | 0.222 | 0.209 | 0.835 | 33.69 | | |
| Regions | | | | | | | | | | | |
| Europe | 2 | -0.01 | 0.19 | 0.04 | -0.383 | 0.383 | -0.026 | 0.979 | 62.61 | | 0.522, <i>p</i> = 0.770 |
| Asia | 1 | -0.12 | 0.32 | 0.10 | -0.736 | 0.503 | -0.368 | 0.713 | 0.00 | | |
| North America | 1 | 0.16 | 0.26 | 0.07 | -0.339 | 0.670 | 0.643 | 0.520 | 0.00 | | |

For instance, secondary diseases, such as obesity, cardiovascular diseases, silent infarcts, and vascular risk factors (111) or type 2 diabetes (103, 108, 109), could be closely related to the development of AD. Hence, in these cases, it is not clear if hypertension is directly related to the risk of AD or whether AD is indirectly motivated by a secondary disease (110). Finally, there was a small number of studies analyzing DBP and AD in comparison with SBP, and in consequence, it is possible that we did not have sufficient statistical power to obtain a significant pooled estimate of the association between DBP and AD.

Related to the combined BP hypertension, only a meta-analysis (53) with four independent studies and effect sizes compared the incidence of AD between subjects with and without hypertension. These studies found that high BP is not associated with an increased risk of AD. This result is contradictory to the general view on the association between risk for AD and hypertension. For example, Guan et al. (53) highlighted that AD and hypertension are independent diseases with some common etiopathogenesis, which is a risk factor in AD.

To explore the influence of other research parameters in the relationship between high SBP and high DBP with AD, we analyzed different moderators: sex, age, study design, and region. This study does not find differences in the risk of AD according to the type of measure of SBP (>140 and >160 mmHg) and DBP (>85 and >90 mmHg). Total scores reveal significant differences between men ($RR = 0.99$, 95%CI: 0.887, 1.125, $Z = -0.109$, $p = 0.913$) and women ($RR = 1.85$, 95%CI: 1.359, 2.527, $Z = 3.897$, $p = 0.001$) (rate risk of AD increases by 85%) in the relationship of high DBP and AD, but not between SBP and AD. Specifically, the data suggest that women with high DBP (>90 mmHg) had an increased risk of AD compared with men ($RR = 1.86$, 95%CI: 1.379, 2.498, $Z = 16.05$, $p = 0.001$), which increase the rate risk of AD by 86%. These results have been shown in previous studies that worked with different samples (women and men), where AD was also associated with high DBP mainly in women (107, 108). For instance, Benetos et al. (112) found that DBP in women is associated with a higher cardiac output, pulse pressure, and heart rate (HR) factors that are related to a higher risk of AD (63.8%).

Total scores of BP show that age is associated with increased risk of AD in the early and late age of onset ($RR = 1.10$, 95%CI: 1.024, 1.174, $Z = 2.645$, $p = 0.008$; $RR = 1.07$, 95%CI: 1.001, 1.141, $Z = 0.047$, $p = 0.047$), with the rate risk of AD increases by 10% and 7%. However, the age of onset (early onset ≤ 65 years and late onset ≥ 65 years) does not moderate the relationship between high SBP/DBP and AD, showing similar effect sizes for both categories. Related to the measure of BP, this study found that elevated SBP > 160 mmHg was associated with the risk of AD in the young elderly (≤ 65 years), but not in those ≥ 65 years of age. In this vein, several studies have found that hypertension has different impacts on cognitive function at different ages (19, 22, 110). Current literature indicates that hypertension is

a risk factor for cognitive decline in midlife and young old age but may be protective against cognitive decline in late life (22). For example, some authors concluded that high BP at the early age of onset impacted cognitive functions and increased the risk of developing AD in older age (19, 113). Iadecola et al. (114) also found that hypertension in early onset is associated with a higher risk of AD. Therefore, changes in BP may be due to hemodynamic regulation being altered by neurodegenerative processes in the years preceding disease onset (22).

The only variable that moderates the relationship between BP and AD is the region. We observe a higher risk of AD in Asia with SBP >140 mmHg ($RR = 1.34$, 95%CI: 1.096, 1.637, $Z = 2.854$, $p = 0.004$) compared with European ($RR = 0.99$, 95%CI: 0.829, 1.193, $Z = -0.057$, $p = 0.955$) and North America ($RR = 1.01$, 95%CI: 0.866, 1.174, $Z = 0.109$, $p = 0.913$). Therefore, the rate risk of AD in Asia increases by 34%. These results are related to the findings of some studies. During the past four decades, the highest BP measurements worldwide have shifted from high-income countries to low-income countries, such as South Asia and Africa (115), which could explain our results (116, 117). On the one hand, several authors suggest that recent lifestyle changes in Asia countries, such as diet, changing demographics, urbanization, environmental interactions, and other factors, may help explain this relationship (117). On the other hand, one study with data from 90 countries showed that the percentage of people with hypertension receiving treatment increased in both high-income and low- and middle-income countries, but the gap between them widened (118). Moreover, our results also show that the risk of AD related to SBP > 160 mmHg in Europe ($RR = 0.61$, 95%CI: 0.060, 1.159, $Z = 2.176$, $p = 0.030$) and Asia ($RR = 0.23$, 95%CI: 0.067, 0.389, $Z = 2.771$, $p = 0.006$) is significant. However, North America ($RR = 0.01$, 95%CI: -0.318 , 0.334, $Z = 0.047$, $p = 0.962$) did not find a significant relationship. Despite these results, the strength of the association between SBP (>160 mmHg) and AD risk is similar in the three regions.

Finally, results do not find differences in the effect size of the association between high SBP and DBP and the risk of AD according to the type of design (cross-sectional and longitudinal). Our results found an association between BP and the risk of AD in both types of studies. However, findings confirm that the relationship between higher SBP and AD is only significant in longitudinal studies and with SBP > 160 mmHg ($RR = 1.23$, 95%CI: 1.067, 1.428, $Z = 2.834$, $p = 0.005$), so the rate risk of AD increases by 23%, while high DBP (>85 and >90 mmHg) is not related to increased AD risk. In this vein, previous work found differences according to the type of design that may result in part from the use of different definitions of hypertension and non-uniform measures of high or low BP. In this study, we use standardized criteria to define BP (SBP > 140/160 mmHg and DBP > 85/90 mmHg) and AD (clinical criteria) which could explain that there are no differences according to the study design. After controlling

for this confounding factor, the effect size of longitudinal studies is higher in all the BP and SBP measures, although the differences do not reach significance. Longitudinal studies provide an opportunity to assess the temporal relationship between BP and AD and the length of follow-up remains relevant since hypertension could render individuals more vulnerable to comorbid conditions, such as cerebrovascular disease, that confer greater risk for AD during long periods of follow-up.

However, there are some limitations to our study. The key limitation is that only a small number of studies examined the association between DBP, both types of BP combined, and AD compromising the generalizability of the results. Furthermore, it is likely that due to the procedure used in this meta-meta-analysis, some primary studies were not included. Another challenge was that studies reported outcomes using different metrics (OR, HR, and RR). Likewise, not all the cutoff points established by ISH could be analyzed since the stages of SBP ≥ 130 –139 and DBP ≥ 100 could not be defined due to the lack of primary studies. Other confounders may also influence the study's findings. For example, results were not adjusted for other risk variables including cardiovascular disease, stroke, alcohol consumption, smoking, kidney disease, and many others. Also, two studies did not report the mean age of the sample, and they were not included in the moderator analysis. Moreover, the relationship between hypertension and AD could not be thought of as binary but rather as a dynamic one, changing with life stage and disease state. Hence, a single measurement of BP may not accurately reflect the participant's average BP measurements. Additionally, data on the age at the onset of hypertension and years of living with the condition may be important in clarifying temporal relationships between hypertension and AD. Also, we did not examine the potentially modifying impact of antihypertensive therapy on the relationship between hypertension and AD. In addition, another limitation is the absence of studies from South America and Australia. Finally, we did not include educational level as a moderator variable since the external validity of some of the results has been questioned. The primary studies contained in this meta-analysis used very different forms of measurement. For instance, some studies analyzed education using individual (i.e., no formal education, mandatory education, secondary studies, university studies) (79, 88) or community-based samples (i.e., family education level, region, or country) (80, 88), quantitative (linear relation between the number of years of education and the risk of dementia) (81, 83) or qualitative measures (a threshold effect at a given level of education) (86), and composite measures (i.e., socioeconomic status, SES defines education plus income) (67, 119) that show different results. Therefore, we should interpret our results cautiously.

Several strengths of our review of a meta-analysis should be emphasized. First, most prior studies were drawn from general

community samples or non-AD-specific studies (vascular dementia, cortical dementia, or dementia in general), whereas the current study relied on AD. Second, we add to the current literature by analyzing 52 primary studies extracted from the previous meta-analysis increasing the statistical power of our results. Third, we analyzed the impact of different moderators (sex, age, study design, region, and measures of SBP/DBP) to explore the influence of other research parameters in the relationship between high SBP and DBP and AD. Finally, we want to focus on effect sizes since the statistical significance should never be interpreted as evidence that an effect had clinical importance. It is important to note that the effect sizes were “relatively small” and the variation is great within the same meta-analysis. Therefore, the clinical significance and practical importance of these results should be considered in relation to the patient's status, goals, and clinician experience.

As a practical implication, this study suggests that high SBP could be a risk factor for AD. There is limited evidence that single cardiovascular risk factors affect AD risk, but the strength of the association is influenced greatly by changing the parameters of the risk factors and in particular by identifying interactions between the factors. Future research should confirm this and determine whether stabilizing BP might be a target to slow or decline the development of AD.

5. Conclusion

This study analyzes the association between SBP/DBP/combined BP and the risk of developing AD. A total of five meta-analyses and 52 primary studies were analyzed in this review of meta-analysis. Our study found that SBP is associated with an increased risk of AD by 11%, although no association was found for DBP. Measures of SBP >140 , SBP >160 , DBP >85 , and DBP >90 do not moderate the relationship between SBP and DBP and AD. Moderator analysis (sex, age, study design, region, and measures of SBP/DBP) shows a significant association between high DBP (>90) and AD in women. The age of onset (early-onset AD ≤ 65 years and late-onset AD or senile AD ≥ 65 years) did not moderate the relationship between SBP and DBP and AD. Finally, regarding the type of study, there were no differences in the association between BP and AD between longitudinal and cross-sectional studies. However, Asian countries showed stronger effect sizes between SBP > 140 and risk of AD than European and North American countries. Future work should use other uncontrolled factors (e.g., cardiovascular diseases, diabetes, and stroke) to explain the relationship between high BP and AD.

Data availability statement

The original contributions presented in the study are included in the article/[Supplementary material](#), further inquiries can be directed to the corresponding author.

Author contributions

OS-V and AP-M conceived and designed the analysis, collected the data, contributed data or analysis tools, performed analysis, and wrote the paper. JP-B wrote the paper. SU-L contributed data or analysis tools, performed analysis, and wrote the paper. All authors take full responsibility for the data, the analyses and interpretation, and the conduct of the research, full access to all of the data and the right to publish any and all data. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fneur.2022.1065335/full#supplementary-material>

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Síntesis empírica de meta-análisis, técnicas de optimización multi-objetivo y minería de datos aplicadas al diagnóstico de la Enfermedad de Alzheimer