

1 **Lipase-catalyzed glycerolysis of anchovy oil in a solvent-free system: Simultaneous**  
2 **optimization of monoacylglycerol synthesis and end-product oxidative stability**

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8  
9 **ABSTRACT**

10 The production of mono- and diacylglycerols rich in polyunsaturated fatty acids is achieved in  
11 this study, by solvent-free glycerolysis of anchovy oil with lipase PS-DI from *Burkholderia*  
12 *cepacia*. Attention is focused on the oxidative stability of the reaction products, determined in terms  
13 of induction time ( $I_t$ ). The effects of glycerol/triacylglycerol molar ratio, enzyme concentration, and  
14 reaction temperature on mono- and diacylglycerol production and  $I_t$  are all assessed. The operating  
15 conditions that optimized monoacylglycerol yields and oxidative stability were a  
16 glycerol/triacylglycerol ratio of 3/1, 9.0% (w/w) Lipase PS-DI, a stirring rate of 200 rpm, and a  
17 reaction time of 4 h, at 45.8 °C, producing a content of 24.8% and 51.9% of mono- and  
18 diacylglycerols, respectively, over an  $I_t$  of 1.41 h. The glycerolysis conditions determined by  
19 simultaneous optimization strategy increased the oxidative stability of the glycerolysis products by  
20 68%, which rose from 0.84 h (individual optimization) to 1.41 h.

21  
22 **Keywords:** Lipase PS-DI; Monoacylglycerols (MAG); oxidative stability; polyunsaturated fatty  
23 acids (PUFA); solvent-free glycerolysis

24  
25 **1. Introduction**

26 Mono- (MAG) and diacylglycerols (DAG) are non-ionic surfactants widely used as emulsifiers  
27 in many food products (e.g. margarines, sauces, dairy and confectionery products) (Kristensen, Xu

28 & Mu, 2005; Panwongrat, Xu & H-Kittikun, 2007). Additionally, they are also used in cosmetic,  
29 pharmaceutical, and textile products, due to their plasticizing and texturizing properties (Chang &  
30 Bodmeier, 1998; Valério, Rovani, Treichel, de Oliveira & Oliveira, 2010). The production of MAG  
31 on an industrial scale is currently performed by chemical glycerolysis of fats and oils using alkaline  
32 catalysts under a nitrogen gas atmosphere at very high temperatures. However, rather low yields  
33 are obtained with this procedure and the aggressive reaction conditions lead to severe fatty acid  
34 oxidation. The products tend to show a dark color and unwanted byproducts are generated that  
35 require additional purification (Feltes et al., 2012; Ghamgui, Miled, Rebaï, Karrachaâbouni &  
36 Gargouri, 2006).

37 Enzymatic catalysis has great potential as an alternative to chemical processes, due to properties  
38 such as substrate specificity, mild operational conditions, easy control, and the reusability of  
39 immobilized biocatalysts (Weber & Mukherjee, 2004). In practice, lipase-catalyzed glycerolysis is a  
40 complex process with several equilibriums from which it is difficult to obtain only one reaction  
41 product (Cheirsilp, Kaewthong & H-Kittikun, 2007; Tan & Yin, 2005). Moreover, the high  
42 difference in polarity between glycerol (Gly) and tryacylglycerol (TAG) assists the formation of a  
43 heterogeneous reaction system with a limited mass transfer. Several alternatives, such as the  
44 addition of food additives as surfactants (Valério et al., 2009), the use of organic solvents (Cai, Gao,  
45 Liu, Zhong & Liu, 2016; Yang, Rebsdorf, Engelrud, & Xu, 2005), ionic liquids (Kahveci, Guo,  
46 Özçelik & Xu, 2010), and supercritical fluids (Moquin, Temelli, Sovová & Saldaña, 2006), have  
47 been followed to improve the miscibility of the glycerolysis reaction, thereby improving its yield.  
48 Despite the advantages of enzymatic catalysis, these technologies involve additional separation  
49 steps and recovery systems with high costs that currently hinder any commercial-scale application  
50 of this technique (Feltes et al., 2012; Fiametti, Rovani, de Oliveira, Corazza, Treichel & Oliveira,  
51 2009). Glycerolysis in a solvent-free system represents a promising option, in view of the increasing  
52 interest in developing simple and efficient separation processes. Solvent-free systems have many

53 advantages such as reduced pollution, low costs, and simplicity in both processing and handling  
54 (Tanaka & Toda, 2000).

55 Several oils and fats have been used as starting materials for MAG production. However, over  
56 the last decade, fish oils have received a lot of attention because of their content in n-3  
57 polyunsaturated fatty acids. PUFA are health-beneficial fatty acids, because of their role in the  
58 regulation of inflammation, cholesterol metabolism, and brain functions (Nicholson, Khademi &  
59 Moghadasian, 2013). Nevertheless, even frozen PUFA easily reacts with oxygen and forms  
60 hydroperoxide and free radicals (Miyashita, 2014), due to the high number of its double bonds.  
61 Moreover, the volatile compounds during oxidation lead to flavor deterioration reducing their  
62 acceptance among consumers. More than an organoleptic problem, lipid oxidation also has  
63 nutritional and health implications. In fact, the increased use of n-3 PUFA in the food industry has  
64 resulted in new challenges concerning the avoidance or at least the minimization of lipid oxidation  
65 (Jacobsen, 2015). Nevertheless, the measurement of lipid oxidation is a difficult task, due to its  
66 complex oxidation process that depends on many factors. Numerous analytical methods for  
67 determining lipid oxidation in foods have been developed, based on the measurement of oxygen  
68 adsorption, the peroxide value, the formation of free radicals and primary and secondary oxidation  
69 products, as well as the evaluation of the oil stability index (Shahidi, Wang & Wanasundara, 2017).  
70 Rancimat is a method that companies have frequently used to determine the oil stability index. It  
71 determines the conductivity of volatile oxidation products dissolved in water. The time that elapses  
72 until the point when volatile acids are detected in the measuring vessel is referred to as the  
73 induction time ( $I_t$ ) or the oil stability index (Dabrowski, Konopka, Czaplicki & Tariska, 2017).  $I_t$   
74 characterizes the oxidation stability of oils and fats: the lengthier the  $I_t$  induction time, the more  
75 stable the sample.

76 Several studies have dealt with the lipase-catalyzed synthesis of MAG and DAG enriched in  
77 PUFA in non-conventional media (Fiametti et al., 2009; He, Li, Kodali, Balle, Chen & Guo, 2017;

78 Pawongrat et al., 2007). However, none of these studies considered the oxidative stability of the  
79 reaction products during the design of the process.

80 Based on these considerations, the aim of this work will be to synthesize MAG and DAG  
81 enriched in PUFA, in a solvent-free glycerolysis system using the immobilized Lipase PS-DI,  
82 thereby maintaining the oxidative stability of the reaction end-products at a maximum. To that end,  
83 the effects of reaction temperature, the Gly/TAG substrate molar ratio, and, enzyme concentrations  
84 in MAG and DAG production will all be studied using response surface methodology (RSM). The  
85 induction time ( $I_t$ ), will also be determined to obtain the reaction conditions that minimize end-  
86 product oxidation levels. Likewise, the desirability function approach of Derringer will be applied  
87 for simultaneous optimization of MAG yields and oxidative stability.

## 88 **2. Materials and methods**

### 89 **2.1. Materials**

90 Anchovy oil used in glycerolysis reactions, with a PUFA content of 34.6% (7.6%  
91 eicosapentaenoic acid, EPA, and 12.7% docosahexaenoic acid, DHA), was supplied by Denomega  
92 Nutritional Oils (Leknes, Norway). Lipase from *Burkholderia cepacia*, Lipase PS-DI, commercially  
93 immobilized on diatomite, was provided by Sigma-Aldrich Co (St. Louis, MO, USA). The MAG,  
94 DAG, and TAG standards for HPLC and Glycerol (99%) were obtained from Sigma-Aldrich Co  
95 (St. Louis, MO, USA). Isooctane, methyl tert-butyl ether and acetic acid of HPLC grade were  
96 purchased from Sigma-Aldrich Co (St. Louis, MO, USA). Silica gel 60 extra pure was supplied by  
97 Merck-Millipore (Madrid, Spain).

### 98 **2.2. Enzymatic glycerolysis**

99 Glycerolysis was done in a screw-capped crystal flask by mixing different amounts of Gly with 6  
100 g of anchovy oil to prepare molar ratios of 1/1, 2/1, and 3/1, according to the experimental design.  
101 Previously, the adsorption of Gly onto silica gel had been performed, as described Berger, Laumen  
102 & Schneider (1992), to overcome the solubility problems of glycerol in organic phase and to

103 prevent its aggregation on immobilized enzymes (Castillo, Dossat, Marty, Condoret & Combes,  
104 1997). The enzymatic reaction was initiated by adding the Lipase PS-DI. The water content of the  
105 immobilized enzyme had previously been adjusted over saturated LiCl solution (Pawongrat, Xu &  
106 H-Kittikun, 2008) in a desiccator at 25 °C for 24 h ( $a_w = 0.113$ ). The reaction mixture was  
107 incubated, under dark conditions, at different temperatures (40, 50, and 60 °C) with shaking at 200  
108 rpm, over 4 h, based on previous studies performed in our laboratory. At the end of the reaction  
109 period, the immobilized lipase was removed by centrifugation (5,000 rpm for 5 min) and the  
110 products were recovered for further analysis.

### 111 ***2.3. Quantitative analysis of reaction products***

112 Reaction products were quantified by using a HPLC-ELSD system from Agilent 1200 Series,  
113 with a Lichrospher 100 Diol column (4x250 mm, 5 $\mu$ m) at 35 °C and 0.35 MPa. The reaction  
114 mixture was diluted in isooctane and separated using an elution gradient. The mobile phase was a  
115 mixture of isooctane and methyl tert-butyl ether/acetic acid (99.9/0.1, v/v) with a flow rate of 1  
116 mL/min (Solaesa, Sanz, Falkeborg, Beltrán & Guo, 2016).

117 The reaction products are presented as mass fraction (% w/w, based on the total oil) of MAG,  
118 DAG, TAG, and FFA. All data were the mean of two experiments with a deviation within 2.7%.

### 119 ***2.4. Oxidative stability***

120 Oxidative stability in terms of induction time ( $I_t$ ) was determined with a Rancimat apparatus 743  
121 (Metrohm, Herisau, Switzerland). Samples of 2.0 g were oxidized at 110 °C with a constant air flow  
122 of 9 L/h. Determination of  $I_t$  was based on the conductimetric detection of volatile oxidation  
123 products. The time that elapsed until these oxidation products appeared was the  $I_t$  (Dabrowski et al.,  
124 2017). All data were the mean of two experiments with a deviation within 2.9%.

### 125 ***2.5. Experimental design, statistical analysis, and multiple response optimization***

126 Response surface methodology (RSM) was used for modelling the enzymatic glycerolysis and to  
127 optimize the reaction conditions that maximize MAG and DAG yields. RSM is a powerful tool that

128 permits a simultaneous study of several factors in a reduced number of experiments taking account  
 129 of interactions between factors. A three-factor factorial design with two face-centered cube central  
 130 levels was applied. The factors chosen were reaction temperature, substrate molar ratio (Gly/TAG),  
 131 and enzyme concentration. Seventeen runs consisting of 8 factorial points, 6 star points, and 3  
 132 center points were performed as per the experimental design (Table 1). The response variables were  
 133 MAG, DAG, and TAG contents, and induction time ( $I_t$ ). The optimization of the conditions and the  
 134 response surfaces were calculated using Statgraphics Centurion XVI (version 16.2.04). This  
 135 software package was also used to fit the second-order model to the independent variables, by using  
 136 the following equation:

$$137 \quad y = \beta_0 + \sum_{i=1}^k \beta_i X_i + \sum_{i=1}^k \beta_{ii} X_i^2 + \sum_{i=1}^{i < j} \sum_j \beta_{ij} X_i X_j + e \quad (1)$$

138 Where  $y$  is the dependent variable (response) to be modelled,  $X_i$  and  $X_j$  are the independent  
 139 variables (factors),  $\beta_0$ ,  $\beta_i$ ,  $\beta_{ii}$  y  $\beta_{ij}$  are regression coefficients, and  $e$  is the error term. The model was  
 140 simplified by means of analysis of variance (ANOVA) in which statistically significant ( $p > 0.05$ )  
 141 terms were discarded.

142 Derringer's desirability function (Candiotti, de Zan, Cámara, & Goicoechea, 2014) was used to  
 143 establish the experimental conditions (factor levels) and, simultaneously, the optimal value for  
 144 MAG production and oxidative stability ( $I_t$ ). Individual responses are transformed into desirability  
 145 functions with this method and then combined into a single one called global desirability (D) using  
 146 the following equation:

$$147 \quad D = (d_1^{r_1} d_2^{r_2} \dots d_k^{r_k})^{1/\sum r_k} \quad (2)$$

148 where,  $d_k$  is an individual desirability function of each  $k$  response and  $r_k$  is the relative importance  
 149 over the global desirability. The relative importance of the individual response was established by  
 150 assigning different weights (very high, high, medium...) with the Statgraphics Centurion software.

### 151 3. Results and discussion

### 152 **3.1. Factors affecting the MAG and DAG production**

153 The glycerolysis yield and the relative content of the different components of the end-product are  
154 strongly dependent on several parameters such as reaction temperature, enzyme load, water activity,  
155 reaction time, and substrate molar ratio. The optimization of these parameters is an essential task to  
156 convert the glycerolysis in a suitable process for MAG and DAG production. Table 1 presents the  
157 experimental design matrix with the reaction conditions (reaction temperature, enzyme load, and  
158 Gly/TAG molar ratio) and the corresponding response variables of the 17 experiments performed in  
159 a randomized order.

160 Free fatty acids (FFA) were only detected in 7 out of 17 experiences, with a content of less than  
161 4.5% (Table 1). A result that indicated that water activity limited the hydrolysis reactions and  
162 promoted the esterification of FFA produced during the glycerolysis. In this regard, von der Haar,  
163 Stähler, Wichmann & Schweiggert-Weisz (2015) described Gly and MAG as acceptable acyl group  
164 acceptors in lipase catalyzed reactions, making the re-esterification possible of an important fraction  
165 of the FFA produced by hydrolysis.

166 The data in Table 1 were analyzed and fitted to second-order polynomial models. Regression  
167 coefficients ( $\beta$ ) and significance ( $P$ ) values of the model generated for MAG, DAG, and TAG  
168 production are given in Table 2. Analysis of variance (ANOVA) showed that the predicted models  
169 satisfactorily explained the relationship between responses and factors with a non-significant lack of  
170 fit. The coefficients of determination,  $R^2$ , for MAG, DAG, and TAG production (0.92, 0.81, 0.84,  
171 respectively) showed a good correlation between the observed and the predicted values.

172 The statistical analysis of the variance for MAG production showed that only four terms were  
173 significant in the model: the linear terms of the substrate molar ratio, Gly/TAG, the enzyme  
174 concentration, and the interaction of these two factors with the reaction temperature. All variables  
175 under study had a significant effect on DAG production, except for the interaction between the  
176 substrate molar ratio Gly/TAG and the reaction temperature.

177 A three-dimensional response surface plot (Fig. 1) was generated utilizing the second-order  
178 polynomial functions (Table 2). An increase of enzyme load, in the area under examination, led to a  
179 lineal rise of MAG content and the quadratic behavior of DAG production (Figs. 1a and b,  
180 respectively). Figs. 1b and 1c show that increasing enzyme concentrations from 1% to 5%  
181 improved the DAG yield and reduced the TAG content. Further increments in enzyme  
182 concentration did not follow this trend, probably due to Lipase PS-DI that began to use mainly  
183 DAG and Gly as substrates instead of TAG. As other authors have mentioned, initially, the DAG  
184 content is higher than the MAG content in the reaction, but as it evolves, DAG as an intermediate  
185 reaction product is progressively converted into MAG (Cheirsilp et al., 2007; Valério, Rovani,  
186 Treichel, de Oliveira & Oliveira, 2009). Higher enzyme concentrations therefore lead to a more  
187 rapid development of the reaction that yields a higher content of MAG than lower enzyme  
188 concentrations can produce (Fig. 1a). This result is in agreement with previous reports showing that  
189 an increase in lipase loading increased the MAG production (Watanabe et al., 2003; Yang et al.,  
190 2005). Nevertheless, Valério et al. (2010) described enzyme loadings higher than 10.5 wt% with no  
191 increased yields.

192 According to the stoichiometric ratio of the reaction, equilibriums can be enhanced toward MAG  
193 or DAG production by controlling the substrate balance Gly/TAG that is applied. Fig. 1b shows that  
194 DAG production improved when the substrate molar ratio was increased at lower enzyme  
195 concentrations, while no significant differences were observed in assays with higher dosages of  
196 enzymes. Otherwise, the use of a Gly/TAG molar ratio higher than 2 is a common strategy to  
197 enhance the synthesis of MAG (Zhong, Li, Xu, Cheong, Zhao & Li, 2010). Thus, when the  
198 Gly/TAG molar ratio was increased from 1 to 3, with 9% Lipase PS-DI at 60 °C, the MAG content  
199 improved from 14.03 to 30.50% (Table 1). Moreover, the use of glycerol absorbed on silica gel  
200 facilitated the conversion of TAG (Yeoh, Choong, Abdullah, Yunus & Siew, 2009). Similar trends  
201 have also been observed in recent studies, showing that the use of a relatively high content of Gly



202 rather than TAG favored TAG conversion in the glycerolysis reaction (Cai et al., 2016). On the  
203 other hand, when the same reaction conditions were used, little or no difference in DAG production  
204 was observed (from 46.23 to 47.46 %) (Table 1).

205 Temperature is another very important and complex factor that will, in different ways, affect  
206 both the reaction yield and the relative distribution of glycerolysis products. The analysis of the  
207 variance indicated that DAG production and TAG conversion were negatively affected by the  
208 reaction temperature, while the same factor was not significant in the model for MAG production.  
209 Fig. 2a shows no change in MAG production at increased temperatures, following the application of  
210 a lipase concentration of 1% and a substrate molar ratio of 3/1. In contrast, the MAG yield was  
211 raised from 21.08% to 29.67% (predicted values), with the highest enzyme content (9%) and at  
212 increased temperatures (40-60 °C). This development can be caused by a fast reaction in the  
213 presence of a high enzyme concentration, while longer reaction times at lower enzyme  
214 concentrations are necessary to achieve similar progress.

215 In contrast, an increase in the reaction temperature led to a slight decrease in the production of  
216 DAG, regardless of the enzyme concentration (Fig. 2b). This decrease was greater for glycerolysis  
217 at high enzyme concentrations.

218 Regarding substrate ratios, no apparent changes in MAG production were observed at 40 °C,  
219 with 5% immobilized enzyme Lipase PS-DI, although there was an increase of Gly/TAG (data not  
220 shown). In contrast, MAG yields rose from 19.49% to 25.17% (predicted results) when the  
221 temperature increased from 40 to 60°C. According to these results, the reaction of glycerolysis was  
222 facilitated at higher temperatures, mainly due to an appreciable reduction of the viscosity of the  
223 reaction medium and to improvements in substrate diffusion and its solubility (Feltes, Oliveira,  
224 Treichel, Block, de Oliveira & Ninow, 2010).

225 The immobilization support of the enzyme also plays an essential role in the glycerolysis  
226 reaction and is strongly related to the molar substrate ratio. Hydrophilic carriers lead to higher

227 glycerol concentrations in the microenvironment of the enzyme; the formation of a glycerol layer  
228 around the lipase particles reduced the area of contact between the enzyme and the hydrophobic  
229 substrates (TAG and DAG) (Ferreira-Dias, Correia, Baptista & da Fonseca, 2001). The Lipase PS-  
230 DI support presented low hydrophilicity, which provided good lipase-oil interaction (Fregolente,  
231 Fregolente, Pinto, Batistella, Wolf-Maciel & Filho, 2008). Hence, this commercially immobilized  
232 lipase dispersed easily in the reaction mixture with a relatively high glycerol content (Kristensen,  
233 Xu & Mu, 2005).

234 Based on the results that have been presented, the enzymatic glycerolysis of anchovy oil in a  
235 solvent-free system produced relatively high MAG and DAG contents of 30.50 and 46.23%,  
236 respectively. The experimental conditions that led to these results were 60 °C, at a stirring rate of  
237 200 rpm, and with 9.0% lipase PS-DI, using a glycerol to TAG molar ratio of 3:1, over a reaction  
238 time of 4 h. On the other hand, the maximum DAG content (54.8%) was predicted at 40 °C, with  
239 5.6% lipase and a substrate molar ratio of 2.6. Nevertheless, the content of MAG was reduced to  
240 19.6% under the latter conditions.

241 The content of MAG and DAG obtained in the present work is higher or similar to those reported  
242 in the literature for different solvent-free systems. For example, Fregolente et al. (2008), in a batch  
243 system using free and immobilized lipases, obtained both MAG and DAG production in the range  
244 of 28-30% and 45-48% (w/w, based on the total oil), respectively, over 24 h, at 40-70 °C, with an  
245 enzyme content (w/w) of 10%. Feltes et al. (2012) produced 25% MAG and 41% DAG over 24 h,  
246 at 70 °C, at a glycerol-to-oil molar ratio of 1:1, with 5% (w/w) Novozyme 435 in a solvent-free  
247 system via glycerolysis of menhanden oil.

248 Valério et al. (2010) used lipase Novozyme 435 to catalyze the glycerolysis of olive oil in a  
249 solvent-free system adding 16% of the Tween 65 surfactant. These authors obtained a content of 26  
250 and 17 wt% of MAG and DAG, over 2 h, at 70 °C, using an enzyme concentration of 9%, and a  
251 glycerol/olive oil molar ratio of 6:1 under stirring (600 rpm).

### 252 **3.2. Factors affecting the oxidative stability of the glycerolysis products**

253 In this study, the other criterion for optimization of lipase-catalyzed glycerolysis was the  
254 oxidative stability of the end-product determined in terms of induction time ( $I_t$ ). The results  
255 obtained are shown in Table 1. The oxidative stability of anchovy oil used as starting oil was 2.07 h,  
256 while the experimental design results indicated an  $I_t$  range of between 0.62 and 1.57 h. These results  
257 implied a reduction in the oxidative stability of glycerolysis products. The reaction conditions,  
258 temperature and agitation, and changes in the composition and structure of the oil during the  
259 glycerolysis process, could explain the lower oxidative stability of the end-products. Kahveci et al.  
260 (2013) described changes in the position of fatty acid in the lipid structure that affected stability  
261 when the exposure time of unsaturated fatty acids to oxygen was either decreased or increased.

262 The response and the variables under study were correlated by a second-order polynomial model  
263 (Table 2). The statistical analysis indicated that the quadratic model had no lack of fit and predicted  
264 a significant portion of the variance ( $R^2 = 0.85$ ).

265 According to the ANOVA results, the quadratic terms of enzyme concentration and the  
266 Gly/TAG substrate molar ratio had no significant impact on the model (Table 2). The variables with  
267 the most important effect on induction time ( $I_t$ ) were substrate molar ratio and the interaction  
268 between Gly/TAG and reaction temperature.

269 A dimensional plot obtained for the  $I_t$  as a function of Gly/TAG at different reaction  
270 temperatures for a lipase concentration of 5% is shown in Fig 3a. Increased Gly/TAG had a positive  
271 effect on the oxidative stability of the reaction product, with a rise in the experimental  $I_t$  from 0.62  
272 to 1.57 h when the temperature was set at 40 °C (Table 1). The surface showed a decrease in the  
273 oxidative stability (i.e. a reduction of  $I_t$ ) of the glycerolysis products when the reaction temperature  
274 increased. Nevertheless, the negative effect of temperature on oxidative stability was partially  
275 diminished by using high amounts of Gly (Fig.3a). In addition to the reaction conditions, the  
276 different oxidative stabilities of reactants can modify the  $I_t$  of the end-product. Thus, the increment

277 in the proportion of Gly, a molecule of higher oxidation stability than the fish oil rich in PUFA,  
278 could have provided a higher stability to the reaction mixture. In contrast, Fig. 3b shows the effect  
279 of reaction temperature and enzyme concentration on the oxidative stability of the glycerolysis  
280 products. The highest stability was achieved at low temperatures ( $\pm 40$  °C) and at a high enzyme  
281 concentration (9%).

282 Pearson's correlation was analyzed in the experiments, to determine the impact of the different  
283 components (FFA, MAG, DAG and TAG) of the glycerolysis end-product on their oxidative  
284 stability. The correlation coefficients calculated using Statgraphics Centurion XVI (data not shown)  
285 indicated a positive relationship between DAG content with the variable response induction time.  
286 The amount of FFA detected in the samples was too low to establish any correlation with oxidative  
287 stability.

288 Several studies have determined the impact of partial glycerols and FFA on the oxidative  
289 stability of edible oils. Colakoglu (2007), Miyashita & Takagi (1986), and Paradiso, Caponio,  
290 Bruno, Pasqualone, Summo & Gomes (2014) indicated that the presence of FFA has a negative  
291 impact on oxidative stability and, although MAG and DAG are generally recognized as pro-  
292 oxidants, some aspects of their behavior on oxidative process remain unclear. According to  
293 Caponio, Paradiso, Bruno, Summo, Pasqualone & Gomes (2011), MAG and DAG can act as either  
294 pro-oxidants or antioxidants depending on their fatty acid composition and concentration.

295 On the basis of the above-mentioned results, the optimal experimental conditions for oxidative  
296 stability ( $I_t$  of 1.57 h) were determined as 9% of immobilized lipase, a Gly/TAG molar ratio of 3,  
297 and a temperature of 40 °C. Under these glycerolysis conditions, the experimental yields of MAG  
298 (20.30%) and DAG (52.99%) were very close to the predicted values, respectively estimated at  
299 21.10% and at 52.40%.

### 300 ***3.3. Simultaneous optimization of MAG synthesis and oxidative stability***

301 According to previous results (Section 3.1 and 3.2), the reaction conditions that optimized each  
302 individual response were high levels of enzyme concentration (9%) and a high Gly/TAG molar ratio  
303 (3/1). However, while MAG synthesis was favored by temperatures of 60 °C, the maintenance of  
304 high oxidative stability required lower temperatures (40 °C). Therefore, the reaction conditions to  
305 optimize MAG production and oxidative stability are in conflict, due to the contrary effects of  
306 reaction temperature on each individual response. Thus, a low oxidative stability was achieved ( $I_t$  of  
307 0.79 h) with the best reaction conditions for MAG production. In contrast, the reduction of the  
308 reaction temperature led to an increase in oxidative stability ( $I_t$  of 1.57 h), but MAG production fell  
309 significantly (Table 1).

310 In this context, the optimal conditions should be selected in terms of the overall performance of  
311 the reaction, avoiding those combinations of factors that can lead to important characteristics of the  
312 final product that are outside the desired range. Thus, Derringer's desirability function allows us to  
313 calculate the operating conditions that simultaneously optimize more than one variable response by  
314 converting these multiple responses into a single one. Furthermore, factor levels may also be  
315 included in this optimization procedure, in order to prioritize the use of certain suitable conditions  
316 within the experimental region (Candiotti et al., 2014).

317 The measured values of MAG content and  $I_t$ , and temperature as adjustable reaction parameters  
318 were therefore transformed into partial desirability functions (Myers, Montgomery & Anderson-  
319 Cook, 2016), using the Statgraphics Centurion (version XVI) software package, in order to calculate  
320 the reaction conditions that cause optimal MAG synthesis and that simultaneously increase the  
321 oxidative stability of the glycerolysis end-product. Subsequently, the global desirability function  
322 (D) was determined, by considering different weights of the dependent variables, MAG and  $I_t$   
323 (Table 3). The most favored solutions corresponded with medium-medium and high-medium  
324 weights of MAG- $I_t$  variables, and global desirability functions of 0.77 and 0.62, respectively. An  
325 optimized MAG and DAG content of 24.58% and 51.87%, respectively, and an oxidative stability

326 of 1.41 h, were achieved taking the medium-medium weighting. An increase in MAG content  
327 (28.34%) was predicted with a D of 0.62, but with a decrease in oxidative stability, at an I<sub>t</sub> of 1.10  
328 h. Oxidative stability under both optimized conditions was about 68% and 31%, respectively, higher  
329 than that obtained (0.84 h) by applying the glycerolysis conditions that maximized the individual  
330 MAG yield (Table 3).

#### 331 **4. Conclusions**

332 Glycerolysis of anchovy oil in a solvent free system has been investigated to produce MAG and  
333 DAG rich in PUFA using immobilized lipase PS-DI. The present study has taken into account, for  
334 the first time, the oxidative stability of the glycerolysis products during the design and the  
335 optimization of the process.

336 The reaction conditions that provided the maximum MAG production and that simultaneously  
337 maximized the oxidative stability were a Gly/TAG molar ratio of 3/1, with 9% (w/w) Lipase PS-DI,  
338 stirred at 200 rpm, over 4 h, at either 45.8 or 54.7°C, respectively yielding MAG amounts of  
339 24.58% and 28.34%.

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456

457 **Figure Captions**

458 **Figure 1.** Response surface plots showing the effects of substrate molar ratio and enzyme  
459 concentration on (a) MAG production, (b) DAG production, and (c) TAG consumption, during  
460 solvent-free glycerolysis with Lipase PS-DI. The reaction took place at 50 °C for 4 h at 200 rpm.

461

462 **Figure 2.** Response surface plots showing the effect of reaction temperature and enzyme  
463 concentration on (a) MAG and (b) DAG content obtained in the solvent-free glycerolysis with  
464 Lipase PS-DI. The reaction was carried out using Gly/TAG molar ratio of 3/1 for 4 h at 200 rpm.

465

466 **Figure 3.** Response surface plot of the oxidative stability of the solvent-free glycerolysis products.

467 (a) Effect of reaction temperature and substrate molar ratio (Gly/TAG) on induction time, with 5%  
468 Lipase PS-DI; (b) Effect of reaction temperature and enzyme concentration on induction time, with  
469 a Gly/TAG molar ratio of 2/1.

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