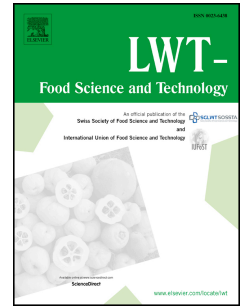


# Accepted Manuscript

Effect of High Pressure Carbon Dioxide on polyphenoloxidase from *Litopenaeus vannamei*

A.E. Illera, M.T. Sanz, S. Beltrán, O. Benito-Román



PII: S0023-6438(19)30286-5

DOI: <https://doi.org/10.1016/j.lwt.2019.03.091>

Reference: YFSTL 7989

To appear in: *LWT - Food Science and Technology*

Received Date: 5 December 2018

Revised Date: 20 February 2019

Accepted Date: 28 March 2019

Please cite this article as: Illera, A.E., Sanz, M.T., Beltrán, S., Benito-Román, O., Effect of High Pressure Carbon Dioxide on polyphenoloxidase from *Litopenaeus vannamei*, *LWT - Food Science and Technology* (2019), doi: <https://doi.org/10.1016/j.lwt.2019.03.091>.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

1 **Effect of High Pressure Carbon Dioxide on polyphenoloxidase from *Litopenaeus***  
2 ***vannamei***

3 *A.E. Illera, M. T. Sanz\*, S. Beltrán, O. Benito-Román*

4 Department of Biotechnology and Food Science (Chemical Engineering Section)  
5 University of Burgos, 09001 Burgos. Spain

6  
7 **Abstract**

8 The extraction of polyphenoloxidase (PPO) from *Litopenaeus vannamei* was studied at different  
9 temperatures, pH and ionic strength conditions. Optimum extracts at pH = 7.2 were treated by  
10 high pressure carbon dioxide (HPCD) technology at 20 MPa and in a temperature range from 35  
11 to 50 °C. Inactivation kinetics for PPO extracts were determined and fitted to the Weibull model.  
12 The effect of HPCD on PPO activity was also evaluated in shrimps in vivo, finding that PPO  
13 could be easier inactivated than in the crude PPO extract. Colour of *Litopenaeus vannamei* was  
14 visually followed right after HPCD treatment and thermal treatment at the same temperature and  
15 during storage at 4 °C during a week, showing good results when shrimps were treated with  
16 HPCD in the absence of water.

17 *Keywords:* *Litopenaeus vannamei*, HPCD, solid samples, PPO inactivation.

18  

---

\* Corresponding author. Tel.: +34 947 258810. Fax: ++34947258831. E-mail address tersanz@ubu.es

## 19 1. Introduction

20 Pacific white shrimp (*Litopenaeus vannamei*) accounts for 90 % of the Western hemisphere  
21 aquaculture shrimp production. The most common problem in shrimps during post-harvest  
22 storage is melanosis. It is a natural post-mortem process, where the polymerization of phenols  
23 into insoluble high molecular mass black pigments, the melanins, takes place. This is a  
24 multistage process: polyphenol oxidases (PPO) enzymes oxidize phenols into quinones, which  
25 spontaneously polymerize to form melanin (Gonçalves & de Oliveira, 2016). Despite not being  
26 harmful, melanosis can affect the sensory properties of the product, reducing its quality.

27 During harvest and storage of shrimps, sulphites, mainly sodium metabisulphite (SMS), are used  
28 for preventing melanosis. SMS reduces the *o*-quinones, which are coloured, to diphenols, which  
29 do not have any colour. Despite being widely used to inactivate PPO, sulphites exhibit several  
30 disadvantages, such as an incomplete prevention of melanosis. This fact involves a continued  
31 reapplication of SMS on the seafood (Gonçalves & de Oliveira, 2016), which can lead to the  
32 formation of unpleasant smells. Moreover, sulphites have potential pathological effects  
33 associated to allergies and asthmatic attacks. For that reason, many alternatives to sulphites can  
34 be found in the literature with the aim of avoiding browning in shrimps. Pacific white shrimps  
35 have been treated with a wide range of natural preservatives to inhibit PPO activity, such as  
36 ferulic acid and lead seed (Nirmal & Benjakul, 2009), and extracts from different leaves (Sae-  
37 leaw & Benjakul, 2019). However, usually large concentrations of extract or combinations with  
38 other technologies are needed.

39 Traditionally, thermal treatments have been applied for inhibiting PPO activity. Verhaeghe,  
40 Vlaemynck, Block, Weyenberg, & Hendrickx (2016) probed that after treating brown shrimp  
41 PPO extract during 2 min at 65 °C, the enzyme was completely inactivated. Manheem, Benjakul,  
42 Kijroongrojana, & Visessanguan (2012) found out that after treating shrimps at 80 °C, melanosis

43 formation decreased after 7 d in refrigerated storage. However, thermal treatment produces a big  
44 change in food properties (Ferrentino & Spilimbergo, 2011). For that reason, different non-  
45 thermal technologies are being investigated as an alternative.

46 One of them is the use of HPCD (High Pressure Carbon Dioxide) technology. HPCD is a cold  
47 pasteurization method that affects microorganisms and enzymes by using pressures below  
48 50 MPa and temperatures usually lower than 50 °C. This technology is gaining interest since CO<sub>2</sub>  
49 is nontoxic, inexpensive, nonflammable, recyclable and after depressurization it doesn't leave  
50 residues in the product (Briongos et al., 2016). Effectiveness of HPCD on PPO inactivation has  
51 been extensively reported for different fruits and vegetables juices, such as orange juice  
52 (Briongos et al., 2016), apple and tomato juice (Illera, Sanz, Solaesa, Ruiz, & Beltrán, 2018;  
53 Illera, Sanz, Trigueros, Beltrán, & Melgosa, 2018), carrot juice (Zhou, Wang, Hu, Wu, & Liao,  
54 2009), celery (Marszałek, Krzy, Wo, & Sk, 2016) or beetroot (Marszałek, Krzyżanowska,  
55 Woźniak, & Skąpska, 2017) among others. However, compared to liquids, HPCD application to  
56 solid foods has been less studied. According to Ferrentino & Spilimbergo (2011), the complexity  
57 of the matrix, which can make the CO<sub>2</sub> action more difficult, and the few information available  
58 about the inactivation mechanism make the use of this technology on solid foods less attractive.

59 The objective of this work was to study the effect of HPCD at 20 MPa and different temperatures  
60 (35-50 °C) on PPO crude extracts from *Litopenaeus vannamei* on a kinetic basis, by previously  
61 studying the optimal conditions for PPO extraction. The fresh product (whole piece), with no  
62 addition of any additive, was also treated and, after HPCD treatment of the shrimps, PPO was  
63 extracted under the previously selected conditions to determine the effect of the treatment on  
64 PPO activity. Color was visually analyzed in treated shrimps right after treatment and during a  
65 shelf life study of 7 d at refrigerated storage conditions (4 °C). A temperature control was

66 prepared by treating the shrimps at the same temperature conditions under atmospheric pressure  
67 to distinguish the effect of pressurized CO<sub>2</sub>.

## 68 **2. Materials and methods**

### 69 **2.1 Raw material**

70 Pacific white shrimps (*Litopenaeus vannamei*) with an average size of 40-60 shrimps/kg were  
71 kindly donated by “Gamba Natural” (Medina del Campo, Spain), where shrimps were harvested  
72 right before being sent to our laboratory without any addition of sulphites or other additives.  
73 Shrimps were kept at refrigeration temperature during transport and after arrival until used (not  
74 more than 1 h from arrival).

### 75 **2.2 Crude extract preparation**

76 The tails of the shrimps were separated and the heads were grounded into a fine powder by using  
77 liquid nitrogen in a blender. The obtained powder was kept at -20 °C until used for the different  
78 PPO extraction trials. The extraction procedure of PPO was carried out from the head of Pacific  
79 white shrimp (*Litopenaeus vannamei*) according to the method described by Chen, Balaban,  
80 Wei, Marshall, & Hsu (1992) with slight modifications. The powder was mixed with the  
81 extraction buffer in a 1:3 proportion. The extraction buffer consisted of 0.5 mol/L sodium  
82 phosphate containing 0.2 g/100 mL of Brij 35 (Merck KGaA, Darmstad, Germany). Different  
83 extraction buffer pH was tested in the extraction: 5.7, 6.5, 7.2 and 8. NaCl concentration effect  
84 was also studied, being 0, 0.25, 0.5, 1 and 1.5 mol/L in the different experiments carried out at  
85 each different pH conditions. The mixture was stirred continuously during 30 min at 4 °C, and  
86 then centrifuged at 5000 g at 4 °C during 30 min using a refrigerated centrifuge (Thermofisher  
87 Waltham-MA, USA, model Sorvall ST 16R). The effect of temperature during the mixing phase  
88 on the extraction yield was also studied, varying from 4 to 30 °C. The supernatant was collected  
89 and considered as PPO crude extract. Extracts were kept at -20 °C until use. The addition of an

90 anion exchange resin AG2-X8 (Biorad Laboratories, Hércules-CA, USA) in the preparation of  
91 the crude extract was also analyzed at pH = 7.2, 1 mol/L NaCl and 0.2 g/100 mL of Brij 35 at  
92 4 °C. Resin AG2-X8 was added in a 1:2 (w/w) proportion to the mix of shrimp powder and  
93 extraction buffer before mixing. This anion exchange resin has been shown to improve PPO  
94 activity in crude extracts from *Fuji* apples skin due to the adsorption of phenolic compounds  
95 during the extraction (Imm & Kim, 2009).

96

### 97 **2.3 HPCD equipment and processing**

#### 98 **2.3.1 Treatment of PPO extracts by HPCD**

99 HPCD treatment was carried out in a stainless steel (SS-316) cell with an internal volume of  
100 100 mL and a maximum operating pressure and temperature of 30 MPa and 80 °C, respectively  
101 (Melgosa et al., 2017). 25 mL of PPO extract were loaded into the cell, which was tightly closed  
102 and immersed in a water bath set at the operating temperature. Magnetic stirring was connected  
103 and the system was pressurized to the desired pressure by using a syringe pump with a pressure  
104 controller (ISCO 260 D, Lincoln-NE, USA). CO<sub>2</sub> was bubbled directly into the extract through a  
105 sintered stainless steel micro-filter of 10 µm (Briongos et al., 2016). PPO extracts were treated at  
106 20 MPa in the temperature range from 35 to 50 °C. Enzyme inactivation kinetics of PPO crude  
107 extracts were followed by collecting samples periodically for 60 min. Samples were kept at 4 °C  
108 until being analyzed.

#### 109 **2.3.2 Treatment of shrimps by HPCD**

110 Whole shrimps were also exposed to HPCD in vivo. For these treatments, a bigger cell was used  
111 ( $V_{\text{cell}} = 500$  mL), with a maximum operating pressure of 15 MPa. Operation conditions were  
112 12 MPa and 40 °C during 30 min in a dry treatment (no water added) or shrimps submerged in  
113 water (300 mL). After treatment, the cell was slowly depressurized and the shrimps were kept in

114 an ice batch (Zhang et al., 2011). Right after treatment, PPO was extracted at 4 °C under the  
115 previously selected conditions, to determine the effect of HPCD on enzyme activity when  
116 applying it directly on the solid pieces. The color of the pieces was also visually analyzed right  
117 after treatment and along storage during 7 d at 4 °C stored in properly closed food grade bags.

## 118 **2.4 Thermal treatment**

119 Thermal treatment was applied both to PPO extracts and to whole shrimps in the same  
120 temperature conditions as their respective HPCD treatments but at atmospheric pressure. These  
121 samples were used as a control to know the real effect of supercritical CO<sub>2</sub> despite of  
122 temperature effect. PPO activity and color evolution were also studied in these samples.

## 123 **2.5 Physico-chemical analysis**

### 124 **2.5.1. Determination of PPO activity.**

125 PPO activity was determined spectrophotometrically by using a 0.005 mol/L L-3,4-  
126 dihydroxifenilalanine (L-DOPA, Sigma Aldrich, San Luis-MO, USA) solution prepared in  
127 0.02 mol/L phosphate buffer (pH 6.5) as substrate. PPO activity was analyzed by adding 800 µL  
128 of PPO extract into 2.2 mL substrate solution. Oxidation of L-DOPA was determined  
129 immediately by the increase in absorbance at 475 nm and 25 °C by using a V-750  
130 spectrophotometer (JASCO, Tokyo, Japan) equipped with a Peltier thermostated cell holder.  
131 The PPO activity was determined as the very first linear part of the reaction curve. One unit of  
132 PPO activity was defined as the amount of enzyme required for 0.001/min absorbance increase  
133 under the reaction conditions, U.

134 Relative residual activity of PPO after HPCD treatment was evaluated as:

$$135 \text{ Residual activity, RA} = \frac{\text{Enzyme specific activity after HPCD treatment}}{\text{Enzyme specific activity in the crude extract}} \cdot 100\% = \frac{A}{A_0} \cdot 100 \quad [1]$$

### 136 2.5.2. Protein content in the PPO extract

137 Total protein content in the crude extract was determined by using the kit *RC DC*<sup>TM</sup> (Biorad  
138 Laboratories, Hércules-CA, USA), that allows protein determination in the presence of reducing  
139 agents and detergents. Bovine serum albumin was used as standard. For each extraction process,  
140 the specific PPO activity and total protein ratio was evaluated as:

$$141 \quad U/mg \text{ protein} = \frac{PPO \text{ specific activity}}{\text{Total protein content}} = \frac{U/g \text{ shrimp head}}{mg \text{ protein}/g \text{ shrimp head}} \quad [2]$$

142

### 143 2.6 Kinetic data analysis

144 The Weibull model has been used to describe PPO inactivation in the crude extracts. According  
145 to Van Boekel (2002), it can be written in the power-law form:

$$146 \quad \log \frac{A}{A_0} = -\frac{1}{2.303} \left( \frac{t}{\alpha} \right)^\beta \quad [3]$$

147 where  $\alpha$  is the scale parameter (a characteristic time) and  $\beta$  is the shape parameter. The time  
148 required to achieve a number of decimal reductions,  $d$ , can be calculated by using the shape and  
149 scale parameters:

$$150 \quad t_d = \alpha \left( -\ln(10^{-d}) \right)^{\frac{1}{\beta}} \quad [4]$$

152 The scale parameter,  $\alpha$ , was modelled in a similar way to the classical D value of the first order  
153 kinetic model, suggesting a linear dependence of the  $\log \alpha$  on temperature, considering that the  
154 shape parameter,  $\beta$ , did not depend on temperature:

$$155 \quad \log \alpha = a_1 - b_1 T \quad [5]$$

156 A  $z_T$ ' value was defined as suggested by van Boekel (2002), similar to the  $z_T$  evaluated for first  
157 order kinetic models (Illera, Sanz, Solaesa, et al., 2018):



$$z'_T = \frac{1}{b_1} \quad [6]$$

159

## 160 **2.7 Statistical analysis**

161 Statistical analyses were conducted using software Statgraphics X64. The results are presented as  
162 the mean  $\pm$  standard deviation of at least three replicates. The significance of the differences was  
163 determined based on an analysis of the variance with Fisher's least significant method at p-value  
164  $\leq 0.05$ .

165 To estimate the kinetic parameters, non-linear regression was performed by using the Marquardt  
166 algorithm (Statgraphics X64).

167

## 168 **3. Results and discussion**

### 169 **3.1 Preparation of crude PPO extract**

#### 170 **3.1.1 Effect of the presence of an anion exchange resin.**

171 First, the effect of the anion exchange resin AG2-X8 on PPO activity in the crude extract was  
172 evaluated by using a ratio of 1:2 (g resin:g shrimp head). Sodium phosphate 0.05 mol/L at pH =  
173 7.2 in the presence of 0.2 g/100 mL of Brij 35 and 1 mol/L NaCl at 4 °C was used as extracting  
174 medium (Table 1). It can be observed that there were no significant differences ( $p \leq 0.05$ )  
175 between using or not resin in PPO activity and total protein content in both extracts.

176 In the study carried out by Imm and Kim (2009), where PPO was extracted from the skin of *Fuji*  
177 apples, a significant difference was observed when using resin AG2-X8, improving the enzyme  
178 recovery value. In this case, resin did not affect PPO extraction, for that reason, further  
179 extractions were carried out without addition of the anion exchange resin.

### 180 3.1.2. Effect of pH.

181 Fig. 1a and 1b show the effect of pH of the extraction medium on the PPO extract activity and  
182 protein content when using 0.2 g/100 mL of Brij 35 and 1 mol/L NaCl at 4 °C. Four different pH  
183 values were tested (5.6, 6.5, 7.2 and 8), showing that by increasing the pH of the medium, PPO  
184 activity per g of shrimp head and total protein increased. For example, at pH = 5.6, U/ g shrimp  
185 head and U/ mg protein were  $91 \pm 3$  and  $1.7 \pm 0.1$ , respectively; while at pH value of 8, those  
186 values increased more than double to  $212 \pm 4$  and  $4.0 \pm 0.4$ , respectively. There is scarce  
187 literature regarding the isoelectric point of PPO enzyme. Ali et al. (1994) found out that it was  
188  $4.76 \pm 0.03$  for Florida Spiny Lobster (*Panulirus argus*), and it was around 5.2 in PPO from  
189 *Penaeus japonicus* prawns according to (Montero, Ávalos, & Pérez-Mateos, 2001). pH of the  
190 extraction buffer used in this work and in the literature are higher than this isoelectric points;  
191 therefore, PPO will present a negative charge. On the other hand, when pH is increased, the  
192 concentration of dissolved sodium ions increased, what may produce higher electrostatic  
193 interactions, and in consequence, a higher protein extraction.

194 Other studies in crustaceans tried to determine the best pH conditions for PPO extraction.  
195 Montero et al. (2001) chose pH = 8 as the optimum pH for extracting the enzyme from prawns  
196 (*Penaeus japonicus*), and Lv et al. (2018) determined pH = 6.2 as the optimum for extracting it  
197 from *Penaeus vannamei*. Nirmal & Benjakul (2012) also worked with Pacific white shrimp and  
198 chose pH = 6 as the optimum for PPO extraction.

### 199 3.1.3. Effect of extraction temperature

200 The effect of temperature was studied in an extraction buffer at pH = 8 containing 0.2 g/100 mL  
201 of Brij 35 and 1 mol/L NaCl. Three different temperatures were studied, 4, 21 and 30 °C, and  
202 results are compiled in Table 2. As can be observed, there is no significant difference among  
203 temperatures in PPO activity or protein content. Therefore, no denaturalization of the enzyme

204 has occurred at the highest temperature assayed (30 °C). Although there was no difference  
205 between extraction temperatures, 4 °C was chosen as working temperature for further work,  
206 since it is the usual temperature employed in the literature (Chen et al., 1992; Manheem et al.,  
207 2012; Montero et al., 2001; Pal & Rao, 2017; Verhaeghe et al., 2016; Wanyou et al., 2014).  
208 Although, from an economic point of view, room temperature could simplify the process and  
209 reduce the processing cost.

#### 210 **3.1.4. Effect of salt concentration (ionic strength)**

211 Fig. 2a and 2b show the effect of salt concentration on PPO extraction in the range from 0 to  
212 1.5 mol/L NaCl at different pH values of the extraction buffer (6.5, 7.2 and 8). It can be observed  
213 that PPO extraction depends on the ionic strength of the medium, whatever it is the pH. When  
214 NaCl concentration increased in the extraction medium its extraction capacity decreased. Best  
215 results were obtained when no NaCl was added to the extraction buffers, so further experiments  
216 were carried without using NaCl. Verhaeghe et al. (2016) extracted PPO from brown shrimp  
217 (*Crangon crangon*) with no NaCl added into the extraction medium; however, in the literature  
218 most of the extraction protocols for crustaceans use NaCl for enzymatic extraction. For  
219 extracting PPO from *Litopenaeus vannamei*, Nirmal & Benjakul (2012) used 1 mol/L NaCl,  
220 meanwhile Wanyou et al. (2014) and Zhang et al. (2011) used 0.5 mol/L. For extraction in other  
221 crustaceans, 1 mol/L NaCl was also used (Montero et al., 2001; Pal & Rao, 2017; Zamorano,  
222 Martínez-Álvarez, Montero, & Gómez-Guillén, 2009). All the mentioned studies used an  
223 existing protocol and they did not test the effect of salt concentration.

224

#### 225 **3.2 HPCD inactivation of PPO crude extract**

226 PPO inactivation kinetics in the crude extract were determined at 20 MPa and 35 °C. Crude PPO  
227 extracts were obtained at two different values of pH, 6.5 and 7.2 with no NaCl added to the

228 extraction medium. Although higher PPO activity was obtained in the extracts at the highest pH  
229 studied in this work (pH = 8), inactivation kinetics were determined at pH =6.5 and 7.2 since,  
230 according to the literature, fresh prawns have a pH varying between 6.5 and 6.9  
231 (Shaikhmahamud & Magar, 1965) and the pH of the carapace of the cephalothorax of *Penaeus*  
232 *japonicus* prawns was 7.2.

233 Fig. 3 shows similar PPO inactivation kinetics at both values of pH, with a minimum residual  
234 activity of  $35.0 \pm 0.7$  % after 60 min of treatment. Therefore, the effect of HPCD temperature  
235 has been only studied at pH = 7.2, in order to compare with other previously published results,  
236 where the extract was also obtained at pH = 7.2 (Chen et al., 1992; Zhang et al., 2011). The  
237 similar results between the two pH values can be related to the similar values of CO<sub>2</sub> solubility in  
238 the extracts. Solubility of pressurized CO<sub>2</sub> in a phosphate buffer containing 0.2 g/100 mL of Brij  
239 35 was determined by using the method and equipment previously reported by Illera, Sanz, &  
240 Beltrán (2019). At 20 MPa and 45 °C, the solubility values were  $0.059 \pm 0.001$  g CO<sub>2</sub> per mL of  
241 buffer at pH = 6.5, and  $0.056 \pm 0.002$  at pH = 7.2, what indicated that CO<sub>2</sub> solubility was very  
242 similar at both pH values. Although inactivation kinetics of PPO extracts were determined at  
243 35°C and not at 45°C, based on the results previously reported by Illera et al. (2019) similar trend  
244 in CO<sub>2</sub> solubility at 35°C is expected and no significant changes are expected at both pH values.

245 Inactivation kinetics at 20 MPa in the temperature range from 35 to 50 °C are plotted in Fig. 4.  
246 Enzyme inactivation rate increased significantly by increasing temperature (from 35 to 50 °C).  
247 At 50 °C, PPO activity was  $9 \pm 3$  % after 60 min of treatment. In this regard, in addition to the  
248 intrinsic effect of temperature on enzyme inactivation, an increasing temperature could lead to an  
249 improvement of mass transport properties of CO<sub>2</sub>, enhancing CO<sub>2</sub> diffusivity and the number of  
250 collisions between the CO<sub>2</sub> and the enzyme (Illera, Sanz, Solaesa, et al., 2018). Similar values  
251 for the PPO residual activity have been reported in the literature when treating PPO extracts from

252 Pacific white shrimps by HPCD at 37 °C and 20 MPa, 10 % of residual activity after 30 min of  
253 treatment and total inactivation was reported when increasing pressure to 25 MPa at same  
254 temperature and time conditions (Zhang et al., 2011). Chen et al. (1992) achieved total  
255 inactivation in shorter treatment time (4 min) when they treated PPO extract from brown shrimp  
256 and in only one min when the extract was from Florida spiny lobster, in experiments done at  
257 0.59 MPa and 43 °C. The higher inactivation degree of PPO reported by Chen et al. (1992) could  
258 be attributed to the enzyme source that it is well known to play an important role on the enzyme  
259 inactivation degree, since the operation mode was similar to this work, charging 80 mL of the  
260 extract in a 100 mL high pressure vessel.

261 To assess the effect of pressurized carbon dioxide on enzyme inactivation, PPO crude extracts  
262 were also treated in the same temperature range but at atmospheric pressure. Fig. 5 clearly shows  
263 that higher inactivation degree was obtained when the PPO crude extract was treated by  
264 pressurized CO<sub>2</sub>. At 50 °C, PPO inactivation after 60 min at atmospheric pressure was only  
265 around 30 % (residual activity, 70 %) compared to the 90 % after HPCD treatment (residual  
266 activity, 10 %). Wanyou et al. (2014) also treated a PPO extract from Pacific white shrimp by  
267 thermal treatment, and they determined that a minimum temperature of 60 °C was necessary for  
268 enzymatic inactivation, since they observed no inactivation when treating it at 50 °C (95.2 %  
269 residual activity). This indicates that PPO is not mainly inactivated by the temperature effect, but  
270 pressurized CO<sub>2</sub> has an important role in enzymatic inactivation. Furthermore, Zhang et al.  
271 (2011), explained that PPO activity is not only affected by pressure, but also by CO<sub>2</sub> molecular  
272 effects under pressure.

273 PPO inactivation kinetics were fitted to the Weibull model, since the first order kinetic model  
274 was found not to be able to properly describe PPO inactivation kinetics by HPCD. Fitting  
275 parameters of the Weibull model are listed in Table 3. The scale parameter,  $\alpha$ , decreased with

276 temperature, however, the shape factor,  $\beta$ , did not show any dependence on temperature, with  
277 values lower than the unity. Scale,  $\alpha$ , and shape,  $\beta$ , parameters were used to calculate the time  
278 required to inactivate 1 log ( $t_{d=1}$ ) of PPO. According to the values of  $\alpha$ ,  $t_{d=1}$  decreased with  
279 temperature from 392 to 98 min at 35 and 50 °C, respectively.

280 The value of the  $z_T'$  (Eq.[6]) has been also included in Table 3, with the quality of the fitting of  
281 equation 5. The  $z_T'$  value obtained in this work by HPCD,  $25 \pm 6$  °C, was slightly higher than the  
282 values reported in the literature for thermal treatment. Wanyou et al. (2014), obtained a  $z_T$  value  
283 of 18.83 °C after treating Pacific white shrimp from 60 to 100 °C. An Arrhenius type equation  
284 was considered to relate the inverse of the scale parameter,  $1/\alpha$ , with temperature (Illera, Sanz,  
285 Benito-Román, et al., 2018). Although  $1/\alpha$  cannot be considered a kinetic constant, the value  
286 obtained from the slope was calculated as  $77 \pm 20$  kJ/mol.

### 287 **3.3 HPCD inactivation of PPO in whole shrimps**

288 Shrimps were treated in vivo by HPCD at 12 MPa and 40 °C during 30 min in the presence or  
289 absence of water. Although faster inactivation kinetics of PPO in the extracts were observed at  
290 50 °C (Fig. 4), 40°C was chosen to treat shrimp in vivo since similar inactivation degree was  
291 reached after 30 min treatment, and, this way, HPCD, as non-thermal technology, could preserve  
292 organoleptic and nutritive properties in a greater extent. Operating pressure was fixed taking into  
293 account the maximum operating pressure of the vessel (15 MPa). Shrimps were also treated at  
294 atmospheric pressure and 40 °C as control, in order to assess the effect of temperature on PPO  
295 inactivation and on visual changes of colour. After treatment, PPO was extracted and activity  
296 was determined and compared to a PPO crude extract of non-treated shrimps (Table 4). The PPO  
297 inactivation degree obtained when heating the shrimps at 40 °C for 30 min both in presence and  
298 in the absence of water was similar to the inactivation obtained when treating the PPO crude  
299 extract by mild heating at 40 °C for 60 min (Fig. 5). However, no PPO activity was detected,

300 according to the experimental procedure previously described, when treating the shrimps in vivo  
301 by HPCD, both in absence and in the presence of water (Table 4). As previously reported,  
302 residual activity in crude PPO extracts treated by HPCD at higher operating pressure, 20 MPa,  
303 but at the same temperature, 40 °C for 30 min, was much higher ( $38.7 \pm 3.2$ ) than the values  
304 obtained in the sample in vivo. These results agree with the residual activity of PPO reported by  
305 Zhang et al. (2011) after treatment of Pacific white shrimps in vivo at 20-25 MPa at 37 °C for 10  
306 min, with residual activity values lower than 6 %, and total inactivation for treatment time of 30  
307 min. Therefore, we can conclude that PPO in vivo was easier to be inactivated than in crude  
308 extracts. This was corroborated by Zhang et al. (2011), that attributed this finding to a bigger  
309 contact area between PPO and HPCD. Additionally, CO<sub>2</sub> solubility in aqueous media is  
310 relatively low (Illera et al., 2019). Dissolved CO<sub>2</sub> could decrease pH of the media; however, the  
311 presence of salts of the buffer media could exert a buffer effect. Direct contact of CO<sub>2</sub> with the  
312 shrimp could induce a more efficient enzyme inactivation due to better contact between the  
313 enzyme and the CO<sub>2</sub>. Water could act as a barrier for the direct action of CO<sub>2</sub> on the shrimps.  
314 This indicates that enzyme inactivation mechanism could be different when CO<sub>2</sub> is in contact  
315 directly with the shrimps that when CO<sub>2</sub> is added to an aqueous solution.

316 Visual control of color was carried out, with shrimps stored in plastic bags at 4 °C during 7 d.  
317 Untreated shrimps were used as controls for color. Pictures from the shrimps during the shelf life  
318 study can be seen in Fig. 6. Untreated shrimps and the ones that were only thermally treated  
319 showed up browning in the cephalothorax soon, in the 2<sup>nd</sup> d of storage. HPCD treated shrimps in  
320 absence of water presented a similar aspect to cooked shrimps, slightly orange and white  
321 appearance, and light browning in cephalothorax appeared in the fifth day of storage. On the  
322 other hand, the shrimps that were HPCD treated but in water showed a similar behaviour to the  
323 mild thermally treated ones, starting to show browning on the second day of storage, although

324 browning intensity was lower than the control and the thermally treated ones. By the 7<sup>th</sup> d of  
325 storage, melanosis is present in all the samples, being a bit lower in the HPCD treated one in  
326 absence of water (Fig. 6d). Zhang et al. (2011) treated whole Pacific white shrimps by HPCD  
327 observing their appearance during 3 d of storage at 4 °C. In that time, no melanosis appeared in  
328 HPCD treated shrimps in absence of water, while untreated samples showed advanced browning,  
329 as in the present work.

330 Furthermore, color of shrimps after dry HPCD treatment was light orange. Wei, Balaban,  
331 Fernando, Peplow, & Florida (1991) also noticed a cooked appearance after HPCD treatment of  
332 shrimps. Li, Tian, & Li (2012) observed the same effect in color after treating *Litopenaeus*  
333 *vannamei* by HPP. They explained that the change in color is related to protein coagulation,  
334 which changes the sample surface properties, increasing light reflection and, therefore, a  
335 whitened color. In addition, CO<sub>2</sub> in the supercritical states has drying properties. After studying  
336 melanosis in different parts of shrimps, Zamorano et al. (2009) explained that the presence of the  
337 cephalothorax was required for melanosis to be initiated, where they observed melanosis in just  
338 one day in an untreated shrimp.

339

#### 340 **4. Conclusions**

341 The study of PPO extraction from cephalothorax of Pacific white shrimps concluded that higher  
342 pH values improved the extraction, while the presence of NaCl or anionic exchange resin (AG2-  
343 X8) did not show any benefit. Extraction yield was no dependent on temperature, in the range  
344 from 4 to 30 °C.

345 HPCD treatment resulted to be effective in PPO inactivation of these extracts. An increase of  
346 treatment temperature, in the range from 35 to 50°C, increased enzymatic inactivation and  
347 inactivation rate. HPCD treatment showed better results in PPO inactivation of shrimps in vivo,



348 where the enzyme was totally inactivated according to our analytical procedure, although  
349 melanosis quickly appeared in all samples except in the HPCD treated one in absence of water,  
350 which showed good visual aspect during 5 d of storage at 4 °C. Based on the high inactivation  
351 degree reached in shrimps in vivo at 40°C and 12 MPa, milder conditions could be tried. In  
352 conclusion, HPCD technology has been found to be a promising non-thermal technology for the  
353 processing of shrimps, and it can be considered as an alternative to the use of sulphites in this  
354 product.

355

### 356 **ACKNOWLEDGMENTS**

357 To the Spanish Government (MINECO) and the European Regional Development Fund (ERDF)  
358 for financial support of project CTQ2015-64396-R and AEI's contract. To Gamba Natural  
359 (Medina del Campo, Valladolid, Spain) for kindly providing the shrimps used in this work.

360

### 361 **REFERENCES**

- 362 Ali, M. T., Marshall, M. R., Wei, C. I., Gleeson, R. A., Box, P., & Augustine, S. (1994).  
363 Monophenol Oxidase Activity from the Cuticle of Florida Spiny Lobster ( *Panulirus argus*).  
364 *Journal of Agricultural and Food Chemistry*, *42*, 53–58.
- 365 Briongos, H., Illera, A. E., Sanz, M. T., Melgosa, R., Beltrán, S., & Solaesa, Á. G. (2016). Effect  
366 of high pressure carbon dioxide processing on pectin methylesterase activity and other  
367 orange juice properties. *LWT - Food Science and Technology*, *74*, 411-419.
- 368 Chen, J. S., Balaban, M., Wei, C., Marshall, M. R., & Hsu, W. Y. (1992). Inactivation of  
369 Polyphenol Oxidase by High-Pressure Carbon Dioxide. *Journal of Agricultural and Food*  
370 *Chemistry*, *2*, 2345–2349.
- 371 Ferrentino, G., & Spilimbergo, S. (2011). High pressure carbon dioxide pasteurization of solid

- 372 foods: Current knowledge and future outlooks. *Trends in Food Science and Technology*, 22,  
373 427–441.
- 374 Gonçalves, A. A., & de Oliveira, A. R. M. (2016). Melanosis in crustaceans: A review. *LWT -*  
375 *Food Science and Technology*, 65, 791–799.
- 376 Illera, A. E., Sanz, M. T., & Beltrán, S. (2019). High pressure CO<sub>2</sub> solubility in food model  
377 solutions and fruit juices. *The Journal of Supercritical Fluids*, 143, 120–125.
- 378 Illera, A. E., Sanz, M. T., Benito-Román, O., Varona, S., Beltrán, S., Melgosa, R., & Solaesa, A.  
379 G. (2018). Effect of thermosonication batch treatment on enzyme inactivation kinetics and  
380 other quality parameters of cloudy apple juice. *Innovative Food Science and Emerging*  
381 *Technologies*, 47, 71–80.
- 382 Illera, A. E., Sanz, M. T., Solaesa, A. G., Ruiz, M. O., & Beltrán, S. (2018). Evaluation of HPCD  
383 batch treatments on enzyme inactivation kinetics and selected quality characteristics of  
384 cloudy juice from Golden delicious apples. *Journal of Food Engineering*, 221, 141–150.
- 385 Illera, A. E., Sanz, M. T., Trigueros, E., Beltrán, S., & Melgosa, R. (2018). Effect of high  
386 pressure carbon dioxide on tomato juice: Inactivation kinetics of pectin methylesterase and  
387 polygalacturonase and determination of other quality parameters. *Journal of Food*  
388 *Engineering*, 239, 64–71.
- 389 Imm, J., & Kim, S. (2009). Convenient partial purification of polyphenol oxidase from apple  
390 skin by cationic reversed micellar extraction. *Food Chemistry*, 113, 302–306.
- 391 Li, X., Tian, X., & Li, J. (2012). Effect of high hydrostatic pressure on the microbiological ,  
392 biochemical characteristics of white shrimp *Litopenaeus vannamei*. *Food Science and*  
393 *Technology International*, 22, 302–312.
- 394 Lv, Y., Cai, L., Yang, M., Liu, X., Hui, N., & Li, J. (2018). Purification , characterisation , and  
395 thermal denaturation of polyphenoloxidase from prawns ( *Penaeus vannamei* ).

- 396 *International Journal of Food Properties*, 20, 3345–3359.
- 397 Manheem, K., Benjakul, S., Kijroongrojana, K., & Visessanguan, W. (2012). The effect of  
398 heating conditions on polyphenol oxidase, proteases and melanosis in pre-cooked Pacific  
399 white shrimp during refrigerated storage. *Food Chemistry*, 131, 1370–1375.
- 400 Marszałek, K., Krzy, J., Wo, Ł., & Sk, S. (2016). Kinetic modelling of tissue enzymes  
401 inactivation and degradation of pigments and polyphenols in cloudy carrot and celery juices  
402 under supercritical carbon dioxide, *The Journal of Supercritical Fluids*, 117, 26–32.
- 403 Marszałek, K., Krzyżanowska, J., Woźniak, Ł., & Skąpska, S. (2017). Kinetic modelling of  
404 polyphenol oxidase, peroxidase, pectin esterase, polygalacturonase, degradation of the main  
405 pigments and polyphenols in beetroot juice during high pressure carbon dioxide treatment.  
406 *LWT - Food Science and Technology*, 85, 412–417.
- 407 Melgosa, R., Teresa Sanz, M., Solaesa, Á. G., De Paz, E., Beltrán, S., & Lamas, D. L. (2017).  
408 Supercritical carbon dioxide as solvent in the lipase-catalyzed ethanolysis of fish oil:  
409 Kinetic study. *Journal of CO<sub>2</sub> Utilization*, 17, 170–179.
- 410 Montero, P., Ávalos, A., & Pérez-Mateos, M. (2001). Characterization of polyphenoloxidase of  
411 prawns (*Penaeus japonicus*). Alternatives to inhibition: additives and high-pressure  
412 treatment. *Food Chemistry*, 75, 317–324.
- 413 Nirmal, N. P., & Benjakul, S. (2009). Effect of ferulic acid on inhibition of polyphenoloxidase  
414 and quality changes of Pacific white shrimp (*Litopenaeus vannamei*) during iced storage.  
415 *Food Chemistry*, 116, 323–331.
- 416 Nirmal, N. P., & Benjakul, S. (2012). Biochemical properties of polyphenoloxidase from the  
417 cephalothorax of Pacific white shrimp (*Litopenaeus vannamei*). *International Aquatic*  
418 *Research*, 4, 1–13.
- 419 Pal, B., & Rao, P. S. (2017). Kinetic modeling of polyphenoloxidase inactivation during thermal-

- 420 assisted high pressure processing in black tiger shrimp ( *Penaeus monodon* ). *Food Control*,  
421 80, 388–394.
- 422 Sae-leaw, T., & Benjakul, S. (2019). Prevention of quality loss and melanosis of Pacific white  
423 shrimp by cashew leaf extracts. *Food Control*, 95, 257–266.
- 424 Shaikhmahamud, F., & Magar, N. G. (1965). Evaluation of chemical tests for the quality of  
425 prawns. *Fishing Technology*, 2, 102–108.
- 426 Van Boekel, M. A. J. S. (2002). On the use of the Weibull model to describe thermal inactivation  
427 of microbial vegetative cells. *International Journal of Food Microbiology*, 74, 139–159.
- 428 Verhaeghe, T., Vlaemynck, G., Block, J. De, Weyenberg, S. Van, & Hendrickx, M. (2016).  
429 Thermal inactivation kinetics of proteases and polyphenoloxidase in brown shrimp
- 430 Wanyou, H., Hongwu, J., Shucheng, L., Chaohua, Z., Yali, C., Minghui, G., & Jiming, H.  
431 (2014). Inactivation effects and kinetics of polyphenol oxidase from *Litopenaeus vannamei*  
432 by ultra-high pressure and heat. *Innovative Food Science and Emerging Technologies*, 26,  
433 108–115.
- 434 Wei, C. I., Balaban, M. O., Fernando, S. Y., Peplow, A. J., & Florida, G. (1991). Bacterial Effect  
435 of High Pressure CO<sub>2</sub> Treatment on Foods Spiked with *Listeria* or *Salmonella*. *Journal of*  
436 *Food Protection*, 54, 189–193.
- 437 Zamorano, J.-P., Martínez-Álvarez, O., Montero, P., & Gómez-Guillén, C. (2009).  
438 Characterisation and tissue distribution of polyphenol oxidase of deepwater pink shrimp ( *Parapenaeus longirostris* ). *Food Chemistry*, 112, 104–111.
- 440 Zhang, L., Liu, S., Ji, H., Zhang, C., Deng, C., Cao, W., Mao W., Gao, J. (2011). Inactivation of  
441 polyphenol oxidase from Pacific white shrimp by dense phase carbon dioxide. *Innovative*  
442 *Food Science and Emerging Technologies*, 12, 635–641.
- 443 Zhou, L., Wang, Y., Hu, X., Wu, J., & Liao, X. (2009). Effect of high pressure carbon dioxide on

444 the quality of carrot juice. *Innovative Food Science and Emerging Technologies*, 10, 321–  
445 327.  
446

ACCEPTED MANUSCRIPT

**Table 1.** PPO activity expressed as U per g of shrimp head and mg of protein after extraction with and without resin AG2-X8 (1 g resin: 2 g shrimp head) using a sodium phosphate extraction buffer pH 7.2, 1 mol/L NaCl and 0.2 g/100 mL Brij 35 at 4 °C.

	With resin	Without resin
U/g shrimp head	190 ± 1 <sup>a</sup>	182 ± 12 <sup>a</sup>
U/mg protein	3.9 ± 0.2 <sup>a</sup>	3.6 ± 0.4 <sup>a</sup>

Values with different letters in each row are significantly different when applying the Fisher's (LSD) test at p-value  $\leq 0.05$ .

**Table 2.** PPO activity expressed as U per g of shrimp head and mg of protein after extraction at different temperatures using a sodium phosphate extraction buffer at pH 8, 1 mol/L NaCl and 0.2 g/100 mL Brij 35.

Temperature	4 °C	21 °C	30 °C
U/g shrimp head	212 ± 4 <sup>a</sup>	209 ± 7 <sup>a</sup>	211 ± 3 <sup>a</sup>
U/mg protein	4.0 ± 0.4 <sup>a</sup>	4.2 ± 0.2 <sup>a</sup>	4.3 ± 0.2 <sup>a</sup>

Values with different letters in each row are significantly different when applying the Fisher's (LSD) test at p-value  $\leq 0.05$ .

**Table 3.** Kinetic parameters of the Weibull model (Eq. 3) for the inactivation of PPO extracts by HPCD treatment at 20 MPa. PPO extract was obtained using a sodium phosphate buffer at pH =7.2 with 0.2 g/100 mL Brij 35 and 4 °C)

T, °C	$\alpha$ , min	$\beta$	$R^2$	$t_{d=1}$ , min
35	$69 \pm 10$	$0.48 \pm 0.06$	0.984	392
40	$30 \pm 3$	$0.53 \pm 0.07$	0.982	145
50	$16 \pm 2$	$0.46 \pm 0.07$	0.984	98

$$z'_T = 25 \pm 6 \text{ }^\circ\text{C} \text{ (} R^2 = 0.932 \text{)}$$

$$\ln(1/\alpha) \text{ vs } (1/T): 77 \pm 20 \text{ kJ/mol (} R^2 = 0.940 \text{)}$$

$\alpha$  = scale parameter;  $\beta$  = shape parameter

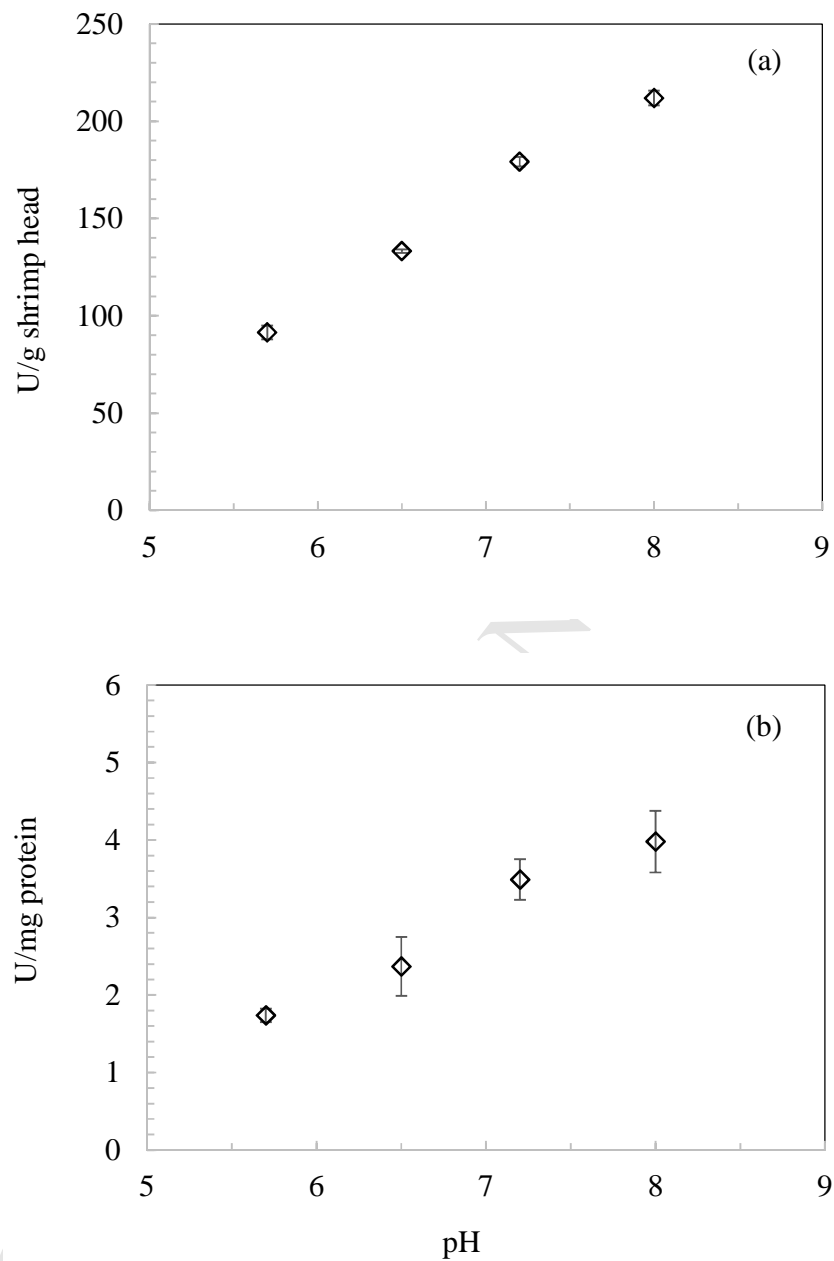
$t_d$  = time needed to achieve one decimal reduction;  $z'_T$  was evaluated through Eq. 5



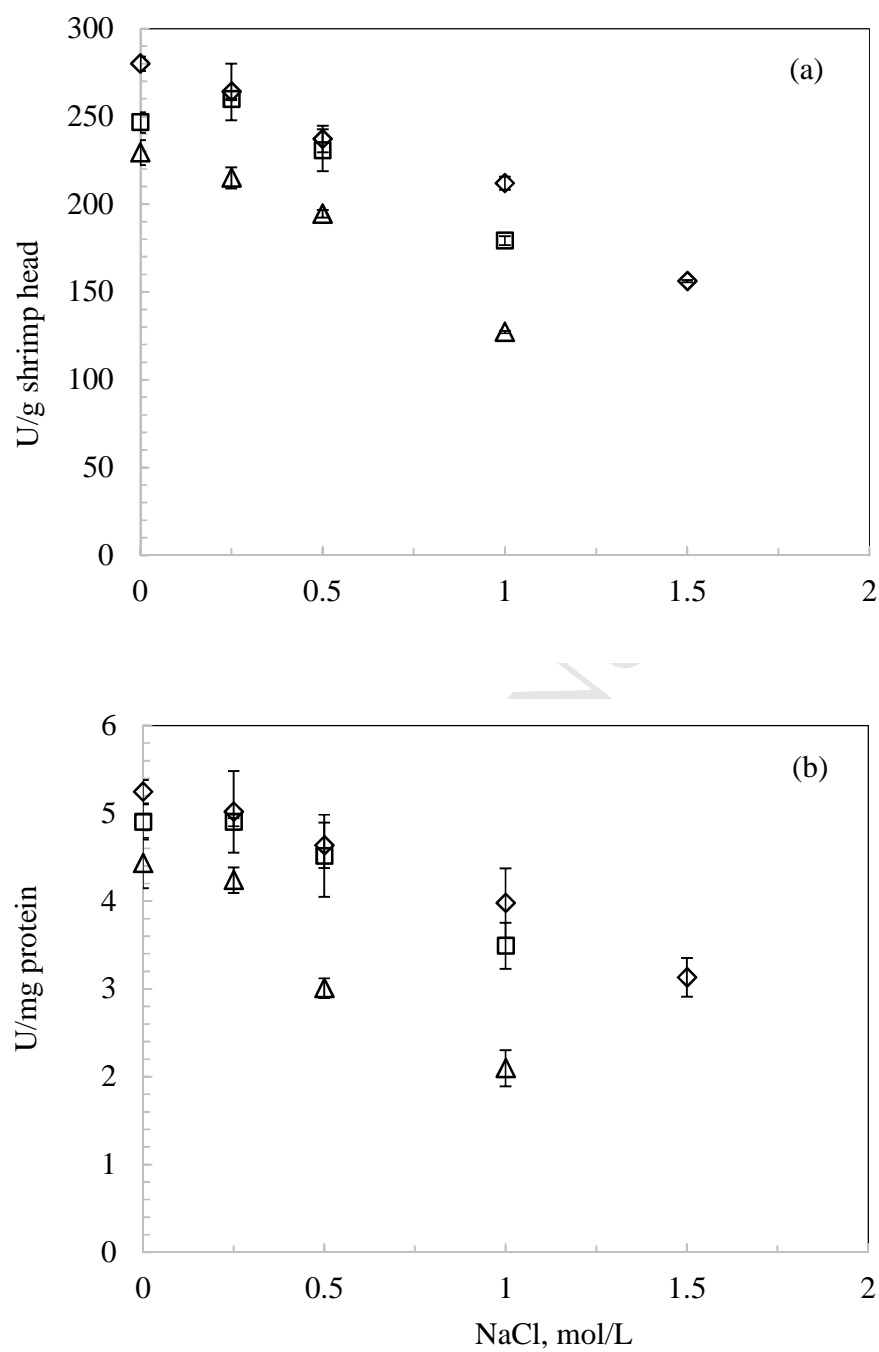
**Table 4.** PPO residual activity after HPCD and mild heating treatment (MH) at 40 °C both in presence and in the absence of water. PPO was extracted using a sodium phosphate buffer at pH =7.2 with 0.2 g/100 mL Brij 35 at 4 °C.

Treatment	MH (water)	MH	HPCD (water)	HPCD
PPO residual activity	72.5 %	83.3 %	n.d.	n.d.

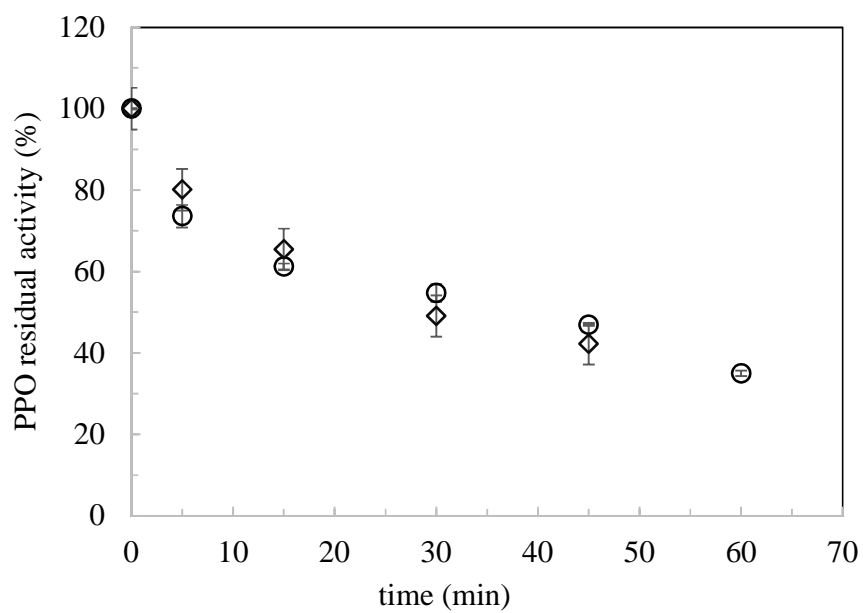
n.d (Non detected).



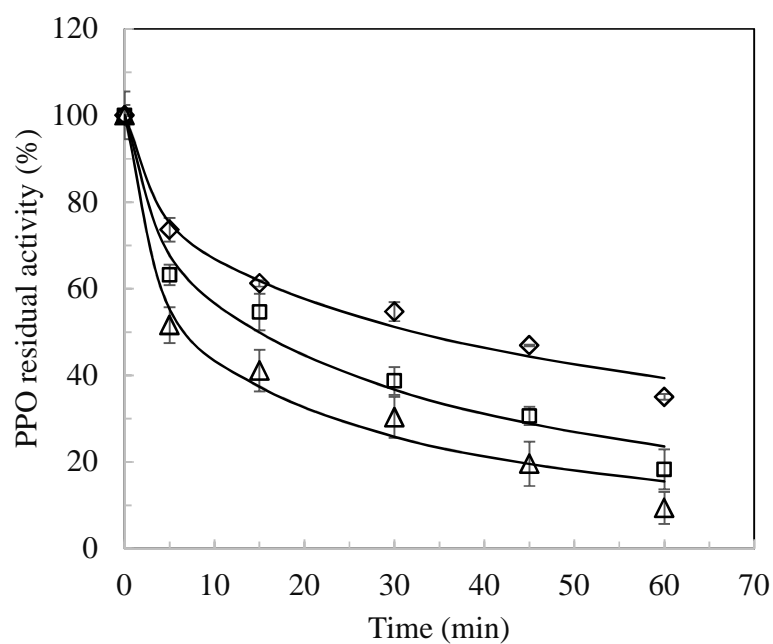
**Fig. 1.** Effect of pH of the extraction sodium phosphate buffer on (a) PPO activity and (b) extract protein content when using 1 mol/L NaCl and 0.2 g/100 mL of Brij 35 35 at 4 °C.



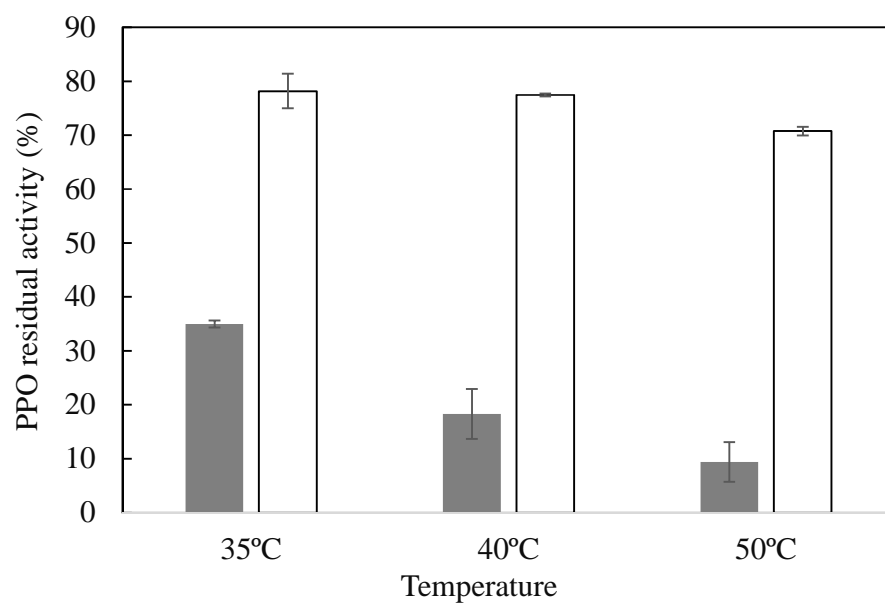
**Fig. 2.** Effect of extraction sodium phosphate buffer ionic concentration (expressed as NaCl, mol/L) on (a) extraction yield and (b) extract protein content when using buffer at different pH values ( $\diamond$  pH 8,  $\square$  pH 7.2 and  $\Delta$  pH 6.5) with 0.2 g/100 mL Brij 35 at 4 °C.



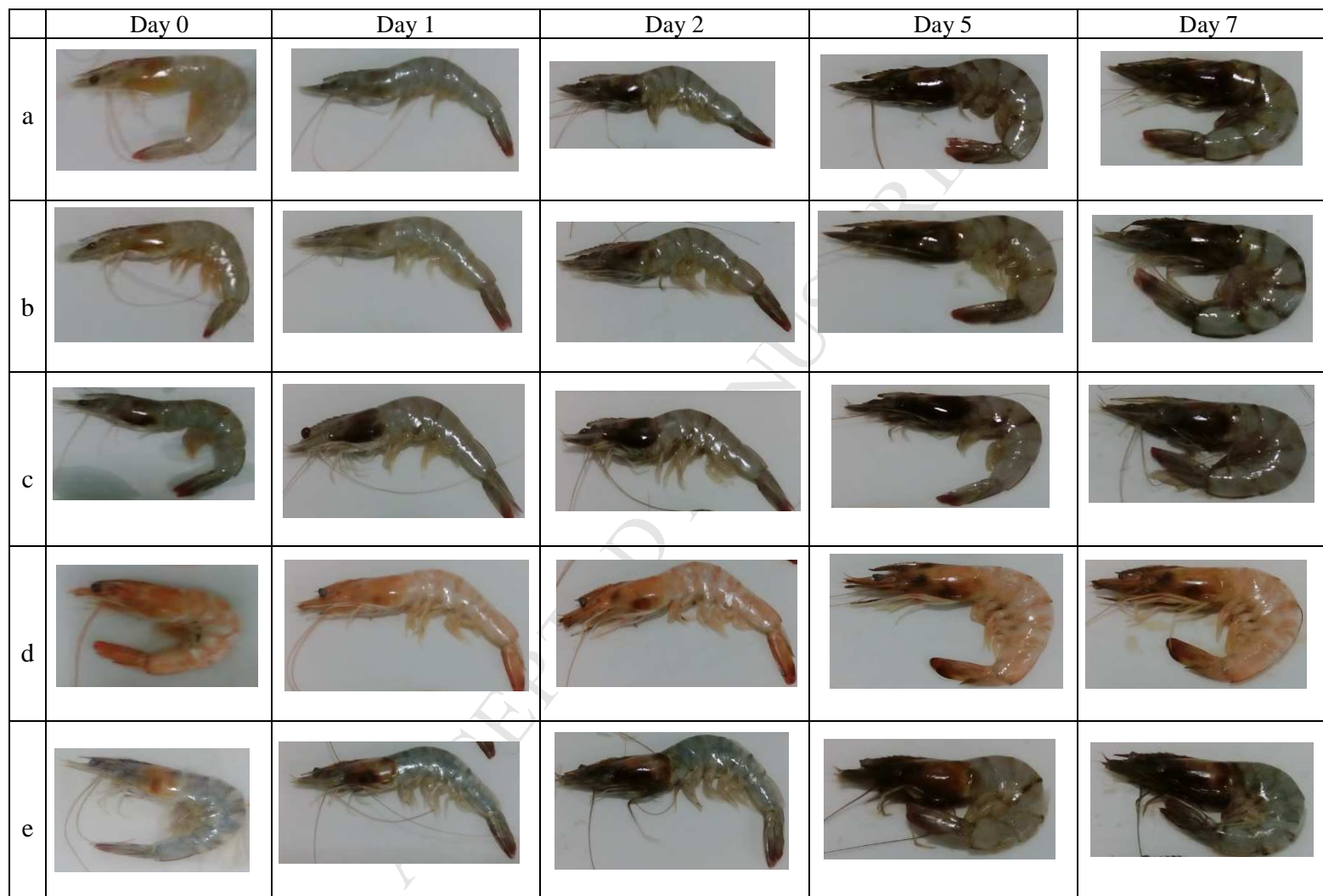
**Fig. 3.** Inactivation kinetic of PPO by HPCD treatment at 20 MPa and 35 °C in PPO extract obtained at pH = 6.5 (◇) and pH = 7.2 (○). PPO was extracted with a sodium phosphate buffer with 0.2 g/100 mL Brij 35 at 4° C.



**Fig. 4.** Inactivation kinetics of PPO extracts by HPCD treatment at 20 MPa and different temperatures ( $\diamond$  35 °C,  $\square$  40 °C and  $\Delta$  50 °C). PPO was extracted using phosphate buffer at pH = 7.2 with 0.2 g/100 mL Brij 35 at 4 °C. Symbols represent the experimental results, and lines represent the calculated values for the Weibull model (Eq. 3).



**Fig. 5.** PPO residual activity of PPO extracts after 60 min of HPCD treatment at 20 MPa (■) or mild heating treatment (□) at different temperatures. PPO was extracted using phosphate buffer pH = 7.2 with 0.2g/100 mL Brij 35 at 4 °C.



**Fig. 6.** Appearance of untreated shrimps (**a**) and treated ones at atmospheric pressure, 40 °C in absence (**b**) and in presence of water (**c**) and by HPCD treatment at 12 MPa, 40 °C in absence (**d**) and in presence of water (**e**) during 7 d of storage at 4 °C.

Extraction conditions have been optimized for higher PPO activity in crude extracts.

The Weibull model fit well HPCD inactivation of PPO extracts from shrimps.

Higher PPO inactivation degree was obtained in vivo than in crude extracts.

Visual aspect was good when treated whole pieces by HPCD in dry medium.

ACCEPTED MANUSCRIPT