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Title: Novel Processing Route for the Fabrication of Bulk High-Entropy Metal Diborides

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Keywords: High-entropy ceramics; Borides; Spark Plasma Sintering; Self-propagating High-temperature Synthesis; X-ray diffraction (XRD).

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Abstract: A single high-entropy phase material with hexagonal structure is produced by a two-steps processing method. Elemental reactants are first remarkably converted by Self-propagating High-temperature Synthesis (SHS). The completion of the chemical transformation to the desired $(\text{Hf}_{0.2}\text{Mo}_{0.2}\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Ti}_{0.2})\text{B}_2$ phase and its concurrent consolidation up to 92.5% relative density is achieved by processing the SHS powders at 1950°C via Spark Plasma Sintering. It is clearly evidenced that the use of the SHS technique is extremely beneficial to promote the formation of high-entropy ceramics, as compared to the time consuming ball milling treatment alternatively adopted.



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Cagliari, 22-08-2018

Dear Editor,

please find in the attached file our replies to the comments raised by the Reviewer regarding our manuscript “Novel Processing Route for the Fabrication of Bulk High-Entropy Metal Diborides” by G. Tallarita, R. Licheri, S. Garroni, R. Orrù, and G. Cao, submitted for publication in Scripta Materialia. In particular, the reason why no property is measured at this stage on the obtained material, which represents the only criticism raised by the Reviewer, is explained. Nonetheless, some literature data and related comments have been introduced in the Revised Version of the manuscript here attached.

Please find also enclosed the Revised Marked Version of the paper where the changes with respect to the first version have been highlighted in red character.

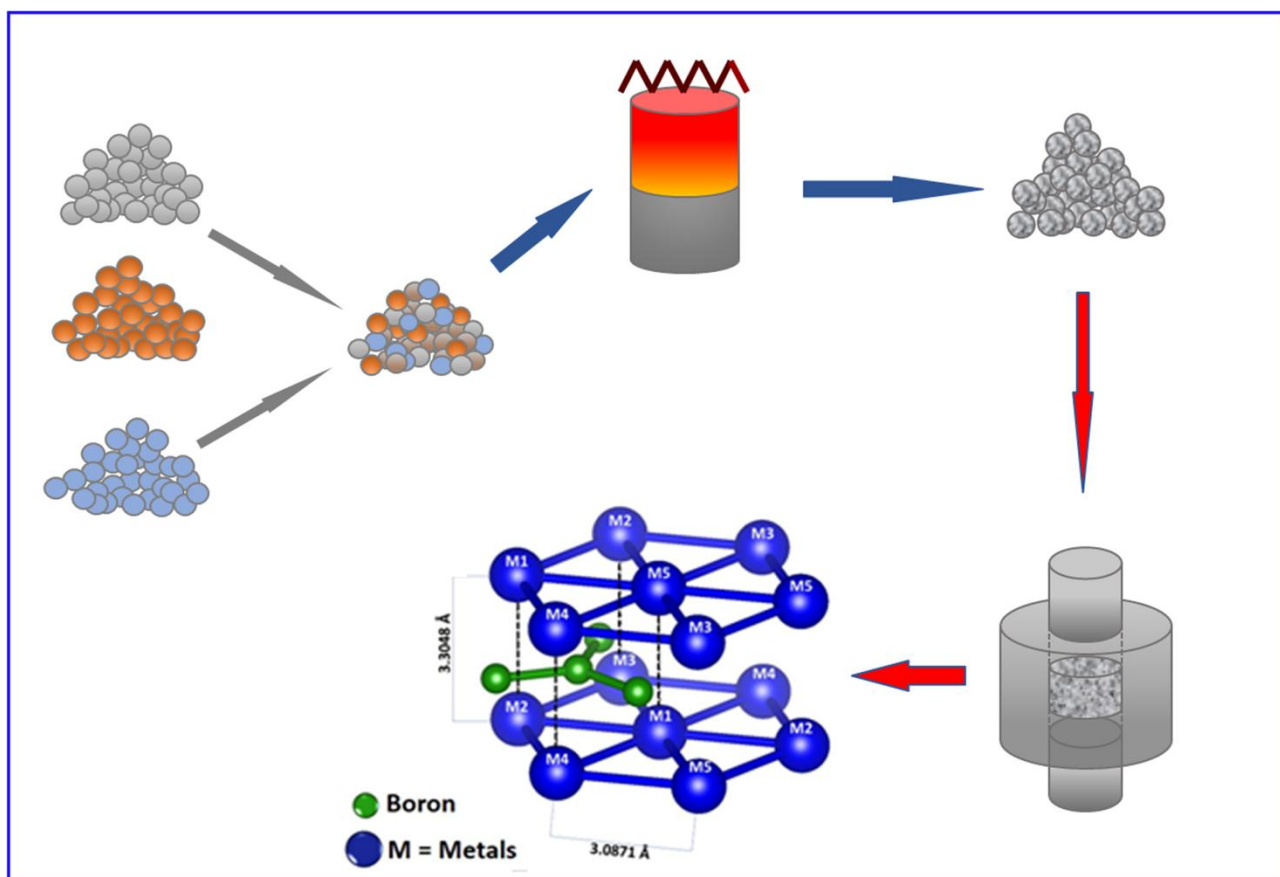
Your sincerely,

Dr. Roberto Orrù

A handwritten signature in blue ink, appearing to read 'Roberto Orrù', is placed over a light blue horizontal line.

Professor

Graphical abstract



Replies to the Reviewer's comments

First, we would like to thank the Reviewer for his/her positive comments on our paper and for recommending its publication after minor revision.

The main reason why no property was measured on the obtained product is because at this stage we mostly focused our attention on the use of a more efficient fabrication route for producing HEBs with respect to the state of the art, which represents the novelty of this work, as also clearly evidenced in the Reviewer's comments. On the other hand, the specific system under investigation was already produced as a single phase at UCSD.

Of course, we agree with the Reviewer that the measure of some crucial properties is an essential step for the validation of the HEB material. However, since the full product densification is far from being achieved, we are currently optimizing the most relevant operating parameters, namely particles size of SHS powders and SPS process conditions, to try to further reduce residual porosity in the bulk material. Subsequently, a detailed activity to determine mechanical, oxidation resistance, optical, and other properties is clearly planned to be performed on the optimal product.

Unfortunately, such data are not available yet, so that, to meet the Reviewer's suggestion, we took advantage of the fact that the material produced in the present work by SHS-SPS shows a relative density very similar to the value obtained by Gild et al. (2016) for the same system, i.e. about 92.5 and 92.2 %, respectively. Thus, the corresponding properties measured in the latter study (i.e. hardness and oxidation resistance), which are expected to be rather similar to those ones associated to the SHS-SPS product, have been reported and discussed in this work.

All the considerations above have been introduced in the Revised Version of the manuscript.

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3 **Novel Processing Route for the Fabrication of Bulk High-Entropy Metal Diborides**
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28 **Abstract**
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31 A single high-entropy phase material with hexagonal structure is produced by a two-steps processing
32 method. Elemental reactants are first remarkably converted by Self-propagating High-temperature
33 Synthesis (SHS). The completion of the chemical transformation to the desired
34 (Hf_{0.2}Mo_{0.2}Ta_{0.2}Nb_{0.2}Ti_{0.2})B₂ phase and its concurrent consolidation up to 92.5% relative density is
35 achieved by processing the SHS powders at 1950°C via Spark Plasma Sintering. It is clearly evidenced
36 that the use of the SHS technique is extremely beneficial to promote the formation of high-entropy
37 ceramics, as compared to the time consuming ball milling treatment alternatively adopted.
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47 **Keywords:** High-entropy ceramics; Borides; Spark Plasma Sintering; Self-propagating High-
48 temperature Synthesis; X-ray diffraction (XRD).
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3 The growing interest in Ultra-High Temperature Ceramics (UHTCs) based on transition metal
4 diborides is readily justified by their unusual combination of attractive physico-chemical properties,
5 such as melting temperature exceeding 3000 K, high hardness, chemical inertness, good electrical and
6 thermal conductivity, intrinsic solar selectivity, low neutron absorption, etc. [1]. Aerospace (wing
7 leading edges, nosetips, etc.), solar energy (receivers for concentrating solar power plants), nuclear
8 reactors, metallurgy (molten metal crucibles), cutting tools, microelectronics, etc., are only few
9 examples of application fields where UHTCs are extremely desirable. In spite of this, a suitable
10 diffusion of such material family is not reached yet, due to their relatively low fracture toughness and
11 not adequate high temperature oxidation properties, other than because of the difficulties encountered
12 for the obtainment of highly dense bodies.
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21 In this context, a new emerging class of UHTCs, the so called High-Entropy Borides (HEBs) was
22 recently developed at the University of California, San Diego (USA) [2]. HEBs belong to the more
23 general family of high-entropy alloys, where metallic elements are properly combined in near equimolar
24 ratios to generate new crystalline solid-solutions characterized by a maximum configurational entropy
25 $\Delta S_{mix} = R \cdot \ln N$, where R and N are the gas constant and the total number of the equimolar
26 components, respectively [3]. The strong interest in these materials stems from the improved thermal
27 stability and strengthening they usually exhibit with respect to conventional alloys [3-5].
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35 So far, the research activity in this field has been mostly focused on metallic phases, whereas only
36 few and recent studies are available on high-entropy ceramics, either oxides [6-10] or non oxides [2,11-
37 15].
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40 As for non oxides high entropy ceramics, six types of five-components HEBs have been prepared by
41 Gild et al. [2]. The adopted process basically consisted of a co-milling treatment of their individual
42 MeB_2 constituents (Me= Zr, Hf, Ta, Ti, Mo, Nb, Cr) combined in equimolar proportions and the
43 resulting powders were densified for 5 min by SPS at 2000°C and 30 MPa. The obtained products were
44 single-phase materials, up to 92.4% dense, which display higher hardness and oxidation resistance as
45 compared to the average properties of individual components fabricated and tested under the same
46 conditions. Improved performances (hardness and thermal stability) were also reported when
47 considering of HEB thin films prepared by non-reactive physical vapor deposition [13]. Similar findings
48 were also obtained when characterizing the 99 % dense equiatomic high-entropy carbides, namely (Hf-
49 Ta-Zr-Nb)C, produced very recently via SPS (2300°C) from ball milled powders [11].
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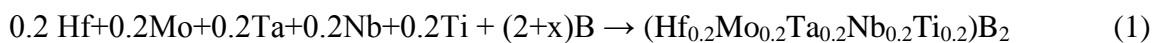
58 The major concern related to the previously mentioned fabrication methods is represented by the
59 intense mechanical pre-treatment of the powder mixture requested to induce the formation of a single
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3 phase product during the subsequent densification stage. For instance, Gild et al [2] processed the
4 diborides mixture for 6h by high energy ball milling before SPS. Moreover, the duration of the
5 mechanical treatment was even longer (24 h) for the fabrication of high-entropy carbides [11].
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8 As a consequence, the corresponding whole processing time becomes very long. Furthermore, the
9 extremely abrasive character of transition metal diborides and carbides makes the related powders prone
10 to be contaminated from milling media, which certainly represents a crucial issue.
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13 In this work, an alternative, more efficient, two-step processing method, consisting of the Self-
14 propagating High-temperature Synthesis (SHS) of the high entropy product followed by the SPS of the
15 resulting powders, is proposed. While the latter approach was already successfully exploited for the
16 obtainment of various standard monophasic and composite UHTCs [16], it is the first time that
17 crystalline high entropy ceramics are produced following such route.
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20 SHS experiments were performed starting from Hf (Alfa Aesar, cod. 00337, particle size < 44µm,
21 99.6% purity), Mo (Aldrich, cod 26.689-2, particle size < 149µm, ≥ 99% purity), Ta (Alfa Aesar, cod
22 00337, particle size < 44µm, 99.9% purity), Nb (Alfa Aesar, cod 010275, particle size < 44µm, 99.8%
23 purity), Ti (Aldrich, cod 26.849-6, particle size < 149µm, 99.7% purity), and B (Aldrich, cod 15580,
24 amorphous, ≥ 99% purity) powders. Mixing of reactants was carried out according to the following
25 reactions stoichiometry:
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37 The use of a slight excess of B (x=0.2) with respect to the stoichiometric value allows for, in
38 agreement to previous studies related to the obtainment of individual metal diborides [17-18], the
39 removal of oxide impurities initially present in the raw powders. SHS experiments were conducted
40 inside a closed stainless steel vessel under Argon atmosphere using cylindrical pellets (10 mm diameter
41 and 30 mm high) obtained after cold-pressing about 10 g of the reactants mixture. The reaction was
42 activated at one pellet end using an electrically heated tungsten coil.
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48 The SHS product was pulverized after a short (20 min) ball milling treatment using a SPEX 8000
49 (SPEX CertiPrep, USA) shaker device with a ball-to-powder weight ratio of 2. Particle size of the
50 resulting powders was evaluated by laser light scattering analysis (CILAS 1180, France). Their
51 consolidation was carried out by SPS (515S model, Fuji Electronic Industrial Co., Ltd., Kanagawa,
52 Japan) at 1950°C for 20 min under a mechanical pressure of 20 MPa and vacuum conditions. Disks of
53 about 14.7 mm diameter and 3mm thickness were obtained. Details on the SPS apparatus and procedure
54 can be found elsewhere [17-18].
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3 Compositional and structural characterization of the SHS and SPS products was performed by X-ray
4 diffraction analysis (Philips PW 1830, Netherlands) using Cu K_{α} radiation, over a range of scattering
5 angles 2θ from 20 to 130, in steps of 0.05° with 15 s acquisition time per angle. The XRD patterns were
6 analyzed by the Rietveld method using the MAUD program to determine and quantify the phases
7 content and the corresponding microstructural parameters [19]. In addition, the compositional
8 uniformity in sintered samples was assessed by examining their cross section by high resolution
9 scanning electron microscopy (HRSEM) (mod. S4000, Hitachi, Tokyo, Japan) equipped with a UltraDry
10 EDS Detector (Thermo Fisher Scientific, Waltham, MA, USA).
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18 As schematically represented in **Figure 1**, the first processing stage consists in the preparation of
19 $(\text{Hf}_{0.2}\text{Mo}_{0.2}\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Ti}_{0.2})\text{B}_2$ by SHS according to reaction (1). The initial mixture, containing the
20 starting reagents, has been analyzed by XRD. The pattern integrated with the Rietveld analysis is
21 reported in **Figure 2a**, and it confirms the presence of the all metals with the expected concentration (see
22 **Table 1**). Bragg reflections of Boron cannot be detected in this pattern because its amorphous nature and
23 the low scattering, if compared with the other heavy metals. Upon ignition, the reaction front displayed a
24 self-sustaining character with a measured maximum temperature of about 2000°C and average velocity
25 of 4.75 ± 0.25 mm/s. Such behavior was similar to that observed when the individual diborides were
26 synthesized by SHS, for instance HfB_2 [17], TaB_2 [18] and TiB_2 [20], and is consistent with their high
27 enthalpies of formation ($-\Delta H_f^0$), i.e. 335.975, 209.200 and 323.800 kJ/mol, respectively [21].
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37 The particle size parameters of the powders obtained after the SHS products was comminuted by 20
38 min ball milling treatment are $d_{10}=0.23\pm 0.02$ μm , $d_{50}=1.94\pm 0.14$ μm , $d_{90}=9.82\pm 0.39$ μm , and
39 $d_{\text{av}}=3.71\pm 0.20$ μm . The XRD pattern of the synthesized powders is reported in **Figure 2b** along with the
40 related best fit profile. The resulting compositional and microstructural data, as determined by Rietveld
41 analysis, are summarized in **Table 1**. The reported results clearly indicate that a high conversion (about
42 96 wt.%) of starting reactants to the desired phase was achieved by SHS. However, minor amounts of
43 other diborides ($(\text{Ta}_{0.5}\text{Ti}_{0.5})\text{B}_2$, $(\text{Hf}_{0.5}\text{Ti}_{0.5})\text{B}_2$ and HfB_2) and traces of metal oxides (HfO_2) have been also
44 detected. The formation of the expected high-entropy solid solution ($\text{SG} = P6/mmm$), nominally
45 indicated by the formula reported in Eq. 1, can be proved by a direct comparison of the corresponding
46 cell parameters reported in **Table 1** with those ones provided by Gild et al. [2]. Nonetheless, as
47 evidenced above, the obtainment of a single phase product was not fully achieved by SHS.
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57 The combustion synthesized powders were then processed for 20 min at 1950°C by SPS. The XRD
58 pattern of the cross section of the sintered sample is also shown in **Figure 2c** with the corresponding
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3 best-fit, while the related data are reported in **Table 1**. The obtained results indicated that the SPS
4 treatment induced some compositional changes in the processing sample. More important is the fact that
5 such transformations lead to massive product with neither secondary nor asymmetric peaks detected by
6 XRD analysis. So, ultimately, a single phase material was finally obtained by SPS, as determined by the
7 Rietveld analysis. It should be noted that XRD results for the sintered material are very similar to those
8 ones reported by Gild et al. [2] for the same system. This confirms the formation of the high-entropy
9 alloy characterized by 2D layers of metal cations, as schematically represented in **Figure 2d** [22]. The
10 estimated value for the theoretical density of the HEB system under investigation, i.e. 8.56 g/cm^3 ,
11 comparable to that one obtained by Gild et al. [2] (8.67 g/cm^3), further supports the latter statement. As a
12 difference, it should be noted that the minor secondary phases evidenced in the latter study by XRD, and
13 attributed to stable HfO_2 and ZrO_2 , were not detected in the bulk sample produced in the present work. It
14 is likely that the SHS process, and the corresponding conditions specifically adopted in the present
15 investigation (excess of boron in Eq. 1), are highly beneficial in this regard. This holds also true when
16 considering the traces (0.1 wt.%) of HfO_2 detected in SHS powders (**Figure 2b**) which are entirely
17 eliminated during the subsequent SPS stage because of the likely presence of residual unreacted Boron.
18 The end product reached relative density levels up to 92.5%, comparable to the best values reported in
19 Gild et al. [2]. The good densification as well as the uniform composition achieved is in agreement with
20 the cross-sectional SEM micrograph reported in **Figure 3** along with the related EDX maps. In
21 particular, the latter ones testify that all the elements are very homogeneously distributed across the
22 sample, with no specific evidence of preferential aggregation phenomena.

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40 On the basis of the results discussed in this work, it is possible to state the SHS method represents a
41 suitable tool for obtaining powders to be processed by SPS for the fabrication of innovative high entropy
42 ceramics. In this regard, it is worth to mention the two key motivations generally provided to explain the
43 high sintering ability displayed by UHTC powders when produced by SHS. The first one is related to the
44 high defect concentrations associated to the extreme process conditions (heating and cooling rates on the
45 order of 10^5 and 10^3 K/min , respectively) encountered during the fast propagation of the synthesis front
46 [23]. In addition, when ceramic composites are synthesized, it is observed that stronger interfacial bonds
47 are established between the fine grains of the different phases simultaneously formed *in-situ*, which lead
48 to a reduction of the diffusion distances [24]. These aspects are also expected to play a beneficial role in
49 promoting the atomic diffusion in SHS powders for the formation of the single phase HEBs during the
50 SPS stage. It important to mention the fact that experiments conducted using the Reactive Spark Plasma
51 Sintering (R-SPS) route, where the product synthesis and its consolidation are performed in a single
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3 stage as an alternative to the two steps SHS-SPS technique, did not allow us to reach the desired target.
4 In addition, the R-SPS approach is often not appropriate when, as in the present case, strongly
5 exothermic systems are taken into account, since various problems are usually encountered when the
6 synthesis process occurs under the combustion regime inside a closed powder container [25].
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10 Since the single phase material produced in the present work by SHS-SPS displays a relative density
11 very close to that obtained by Gild et al. [2] for the same system, i.e. 92.5 and 92.2%, respectively, it is
12 expected that the corresponding properties will be also similar. In particular, a higher hardness was
13 reported for the $(\text{Hf}_{0.2}\text{Mo}_{0.2}\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Ti}_{0.2})\text{B}_2$ material (22.5 ± 1.7 GPa) with respect to the average value
14 of the individual metal diborides (19.2 ± 1.3 GPa) [2]. Improved oxidation resistance characteristics were
15 also observed, based on the weight gains measured during isothermal tests conducted in the range 1000-
16 1200°C for 1 h under flowing dry air, i.e. $(\text{Hf}_{0.2}\text{Mo}_{0.2}\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Ti}_{0.2})\text{B}_2 < \text{HfB}_2 < \text{TaB}_2 < \text{NbB}_2 < \text{TiB}_2$ [2]. Of
17 course, the potential of this HEB for industrial applications can be better defined when residual porosity
18 of the bulk sample will be reduced, so that the mechanical properties and oxidation resistance could be
19 correspondingly improved. Thus, future work will be specifically addressed to the optimization of the
20 SHS-SPS process conditions particularly aimed to further increase the relative density of the sintered
21 material. The resulting product will be then subjected to a comprehensive characterization from the
22 microstructural, mechanical, thermal, optical, etc., points of view, to possibly identify one or more
23 suitable applications for this material.
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36 In summary, our study demonstrates for the first time the feasibility of the fabrication of high
37 entropy ceramics by coupling the SHS and SPS techniques. In particular, it is found that the
38 $(\text{Hf}_{0.2}\text{Mo}_{0.2}\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Ti}_{0.2})\text{B}_2$ system was not obtained as a single phase by SHS, albeit a high conversion
39 level (96 wt.%) of the elemental reactants to the desired phase was reached. Nonetheless, when the
40 resulting SHS powders were processed by SPS, a single phase bulk material was produced. The
41 proposed process is undoubtedly more advantageous as compared to that adopted so far, where an
42 intense mechanical pre-treatment of the individual borides was required before SPS. This feature
43 certainly opens interesting perspectives for the exploitation of the huge potential of high entropy
44 ceramics systems in both innovative and traditional fields.
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56
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58 Innovation Sciences and Technologies at the University of Cagliari, Italy.
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3 **Figure captions**
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7 **Fig. 1.** Schematic representation of the processing route adopted for the fabrication of high entropy
8 ceramics.
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13 **Fig. 2.** XRD patterns and related Rietveld refinements of a) the initial reactants mixture, b) SHS
14 powders and c) SPS bulk product. d) Scheme of the atomic crystal structure of the high entropy alloy
15 synthesized. The unit cell was designed by VESTA software [22].
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22 **Fig. 3.** Cross sectional SEM micrograph and related EDX elemental maps of the HEB sample
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Table 1. Phases and quantitative phase analysis results of the initial reactants mixture, SHS powders and SPS bulk product.

Initial Reactants										
Phase	%	a (Å)	b (Å)	c (Å)	β (°)	Symmetry	Space Group	Crystallite size (Å)	Microstrain	Unit cell volume (Å ³)
Ti	21.0	3.3075	3.3075	3.3075	90.00	Cubic	Im-3m	1985	0.0008	36.1826
Ta	20.9	3.3185	3.3185	3.3185	90.00	Cubic	Im-3m	18159	0.0034	36.5448
Mo	20.2	3.1476	3.1476	3.1476	90.00	Cubic	Im-3m	1441	0.0002	31.1845
Hf	19.1	3.2006	3.2006	5.0705	90.00	Hexagonal	P63/mmc	1125	0.0013	44.9826
Nb	18.8	3.3404	3.3404	3.3404	90.00	Cubic	Im-3m	232	0.0001	37.2731
SHS powders										
Phase	%	a (Å)	b (Å)	c (Å)	β (°)	Symmetry	Space Group	Crystallite size (Å)	Microstrain	Unit cell volume (Å ³)
(Hf _{0.2} Mo _{0.2} Ta _{0.2} Nb _{0.2} Ti _{0.2})B ₂	96.2	3.1001	3.1001	3.3127	90.00	Hexagonal	P6/mmm;	300	0.0044	27.5717
(Ta _{0.5} Ti _{0.5})B ₂	2.3	3.0819	3.0819	3.2526	90.00	Hexagonal	P6/mmm;	1000	0.0073	26.7546
(Hf _{0.5} Ti _{0.5})B ₂	0.9	3.1098	3.1098	3.4063	90.00	Hexagonal	P6/mmm;	1057	0.0052	28.5285
HfB ₂	0.5	3.1480	3.1480	3.4831	90.00	Hexagonal	P6/mmm;	1126	0.0014	29.8928
HfO ₂	0.1	5.1135	5.1345	5.4033	99.35	Monoclinic	P21/c:b1	248	0.0019	139.9803
SPS product										
Phase	%	a (Å)	b (Å)	c (Å)	β (°)	Symmetry	Space Group	Crystallite size (Å)	Microstrain	Unit cell volume (Å ³)
(Hf _{0.2} Mo _{0.2} Ta _{0.2} Nb _{0.2} Ti _{0.2})B ₂	100	3.0878	3.0878	3.3099	90.00	Hexagonal	P6/mmm	869	0.0015	27.3303

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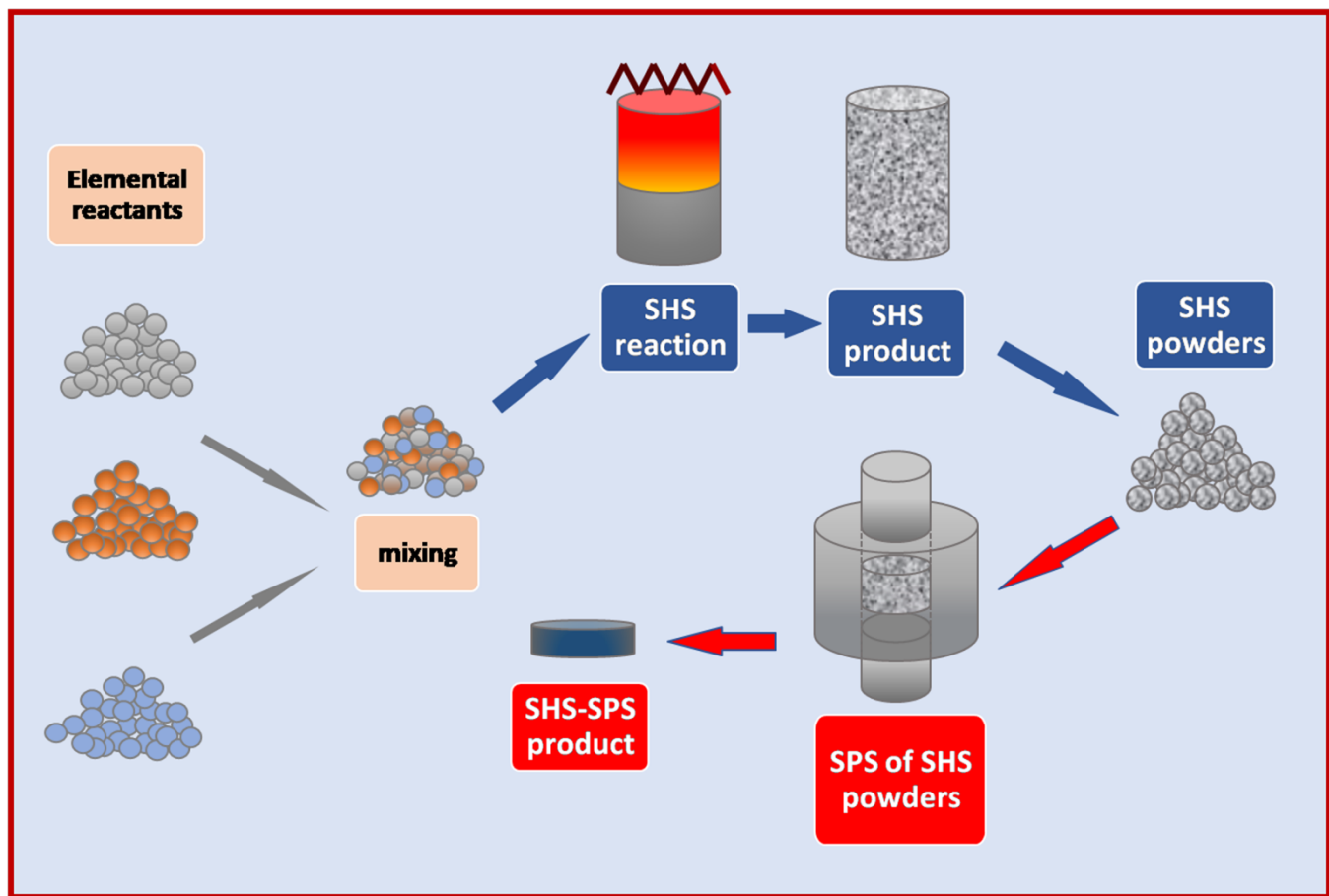


Fig. 1

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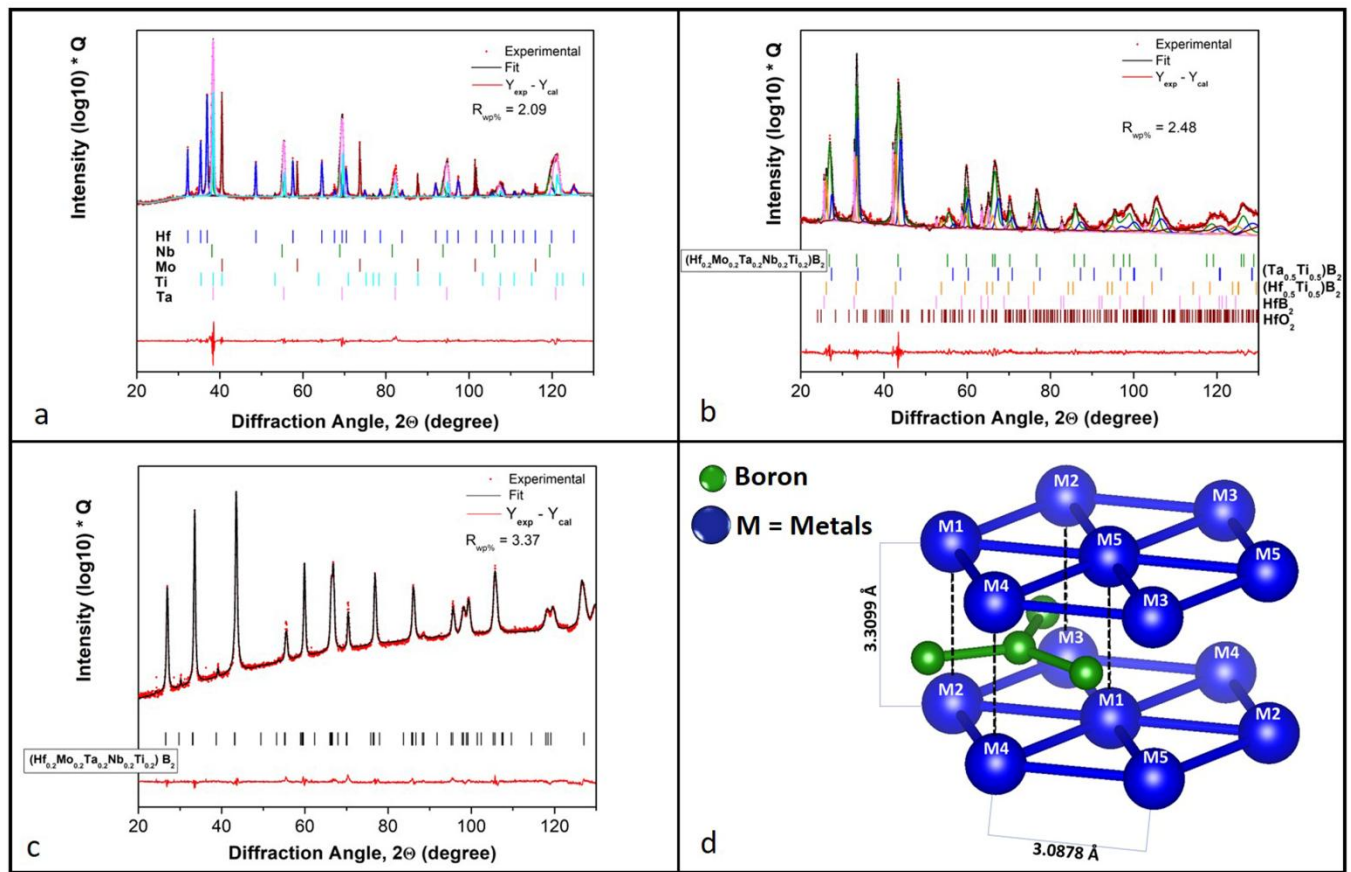


Fig. 2

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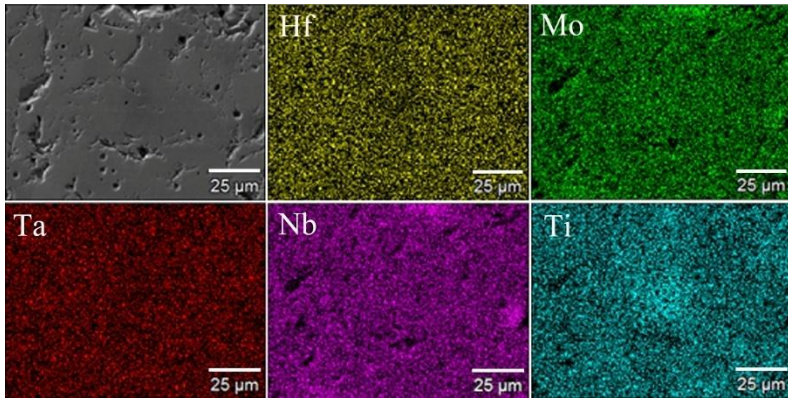


Fig. 3

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3 **Novel Processing Route for the Fabrication of Bulk High-Entropy Metal Diborides**
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28 **Abstract**
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31 A single high-entropy phase material with hexagonal structure is produced by a two-steps processing
32 method. Elemental reactants are first remarkably converted by Self-propagating High-temperature
33 Synthesis (SHS). The completion of the chemical transformation to the desired
34 (Hf_{0.2}Mo_{0.2}Ta_{0.2}Nb_{0.2}Ti_{0.2})B₂ phase and its concurrent consolidation up to 92.5% relative density is
35 achieved by processing the SHS powders at 1950°C via Spark Plasma Sintering. It is clearly evidenced
36 that the use of the SHS technique is extremely beneficial to promote the formation of high-entropy
37 ceramics, as compared to the time consuming ball milling treatment alternatively adopted.
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47 **Keywords:** High-entropy ceramics; Borides; Spark Plasma Sintering; Self-propagating High-
48 temperature Synthesis; X-ray diffraction (XRD).
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3 The growing interest in Ultra-High Temperature Ceramics (UHTCs) based on transition metal
4 diborides is readily justified by their unusual combination of attractive physico-chemical properties,
5 such as melting temperature exceeding 3000 K, high hardness, chemical inertness, good electrical and
6 thermal conductivity, intrinsic solar selectivity, low neutron absorption, etc. [1]. Aerospace (wing
7 leading edges, nosetips, etc.), solar energy (receivers for concentrating solar power plants), nuclear
8 reactors, metallurgy (molten metal crucibles), cutting tools, microelectronics, etc., are only few
9 examples of application fields where UHTCs are extremely desirable. In spite of this, a suitable
10 diffusion of such material family is not reached yet, due to their relatively low fracture toughness and
11 not adequate high temperature oxidation properties, other than because of the difficulties encountered
12 for the obtainment of highly dense bodies.
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21 In this context, a new emerging class of UHTCs, the so called High-Entropy Borides (HEBs) was
22 recently developed at the University of California, San Diego (USA) [2]. HEBs belong to the more
23 general family of high-entropy alloys, where metallic elements are properly combined in near equimolar
24 ratios to generate new crystalline solid-solutions characterized by a maximum configurational entropy
25 $\Delta S_{mix} = R \cdot \ln N$, where R and N are the gas constant and the total number of the equimolar
26 components, respectively [3]. The strong interest in these materials stems from the improved thermal
27 stability and strengthening they usually exhibit with respect to conventional alloys [3-5].
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35 So far, the research activity in this field has been mostly focused on metallic phases, whereas only
36 few and recent studies are available on high-entropy ceramics, either oxides [6-10] or non oxides [2,11-
37 15].
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40 As for non oxides high entropy ceramics, six types of five-components HEBs have been prepared by
41 Gild et al. [2]. The adopted process basically consisted of a co-milling treatment of their individual
42 MeB_2 constituents (Me= Zr, Hf, Ta, Ti, Mo, Nb, Cr) combined in equimolar proportions and the
43 resulting powders were densified for 5 min by SPS at 2000°C and 30 MPa. The obtained products were
44 single-phase materials, up to 92.4% dense, which display higher hardness and oxidation resistance as
45 compared to the average properties of individual components fabricated and tested under the same
46 conditions. Improved performances (hardness and thermal stability) were also reported when
47 considering of HEB thin films prepared by non-reactive physical vapor deposition [13]. Similar findings
48 were also obtained when characterizing the 99 % dense equiatomic high-entropy carbides, namely (Hf-
49 Ta-Zr-Nb)C, produced very recently via SPS (2300°C) from ball milled powders [11].
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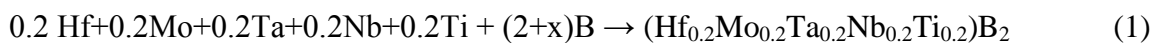
58 The major concern related to the previously mentioned fabrication methods is represented by the
59 intense mechanical pre-treatment of the powder mixture requested to induce the formation of a single
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3 phase product during the subsequent densification stage. For instance, Gild et al [2] processed the
4 diborides mixture for 6h by high energy ball milling before SPS. Moreover, the duration of the
5 mechanical treatment was even longer (24 h) for the fabrication of high-entropy carbides [11].
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8 As a consequence, the corresponding whole processing time becomes very long. Furthermore, the
9 extremely abrasive character of transition metal diborides and carbides makes the related powders prone
10 to be contaminated from milling media, which certainly represents a crucial issue.
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13 In this work, an alternative, more efficient, two-step processing method, consisting of the Self-
14 propagating High-temperature Synthesis (SHS) of the high entropy product followed by the SPS of the
15 resulting powders, is proposed. While the latter approach was already successfully exploited for the
16 obtainment of various standard monophasic and composite UHTCs [16], it is the first time that
17 crystalline high entropy ceramics are produced following such route.
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20 SHS experiments were performed starting from Hf (Alfa Aesar, cod. 00337, particle size < 44µm,
21 99.6% purity), Mo (Aldrich, cod 26.689-2, particle size < 149µm, ≥ 99% purity), Ta (Alfa Aesar, cod
22 00337, particle size < 44µm, 99.9% purity), Nb (Alfa Aesar, cod 010275, particle size < 44µm, 99.8%
23 purity), Ti (Aldrich, cod 26.849-6, particle size < 149µm, 99.7% purity), and B (Aldrich, cod 15580,
24 amorphous, ≥ 99% purity) powders. Mixing of reactants was carried out according to the following
25 reactions stoichiometry:
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37 The use of a slight excess of B (x=0.2) with respect to the stoichiometric value allows for, in
38 agreement to previous studies related to the obtainment of individual metal diborides [17-18], the
39 removal of oxide impurities initially present in the raw powders. SHS experiments were conducted
40 inside a closed stainless steel vessel under Argon atmosphere using cylindrical pellets (10 mm diameter
41 and 30 mm high) obtained after cold-pressing about 10 g of the reactants mixture. The reaction was
42 activated at one pellet end using an electrically heated tungsten coil.
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48 The SHS product was pulverized after a short (20 min) ball milling treatment using a SPEX 8000
49 (SPEX CertiPrep, USA) shaker device with a ball-to-powder weight ratio of 2. Particle size of the
50 resulting powders was evaluated by laser light scattering analysis (CILAS 1180, France). Their
51 consolidation was carried out by SPS (515S model, Fuji Electronic Industrial Co., Ltd., Kanagawa,
52 Japan) at 1950°C for 20 min under a mechanical pressure of 20 MPa and vacuum conditions. Disks of
53 about 14.7 mm diameter and 3mm thickness were obtained. Details on the SPS apparatus and procedure
54 can be found elsewhere [17-18].
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3 Compositional and structural characterization of the SHS and SPS products was performed by X-ray
4 diffraction analysis (Philips PW 1830, Netherlands) using Cu K_{α} radiation, over a range of scattering
5 angles 2θ from 20 to 130, in steps of 0.05° with 15 s acquisition time per angle. The XRD patterns were
6 analyzed by the Rietveld method using the MAUD program to determine and quantify the phases
7 content and the corresponding microstructural parameters [19]. In addition, the compositional
8 uniformity in sintered samples was assessed by examining their cross section by high resolution
9 scanning electron microscopy (HRSEM) (mod. S4000, Hitachi, Tokyo, Japan) equipped with a UltraDry
10 EDS Detector (Thermo Fisher Scientific, Waltham, MA, USA).
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18 As schematically represented in **Figure 1**, the first processing stage consists in the preparation of
19 $(\text{Hf}_{0.2}\text{Mo}_{0.2}\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Ti}_{0.2})\text{B}_2$ by SHS according to reaction (1). The initial mixture, containing the
20 starting reagents, has been analyzed by XRD. The pattern integrated with the Rietveld analysis is
21 reported in **Figure 2a**, and it confirms the presence of the all metals with the expected concentration (see
22 **Table 1**). Bragg reflections of Boron cannot be detected in this pattern because its amorphous nature and
23 the low scattering, if compared with the other heavy metals. Upon ignition, the reaction front displayed a
24 self-sustaining character with a measured maximum temperature of about 2000°C and average velocity
25 of 4.75 ± 0.25 mm/s. Such behavior was similar to that observed when the individual diborides were
26 synthesized by SHS, for instance HfB_2 [17], TaB_2 [18] and TiB_2 [20], and is consistent with their high
27 enthalpies of formation ($-\Delta H_f^0$), i.e. 335.975, 209.200 and 323.800 kJ/mol, respectively [21].
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37 The particle size parameters of the powders obtained after the SHS products was comminuted by 20
38 min ball milling treatment are $d_{10}=0.23\pm 0.02$ μm , $d_{50}=1.94\pm 0.14$ μm , $d_{90}=9.82\pm 0.39$ μm , and
39 $d_{\text{av}}=3.71\pm 0.20$ μm . The XRD pattern of the synthesized powders is reported in **Figure 2b** along with the
40 related best fit profile. The resulting compositional and microstructural data, as determined by Rietveld
41 analysis, are summarized in **Table 1**. The reported results clearly indicate that a high conversion (about
42 96 wt.%) of starting reactants to the desired phase was achieved by SHS. However, minor amounts of
43 other diborides ($(\text{Ta}_{0.5}\text{Ti}_{0.5})\text{B}_2$, $(\text{Hf}_{0.5}\text{Ti}_{0.5})\text{B}_2$ and HfB_2) and traces of metal oxides (HfO_2) have been also
44 detected. The formation of the expected high-entropy solid solution ($\text{SG} = P6/mmm$), nominally
45 indicated by the formula reported in Eq. 1, can be proved by a direct comparison of the corresponding
46 cell parameters reported in **Table 1** with those ones provided by Gild et al. [2]. Nonetheless, as
47 evidenced above, the obtainment of a single phase product was not fully achieved by SHS.
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57 The combustion synthesized powders were then processed for 20 min at 1950°C by SPS. The XRD
58 pattern of the cross section of the sintered sample is also shown in **Figure 2c** with the corresponding
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3 best-fit, while the related data are reported in **Table 1**. The obtained results indicated that the SPS
4 treatment induced some compositional changes in the processing sample. More important is the fact that
5 such transformations lead to massive product with neither secondary nor asymmetric peaks detected by
6 XRD analysis. So, ultimately, a single phase material was finally obtained by SPS, as determined by the
7 Rietveld analysis. It should be noted that XRD results for the sintered material are very similar to those
8 ones reported by Gild et al. [2] for the same system. This confirms the formation of the high-entropy
9 alloy characterized by 2D layers of metal cations, as schematically represented in **Figure 2d** [22]. The
10 estimated value for the theoretical density of the HEB system under investigation, i.e. 8.56 g/cm^3 ,
11 comparable to that one obtained by Gild et al. [2] (8.67 g/cm^3), further supports the latter statement. As a
12 difference, it should be noted that the minor secondary phases evidenced in the latter study by XRD, and
13 attributed to stable HfO_2 and ZrO_2 , were not detected in the bulk sample produced in the present work. It
14 is likely that the SHS process, and the corresponding conditions specifically adopted in the present
15 investigation (excess of boron in Eq. 1), are highly beneficial in this regard. This holds also true when
16 considering the traces (0.1 wt.%) of HfO_2 detected in SHS powders (**Figure 2b**) which are entirely
17 eliminated during the subsequent SPS stage because of the likely presence of residual unreacted Boron.
18 The end product reached relative density levels up to 92.5%, comparable to the best values reported in
19 Gild et al. [2]. The good densification as well as the uniform composition achieved is in agreement with
20 the cross-sectional SEM micrograph reported in **Figure 3** along with the related EDX maps. In
21 particular, the latter ones testify that all the elements are very homogeneously distributed across the
22 sample, with no specific evidence of preferential aggregation phenomena.

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40 On the basis of the results discussed in this work, it is possible to state the SHS method represents a
41 suitable tool for obtaining powders to be processed by SPS for the fabrication of innovative high entropy
42 ceramics. In this regard, it is worth to mention the two key motivations generally provided to explain the
43 high sintering ability displayed by UHTC powders when produced by SHS. The first one is related to the
44 high defect concentrations associated to the extreme process conditions (heating and cooling rates on the
45 order of 10^5 and 10^3 K/min , respectively) encountered during the fast propagation of the synthesis front
46 [23]. In addition, when ceramic composites are synthesized, it is observed that stronger interfacial bonds
47 are established between the fine grains of the different phases simultaneously formed *in-situ*, which lead
48 to a reduction of the diffusion distances [24]. These aspects are also expected to play a beneficial role in
49 promoting the atomic diffusion in SHS powders for the formation of the single phase HEBs during the
50 SPS stage. It important to mention the fact that experiments conducted using the Reactive Spark Plasma
51 Sintering (R-SPS) route, where the product synthesis and its consolidation are performed in a single
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3 stage as an alternative to the two steps SHS-SPS technique, did not allow us to reach the desired target.
4 In addition, the R-SPS approach is often not appropriate when, as in the present case, strongly
5 exothermic systems are taken into account, since various problems are usually encountered when the
6 synthesis process occurs under the combustion regime inside a closed powder container [25].
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10 Since the single phase material produced in the present work by SHS-SPS displays a relative density
11 very close to that obtained by Gild et al. [2] for the same system, i.e. 92.5 and 92.2%, respectively, it is
12 expected that the corresponding properties will be also similar. In particular, a higher hardness was
13 reported for the $(\text{Hf}_{0.2}\text{Mo}_{0.2}\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Ti}_{0.2})\text{B}_2$ material (22.5 ± 1.7 GPa) with respect to the average value
14 of the individual metal diborides (19.2 ± 1.3 GPa) [2]. Improved oxidation resistance characteristics were
15 also observed, based on the weight gains measured during isothermal tests conducted in the range 1000-
16 1200°C for 1 h under flowing dry air, i.e. $(\text{Hf}_{0.2}\text{Mo}_{0.2}\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Ti}_{0.2})\text{B}_2 < \text{HfB}_2 < \text{TaB}_2 < \text{NbB}_2 < \text{TiB}_2$ [2]. Of
17 course, the potential of this HEB for industrial applications can be better defined when residual porosity
18 of the bulk sample will be reduced, so that the mechanical properties and oxidation resistance could be
19 correspondingly improved. Thus, future work will be specifically addressed to the optimization of the
20 SHS-SPS process conditions particularly aimed to further increase the relative density of the sintered
21 material. The resulting product will be then subjected to a comprehensive characterization from the
22 microstructural, mechanical, thermal, optical, etc., points of view, to possibly identify one or more
23 suitable applications for this material.
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36 In summary, our study demonstrates for the first time the feasibility of the fabrication of high
37 entropy ceramics by coupling the SHS and SPS techniques. In particular, it is found that the
38 $(\text{Hf}_{0.2}\text{Mo}_{0.2}\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Ti}_{0.2})\text{B}_2$ system was not obtained as a single phase by SHS, albeit a high conversion
39 level (96 wt.%) of the elemental reactants to the desired phase was reached. Nonetheless, when the
40 resulting SHS powders were processed by SPS, a single phase bulk material was produced. The
41 proposed process is undoubtedly more advantageous as compared to that adopted so far, where an
42 intense mechanical pre-treatment of the individual borides was required before SPS. This feature
43 certainly opens interesting perspectives for the exploitation of the huge potential of high entropy
44 ceramics systems in both innovative and traditional fields.
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53 54 55 **Acknowledgements** 56

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3 **Figure captions**
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7 **Fig. 1.** Schematic representation of the processing route adopted for the fabrication of high entropy
8 ceramics.
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13 **Fig. 2.** XRD patterns and related Rietveld refinements of a) the initial reactants mixture, b) SHS
14 powders and c) SPS bulk product. d) Scheme of the atomic crystal structure of the high entropy alloy
15 synthesized. The unit cell was designed by VESTA software [22].
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22 **Fig. 3.** Cross sectional SEM micrograph and related EDX elemental maps of the HEB sample
23 produced by SPS
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Table 1. Phases and quantitative phase analysis results of the initial reactants mixture, SHS powders and SPS bulk product.

Initial Reactants										
Phase	%	a (Å)	b (Å)	c (Å)	β (°)	Symmetry	Space Group	Crystallite size (Å)	Microstrain	Unit cell volume (Å ³)
Ti	21.0	3.3075	3.3075	3.3075	90.00	Cubic	Im-3m	1985	0.0008	36.1826
Ta	20.9	3.3185	3.3185	3.3185	90.00	Cubic	Im-3m	18159	0.0034	36.5448
Mo	20.2	3.1476	3.1476	3.1476	90.00	Cubic	Im-3m	1441	0.0002	31.1845
Hf	19.1	3.2006	3.2006	5.0705	90.00	Hexagonal	P63/mmc	1125	0.0013	44.9826
Nb	18.8	3.3404	3.3404	3.3404	90.00	Cubic	Im-3m	232	0.0001	37.2731
SHS powders										
Phase	%	a (Å)	b (Å)	c (Å)	β (°)	Symmetry	Space Group	Crystallite size (Å)	Microstrain	Unit cell volume (Å ³)
(Hf _{0.2} Mo _{0.2} Ta _{0.2} Nb _{0.2} Ti _{0.2})B ₂	96.2	3.1001	3.1001	3.3127	90.00	Hexagonal	P6/mmm;	300	0.0044	27.5717
(Ta _{0.5} Ti _{0.5})B ₂	2.3	3.0819	3.0819	3.2526	90.00	Hexagonal	P6/mmm;	1000	0.0073	26.7546
(Hf _{0.5} Ti _{0.5})B ₂	0.9	3.1098	3.1098	3.4063	90.00	Hexagonal	P6/mmm;	1057	0.0052	28.5285
HfB ₂	0.5	3.1480	3.1480	3.4831	90.00	Hexagonal	P6/mmm;	1126	0.0014	29.8928
HfO ₂	0.1	5.1135	5.1345	5.4033	99.35	Monoclinic	P21/c:b1	248	0.0019	139.9803
SPS product										
Phase	%	a (Å)	b (Å)	c (Å)	β (°)	Symmetry	Space Group	Crystallite size (Å)	Microstrain	Unit cell volume (Å ³)
(Hf _{0.2} Mo _{0.2} Ta _{0.2} Nb _{0.2} Ti _{0.2})B ₂	100	3.0878	3.0878	3.3099	90.00	Hexagonal	P6/mmm	869	0.0015	27.3303

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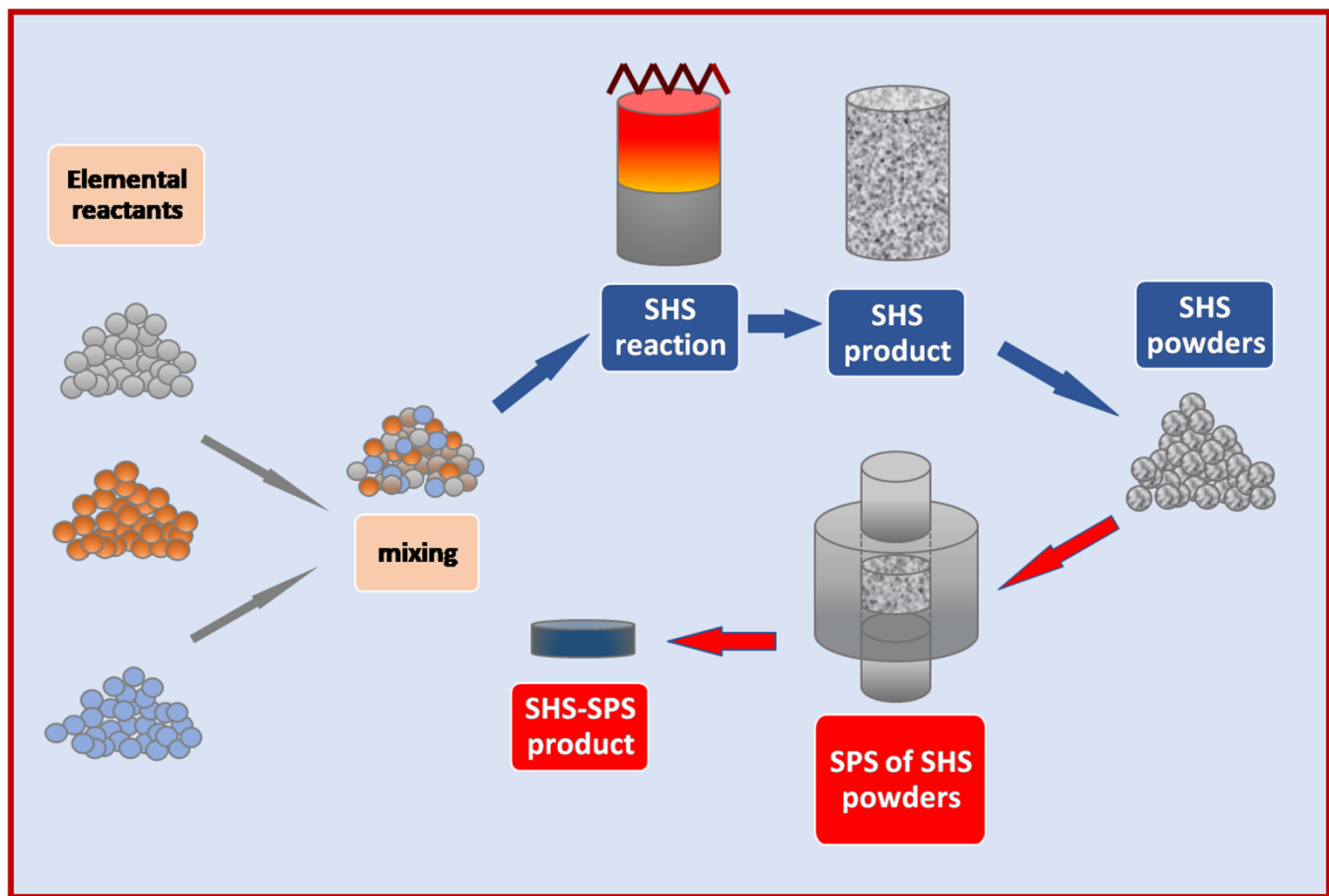


Fig. 1

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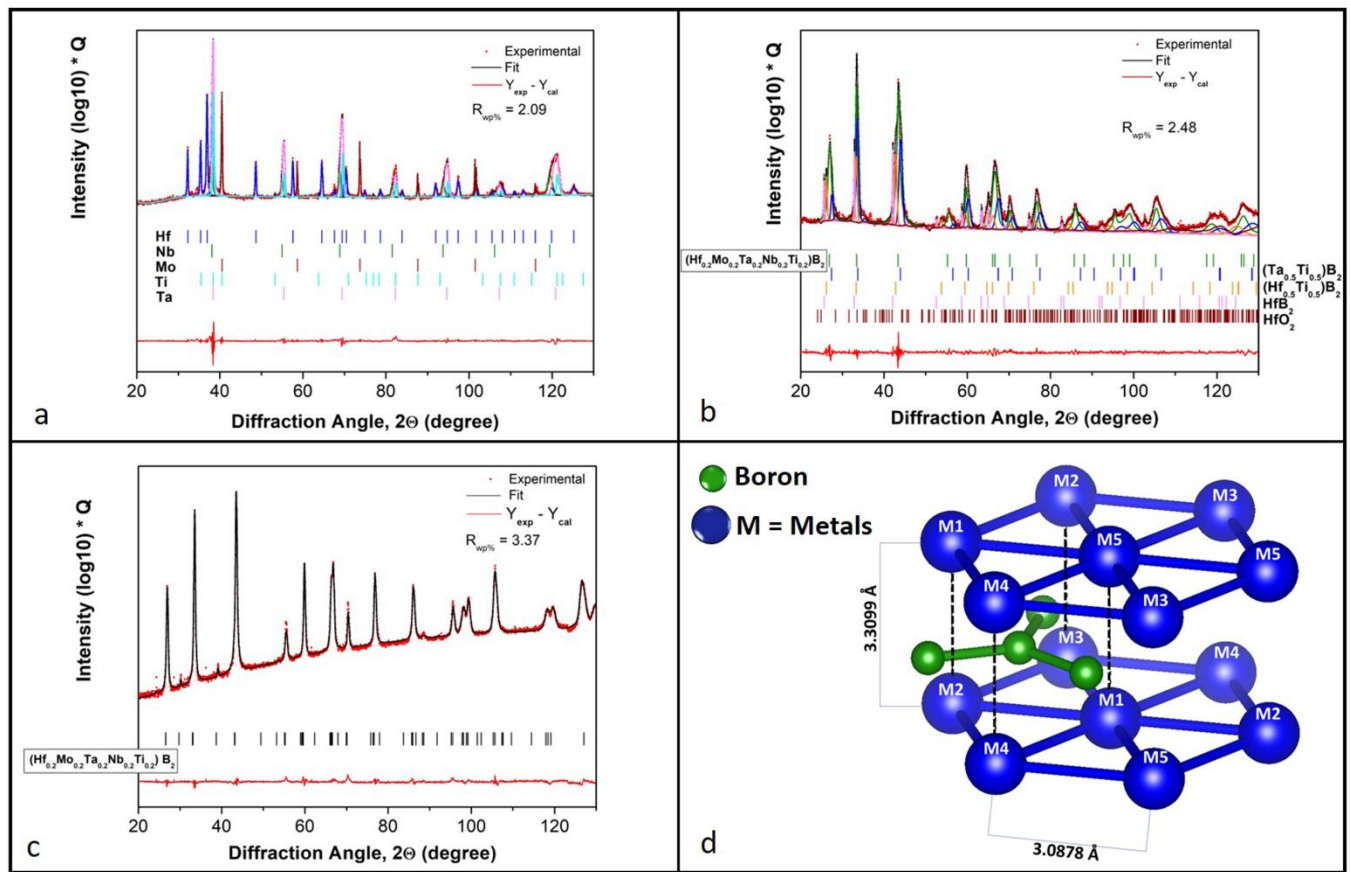


Fig. 2

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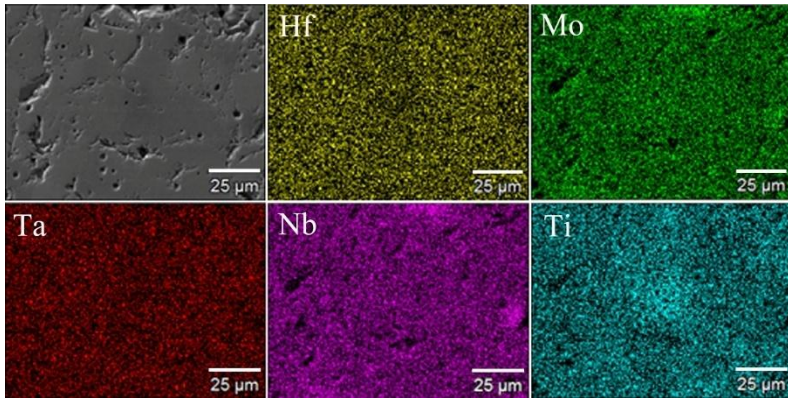


Fig. 3

Figure 1
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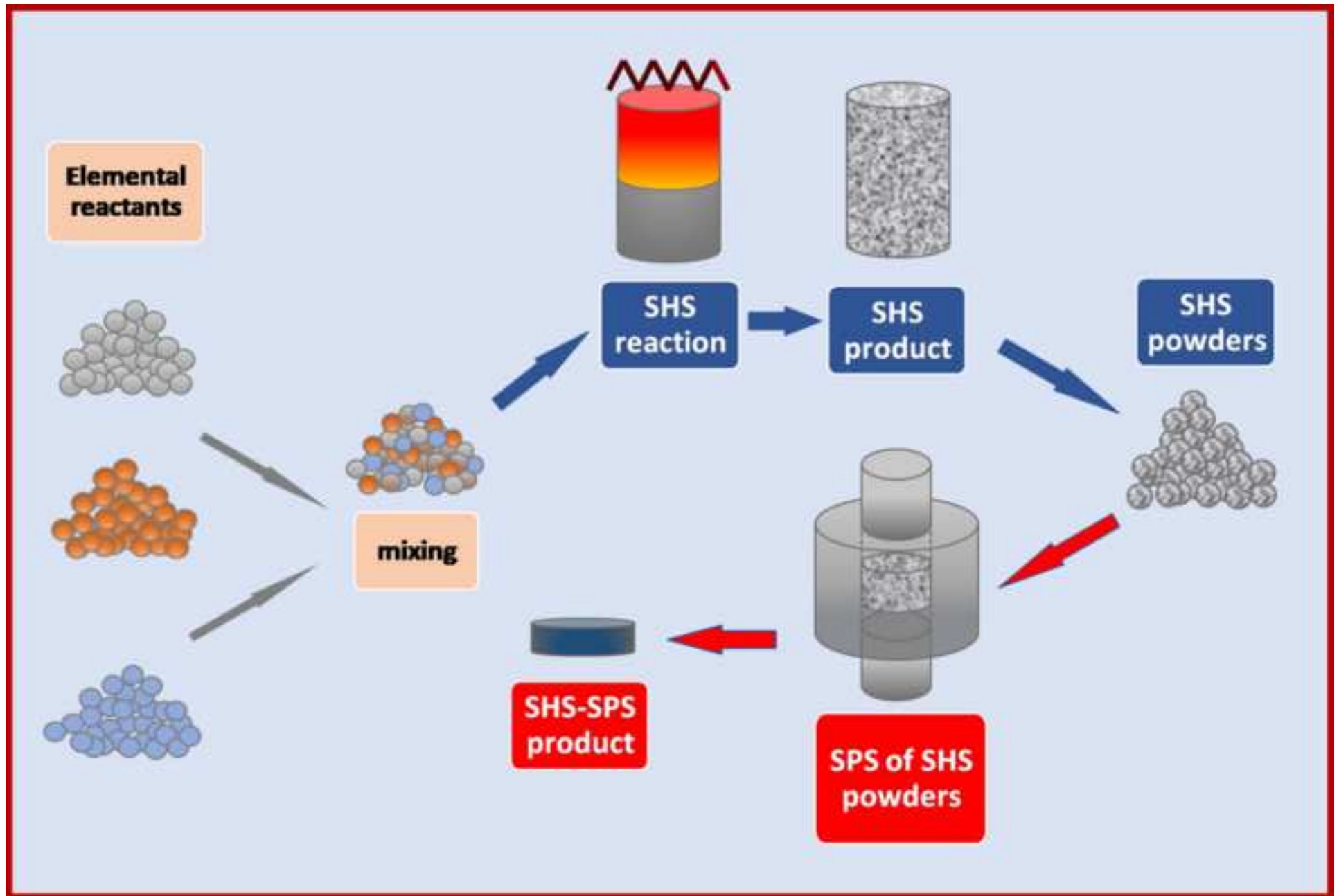


Figure 2
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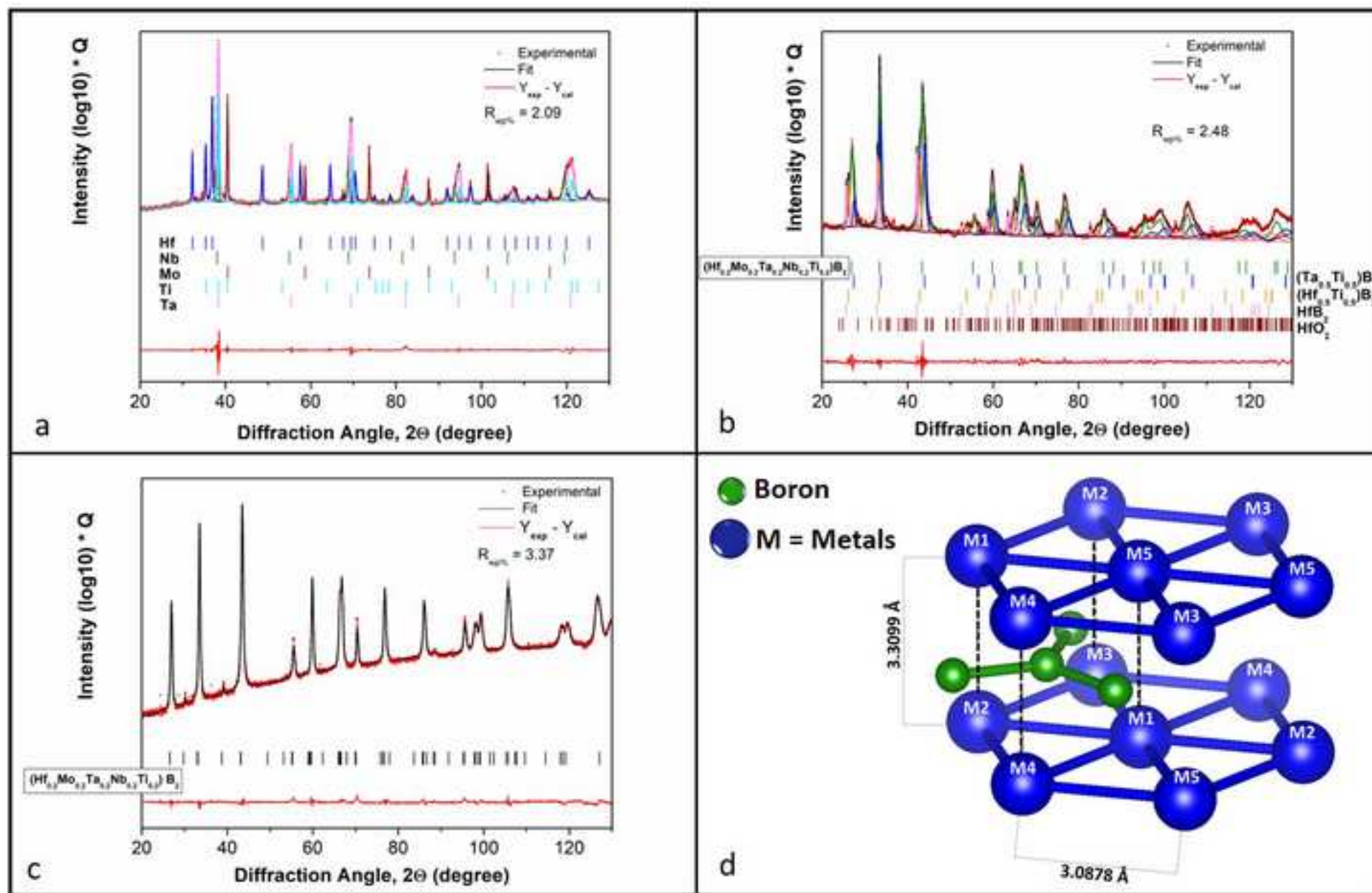


Figure 3
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